



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: January 10, 2012

TO: Mayor and Councilmembers

FROM: Accounting Division, Finance Department

SUBJECT: Fiscal Year 2012 Interim Financial Statements For The Five Months Ended November 30, 2011

RECOMMENDATION:

That Council Accept the Fiscal Year 2012 Interim Financial Statements for the Five Months Ended November 30, 2011.

DISCUSSION:

The interim financial statements for the five months ended November 30, 2011 (41.7% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

ATTACHMENT: Interim Financial Statements for the Five Months Ended November 30, 2011

PREPARED BY: Ruby Carrillo, Accounting Manager

SUBMITTED BY: Robert Samario, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
GENERAL FUND					
Revenue	103,109,069	35,309,667	-	67,799,402	34.2%
Expenditures	103,435,734	43,169,618	1,768,103	58,498,013	43.4%
<i>Addition to / (use of) reserves</i>	<u>(326,665)</u>	<u>(7,859,951)</u>	<u>(1,768,103)</u>		
WATER OPERATING FUND					
Revenue	35,671,185	16,013,107	-	19,658,078	44.9%
Expenditures	43,447,024	16,020,808	2,855,357	24,570,859	43.4%
<i>Addition to / (use of) reserves</i>	<u>(7,775,839)</u>	<u>(7,701)</u>	<u>(2,855,357)</u>		
WASTEWATER OPERATING FUND					
Revenue	16,395,810	6,894,464	-	9,501,346	42.1%
Expenditures	17,517,788	6,490,985	1,837,345	9,189,458	47.5%
<i>Addition to / (use of) reserves</i>	<u>(1,121,978)</u>	<u>403,480</u>	<u>(1,837,345)</u>		
DOWNTOWN PARKING					
Revenue	7,036,049	3,186,571	-	3,849,478	45.3%
Expenditures	7,582,431	2,875,782	607,797	4,098,853	45.9%
<i>Addition to / (use of) reserves</i>	<u>(546,382)</u>	<u>310,789</u>	<u>(607,797)</u>		
AIRPORT OPERATING FUND					
Revenue	15,030,488	6,058,819	-	8,971,669	40.3%
Expenditures	15,553,623	5,644,723	595,143	9,313,756	40.1%
<i>Addition to / (use of) reserves</i>	<u>(523,135)</u>	<u>414,095</u>	<u>(595,143)</u>		
GOLF COURSE FUND					
Revenue	2,060,146	797,713	-	1,262,433	38.7%
Expenditures	2,065,870	889,612	113,701	1,062,556	48.6%
<i>Addition to / (use of) reserves</i>	<u>(5,724)</u>	<u>(91,899)</u>	<u>(113,701)</u>		
INTRA-CITY SERVICE FUND					
Revenue	6,119,971	2,565,732	-	3,554,239	41.9%
Expenditures	6,122,735	2,482,571	993,518	2,646,647	56.8%
<i>Addition to / (use of) reserves</i>	<u>(2,764)</u>	<u>83,161</u>	<u>(993,518)</u>		

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
FLEET REPLACEMENT FUND					
Revenue	2,230,083	945,982	-	1,284,101	42.4%
Expenditures	1,502,646	324,090	9,056	1,169,499	22.2%
<i>Addition to / (use of) reserves</i>	<u>727,437</u>	<u>621,892</u>	<u>(9,056)</u>		
FLEET MAINTENANCE FUND					
Revenue	2,530,723	1,043,024	-	1,487,699	41.2%
Expenditures	2,482,012	877,108	226,567	1,378,337	44.5%
<i>Addition to / (use of) reserves</i>	<u>48,711</u>	<u>165,916</u>	<u>(226,567)</u>		
SELF INSURANCE TRUST FUND					
Revenue	5,391,678	2,158,143	-	3,233,535	40.0%
Expenditures	9,055,327	3,639,064	241,379	5,174,883	42.9%
<i>Addition to / (use of) reserves</i>	<u>(3,663,649)</u>	<u>(1,480,921)</u>	<u>(241,379)</u>		
INFORMATION SYSTEMS ICS FUND					
Revenue	2,306,135	962,098	-	1,344,037	41.7%
Expenditures	2,347,350	1,057,236	82,727	1,207,387	48.6%
<i>Addition to / (use of) reserves</i>	<u>(41,215)</u>	<u>(95,139)</u>	<u>(82,727)</u>		
WATERFRONT FUND					
Revenue	12,203,518	5,697,769	-	6,505,749	46.7%
Expenditures	11,981,963	5,153,864	870,954	5,957,145	50.3%
<i>Addition to / (use of) reserves</i>	<u>221,555</u>	<u>543,904</u>	<u>(870,954)</u>		
TOTAL FOR ALL FUNDS					
Revenue	210,084,855	81,633,089	-	128,451,765	38.9%
Expenditures	223,094,506	88,625,462	10,201,649	124,267,395	44.3%
<i>Addition to / (use of) reserves</i>	<u>(13,009,651)</u>	<u>(6,992,373)</u>	<u>(10,201,649)</u>		

