



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: December 11, 2012

TO: Mayor and Councilmembers

FROM: Accounting Division, Finance Department

SUBJECT: Fiscal Year 2013 Interim Financial Statements For The Four Months Ended October 31, 2012

RECOMMENDATION:

That Council accept the Fiscal Year 2013 Interim Financial Statements for the Four Months Ended October 31, 2012.

DISCUSSION:

The interim financial statements for the four months ended October 31, 2012 (33.3% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

ATTACHMENT: Interim Financial Statements for the Four Months Ended October 31, 2012

PREPARED BY: Doug B. Smith, Senior Accountant

SUBMITTED BY: Robert Samario, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
GENERAL FUND					
Revenue	105,981,730	29,556,181	-	76,425,549	27.9%
Expenditures	106,318,788	37,209,242	1,784,037	67,325,509	36.7%
<i>Addition to / (use of) reserves</i>	(337,058)	(7,653,062)	(1,784,037)		
WATER OPERATING FUND					
Revenue	33,792,362	13,992,261	-	19,800,101	41.4%
Expenditures	34,908,858	10,333,424	2,994,292	21,581,143	38.2%
<i>Addition to / (use of) reserves</i>	(1,116,496)	3,658,837	(2,994,292)		
WASTEWATER OPERATING FUND					
Revenue	17,207,200	6,028,748	-	11,178,452	35.0%
Expenditures	17,369,170	4,635,870	1,727,495	11,005,805	36.6%
<i>Addition to / (use of) reserves</i>	(161,970)	1,392,878	(1,727,495)		
DOWNTOWN PARKING					
Revenue	6,795,891	2,564,064	-	4,231,827	37.7%
Expenditures	7,905,307	2,408,352	649,213	4,847,742	38.7%
<i>Addition to / (use of) reserves</i>	(1,109,416)	155,711	(649,213)		
AIRPORT OPERATING FUND					
Revenue	14,774,556	5,141,811	-	9,632,745	34.8%
Expenditures	15,293,694	3,905,737	717,309	10,670,647	30.2%
<i>Addition to / (use of) reserves</i>	(519,138)	1,236,074	(717,309)		
GOLF COURSE FUND					
Revenue	1,872,903	624,717	-	1,248,186	33.4%
Expenditures	1,923,510	787,555	19,558	1,116,397	42.0%
<i>Addition to / (use of) reserves</i>	(50,607)	(162,839)	(19,558)		
INTRA-CITY SERVICE FUND					
Revenue	5,930,750	1,955,148	-	3,975,602	33.0%
Expenditures	6,607,428	1,925,536	1,258,494	3,423,398	48.2%
<i>Addition to / (use of) reserves</i>	(676,679)	29,612	(1,258,494)		

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
FLEET REPLACEMENT FUND					
Revenue	2,549,018	852,209	-	1,696,809	33.4%
Expenditures	2,384,199	113,800	1,573,360	697,038	70.8%
<i>Addition to / (use of) reserves</i>	164,819	738,409	(1,573,360)		
FLEET MAINTENANCE FUND					
Revenue	2,441,918	819,845	-	1,622,073	33.6%
Expenditures	2,521,089	763,372	266,847	1,490,870	40.9%
<i>Addition to / (use of) reserves</i>	(79,171)	56,472	(266,847)		
SELF INSURANCE TRUST FUND					
Revenue	6,101,986	2,355,644	-	3,746,342	38.6%
Expenditures	5,949,472	1,862,893	331,842	3,754,737	36.9%
<i>Addition to / (use of) reserves</i>	152,514	492,751	(331,842)		
INFORMATION SYSTEMS ICS FUND					
Revenue	2,358,079	787,163	-	1,570,916	33.4%
Expenditures	2,765,492	742,602	448,626	1,574,263	43.1%
<i>Addition to / (use of) reserves</i>	(407,413)	44,561	(448,626)		
WATERFRONT FUND					
Revenue	12,072,564	4,788,058	-	7,284,506	39.7%
Expenditures	13,162,748	4,967,539	760,471	7,434,738	43.5%
<i>Addition to / (use of) reserves</i>	(1,090,184)	(179,481)	(760,471)		
TOTAL FOR ALL FUNDS					
Revenue	211,878,957	69,465,848	-	142,413,108	32.8%
Expenditures	217,109,755	69,655,923	12,531,544	134,922,288	37.9%
<i>Addition to / (use of) reserves</i>	(5,230,799)	(190,075)	(12,531,544)		

