



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: December 6, 2011

TO: Mayor and Councilmembers

FROM: Accounting Division, Finance Department

SUBJECT: Fiscal Year 2012 Interim Financial Statements For The Four Months Ended October 31, 2011

RECOMMENDATION:

That Council accept the Fiscal Year 2012 Interim Financial Statements for the Four Months Ended October 31, 2011.

DISCUSSION:

The Interim Financial Statements for the Four Months Ended October 31, 2011 (33.3% of the fiscal year) are attached. The Interim Financial Statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

ATTACHMENT: Interim Financial Statements for the Four Months Ended October 31, 2011

PREPARED BY: Ruby Carrillo, Accounting Manager

SUBMITTED BY: Robert Samario, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
GENERAL FUND					
Revenue	103,109,069	27,495,548	-	75,613,520	26.7%
Expenditures	103,435,734	35,292,282	2,039,892	66,103,560	36.1%
<i>Addition to / (use of) reserves</i>	<i>(326,665)</i>	<i>(7,796,734)</i>	<i>(2,039,892)</i>		
WATER OPERATING FUND					
Revenue	35,671,185	13,138,480	-	22,532,705	36.8%
Expenditures	43,442,910	13,315,600	3,091,249	27,036,062	37.8%
<i>Addition to / (use of) reserves</i>	<i>(7,771,725)</i>	<i>(177,120)</i>	<i>(3,091,249)</i>		
WASTEWATER OPERATING FUND					
Revenue	16,395,810	5,705,211	-	10,690,599	34.8%
Expenditures	17,492,788	4,854,001	1,854,808	10,783,979	38.4%
<i>Addition to / (use of) reserves</i>	<i>(1,096,978)</i>	<i>851,210</i>	<i>(1,854,808)</i>		
DOWNTOWN PARKING					
Revenue	7,036,049	2,518,204	-	4,517,845	35.8%
Expenditures	7,582,431	2,340,766	591,674	4,649,991	38.7%
<i>Addition to / (use of) reserves</i>	<i>(546,382)</i>	<i>177,437</i>	<i>(591,674)</i>		
AIRPORT OPERATING FUND					
Revenue	14,880,151	4,908,663	-	9,971,488	33.0%
Expenditures	15,553,623	4,486,702	607,916	10,459,005	32.8%
<i>Addition to / (use of) reserves</i>	<i>(673,472)</i>	<i>421,961</i>	<i>(607,916)</i>		
GOLF COURSE FUND					
Revenue	2,060,146	659,870	-	1,400,276	32.0%
Expenditures	2,065,870	754,292	123,334	1,188,243	42.5%
<i>Addition to / (use of) reserves</i>	<i>(5,724)</i>	<i>(94,423)</i>	<i>(123,334)</i>		
INTRA-CITY SERVICE FUND					
Revenue	6,119,971	2,062,170	-	4,057,801	33.7%
Expenditures	6,122,735	1,998,584	1,181,525	2,942,626	51.9%
<i>Addition to / (use of) reserves</i>	<i>(2,764)</i>	<i>63,586</i>	<i>(1,181,525)</i>		

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
FLEET REPLACEMENT FUND					
Revenue	2,230,083	764,661	-	1,465,422	34.3%
Expenditures	1,502,646	311,714	7,058	1,183,874	21.2%
<i>Addition to / (use of) reserves</i>	<u>727,437</u>	<u>452,947</u>	<u>(7,058)</u>		
FLEET MAINTENANCE FUND					
Revenue	2,530,723	831,059	-	1,699,664	32.8%
Expenditures	2,482,012	721,051	252,821	1,508,140	39.2%
<i>Addition to / (use of) reserves</i>	<u>48,711</u>	<u>110,008</u>	<u>(252,821)</u>		
SELF INSURANCE TRUST FUND					
Revenue	5,391,678	1,727,626	-	3,664,052	32.0%
Expenditures	9,055,327	2,878,177	267,290	5,909,860	34.7%
<i>Addition to / (use of) reserves</i>	<u>(3,663,649)</u>	<u>(1,150,551)</u>	<u>(267,290)</u>		
INFORMATION SYSTEMS ICS FUND					
Revenue	2,306,135	769,920	-	1,536,215	33.4%
Expenditures	2,347,350	767,396	78,140	1,501,814	36.0%
<i>Addition to / (use of) reserves</i>	<u>(41,215)</u>	<u>2,524</u>	<u>(78,140)</u>		
WATERFRONT FUND					
Revenue	12,203,518	4,729,865	-	7,473,653	38.8%
Expenditures	11,984,239	4,352,174	927,366	6,704,699	44.1%
<i>Addition to / (use of) reserves</i>	<u>219,279</u>	<u>377,691</u>	<u>(927,366)</u>		
TOTAL FOR ALL FUNDS					
Revenue	209,934,518	65,311,278	-	144,623,240	31.1%
Expenditures	223,067,667	72,072,740	11,023,073	139,971,854	37.3%
<i>Addition to / (use of) reserves</i>	<u>(13,133,149)</u>	<u>(6,761,462)</u>	<u>(11,023,073)</u>		