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
TAXES					
Sales and Use	17,949,013	5,697,854	12,251,159	31.7%	5,367,017
Property Taxes	23,063,000	2,852,153	20,210,847	12.4%	2,508,489
Utility Users Tax	7,144,500	2,994,421	4,150,079	41.9%	2,982,433
Transient Occupancy Tax	12,865,000	7,107,575	5,757,425	55.2%	6,508,807
Franchise Fees	3,593,200	1,447,082	2,146,118	40.3%	1,454,391
Business License	2,229,800	654,086	1,575,714	29.3%	679,577
Real Property Transfer Tax	410,000	160,148	249,852	39.1%	169,323
<i>Total</i>	<u>67,254,513</u>	<u>20,913,319</u>	<u>46,341,194</u>	31.1%	<u>19,670,036</u>
LICENSES & PERMITS					
Licenses & Permits	182,900	84,312	98,588	46.1%	67,036
<i>Total</i>	<u>182,900</u>	<u>84,312</u>	<u>98,588</u>	46.1%	<u>67,036</u>
FINES & FORFEITURES					
Parking Violations	2,403,500	1,035,857	1,367,644	43.1%	1,044,225
Library Fines	133,516	46,850	86,666	35.1%	47,351
Municipal Court Fines	180,000	51,645	128,355	28.7%	80,035
Other Fines & Forfeitures	210,000	87,816	122,184	41.8%	93,102
<i>Total</i>	<u>2,927,016</u>	<u>1,222,168</u>	<u>1,704,848</u>	41.8%	<u>1,264,712</u>
USE OF MONEY & PROPERTY					
Investment Income	740,827	319,525	421,302	43.1%	378,525
Rents & Concessions	397,952	110,286	287,666	27.7%	189,612
<i>Total</i>	<u>1,138,779</u>	<u>429,811</u>	<u>708,968</u>	37.7%	<u>568,138</u>
INTERGOVERNMENTAL					
Grants	488,610	14,497	474,113	3.0%	252,631
Vehicle License Fees	200,000	198,535	1,465	99.3%	146,295
Reimbursements	14,040	1,323	12,717	9.4%	6,422
<i>Total</i>	<u>702,650</u>	<u>214,355</u>	<u>488,295</u>	30.5%	<u>405,348</u>
FEES & SERVICE CHARGES					
Finance	860,000	349,965	510,035	40.7%	354,577
Community Development	4,525,570	1,890,963	2,634,607	41.8%	1,707,913
Recreation	2,274,257	1,003,032	1,271,225	44.1%	930,419
Public Safety	499,673	234,347	265,326	46.9%	171,557
Public Works	5,286,083	2,148,014	3,138,069	40.6%	2,159,624
Library	675,575	329,286	346,289	48.7%	365,507
Reimbursements	6,227,567	2,432,071	3,795,496	39.1%	2,246,139
<i>Total</i>	<u>20,348,725</u>	<u>8,387,678</u>	<u>11,961,047</u>	41.2%	<u>7,935,736</u>
OTHER MISCELLANEOUS REVENUES					
Miscellaneous	1,392,091	754,056	638,035	54.2%	804,059
Indirect Allocations	6,111,818	2,546,591	3,565,227	41.7%	2,716,879
Operating Transfers-In	3,050,577	757,376	2,293,201	24.8%	455,220
<i>Total</i>	<u>10,554,486</u>	<u>4,058,023</u>	<u>6,496,463</u>	38.4%	<u>3,976,158</u>
TOTAL REVENUES	<u>103,109,069</u>	<u>35,309,667</u>	<u>67,799,402</u>	34.2%	<u>33,887,163</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
<u>Mayor & City Council</u>						
MAYOR	725,196	289,811	1,147	434,238	40.1%	
<i>Total</i>	725,196	289,811	1,147	434,238	40.1%	292,068
<u>City Attorney</u>						
CITY ATTORNEY	1,930,640	827,436	-	1,103,204	42.9%	
<i>Total</i>	1,930,640	827,436	-	1,103,204	42.9%	834,264
<u>Administration</u>						
CITY ADMINISTRATOR	1,468,399	611,937	1,638	854,824	41.8%	
CITY TV	455,110	177,253	40,158	237,700	47.8%	
<i>Total</i>	1,923,509	789,190	41,795	1,092,524	43.2%	755,485
<u>Administrative Services</u>						
CITY CLERK	435,245	174,743	15,422	245,079	43.7%	
ADMIN SVCS-ELECTIONS	300,000	83,110	177,460	39,430	86.9%	
HUMAN RESOURCES	1,197,982	463,708	23,133	711,140	40.6%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	14,447	-	-	14,447	0.0%	
<i>Total</i>	1,947,674	721,562	216,016	1,010,096	48.1%	606,424
<u>Finance</u>						
ADMINISTRATION	219,098	99,054	11,287	108,756	50.4%	
TREASURY	482,061	168,229	-	313,832	34.9%	
CASHIERING & COLLECTION	417,180	177,834	-	239,346	42.6%	
LICENSES & PERMITS	417,558	164,908	-	252,650	39.5%	
BUDGET MANAGEMENT	396,344	163,146	-	233,198	41.2%	
ACCOUNTING	478,913	205,800	32,764	240,349	49.8%	
PAYROLL	268,474	107,447	-	161,027	40.0%	
ACCOUNTS PAYABLE	207,832	87,528	-	120,304	42.1%	
CITY BILLING & CUSTOMER SERVICE	583,635	209,310	1,831	372,494	36.2%	
PURCHASING	659,344	277,311	2,879	379,154	42.5%	
CENTRAL STORES	160,010	66,795	500	92,715	42.1%	
MAIL SERVICES	102,301	42,388	500	59,413	41.9%	
<i>Total</i>	4,392,750	1,769,750	49,762	2,573,238	41.4%	1,664,505
TOTAL GENERAL GOVERNMENT	10,919,769	4,397,749	308,720	6,213,300	43.1%	4,152,747
PUBLIC SAFETY						
<u>Police</u>						
CHIEF'S STAFF	979,104	424,472	642	553,990	43.4%	
SUPPORT SERVICES	574,199	232,002	988	341,209	40.6%	
RECORDS	1,172,517	469,950	6,995	695,572	40.7%	
COMMUNITY SVCS	729,721	306,724	3,074	419,922	42.5%	
PROPERTY ROOM	165,159	53,707	820	110,632	33.0%	
TRNG/RECRUITMENT	405,269	225,953	17,929	161,386	60.2%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
PUBLIC SAFETY						
<u>Police</u>						
RANGE	1,184,348	479,661	47,217	657,471	44.5%	
BEAT COORDINATORS	784,859	282,174	-	502,685	36.0%	
INFORMATION TECHNOLOGY	1,275,768	558,520	16,430	700,818	45.1%	
INVESTIGATIVE DIVISION	4,582,903	1,848,997	4,213	2,729,693	40.4%	
CRIME LAB	130,163	57,325	-	72,838	44.0%	