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
TAXES					
Sales and Use	19,933,931	5,021,775	14,912,156	25.2%	4,491,005
Property Taxes	24,626,561	1,269,182	23,357,379	5.2%	1,018,568
Utility Users Tax	7,015,200	2,323,078	4,692,122	33.1%	2,434,510
Transient Occupancy Tax	14,489,200	6,442,929	8,046,271	44.5%	5,981,069
Business License	2,220,780	617,778	1,603,002	27.8%	523,300
Real Property Transfer Tax	356,180	202,914	153,266	57.0%	133,574
<i>Total</i>	68,641,852	15,877,655	52,764,197	23.1%	14,582,026
LICENSES & PERMITS					
Licenses & Permits	208,988	65,514	143,474	31.3%	78,004
<i>Total</i>	208,988	65,514	143,474	31.3%	78,004
FINES & FORFEITURES					
Parking Violations	2,382,621	943,513	1,439,108	39.6%	880,001
Library Fines	120,331	36,874	83,457	30.6%	39,228
Municipal Court Fines	162,352	34,252	128,100	21.1%	51,645
Other Fines & Forfeitures	305,000	79,908	225,092	26.2%	66,638
<i>Total</i>	2,970,304	1,094,547	1,875,757	36.8%	1,037,511
USE OF MONEY & PROPERTY					
Investment Income	729,077	330,666	398,411	45.4%	275,171
Rents & Concessions	453,827	110,540	343,287	24.4%	86,685
<i>Total</i>	1,182,904	441,206	741,698	37.3%	361,856
INTERGOVERNMENTAL					
Grants	438,610	459,946	(21,336)	104.9%	6,866
Vehicle License Fees	-	48,265	(48,265)	100.0%	198,535
Reimbursements	14,040	292	13,749	2.1%	1,323
<i>Total</i>	452,650	508,502	(55,852)	112.3%	206,724
FEES & SERVICE CHARGES					
Finance	848,301	292,756	555,545	34.5%	275,982
Community Development	4,495,945	1,492,760	3,003,185	33.2%	1,614,241
Recreation	2,441,584	994,346	1,447,238	40.7%	888,396
Public Safety	555,980	196,986	358,994	35.4%	195,839
Public Works	5,407,003	1,928,229	3,478,774	35.7%	1,799,174
Library	673,140	313,146	359,994	46.5%	44,194
Reimbursements	4,271,753	1,454,583	2,817,170	34.1%	1,976,483
<i>Total</i>	18,693,706	6,672,805	12,020,901	35.7%	6,794,310
OTHER REVENUES					
Miscellaneous	1,470,548	1,367,999	102,549	93.0%	650,782
Franchise Fees	3,509,700	998,840	2,510,860	28.5%	1,101,278
Indirect Allocations	5,841,852	1,947,284	3,894,568	33.3%	2,037,273
Operating Transfers-In	3,009,226	581,828	2,427,398	19.3%	645,786
<i>Total</i>	13,831,326	4,895,951	8,935,375	35.4%	4,435,118
TOTAL REVENUES	105,981,730	29,556,181	76,425,549	27.9%	27,495,548