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
TAXES					
Sales and Use	17,949,013	4,491,005	13,458,008	25.0%	4,182,871
Property Taxes	23,063,000	1,018,568	22,044,432	4.4%	1,018,030
Utility Users Tax	7,144,500	2,434,510	4,709,990	34.1%	2,408,772
Transient Occupancy Tax	12,865,000	5,981,069	6,883,931	46.5%	5,450,402
Franchise Fees	3,593,200	1,101,278	2,491,922	30.6%	1,000,129
Business License	2,229,800	523,300	1,706,500	23.5%	518,677
Real Property Transfer Tax	410,000	133,574	276,426	32.6%	133,449
<i>Total</i>	<u>67,254,513</u>	<u>15,683,304</u>	<u>51,571,209</u>	23.3%	<u>14,712,330</u>
LICENSES & PERMITS					
Licenses & Permits	182,900	78,004	104,896	42.6%	51,792
<i>Total</i>	<u>182,900</u>	<u>78,004</u>	<u>104,896</u>	42.6%	<u>51,792</u>
FINES & FORFEITURES					
Parking Violations	2,403,500	880,001	1,523,499	36.6%	835,111
Library Fines	133,516	39,228	94,288	29.4%	36,106
Municipal Court Fines	180,000	51,645	128,355	28.7%	70,414
Other Fines & Forfeitures	210,000	66,638	143,363	31.7%	71,022
<i>Total</i>	<u>2,927,016</u>	<u>1,037,511</u>	<u>1,889,506</u>	35.4%	<u>1,012,654</u>
USE OF MONEY & PROPERTY					
Investment Income	740,827	275,171	465,656	37.1%	334,889
Rents & Concessions	397,952	86,685	311,267	21.8%	145,739
<i>Total</i>	<u>1,138,779</u>	<u>361,856</u>	<u>776,923</u>	31.8%	<u>480,628</u>
INTERGOVERNMENTAL					
Grants	488,610	6,866	481,745	1.4%	248,160
Vehicle License Fees	200,000	198,535	1,465	99.3%	141,075
Reimbursements	14,040	1,323	12,717	9.4%	6,422
<i>Total</i>	<u>702,650</u>	<u>206,724</u>	<u>495,926</u>	29.4%	<u>395,658</u>
FEES & SERVICE CHARGES					
Finance	860,000	275,982	584,018	32.1%	279,600
Community Development	4,525,570	1,614,241	2,911,329	35.7%	1,419,535
Recreation	2,274,257	888,396	1,385,861	39.1%	826,840
Public Safety	499,673	195,839	303,834	39.2%	146,620
Public Works	5,286,083	1,799,174	3,486,909	34.0%	1,777,083
Library	675,575	44,194	631,381	6.5%	363,612
Reimbursements	6,227,567	1,976,483	4,251,084	31.7%	1,811,061
<i>Total</i>	<u>20,348,725</u>	<u>6,794,310</u>	<u>13,554,415</u>	33.4%	<u>6,624,350</u>
OTHER MISCELLANEOUS REVENUES					
Miscellaneous	1,392,091	650,782	741,309	46.7%	670,064
Indirect Allocations	6,111,818	2,037,273	4,074,545	33.3%	2,173,503
Operating Transfers-In	3,050,577	645,786	2,404,791	21.2%	356,818
<i>Total</i>	<u>10,554,486</u>	<u>3,333,841</u>	<u>7,220,645</u>	31.6%	<u>3,200,386</u>
TOTAL REVENUES	<u>103,109,069</u>	<u>27,495,548</u>	<u>75,613,520</u>	26.7%	<u>26,477,798</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
<u>Mayor & City Council</u>						
MAYOR	725,196	235,452	1,299	488,445	32.6%	
<i>Total</i>	725,196	235,452	1,299	488,445	32.6%	235,461
<u>City Attorney</u>						
CITY ATTORNEY	1,930,640	678,124	-	1,252,516	35.1%	
<i>Total</i>	1,930,640	678,124	-	1,252,516	35.1%	688,586
<u>Administration</u>						
CITY ADMINISTRATOR	1,468,399	483,998	1,299	983,102	33.0%	
CITY TV	455,110	138,940	39,380	276,791	39.2%	
<i>Total</i>	1,923,509	622,938	40,678	1,259,893	34.5%	632,429
<u>Administrative Services</u>						
CITY CLERK	435,245	147,469	18,924	268,852	38.2%	
ADMIN SVCS-ELECTIONS	300,000	42,159	196,635	61,206	79.6%	
HUMAN RESOURCES	1,197,982	376,854	24,920	796,208	33.5%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	14,447	-	-	14,447	0.0%	
<i>Total</i>	1,947,674	566,483	240,479	1,140,712	41.4%	486,239
<u>Finance</u>						
ADMINISTRATION	219,098	74,648	11,287	133,162	39.2%	
TREASURY	482,061	137,099	-	344,962	28.4%	
CASHIERING & COLLECTION	417,180	147,898	-	269,282	35.5%	
LICENSES & PERMITS	417,558	135,884	-	281,674	32.5%	
BUDGET MANAGEMENT	396,344	133,440	-	262,904	33.7%	
ACCOUNTING	478,913	168,069	32,764	278,080	41.9%	
PAYROLL	268,474	87,373	-	181,101	32.5%	
ACCOUNTS PAYABLE	207,832	71,575	-	136,257	34.4%	
CITY BILLING & CUSTOMER SERVICE	583,635	135,355	1,831	446,448	23.5%	
PURCHASING	659,344	225,910	3,208	430,226	34.7%	
CENTRAL STORES	160,010	53,333	500	106,177	33.6%	
MAIL SERVICES	102,301	34,652	500	67,149	34.4%	
<i>Total</i>	4,392,750	1,405,237	50,090	2,937,423	33.1%	1,366,964
TOTAL GENERAL GOVERNMENT	10,919,769	3,508,233	332,546	7,078,990	35.2%	3,409,679
PUBLIC SAFETY						
<u>Police</u>						
CHIEF'S STAFF	979,104	344,535	750	633,819	35.3%	
SUPPORT SERVICES	574,199	192,801	1,303	380,095	33.8%	
RECORDS	1,172,517	381,419	14,177	776,921	33.7%	
COMMUNITY SVCS	729,721	247,788	4,104	477,829	34.5%	
PROPERTY ROOM	165,159	45,086	820	119,253	27.8%	
TRNG/RECRUITMENT	405,269	182,964	31,038	191,268	52.8%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
PUBLIC SAFETY						
<u>Police</u>						
RANGE	1,184,348	392,017	47,217	745,115	37.1%	
BEAT COORDINATORS	784,859	233,131	-	551,728	29.7%	
INFORMATION TECHNOLOGY	1,275,768	483,172	57,988	734,607	42.4%	
INVESTIGATIVE DIVISION	4,582,903	1,477,142	5,555	3,100,206	32.4%	