PATROL DIVISION	14,663,551	6,201,798	147,756	8,313,997	43.3%	
TRAFFIC	1,288,412	546,798	1,100	740,514	42.5%	
SPECIAL EVENTS	772,599	715,909	-	56,690	92.7%	
TACTICAL PATROL FORCE	1,324,561	464,600	-	859,961	35.1%	
STREET SWEEPING ENFORCEMENT	294,783	124,766	-	170,017	42.3%	
NIGHT LIFE ENFORCEMENT	297,965	112,124	-	185,841	37.6%	
PARKING ENFORCEMENT	931,552	339,806	27,800	563,946	39.5%	
CCC	2,361,140	909,104	1,854	1,450,182	38.6%	
ANIMAL CONTROL	607,170	253,358	-	353,812	41.7%	
<i>Total</i>	<u>34,525,743</u>	<u>14,608,770</u>	<u>276,818</u>	<u>19,640,156</u>	43.1%	<u>14,092,489</u>
<u>Fire</u>						
ADMINISTRATION	740,779	321,181	4,540	415,058	44.0%	
EMERGENCY SERVICES AND PUBLIC ED	246,838	98,103	-	148,735	39.7%	
PREVENTION	1,109,296	465,329	876	643,091	42.0%	
WILDLAND FIRE MITIGATION PROGRAM	172,505	67,437	18,518	86,550	49.8%	
OPERATIONS	17,119,140	7,006,059	81,870	10,031,210	41.4%	
ARFF	1,698,433	741,095	-	957,338	43.6%	
<i>Total</i>	<u>21,086,991</u>	<u>8,699,204</u>	<u>105,805</u>	<u>12,281,982</u>	41.8%	<u>8,901,599</u>
TOTAL PUBLIC SAFETY	<u>55,612,734</u>	<u>23,307,974</u>	<u>382,623</u>	<u>31,922,137</u>	42.6%	<u>22,994,087</u>
PUBLIC WORKS						
<u>Public Works</u>						
ADMINISTRATION	868,519	336,797	13,252	518,470	40.3%	
ENGINEERING SVCS	4,357,704	1,727,021	19,405	2,611,279	40.1%	
PUBLIC RT OF WAY MGMT	1,176,628	472,040	6,900	697,688	40.7%	
ENVIRONMENTAL PROGRAMS	421,105	82,026	151,416	187,663	55.4%	
<i>Total</i>	<u>6,823,956</u>	<u>2,617,883</u>	<u>190,973</u>	<u>4,015,099</u>	41.2%	<u>2,604,387</u>
TOTAL PUBLIC WORKS	<u>6,823,956</u>	<u>2,617,883</u>	<u>190,973</u>	<u>4,015,099</u>	41.2%	<u>2,604,387</u>
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
PRGM MGMT & BUS SVCS	370,912	186,934	53	183,925	50.4%	
FACILITIES	731,720	311,676	12,687	407,357	44.3%	
YOUTH ACTIVITIES	743,003	375,578	4,166	363,260	51.1%	
SR CITIZENS	717,260	287,540	47,121	382,600	46.7%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
AQUATICS	1,040,683	586,155	44,531	409,998	60.6%	
SPORTS	423,214	185,326	14,371	223,518	47.2%	
TENNIS	224,714	92,000	-	132,714	40.9%	
NEIGHBORHOOD & OUTREACH SERV	980,833	427,841	5,135	547,857	44.1%	
ADMINISTRATION	522,889	221,512	-	301,377	42.4%	
PROJECT MANAGEMENT TEAM	222,476	100,240	-	122,236	45.1%	
BUSINESS SERVICES	299,201	96,276	2,955	199,970	33.2%	
FACILITY & PROJECT MGT	992,450	464,230	1,510	526,711	46.9%	
GROUNDS MANAGEMENT	4,119,025	1,644,484	100,386	2,374,155	42.4%	
FORESTRY	1,160,228	456,163	123,503	580,561	50.0%	
BEACH MAINTENANCE	146,160	43,658	15,754	86,748	40.6%	
<i>Total</i>	<u>12,694,768</u>	<u>5,479,612</u>	<u>372,170</u>	<u>6,842,986</u>	46.1%	<u>5,334,170</u>
<u>Library</u>						
ADMINISTRATION	420,294	169,163	-	251,131	40.2%	
PUBLIC SERVICES	1,822,641	815,379	700	1,006,562	44.8%	
SUPPORT SERVICES	1,782,752	583,628	108,766	1,090,358	38.8%	
<i>Total</i>	<u>4,025,687</u>	<u>1,568,171</u>	<u>109,466</u>	<u>2,348,050</u>	41.7%	<u>1,535,666</u>
TOTAL COMMUNITY SERVICES	<u>16,720,455</u>	<u>7,047,783</u>	<u>481,636</u>	<u>9,191,036</u>	45.0%	<u>6,869,836</u>
COMMUNITY DEVELOPMENT						
<u>Community Development</u>						
ADMINISTRATION	456,182	186,244	927	269,011	41.0%	
ECON DEV	52,667	18,063	-	34,604	34.3%	
CITY ARTS ADVISORY PROGRAM	427,260	361,237	-	66,024	84.5%	
HUMAN SVCS	855,862	415,152	354,910	85,800	90.0%	
RDA	715,653	259,530	-	456,123	36.3%	
RDA HSG DEV	611,074	233,143	-	377,931	38.2%	
LR PLANNING/STUDIES	826,558	297,722	524	528,312	36.1%	
DEV & DESIGN REVIEW	1,075,206	439,942	17,212	618,051	42.5%	
ZONING	1,245,146	462,937	4,008	778,202	37.5%	
DESIGN REV & HIST PRESERVATN	975,603	385,330	6,491	583,782	40.2%	
BLDG PERMITS	1,048,775	424,557	7,365	616,853	41.2%	
RECORDS & ARCHIVES	529,868	198,149	12,173	319,546	39.7%	
PLAN CK & COUNTER SRV	1,271,905	525,510	540	745,855	41.4%	
<i>Total</i>	<u>10,091,759</u>	<u>4,207,515</u>	<u>404,151</u>	<u>5,480,093</u>	45.7%	<u>4,220,075</u>
TOTAL COMMUNITY DEVELOPMENT	<u>10,091,759</u>	<u>4,207,515</u>	<u>404,151</u>	<u>5,480,093</u>	45.7%	<u>4,220,075</u>
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
DUES, MEMBERSHIPS, & LICENSES	22,272	1,693	-	20,579	7.6%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
COMMUNITY PROMOTIONS	1,536,799	893,360	-	643,439	58.1%	
SPECIAL PROJECTS	381,073	148,669	-	232,404	39.0%	
TRANSFERS OUT	43,500	18,125	-	25,375	41.7%	
DEBT SERVICE TRANSFERS	349,983	312,615	-	37,368	89.3%	
CAPITAL OUTLAY TRANSFER	519,005	216,252	-	302,753	41.7%	
APPROP. RESERVE	414,429	-	-	414,429	0.0%	
<i>Total</i>	<u>3,267,061</u>	<u>1,590,714</u>	<u>-</u>	<u>1,676,347</u>	<u>48.7%</u>	<u>1,549,778</u>
TOTAL NON-DEPARTMENTAL	<u>3,267,061</u>	<u>1,590,714</u>	<u>-</u>	<u>1,676,347</u>	<u>48.7%</u>	<u>1,549,778</u>
TOTAL EXPENDITURES	<u>103,435,734</u>	<u>43,169,618</u>	<u>1,768,103</u>	<u>58,498,013</u>	<u>43.4%</u>	<u>42,390,910</u>