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
<u>Mayor & City Council</u>						
MAYOR	737,693	249,175	1,435	487,083	34.0%	
<i>Total</i>	737,693	249,175	1,435	487,083	34.0%	235,452
<u>City Attorney</u>						
CITY ATTORNEY	2,011,215	747,334	8,325	1,255,556	37.6%	
<i>Total</i>	2,011,215	747,334	8,325	1,255,556	37.6%	678,124
<u>Administration</u>						
CITY ADMINISTRATOR	1,551,018	508,692	1,435	1,040,890	32.9%	
CITY TV	473,554	129,809	80,220	263,526	44.4%	
<i>Total</i>	2,024,572	638,501	81,655	1,304,416	35.6%	622,938
<u>Administrative Services</u>						
CITY CLERK	461,229	151,017	19,219	290,993	36.9%	
HUMAN RESOURCES	1,258,017	388,748	20,512	848,757	32.5%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	14,447	-	-	14,447	0.0%	
<i>Total</i>	1,733,693	539,765	39,731	1,154,197	33.4%	566,483
<u>Finance</u>						
ADMINISTRATION	241,585	81,035	3,000	157,550	34.8%	
TREASURY	530,592	189,264	14,600	326,728	38.4%	
CASHIERING & COLLECTION	438,330	151,513	-	286,817	34.6%	
LICENSES & PERMITS	446,773	151,776	-	294,997	34.0%	
BUDGET MANAGEMENT	434,881	138,890	20,380	275,611	36.6%	
ACCOUNTING	493,940	166,011	45,160	282,769	42.8%	
PAYROLL	286,604	97,358	-	189,246	34.0%	
ACCOUNTS PAYABLE	218,948	73,200	-	145,748	33.4%	
CITY BILLING & CUSTOMER SERVICE	647,851	181,931	-	465,920	28.1%	
PURCHASING	698,481	194,714	3,106	500,660	28.3%	
CENTRAL STORES	160,944	46,738	392	113,814	29.3%	
MAIL SERVICES	108,448	36,279	392	71,777	33.8%	
<i>Total</i>	4,707,377	1,508,709	87,030	3,111,637	33.9%	1,405,237
TOTAL GENERAL GOVERNMENT	11,214,550	3,683,485	218,177	7,312,888	34.8%	3,508,233
PUBLIC SAFETY						
<u>Police</u>						
CHIEF'S STAFF	994,588	584,400	654	409,534	58.8%	
SUPPORT SERVICES	626,474	200,815	1,301	424,358	32.3%	
RECORDS	1,173,614	367,417	5,754	800,443	31.8%	
COMMUNITY SVCS	994,713	344,057	5,782	644,874	35.2%	
PROPERTY ROOM	155,893	43,554	820	111,519	28.5%	
TRNG/RECRUITMENT	412,970	172,301	22,881	217,788	47.3%	
RANGE	1,157,431	393,538	29,853	734,040	36.6%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
PUBLIC SAFETY						
<u>Police</u>						
BEAT COORDINATORS	825,860	283,204	-	542,656	34.3%	
INFORMATION TECHNOLOGY	1,320,105	567,145	8,757	744,204	43.6%	
INVESTIGATIVE DIVISION	4,697,571	1,499,575	3,721	3,194,275	32.0%	
CRIME LAB	132,701	44,464	-	88,237	33.5%	
PATROL DIVISION	15,258,604	5,118,808	169,354	9,970,442	34.7%	
TRAFFIC	1,373,035	448,482	1,100	923,453	32.7%	
SPECIAL EVENTS	786,140	603,861	-	182,279	76.8%	
TACTICAL PATROL FORCE	1,303,426	426,513	-	876,913	32.7%	
STREET SWEEPING ENFORCEMENT	306,625	78,065	-	228,560	25.5%	
NIGHT LIFE ENFORCEMENT	287,755	96,464	-	191,291	33.5%	
PARKING ENFORCEMENT	944,849	297,079	27,800	619,970	34.4%	
CCC	2,389,953	777,032	2	1,612,919	32.5%	
ANIMAL CONTROL	629,335	158,684	-	470,651	25.2%	
<i>Total</i>	<u>35,771,642</u>	<u>12,505,459</u>	<u>277,779</u>	<u>22,988,404</u>	35.7%	<u>11,850,278</u>
<u>Fire</u>						
ADMINISTRATION	768,141	275,740	4,389	488,012	36.5%	
EMERGENCY SERVICES AND PUBLIC ED	246,443	85,962	-	160,481	34.9%	
PREVENTION	1,149,192	355,586	-	793,606	30.9%	
WILDLAND FIRE MITIGATION PROGRAM	174,860	57,789	5,490	111,581	36.2%	
OPERATIONS	17,711,629	6,522,207	66,421	11,123,001	37.2%	
ARFF	1,740,953	594,887	-	1,146,066	34.2%	
<i>Total</i>	<u>21,791,218</u>	<u>7,892,171</u>	<u>76,300</u>	<u>13,822,747</u>	36.6%	<u>7,044,598</u>
TOTAL PUBLIC SAFETY	<u>57,562,860</u>	<u>20,397,630</u>	<u>354,079</u>	<u>36,811,151</u>	36.1%	<u>18,894,875</u>
PUBLIC WORKS						
<u>Public Works</u>						
ADMINISTRATION	1,005,992	322,173	12,463	671,356	33.3%	
ENGINEERING SVCS	4,650,713	1,557,847	5,132	3,087,733	33.6%	
PUBLIC RT OF WAY MGMT	1,000,166	291,403	2,229	706,534	29.4%	
ENVIRONMENTAL PROGRAMS	557,906	93,040	287,997	176,869	68.3%	
<i>Total</i>	<u>7,214,777</u>	<u>2,264,463</u>	<u>307,822</u>	<u>4,642,492</u>	35.7%	<u>2,136,522</u>
TOTAL PUBLIC WORKS	<u>7,214,777</u>	<u>2,264,463</u>	<u>307,822</u>	<u>4,642,492</u>	35.7%	<u>2,136,522</u>
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
PRGM MGMT & BUS SVCS	413,527	145,358	368	267,801	35.2%	
FACILITIES	828,084	258,893	32,719	536,471	35.2%	
YOUTH ACTIVITIES	714,977	408,766	5,665	300,545	58.0%	
SR CITIZENS	723,198	243,782	690	478,727	33.8%	
AQUATICS	1,096,927	552,776	30,454	513,696	53.2%	