CRIME LAB	130,163	46,955	-	83,209	36.1%	
PATROL DIVISION	14,663,551	5,008,142	171,292	9,484,117	35.3%	
TRAFFIC	1,288,412	436,225	1,100	851,087	33.9%	
SPECIAL EVENTS	772,599	647,621	-	124,978	83.8%	
TACTICAL PATROL FORCE	1,324,561	373,479	-	951,082	28.2%	
STREET SWEEPING ENFORCEMENT	294,783	100,657	-	194,126	34.1%	
NIGHT LIFE ENFORCEMENT	297,965	90,964	-	207,001	30.5%	
PARKING ENFORCEMENT	931,552	271,538	27,800	632,215	32.1%	
CCC	2,361,140	737,450	1,854	1,621,836	31.3%	
ANIMAL CONTROL	607,170	156,376	-	450,794	25.8%	
<i>Total</i>	<u>34,525,743</u>	<u>11,850,278</u>	<u>364,997</u>	<u>22,310,468</u>	35.4%	<u>11,536,718</u>
<u>Fire</u>						
ADMINISTRATION	740,779	260,870	5,080	474,830	35.9%	
EMERGENCY SERVICES AND PUBLIC ED PREVENTION	246,838	78,071	-	168,767	31.6%	
WILDLAND FIRE MITIGATION PROGRAM	1,109,296	377,431	969	730,896	34.1%	
OPERATIONS	172,505	56,187	18,518	97,800	43.3%	
ARFF	17,119,140	5,664,456	98,017	11,356,667	33.7%	
	1,698,433	607,583	-	1,090,850	35.8%	
<i>Total</i>	<u>21,086,991</u>	<u>7,044,598</u>	<u>122,584</u>	<u>13,919,810</u>	34.0%	<u>7,320,042</u>
TOTAL PUBLIC SAFETY	<u>55,612,734</u>	<u>18,894,875</u>	<u>487,581</u>	<u>36,230,278</u>	34.9%	<u>18,856,760</u>
PUBLIC WORKS						
<u>Public Works</u>						
ADMINISTRATION	868,519	272,282	13,979	582,258	33.0%	
ENGINEERING SVCS	4,357,704	1,407,681	19,699	2,930,324	32.8%	
PUBLIC RT OF WAY MGMT	1,176,628	387,026	3,830	785,772	33.2%	
ENVIRONMENTAL PROGRAMS	421,105	69,533	125,566	226,006	46.3%	
<i>Total</i>	<u>6,823,956</u>	<u>2,136,522</u>	<u>163,074</u>	<u>4,524,360</u>	33.7%	<u>2,114,315</u>
TOTAL PUBLIC WORKS	<u>6,823,956</u>	<u>2,136,522</u>	<u>163,074</u>	<u>4,524,360</u>	33.7%	<u>2,114,315</u>
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
PRGM MGMT & BUS SVCS	370,912	157,716	64	213,131	42.5%	
FACILITIES	731,720	253,692	13,400	464,627	36.5%	
YOUTH ACTIVITIES	743,003	336,734	4,340	401,929	45.9%	
SR CITIZENS	717,260	227,649	47,301	442,310	38.3%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
AQUATICS	1,040,683	534,585	45,749	460,350	55.8%	
SPORTS	423,214	141,655	14,371	267,188	36.9%	
TENNIS	224,714	74,799	-	149,915	33.3%	
NEIGHBORHOOD & OUTREACH SERV	980,833	357,616	5,559	617,658	37.0%	
ADMINISTRATION	522,889	178,742	-	344,147	34.2%	
PROJECT MANAGEMENT TEAM	222,476	81,560	-	140,916	36.7%	
BUSINESS SERVICES	299,201	82,480	3,030	213,691	28.6%	
FACILITY & PROJECT MGT	992,450	401,029	1,510	589,911	40.6%	
GROUNDS MANAGEMENT	4,119,025	1,347,565	104,425	2,667,036	35.3%	
FORESTRY	1,160,228	358,395	142,570	659,263	43.2%	
BEACH MAINTENANCE	146,160	31,342	19,115	95,703	34.5%	
<i>Total</i>	<u>12,694,768</u>	<u>4,565,560</u>	<u>401,433</u>	<u>7,727,775</u>	39.1%	<u>4,445,712</u>
<u>Library</u>						
ADMINISTRATION	420,294	127,874	-	292,420	30.4%	
PUBLIC SERVICES	1,822,641	657,894	3,950	1,160,797	36.3%	
SUPPORT SERVICES	1,782,752	461,891	115,119	1,205,742	32.4%	
<i>Total</i>	<u>4,025,687</u>	<u>1,247,659</u>	<u>119,069</u>	<u>2,658,959</u>	34.0%	<u>1,220,327</u>
TOTAL COMMUNITY SERVICES	<u>16,720,455</u>	<u>5,813,219</u>	<u>520,502</u>	<u>10,386,733</u>	37.9%	<u>5,666,039</u>
COMMUNITY DEVELOPMENT						
<u>Community Development</u>						
ADMINISTRATION	456,182	155,151	1,022	300,009	34.2%	
ECON DEV	52,667	14,735	-	37,932	28.0%	
CITY ARTS ADVISORY PROGRAM	427,260	361,237	-	66,024	84.5%	
HUMAN SVCS	855,862	207,572	481,130	167,161	80.5%	
RDA	715,653	212,014	-	503,639	29.6%	
RDA HSG DEV	611,074	194,048	-	417,026	31.8%	
LR PLANNING/STUDIES	826,558	242,739	570	583,249	29.4%	
DEV & DESIGN REVIEW	1,075,206	360,927	18,977	695,302	35.3%	
ZONING	1,245,146	374,973	4,247	865,926	30.5%	
DESIGN REV & HIST PRESERVATN	975,603	316,471	6,613	652,519	33.1%	
BLDG PERMITS	1,048,775	345,617	7,417	695,742	33.7%	
RECORDS & ARCHIVES	529,868	162,164	15,618	352,085	33.6%	
PLAN CK & COUNTER SRV	1,271,905	449,346	594	821,965	35.4%	
<i>Total</i>	<u>10,091,759</u>	<u>3,396,994</u>	<u>536,188</u>	<u>6,158,577</u>	39.0%	<u>3,002,747</u>
TOTAL COMMUNITY DEVELOPMENT	<u>10,091,759</u>	<u>3,396,994</u>	<u>536,188</u>	<u>6,158,577</u>	39.0%	<u>3,002,747</u>
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
DUES, MEMBERSHIPS, & LICENSES	22,272	1,693	-	20,579	7.6%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
COMMUNITY PROMOTIONS	1,536,799	893,360	-	643,439	58.1%	
SPECIAL PROJECTS	381,073	147,269	-	233,804	38.6%	
TRANSFERS OUT	43,500	14,500	-	29,000	33.3%	
DEBT SERVICE TRANSFERS	349,983	312,615	-	37,368	89.3%	
CAPITAL OUTLAY TRANSFER	519,005	173,002	-	346,003	33.3%	
APPROP. RESERVE	414,429	-	-	414,429	0.0%	
<i>Total</i>	3,267,061	1,542,439	-	1,724,622	47.2%	1,503,456
TOTAL NON-DEPARTMENTAL	3,267,061	1,542,439	-	1,724,622	47.2%	1,503,456
TOTAL EXPENDITURES	103,435,734	35,292,282	2,039,892	66,103,560	36.1%	34,552,996