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Special Revenue Funds
Interim Statement of Revenues and Expenditures
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
TRAFFIC SAFETY FUND					
Revenue	515,000	197,607	-	317,393	38.4%
Expenditures	515,000	197,607	-	317,393	38.4%
<i>Revenue Less Expenditures</i>	-	-	-	-	
CREEK RESTORATION/WATER QUALITY IMPRVMT					
Revenue	2,800,800	1,495,165	-	1,305,635	53.4%
Expenditures	3,545,725	1,132,403	505,544	1,907,778	46.2%
<i>Revenue Less Expenditures</i>	(744,925)	362,762	(505,544)	(602,144)	
SOLID WASTE PROGRAM					
Revenue	18,331,232	7,365,111	-	10,966,121	40.2%
Expenditures	19,129,869	7,403,458	502,956	11,223,455	41.3%
<i>Revenue Less Expenditures</i>	(798,637)	(38,348)	(502,956)	(257,334)	
COMM.DEVELOPMENT BLOCK GRANT					
Revenue	2,730,423	234,397	-	2,496,026	8.6%
Expenditures	2,730,423	413,281	126,381	2,190,761	19.8%
<i>Revenue Less Expenditures</i>	-	(178,884)	(126,381)	305,265	
COUNTY LIBRARY					
Revenue	1,858,999	580,329	-	1,278,670	31.2%
Expenditures	1,972,766	746,857	91,089	1,134,820	42.5%
<i>Revenue Less Expenditures</i>	(113,767)	(166,528)	(91,089)	143,850	
STREETS FUND					
Revenue	10,393,577	4,635,954	-	5,757,623	44.6%
Expenditures	14,441,871	3,573,568	2,816,634	8,051,669	44.2%
<i>Revenue Less Expenditures</i>	(4,048,294)	1,062,385	(2,816,634)	(2,294,045)	
MEASURE A					
Revenue	2,774,034	950,727	-	1,823,307	34.3%
Expenditures	3,335,145	1,070,068	1,177,429	1,087,649	67.4%
<i>Revenue Less Expenditures</i>	(561,111)	(119,341)	(1,177,429)	735,659	