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
SPORTS	484,909	146,335	7,797	330,777	31.8%	
TENNIS	255,362	90,467	-	164,895	35.4%	
NEIGHBORHOOD & OUTREACH SERV	947,586	347,544	12,689	587,354	38.0%	
ADMINISTRATION	599,031	202,292	2,230	394,509	34.1%	
PROJECT MANAGEMENT TEAM	223,076	79,500	-	143,576	35.6%	
BUSINESS SERVICES	310,413	116,832	1,996	191,585	38.3%	
FACILITY & PROJECT MGT	973,211	411,576	501	561,135	42.3%	
GROUNDS MANAGEMENT	4,357,754	1,424,379	84,870	2,848,505	34.6%	
FORESTRY	1,182,017	364,880	124,227	692,910	41.4%	
BEACH MAINTENANCE	151,599	56,112	19,281	76,206	49.7%	
<i>Total</i>	<u>13,261,671</u>	<u>4,849,492</u>	<u>323,487</u>	<u>8,088,691</u>	39.0%	<u>4,565,560</u>
<u>Library</u>						
ADMINISTRATION	537,794	155,100	-	382,694	28.8%	
PUBLIC SERVICES	2,126,837	679,248	700	1,446,889	32.0%	
SUPPORT SERVICES	1,785,985	518,272	87,743	1,179,970	33.9%	
<i>Total</i>	<u>4,450,616</u>	<u>1,352,620</u>	<u>88,443</u>	<u>3,009,553</u>	32.4%	<u>1,247,659</u>
TOTAL COMMUNITY SERVICES	<u>17,712,287</u>	<u>6,202,112</u>	<u>411,930</u>	<u>11,098,245</u>	37.3%	<u>5,813,219</u>
COMMUNITY DEVELOPMENT						
<u>Community Development</u>						
ADMINISTRATION	577,992	206,949	4,580	366,463	36.6%	
ECON DEV	47,384	14,316	-	33,068	30.2%	
CITY ARTS ADVISORY PROGRAM	427,260	361,237	-	66,024	84.5%	
HUMAN SVCS	926,170	199,661	444,567	281,942	69.6%	
LR PLANNING/STUDIES	966,481	309,422	535	656,524	32.1%	
DEV & DESIGN REVIEW	1,251,412	403,048	21,731	826,633	33.9%	
ZONING	1,204,968	375,172	1,800	827,996	31.3%	
DESIGN REV & HIST PRESERVATN	1,083,146	364,362	8,604	710,180	34.4%	
BLDG PERMITS	1,097,900	375,223	567	722,111	34.2%	
RECORDS & ARCHIVES	543,242	172,122	9,048	362,072	33.3%	
PLAN CK & COUNTER SRV	1,308,665	404,907	598	903,160	31.0%	
<i>Total</i>	<u>9,434,620</u>	<u>3,186,419</u>	<u>492,029</u>	<u>5,756,172</u>	39.0%	<u>3,396,994</u>
TOTAL COMMUNITY DEVELOPMENT	<u>9,434,620</u>	<u>3,186,419</u>	<u>492,029</u>	<u>5,756,172</u>	39.0%	<u>3,396,994</u>
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
DUES, MEMBERSHIPS, & LICENSES	22,272	1,693	-	20,579	7.6%	
COMMUNITY PROMOTIONS	1,536,799	836,537	-	700,262	54.4%	
SPECIAL PROJECTS	381,073	139,700	-	241,373	36.7%	
TRANSFERS OUT	43,500	14,500	-	29,000	33.3%	