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Special Revenue Funds
Interim Statement of Revenues and Expenditures
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
TRAFFIC SAFETY FUND					
Revenue	515,000	197,607	-	317,393	38.4%
Expenditures	515,000	197,607	-	317,393	38.4%
<i>Revenue Less Expenditures</i>	-	-	-	-	
CREEK RESTORATION/WATER QUALITY IMPRVMT					
Revenue	2,800,800	1,253,413	-	1,547,387	44.8%
Expenditures	3,545,725	888,135	533,640	2,123,950	40.1%
<i>Revenue Less Expenditures</i>	(744,925)	365,277	(533,640)	(576,563)	
SOLID WASTE PROGRAM					
Revenue	18,331,232	5,991,197	-	12,340,035	32.7%
Expenditures	19,069,668	5,858,646	618,748	12,592,274	34.0%
<i>Revenue Less Expenditures</i>	(738,436)	132,550	(618,748)	(252,239)	
COMM.DEVELOPMENT BLOCK GRANT					
Revenue	2,730,423	215,615	-	2,514,808	7.9%
Expenditures	2,730,423	356,427	152,216	2,221,780	18.6%
<i>Revenue Less Expenditures</i>	-	(140,812)	(152,216)	293,028	
COUNTY LIBRARY					
Revenue	1,858,999	136,730	-	1,722,269	7.4%
Expenditures	1,982,066	598,455	103,096	1,280,516	35.4%
<i>Revenue Less Expenditures</i>	(123,067)	(461,725)	(103,096)	441,754	
STREETS FUND					
Revenue	10,393,577	3,607,209	-	6,786,368	34.7%
Expenditures	14,441,889	2,926,170	2,757,178	8,758,541	39.4%
<i>Revenue Less Expenditures</i>	(4,048,312)	681,039	(2,757,178)	(1,972,173)	
MEASURE A					
Revenue	2,774,034	947,723	-	1,826,311	34.2%
Expenditures	3,335,145	473,550	1,671,557	1,190,038	64.3%
<i>Revenue Less Expenditures</i>	(561,111)	474,172	(1,671,557)	636,274	