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

WATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Water Sales - Metered	30,700,000	13,755,462	-	16,944,538	44.8%	13,309,354
Service Charges	450,192	326,825	-	123,367	72.6%	441,762
Cater JPA Treatment Charges	2,619,000	1,157,140	-	1,461,860	44.2%	1,699,736
Investment Income	791,800	295,453	-	496,347	37.3%	455,337
Miscellaneous	604,691	267,601	-	337,090	44.3%	238,821
Operating Transfers-In	505,502	210,626	-	294,876	41.7%	-
TOTAL REVENUES	<u>35,671,185</u>	<u>16,013,107</u>	<u>-</u>	<u>19,658,078</u>	<u>44.9%</u>	<u>16,145,010</u>
EXPENSES						
Salaries & Benefits	7,649,148	3,008,136	-	4,641,012	39.3%	2,949,545
Materials, Supplies & Services	9,996,116	2,799,913	2,327,683	4,868,520	51.3%	3,130,475
Special Projects	1,438,061	173,531	168,214	1,096,316	23.8%	131,395
Water Purchases	7,723,468	3,313,258	336,393	4,073,817	47.3%	2,785,642
Debt Service	4,831,189	1,938,388	-	2,892,801	40.1%	1,867,642
Capital Outlay Transfers	11,284,416	4,701,840	-	6,582,576	41.7%	1,395,709
Equipment	195,427	51,612	338	143,476	26.6%	50,979
Capitalized Fixed Assets	124,200	8,190	22,729	93,281	24.9%	6,285
Other	55,000	25,940	-	29,060	47.2%	26,343
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>43,447,024</u>	<u>16,020,808</u>	<u>2,855,357</u>	<u>24,570,859</u>	<u>43.4%</u>	<u>12,344,016</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

WASTEWATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service Charges	14,926,192	6,201,738	-	8,724,454	41.5%	5,994,371
Fees	493,222	283,844	-	209,378	57.5%	453,519
Investment Income	267,300	95,450	-	171,850	35.7%	128,604
Public Works	10,000	20,191	-	(10,191)	201.9%	16,902
Miscellaneous	25,000	12,368	-	12,632	49.5%	64,564
Operating Transfers-In	674,096	280,873	-	393,223	41.7%	-
TOTAL REVENUES	<u>16,395,810</u>	<u>6,894,464</u>	<u>-</u>	<u>9,501,346</u>	<u>42.1%</u>	<u>6,657,960</u>
EXPENSES						
Salaries & Benefits	5,148,257	2,007,667	-	3,140,590	39.0%	2,060,860
Materials, Supplies & Services	6,045,715	2,238,819	1,816,054	1,990,842	67.1%	1,903,510
Special Projects	100,000	4,104	-	95,896	4.1%	146,380
Debt Service	1,352,213	324,512	-	1,027,701	24.0%	334,388
Capital Outlay Transfers	4,592,559	1,913,566	-	2,678,993	41.7%	2,623,125
Equipment	98,044	448	18,354	79,241	19.2%	16,343
Capitalized Fixed Assets	26,000	868	2,936	22,195	14.6%	33,907
Other	5,000	1,000	-	4,000	20.0%	1,000
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>17,517,788</u>	<u>6,490,985</u>	<u>1,837,345</u>	<u>9,189,458</u>	<u>47.5%</u>	<u>7,119,511</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)
DOWNTOWN PARKING

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Improvement Tax	840,000	445,799	-	394,201	53.1%	421,868
Parking Fees	5,662,166	2,543,222	-	3,118,944	44.9%	2,299,933
Investment Income	137,600	50,111	-	87,489	36.4%	66,842
Rents & Concessions	40,925	-	-	40,925	0.0%	-
Reimbursements	-	-	-	-	100.0%	4,598
Miscellaneous	1,500	(2)	-	1,502	-0.1%	11,655
Operating Transfers-In	353,858	147,441	-	206,417	41.7%	18,125
TOTAL REVENUES	7,036,049	3,186,571	-	3,849,478	45.3%	2,823,022
EXPENSES						
Salaries & Benefits	3,799,707	1,582,462	-	2,217,245	41.6%	1,528,841
Materials, Supplies & Services	1,842,052	645,262	255,383	941,407	48.9%	565,903
Special Projects	574,522	88,350	345,253	140,918	75.5%	84,140
Transfers-Out	297,121	123,800	-	173,321	41.7%	117,342
Capital Outlay Transfers	1,043,270	434,696	-	608,574	41.7%	275,000
Equipment	25,760	1,212	7,160	17,388	32.5%	4,260
TOTAL EXPENSES	7,582,431	2,875,782	607,797	4,098,853	45.9%	2,575,486

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)
AIRPORT OPERATING FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial / Industrial	4,171,000	1,809,830	-	2,361,170	43.4%	1,785,110
Leases - Terminal	5,183,033	2,027,427	-	3,155,606	39.1%	2,150,106
Leases - Non-Commerical Aviation	1,361,600	634,866	-	726,734	46.6%	675,555
Leases - Commerical Aviation	3,465,000	1,175,923	-	2,289,077	33.9%	945,839
Investment Income	214,300	78,823	-	135,477	36.8%	106,010
Miscellaneous	185,052	206,882	-	(21,830)	111.8%	82,206
Operating Transfers-In	450,503	125,069	-	325,434	27.8%	-
TOTAL REVENUES	15,030,488	6,058,819	-	8,971,669	40.3%	5,744,826
EXPENSES						
Salaries & Benefits	5,001,631	2,084,630	-	2,917,001	41.7%	1,967,659
Materials, Supplies & Services	6,646,161	2,487,716	547,807	3,610,637	45.7%	2,349,104
Special Projects	941,298	314,584	28,695	598,019	36.5%	175,178
Transfers-Out	44,212	18,422	-	25,790	41.7%	12,937
Debt Service	1,113,099	-	-	1,113,099	0.0%	-
Capital Outlay Transfers	1,496,334	710,474	-	785,860	47.5%	229,167
Equipment	129,276	28,897	18,641	81,738	36.8%	10,210
Appropriated Reserve	181,613	-	-	181,613	0.0%	-
TOTAL EXPENSES	15,553,623	5,644,723	595,143	9,313,756	40.1%	4,744,255