CITY OF SANTA BARBARA
 General Fund
 Interim Statement of Appropriations, Expenditures and Encumbrances
 For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
DEBT SERVICE TRANSFERS	349,125	299,959	-	49,166	85.9%	
CAPITAL OUTLAY TRANSFER	548,234	182,745	-	365,489	33.3%	
APPROP. RESERVE	298,691	-	-	298,691	0.0%	
<i>Total</i>	3,179,694	1,475,134	-	1,704,560	46.4%	1,542,439
TOTAL NON-DEPARTMENTAL	3,179,694	1,475,134	-	1,704,560	46.4%	1,542,439
TOTAL EXPENDITURES	106,318,788	37,209,242	1,784,037	67,325,509	36.7%	35,292,282

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Special Revenue Funds
Interim Statement of Revenues and Expenditures
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
TRAFFIC SAFETY FUND					
Revenue	506,204	174,095	-	332,109	34.4%
Expenditures	506,204	174,095	-	332,109	34.4%
<i>Revenue Less Expenditures</i>	-	-	-	-	
CREEK RESTORATION/WATER QUALITY IMPRVMT					
Revenue	3,002,872	1,342,737	-	1,660,135	44.7%
Expenditures	3,780,959	929,677	445,931	2,405,350	36.4%
<i>Revenue Less Expenditures</i>	(778,087)	413,060	(445,931)	(745,215)	
SOLID WASTE PROGRAM					
Revenue	18,509,144	6,079,955	-	12,429,189	32.8%
Expenditures	18,677,350	5,956,220	226,042	12,495,088	33.1%
<i>Revenue Less Expenditures</i>	(168,206)	123,735	(226,042)	(65,899)	
COMM.DEVELOPMENT BLOCK GRANT					
Revenue	2,132,621	178,428	-	1,954,193	8.4%
Expenditures	2,132,621	183,593	378,316	1,570,712	26.3%
<i>Revenue Less Expenditures</i>	-	(5,165)	(378,316)	383,481	
COUNTY LIBRARY					
Revenue	1,821,003	454,378	-	1,366,625	25.0%
Expenditures	1,972,623	604,176	67,137	1,301,310	34.0%
<i>Revenue Less Expenditures</i>	(151,620)	(149,799)	(67,137)	65,316	
STREETS FUND					
Revenue	9,983,673	3,849,859	-	6,133,814	38.6%
Expenditures	13,751,673	3,667,513	1,263,132	8,821,027	35.9%
<i>Revenue Less Expenditures</i>	(3,768,000)	182,346	(1,263,132)	(2,687,213)	
MEASURE A					
Revenue	3,021,238	1,046,832	-	1,974,406	34.6%
Expenditures	3,322,218	718,671	1,165,556	1,437,991	56.7%
<i>Revenue Less Expenditures</i>	(300,980)	328,161	(1,165,556)	536,415	