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

WATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Water Sales - Metered	30,700,000	11,818,004	-	18,881,996	38.5%	11,392,135
Service Charges	450,192	224,766	-	225,426	49.9%	162,682
Cater JPA Treatment Charges	2,619,000	652,441	-	1,966,559	24.9%	832,400
Investment Income	791,800	234,878	-	556,922	29.7%	382,874
Miscellaneous	604,691	39,891	-	564,800	6.6%	4,525
Operating Transfers-In	505,502	168,501	-	337,001	33.3%	-
TOTAL REVENUES	<u>35,671,185</u>	<u>13,138,480</u>	<u>-</u>	<u>22,532,705</u>	<u>36.8%</u>	<u>12,774,617</u>
EXPENSES						
Salaries & Benefits	7,649,148	2,445,322	-	5,203,826	32.0%	2,423,176
Materials, Supplies & Services	9,992,002	2,218,982	2,512,251	5,260,769	47.4%	2,503,522
Special Projects	1,438,061	162,467	172,558	1,103,037	23.3%	101,097
Water Purchases	7,723,468	2,820,787	381,693	4,520,988	41.5%	2,415,813
Debt Service	4,831,189	1,843,034	-	2,988,155	38.1%	1,772,289
Capital Outlay Transfers	11,284,416	3,761,472	-	7,522,944	33.3%	1,116,567
Equipment	195,427	49,778	1,955	143,694	26.5%	50,730
Capitalized Fixed Assets	124,200	8,126	22,793	93,281	24.9%	6,285
Other	55,000	5,632	-	49,369	10.2%	5,647
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>43,442,910</u>	<u>13,315,600</u>	<u>3,091,249</u>	<u>27,036,062</u>	<u>37.8%</u>	<u>10,395,126</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

WASTEWATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service Charges	14,926,192	5,170,365	-	9,755,827	34.6%	4,921,423
Fees	493,222	207,668	-	285,554	42.1%	261,188
Investment Income	267,300	76,000	-	191,300	28.4%	104,301
Public Works	10,000	15,611	-	(5,611)	156.1%	7,965
Miscellaneous	25,000	10,868	-	14,132	43.5%	9,564
Operating Transfers-In	674,096	224,699	-	449,397	33.3%	-
TOTAL REVENUES	<u>16,395,810</u>	<u>5,705,211</u>	<u>-</u>	<u>10,690,599</u>	<u>34.8%</u>	<u>5,304,440</u>
EXPENSES						
Salaries & Benefits	5,148,257	1,633,801	-	3,514,456	31.7%	1,668,375
Materials, Supplies & Services	6,020,715	1,683,946	1,833,454	2,503,315	58.4%	1,516,053
Special Projects	100,000	4,104	-	95,896	4.1%	136,976
Debt Service	1,352,213	-	-	1,352,213	0.0%	-
Capital Outlay Transfers	4,592,559	1,530,853	-	3,061,706	33.3%	2,098,500
Equipment	98,044	297	18,354	79,392	19.0%	13,898
Capitalized Fixed Assets	26,000	-	3,000	23,000	11.5%	32,548
Other	5,000	1,000	-	4,000	20.0%	1,000
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>17,492,788</u>	<u>4,854,001</u>	<u>1,854,808</u>	<u>10,783,979</u>	<u>38.4%</u>	<u>5,467,349</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)
DOWNTOWN PARKING

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Improvement Tax	840,000	380,812	-	459,188	45.3%	347,231
Parking Fees	5,662,166	1,979,616	-	3,682,550	35.0%	1,792,068
Investment Income	137,600	39,833	-	97,767	28.9%	54,675
Rents & Concessions	40,925	-	-	40,925	0.0%	-
Reimbursements	-	-	-	-	100.0%	4,598
Miscellaneous	1,500	(11)	-	1,511	-0.7%	1,109
Operating Transfers-In	353,858	117,953	-	235,905	33.3%	14,500
TOTAL REVENUES	<u>7,036,049</u>	<u>2,518,204</u>	<u>-</u>	<u>4,517,845</u>	<u>35.8%</u>	<u>2,214,181</u>
EXPENSES						
Salaries & Benefits	3,799,707	1,300,386	-	2,499,321	34.2%	1,247,096
Materials, Supplies & Services	1,842,052	520,966	222,315	1,098,770	40.4%	452,963
Special Projects	574,522	71,405	362,198	140,918	75.5%	49,195
Transfers-Out	297,121	99,040	-	198,081	33.3%	93,874
Capital Outlay Transfers	1,043,270	347,757	-	695,513	33.3%	220,000
Equipment	25,760	1,212	7,160	17,388	32.5%	4,260
TOTAL EXPENSES	<u>7,582,431</u>	<u>2,340,766</u>	<u>591,674</u>	<u>4,649,991</u>	<u>38.7%</u>	<u>2,067,387</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)
AIRPORT OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Leases - Commercial / Industrial	4,171,000	1,452,365	-	2,718,635	34.8%	1,427,102
Leases - Terminal	5,183,033	1,707,744	-	3,475,289	32.9%	1,711,238
Leases - Non-Commerical Aviation	1,361,600	503,553	-	858,047	37.0%	562,052
Leases - Commerical Aviation	3,465,000	914,466	-	2,550,534	26.4%	757,492
Investment Income	214,300	63,929	-	150,371	29.8%	87,293
Miscellaneous	185,052	166,551	-	18,501	90.0%	66,522
Operating Transfers-In	300,166	100,055	-	200,111	33.3%	-
TOTAL REVENUES	<u>14,880,151</u>	<u>4,908,663</u>	<u>-</u>	<u>9,971,488</u>	<u>33.0%</u>	<u>4,611,699</u>
EXPENSES						
Salaries & Benefits	5,001,631	1,704,702	-	3,296,929	34.1%	1,608,220
Materials, Supplies & Services	6,585,640	1,914,838	536,481	4,134,321	37.2%	1,903,314
Special Projects	941,298	244,624	42,821	653,852	30.5%	175,178
Transfers-Out	44,212	14,737	-	29,475	33.3%	10,350
Debt Service	1,113,099	-	-	1,113,099	0.0%	-
Capital Outlay Transfers	1,496,334	598,209	-	898,125	40.0%	183,333
Equipment	129,276	9,592	28,614	91,070	29.6%	8,851
Appropriated Reserve	242,134	-	-	242,134	0.0%	-
TOTAL EXPENSES	<u>15,553,623</u>	<u>4,486,702</u>	<u>607,916</u>	<u>10,459,005</u>	<u>32.8%</u>	<u>3,889,246</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