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

GOLF COURSE FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Fees & Card Sales	1,640,801	631,365	-	1,009,436	38.5%	706,046
Investment Income	9,900	4,223	-	5,677	42.7%	6,241
Rents & Concessions	302,322	118,717	-	183,605	39.3%	130,192
Miscellaneous	3,500	233	-	3,267	6.6%	3,232
Operating Transfers-In	103,623	43,176	-	60,447	41.7%	-
TOTAL REVENUES	2,060,146	797,713	-	1,262,433	38.7%	845,710
EXPENSES						
Salaries & Benefits	1,111,449	460,921	-	650,528	41.5%	460,503
Materials, Supplies & Services	547,478	228,976	109,177	209,325	61.8%	250,185
Special Projects	10,724	-	4,524	6,200	42.2%	-
Debt Service	230,294	159,507	-	70,787	69.3%	157,025
Capital Outlay Transfers	92,036	38,348	-	53,688	41.7%	29,167
Equipment	27,500	1,013	-	26,487	3.7%	650
Other	1,014	847	-	167	83.5%	847
Appropriated Reserve	45,375	-	-	45,375	0.0%	-
TOTAL EXPENSES	2,065,870	889,612	113,701	1,062,556	48.6%	898,376

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

INTRA-CITY SERVICE FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	99,584	41,493	-	58,091	41.7%	-
Work Orders - Bldg Maint.	3,035,446	1,335,790	-	1,699,656	44.0%	1,307,817
Grants	617,472	199,354	-	418,118	32.3%	-
Service Charges	2,032,567	846,903	-	1,185,664	41.7%	721,902
Miscellaneous	-	2,648	-	(2,648)	100.0%	68
Operating Transfers-In	334,902	139,543	-	195,360	41.7%	-
TOTAL REVENUES	6,119,971	2,565,732	-	3,554,239	41.9%	2,029,788
EXPENSES						
Salaries & Benefits	3,107,626	1,258,980	-	1,848,646	40.5%	1,171,583
Materials, Supplies & Services	1,104,526	404,916	189,756	509,855	53.8%	359,047
Special Projects	1,320,989	433,156	658,231	229,602	82.6%	276,059
Equipment	15,000	-	1,449	13,551	9.7%	6,290
Capitalized Fixed Assets	574,595	385,519	144,082	44,994	92.2%	3,728
TOTAL EXPENSES	6,122,735	2,482,571	993,518	2,646,647	56.8%	1,816,707

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

FLEET REPLACEMENT FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Rental Charges	1,805,982	750,498	-	1,055,485	41.6%	746,428
Investment Income	149,700	56,113	-	93,587	37.5%	74,577
Rents & Concessions	224,401	93,501	-	130,901	41.7%	96,809
Miscellaneous	50,000	45,871	-	4,129	91.7%	19,613
TOTAL REVENUES	2,230,083	945,982	-	1,284,101	42.4%	937,426
EXPENSES						
Salaries & Benefits	158,537	68,266	-	90,271	43.1%	63,260
Materials, Supplies & Services	2,452	459	-	1,993	18.7%	414
Special Projects	300,000	-	-	300,000	0.0%	-
Capitalized Fixed Assets	1,041,657	255,365	9,056	777,236	25.4%	447,077
TOTAL EXPENSES	1,502,646	324,090	9,056	1,169,499	22.2%	510,751

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

FLEET MAINTENANCE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Vehicle Maintenance Charges	2,371,918	988,299	-	1,383,619	41.7%	987,257
Miscellaneous	60,000	13,556	-	46,444	22.6%	7,520
Operating Transfers-In	98,805	41,169	-	57,636	41.7%	-
TOTAL REVENUES	<u>2,530,723</u>	<u>1,043,024</u>	<u>-</u>	<u>1,487,699</u>	<u>41.2%</u>	<u>994,778</u>
EXPENSES						
Salaries & Benefits	1,147,349	489,879	-	657,470	42.7%	475,256
Materials, Supplies & Services	1,269,663	377,897	219,599	672,167	47.1%	411,099
Special Projects	60,000	9,331	6,968	43,701	27.2%	10,319
Equipment	5,000	-	-	5,000	0.0%	1,653
TOTAL EXPENSES	<u>2,482,012</u>	<u>877,108</u>	<u>226,567</u>	<u>1,378,337</u>	<u>44.5%</u>	<u>898,327</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