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

WATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Water Sales - Metered	29,800,000	12,272,795	-	17,527,205	41.2%	11,818,004
Service Charges	537,000	288,438	-	248,562	53.7%	224,766
Cater JPA Treatment Charges	2,405,482	712,136	-	1,693,346	29.6%	652,441
Investment Income	500,000	207,332	-	292,668	41.5%	234,878
Reimbursements	519,880	480,704	-	39,176	92.5%	-
Miscellaneous	30,000	30,856	-	(856)	102.9%	39,891
Operating Transfers-In	-	-	-	-	100.0%	168,501
TOTAL REVENUES	<u>33,792,362</u>	<u>13,992,261</u>	<u>-</u>	<u>19,800,101</u>	<u>41.4%</u>	<u>13,138,480</u>
EXPENSES						
Salaries & Benefits	7,830,597	2,587,835	-	5,242,762	33.0%	2,445,322
Materials, Supplies & Services	9,187,970	2,271,958	2,197,126	4,718,886	48.6%	2,218,982
Special Projects	1,282,374	150,824	544,543	587,007	54.2%	162,467
Water Purchases	7,728,477	2,514,667	205,185	5,008,625	35.2%	2,820,787
Debt Service	4,989,408	1,642,246	-	3,347,162	32.9%	1,843,034
Capital Outlay Transfers	3,426,649	1,142,216	-	2,284,433	33.3%	3,761,472
Equipment	150,193	10,018	12,398	127,777	14.9%	49,778
Capitalized Fixed Assets	128,189	7,541	35,039	85,609	33.2%	8,126
Other	35,000	6,118	-	28,882	17.5%	5,632
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>34,908,858</u>	<u>10,333,424</u>	<u>2,994,292</u>	<u>21,581,143</u>	<u>38.2%</u>	<u>13,315,600</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

WASTEWATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service Charges	16,337,000	5,646,497	-	10,690,503	34.6%	5,170,365
Fees	614,000	306,923	-	307,077	50.0%	207,668
Investment Income	206,200	59,900	-	146,300	29.0%	76,000
Public Works	25,000	10,928	-	14,072	43.7%	15,611
Miscellaneous	25,000	4,500	-	20,500	18.0%	10,868
Operating Transfers-In	-	-	-	-	100.0%	224,699
TOTAL REVENUES	<u>17,207,200</u>	<u>6,028,748</u>	<u>-</u>	<u>11,178,452</u>	<u>35.0%</u>	<u>5,705,211</u>
EXPENSES						
Salaries & Benefits	5,423,604	1,833,042	-	3,590,562	33.8%	1,633,801
Materials, Supplies & Services	6,708,910	1,794,970	1,696,920	3,217,020	52.0%	1,683,946
Special Projects	326,300	4,020	-	322,281	1.2%	4,104
Debt Service	1,646,192	-	-	1,646,192	0.0%	-
Capital Outlay Transfers	3,000,121	1,000,040	-	2,000,081	33.3%	1,530,853
Equipment	83,044	2,798	23,614	56,632	31.8%	297
Capitalized Fixed Assets	26,000	-	6,961	19,039	26.8%	-
Other	5,000	1,000	-	4,000	20.0%	1,000
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>17,369,170</u>	<u>4,635,870</u>	<u>1,727,495</u>	<u>11,005,805</u>	<u>36.6%</u>	<u>4,854,001</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)
DOWNTOWN PARKING