GOLF COURSE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Fees & Card Sales	1,640,801	532,593	-	1,108,208	32.5%	571,594
Investment Income	9,900	3,402	-	6,498	34.4%	5,281
Rents & Concessions	302,322	89,106	-	213,216	29.5%	117,076
Miscellaneous	3,500	228	-	3,272	6.5%	2,826
Operating Transfers-In	103,623	34,541	-	69,082	33.3%	-
TOTAL REVENUES	2,060,146	659,870	-	1,400,276	32.0%	696,777
EXPENSES						
Salaries & Benefits	1,111,449	376,761	-	734,688	33.9%	375,419
Materials, Supplies & Services	547,478	185,486	118,810	243,182	55.6%	204,160
Special Projects	10,724	-	4,524	6,200	42.2%	-
Debt Service	230,294	159,507	-	70,787	69.3%	157,025
Capital Outlay Transfers	92,036	30,679	-	61,357	33.3%	23,333
Equipment	27,500	1,013	-	26,487	3.7%	-
Other	1,014	847	-	167	83.5%	847
Appropriated Reserve	45,375	-	-	45,375	0.0%	-
TOTAL EXPENSES	2,065,870	754,292	123,334	1,188,243	42.5%	760,784

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

INTRA-CITY SERVICE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service charges	99,584	33,195	-	66,389	33.3%	-
Work Orders - Bldg Maint.	3,035,446	1,038,476	-	1,996,970	34.2%	1,047,622
Grants	617,472	199,354	-	418,118	32.3%	-
Service Charges	2,032,567	677,523	-	1,355,044	33.3%	577,522
Miscellaneous	-	1,988	-	(1,988)	100.0%	68
Operating Transfers-In	334,902	111,634	-	223,268	33.3%	-
TOTAL REVENUES	<u>6,119,971</u>	<u>2,062,170</u>	<u>-</u>	<u>4,057,801</u>	<u>33.7%</u>	<u>1,625,212</u>
EXPENSES						
Salaries & Benefits	3,107,626	1,035,445	-	2,072,181	33.3%	955,334
Materials, Supplies & Services	1,104,526	324,771	321,587	458,168	58.5%	280,881
Special Projects	1,320,989	375,197	669,784	276,008	79.1%	236,941
Equipment	15,000	-	-	15,000	0.0%	6,290
Capitalized Fixed Assets	574,595	263,171	190,155	121,269	78.9%	3,526
TOTAL EXPENSES	<u>6,122,735</u>	<u>1,998,584</u>	<u>1,181,525</u>	<u>2,942,626</u>	<u>51.9%</u>	<u>1,482,973</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

FLEET REPLACEMENT FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Vehicle Rental Charges	1,805,982	600,398	-	1,205,584	33.2%	597,142
Investment Income	149,700	44,471	-	105,229	29.7%	60,726
Rents & Concessions	224,401	74,800	-	149,601	33.3%	77,447
Miscellaneous	50,000	44,991	-	5,009	90.0%	19,613
TOTAL REVENUES	<u>2,230,083</u>	<u>764,661</u>	<u>-</u>	<u>1,465,422</u>	<u>34.3%</u>	<u>754,928</u>
EXPENSES						
Salaries & Benefits	158,537	55,982	-	102,555	35.3%	51,865
Materials, Supplies & Services	2,452	367	-	2,085	15.0%	331
Special Projects	300,000	-	-	300,000	0.0%	-
Capitalized Fixed Assets	1,041,657	255,365	7,058	779,234	25.2%	274,421
TOTAL EXPENSES	<u>1,502,646</u>	<u>311,714</u>	<u>7,058</u>	<u>1,183,874</u>	<u>21.2%</u>	<u>326,617</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

FLEET MAINTENANCE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Vehicle Maintenance Charges	2,371,918	790,639	-	1,581,279	33.3%	789,806
Miscellaneous	60,000	7,485	-	52,515	12.5%	7,520
Operating Transfers-In	98,805	32,935	-	65,870	33.3%	-
TOTAL REVENUES	<u>2,530,723</u>	<u>831,059</u>	<u>-</u>	<u>1,699,664</u>	<u>32.8%</u>	<u>797,326</u>
EXPENSES						
Salaries & Benefits	1,147,349	402,701	-	744,648	35.1%	389,614
Materials, Supplies & Services	1,269,663	309,019	245,853	714,792	43.7%	350,554
Special Projects	60,000	9,331	6,968	43,701	27.2%	10,319
Equipment	5,000	-	-	5,000	0.0%	1,653
TOTAL EXPENSES	<u>2,482,012</u>	<u>721,051</u>	<u>252,821</u>	<u>1,508,140</u>	<u>39.2%</u>	<u>752,140</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