SELF INSURANCE TRUST FUND

	** Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Insurance Premiums	2,547,084	1,061,285	-	1,485,799	41.7%	1,076,563
Workers' Compensation Premiums	2,500,000	1,041,667	-	1,458,333	41.7%	1,101,492
OSH Charges	182,894	-	-	182,894	0.0%	-
Investment Income	161,700	53,081	-	108,619	32.8%	77,330
Reimbursements	-	-	-	-	100.0%	316
Miscellaneous	-	2,110	-	(2,110)	100.0%	4,192
TOTAL REVENUES	5,391,678	2,158,143	-	3,233,535	40.0%	2,259,894
EXPENSES						
Salaries & Benefits	500,761	190,709	-	310,052	38.1%	165,988
Materials, Supplies & Services	4,860,238	1,909,210	241,379	2,709,649	44.2%	2,187,538
Transfers-Out	3,694,328	1,539,146	-	2,155,182	41.7%	717,988
TOTAL EXPENSES	9,055,327	3,639,064	241,379	5,174,883	42.9%	3,071,514

*** The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

INFORMATION SYSTEMS ICS FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service charges	2,286,395	952,664	-	1,333,731	41.7%	953,826
Parking Revenue	-	-	-	-	100.0%	1,000
Miscellaneous	-	1,209	-	(1,209)	100.0%	-
Operating Transfers-In	19,740	8,225	-	11,515	41.7%	-
TOTAL REVENUES	<u>2,306,135</u>	<u>962,098</u>	<u>-</u>	<u>1,344,037</u>	<u>41.7%</u>	<u>954,826</u>
EXPENSES						
Salaries & Benefits	1,502,407	637,498	-	864,909	42.4%	621,367
Materials, Supplies & Services	553,174	357,093	35,549	160,533	71.0%	318,113
Special Projects	3,700	3,171	1,647	(1,118)	130.2%	3,423
Equipment	276,637	59,474	45,532	171,631	38.0%	75,985
Appropriated Reserve	11,432	-	-	11,432	0.0%	-
TOTAL EXPENSES	<u>2,347,350</u>	<u>1,057,236</u>	<u>82,727</u>	<u>1,207,387</u>	<u>48.6%</u>	<u>1,018,889</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)

WATERFRONT FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Leases - Commercial	1,332,869	701,852	-	631,017	52.7%	647,507
Leases - Food Service	2,352,254	1,190,729	-	1,161,525	50.6%	1,136,910
Slip Rental Fees	3,998,521	1,652,687	-	2,345,834	41.3%	1,602,463
Visitors Fees	463,000	201,798	-	261,202	43.6%	224,132
Slip Transfer Fees	425,000	274,250	-	150,750	64.5%	168,525
Parking Revenue	1,911,450	917,081	-	994,369	48.0%	822,628
Wharf Parking	244,000	112,525	-	131,475	46.1%	101,552
Other Fees & Charges	380,911	163,866	-	217,045	43.0%	169,493
Investment Income	185,859	73,710	-	112,149	39.7%	92,188
Rents & Concessions	301,173	149,966	-	151,207	49.8%	150,088
Grants	-	-	-	-	100.0%	4,256
Miscellaneous	155,000	70,356	-	84,644	45.4%	45,637
Operating Transfers-In	453,481	188,950	-	264,531	41.7%	-
TOTAL REVENUES	<u>12,203,518</u>	<u>5,697,769</u>	<u>-</u>	<u>6,505,749</u>	<u>46.7%</u>	<u>5,165,378</u>
EXPENSES						
Salaries & Benefits	5,461,051	2,358,764	-	3,102,287	43.2%	2,293,173
Materials, Supplies & Services	3,455,120	1,304,755	815,757	1,334,609	61.4%	1,251,871
Special Projects	137,020	32,186	45,000	59,834	56.3%	31,261
Debt Service	1,776,789	1,051,353	-	725,436	59.2%	1,042,388
Capital Outlay Transfers	934,483	389,368	-	545,115	41.7%	403,900
Equipment	117,500	14,899	10,197	92,404	21.4%	14,342
Other	-	2,540	-	(2,540)	100.0%	2,540
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	<u>11,981,963</u>	<u>5,153,864</u>	<u>870,954</u>	<u>5,957,145</u>	<u>50.3%</u>	<u>5,039,475</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.