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Improvement Tax	840,000	411,173	-	428,827	48.9%	380,812
Parking Fees	5,757,166	2,087,890	-	3,669,276	36.3%	1,979,616
Investment Income	112,800	37,802	-	74,998	33.5%	39,833
Rents & Concessions	40,925	13,642	-	27,283	33.3%	-
Miscellaneous	1,500	(943)	-	2,443	-62.9%	(11)
Operating Transfers-In	43,500	14,500	-	29,000	33.3%	117,953
TOTAL REVENUES	<u>6,795,891</u>	<u>2,564,064</u>	<u>-</u>	<u>4,231,827</u>	<u>37.7%</u>	<u>2,518,204</u>
EXPENSES						
Salaries & Benefits	4,024,353	1,316,917	-	2,707,436	32.7%	1,300,386
Materials, Supplies & Services	1,915,082	518,409	216,841	1,179,831	38.4%	520,966
Special Projects	531,806	101,852	426,871	3,083	99.4%	71,405
Transfers-Out	297,121	99,040	-	198,081	33.3%	99,040
Capital Outlay Transfers	1,111,945	370,648	-	741,297	33.3%	347,757
Equipment	25,000	1,486	5,500	18,014	27.9%	1,212
TOTAL EXPENSES	<u>7,905,307</u>	<u>2,408,352</u>	<u>649,213</u>	<u>4,847,742</u>	<u>38.7%</u>	<u>2,340,766</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)
AIRPORT OPERATING FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial / Industrial	4,345,075	1,501,002	-	2,844,073	34.5%	1,452,365
Leases - Terminal	5,043,600	1,735,721	-	3,307,879	34.4%	1,707,744
Leases - Non-Commerical Aviation	1,498,800	535,617	-	963,183	35.7%	503,553
Leases - Commerical Aviation	3,549,000	1,291,621	-	2,257,379	36.4%	914,466
Investment Income	171,700	40,680	-	131,020	23.7%	63,929
Miscellaneous	166,381	37,170	-	129,211	22.3%	166,551
Operating Transfers-In	-	-	-	-	100.0%	100,055
TOTAL REVENUES	14,774,556	5,141,811	-	9,632,745	34.8%	4,908,663
EXPENSES						
Salaries & Benefits	5,101,719	1,714,698	-	3,387,021	33.6%	1,704,702
Materials, Supplies & Services	7,079,052	1,973,236	710,196	4,395,620	37.9%	1,914,838
Special Projects	736,200	159,930	-	576,270	21.7%	244,624
Transfers-Out	18,295	6,098	-	12,197	33.3%	14,737
Debt Service	1,780,853	-	-	1,780,853	0.0%	-
Capital Outlay Transfers	428,504	44,894	-	383,610	10.5%	598,209
Equipment	63,569	5,720	7,114	50,736	20.2%	9,592
Other	-	1,161	-	(1,161)	100.0%	-
Appropriated Reserve	85,502	-	-	85,502	0.0%	-
TOTAL EXPENSES	15,293,694	3,905,737	717,309	10,670,647	30.2%	4,486,702

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

GOLF COURSE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Fees & Card Sales	1,559,903	556,368	-	1,003,535	35.7%	532,593
Investment Income	6,300	3,498	-	2,802	55.5%	3,402
Rents & Concessions	306,000	64,853	-	241,147	21.2%	89,106
Miscellaneous	700	(3)	-	703	-0.5%	228
Operating Transfers-In	-	-	-	-	100.0%	34,541
TOTAL REVENUES	<u>1,872,903</u>	<u>624,717</u>	<u>-</u>	<u>1,248,186</u>	<u>33.4%</u>	<u>659,870</u>
EXPENSES						
Salaries & Benefits	989,689	356,865	-	632,824	36.1%	376,761
Materials, Supplies & Services	560,984	196,743	13,558	350,683	37.5%	185,486
Special Projects	300	-	-	300	0.0%	-
Debt Service	185,650	161,887	-	23,763	87.2%	159,507
Capital Outlay Transfers	158,373	52,791	-	105,582	33.3%	30,679
Equipment	27,500	18,422	6,000	3,078	88.8%	1,013
Other	1,014	847	-	167	83.5%	847
TOTAL EXPENSES	<u>1,923,510</u>	<u>787,555</u>	<u>19,558</u>	<u>1,116,397</u>	<u>42.0%</u>	<u>754,292</u>

CITY OF SANTA BARBARA
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For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