SELF INSURANCE TRUST FUND

	** Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Insurance Premiums	2,547,084	849,028	-	1,698,056	33.3%	861,250
Workers' Compensation Premiums	2,500,000	833,333	-	1,666,667	33.3%	881,194
OSH Charges	182,894	-	-	182,894	0.0%	-
Investment Income	161,700	43,154	-	118,546	26.7%	63,262
Reimbursements	-	-	-	-	100.0%	316
Miscellaneous	-	2,110	-	(2,110)	100.0%	230
TOTAL REVENUES	5,391,678	1,727,626	-	3,664,052	32.0%	1,806,252
EXPENSES						
Salaries & Benefits	500,761	160,518	-	340,243	32.1%	135,929
Materials, Supplies & Services	4,860,238	1,486,342	267,290	3,106,606	36.1%	1,826,646
Transfers-Out	3,694,328	1,231,317	-	2,463,011	33.3%	717,988
TOTAL EXPENSES	9,055,327	2,878,177	267,290	5,909,860	34.7%	2,680,562

*** The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

INFORMATION SYSTEMS ICS FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service charges	2,286,395	762,131	-	1,524,264	33.3%	762,861
Miscellaneous	-	1,209	-	(1,209)	100.0%	-
Operating Transfers-In	19,740	6,580	-	13,160	33.3%	-
TOTAL REVENUES	<u>2,306,135</u>	<u>769,920</u>	<u>-</u>	<u>1,536,215</u>	<u>33.4%</u>	<u>762,861</u>
EXPENSES						
Salaries & Benefits	1,502,407	525,503	-	976,904	35.0%	505,968
Materials, Supplies & Services	553,174	207,360	42,964	302,850	45.3%	170,020
Special Projects	3,700	2,704	2,114	(1,118)	130.2%	1,605
Equipment	276,637	31,829	33,062	211,746	23.5%	39,372
Appropriated Reserve	11,432	-	-	11,432	0.0%	-
TOTAL EXPENSES	<u>2,347,350</u>	<u>767,396</u>	<u>78,140</u>	<u>1,501,814</u>	<u>36.0%</u>	<u>716,965</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2011 (33% of Fiscal Year)

WATERFRONT FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Leases - Commercial	1,332,869	585,389	-	747,480	43.9%	543,956
Leases - Food Service	2,352,254	999,498	-	1,352,756	42.5%	942,936
Slip Rental Fees	3,998,521	1,322,072	-	2,676,449	33.1%	1,279,554
Visitors Fees	463,000	170,177	-	292,823	36.8%	185,310
Slip Transfer Fees	425,000	216,650	-	208,350	51.0%	150,375
Parking Revenue	1,911,450	826,210	-	1,085,240	43.2%	749,349
Wharf Parking	244,000	94,688	-	149,312	38.8%	85,602
Other Fees & Charges	380,911	133,384	-	247,527	35.0%	137,890
Investment Income	185,859	66,021	-	119,838	35.5%	82,750
Rents & Concessions	301,173	124,545	-	176,628	41.4%	123,980
Grants	-	-	-	-	100.0%	4,256
Miscellaneous	155,000	40,071	-	114,929	25.9%	38,225
Operating Transfers-In	453,481	151,160	-	302,321	33.3%	-
TOTAL REVENUES	<u>12,203,518</u>	<u>4,729,865</u>	<u>-</u>	<u>7,473,653</u>	<u>38.8%</u>	<u>4,324,183</u>
EXPENSES						
Salaries & Benefits	5,461,051	1,946,714	-	3,514,337	35.6%	1,874,517
Materials, Supplies & Services	3,457,396	1,001,275	882,366	1,573,755	54.5%	972,564
Special Projects	137,020	25,767	45,000	66,253	51.6%	25,021
Debt Service	1,776,789	1,051,353	-	725,436	59.2%	1,042,388
Capital Outlay Transfers	934,483	311,494	-	622,989	33.3%	323,120
Equipment	117,500	14,570	-	102,930	12.4%	13,366
Other	-	1,000	-	(1,000)	100.0%	1,000
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	<u>11,984,239</u>	<u>4,352,174</u>	<u>927,366</u>	<u>6,704,699</u>	<u>44.1%</u>	<u>4,251,976</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.