INTRA-CITY SERVICE FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	99,584	33,195	-	66,389	33.3%	33,195
Work Orders - Bldg Maint.	3,085,446	1,063,047	-	2,022,399	34.5%	1,038,476
Grants	321,388	128,095	-	193,293	39.9%	199,354
Service Charges	2,057,130	685,710	-	1,371,420	33.3%	677,523
Miscellaneous	367,202	45,100	-	322,102	12.3%	1,988
Operating Transfers-In	-	-	-	-	100.0%	111,634
TOTAL REVENUES	5,930,750	1,955,148	-	3,975,602	33.0%	2,062,170
EXPENSES						
Salaries & Benefits	3,290,726	1,153,274	-	2,137,452	35.0%	1,035,445
Materials, Supplies & Services	1,158,398	353,855	199,484	605,059	47.8%	324,771
Special Projects	1,857,597	232,585	1,038,077	586,936	68.4%	375,197
Equipment	15,000	-	-	15,000	0.0%	-
Capitalized Fixed Assets	285,708	185,823	20,933	78,952	72.4%	263,171
TOTAL EXPENSES	6,607,428	1,925,536	1,258,494	3,423,398	48.2%	1,998,584

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

FLEET REPLACEMENT FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Rental Charges	2,146,217	715,406	-	1,430,811	33.3%	600,398
Investment Income	128,400	46,593	-	81,807	36.3%	44,471
Rents & Concessions	224,401	74,800	-	149,601	33.3%	74,800
Miscellaneous	50,000	15,410	-	34,590	30.8%	44,991
TOTAL REVENUES	2,549,018	852,209	-	1,696,809	33.4%	764,661
EXPENSES						
Salaries & Benefits	185,579	65,063	-	120,516	35.1%	55,982
Materials, Supplies & Services	3,059	570	-	2,489	18.6%	367
Special Projects	300,000	-	-	300,000	0.0%	-
Capitalized Fixed Assets	1,895,561	48,168	1,573,360	274,033	85.5%	255,365
TOTAL EXPENSES	2,384,199	113,800	1,573,360	697,038	70.8%	311,714

CITY OF SANTA BARBARA
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FLEET MAINTENANCE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Vehicle Maintenance Charges	2,371,918	790,639	-	1,581,279	33.3%	790,639
Reimbursements	10,000	3,333	-	6,667	33.3%	-
Miscellaneous	60,000	25,872	-	34,128	43.1%	7,485
Operating Transfers-In	-	-	-	-	100.0%	32,935
TOTAL REVENUES	<u>2,441,918</u>	<u>819,845</u>	<u>-</u>	<u>1,622,073</u>	33.6%	<u>831,059</u>
EXPENSES						
Salaries & Benefits	1,220,922	428,711	-	792,211	35.1%	402,701
Materials, Supplies & Services	1,185,057	324,380	236,170	624,508	47.3%	309,019
Special Projects	105,110	10,092	30,677	64,341	38.8%	9,331
Equipment	10,000	190	-	9,810	1.9%	-
TOTAL EXPENSES	<u>2,521,089</u>	<u>763,372</u>	<u>266,847</u>	<u>1,490,870</u>	40.9%	<u>721,051</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
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SELF INSURANCE TRUST FUND

	** Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Insurance Premiums	2,598,025	866,008	-	1,732,017	33.3%	849,028
Workers' Compensation Premiums	2,600,000	866,667	-	1,733,333	33.3%	833,333
OSH Charges	187,961	-	-	187,961	0.0%	-
Investment Income	116,000	22,647	-	93,353	19.5%	43,154
Miscellaneous	-	322	-	(322)	100.0%	2,110
Accel - Return of Premium	600,000	600,000	-	-	100.0%	-
TOTAL REVENUES	6,101,986	2,355,644	-	3,746,342	38.6%	1,727,626
EXPENSES						
Salaries & Benefits	517,317	169,887	-	347,430	32.8%	160,518
Materials, Supplies & Services	5,076,048	1,574,337	331,842	3,169,869	37.6%	1,486,342
Special Projects	100	-	-	100	0.0%	-
Transfers-Out	356,007	118,669	-	237,338	33.3%	1,231,317
TOTAL EXPENSES	5,949,472	1,862,893	331,842	3,754,737	36.9%	2,878,177

*** The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

CITY OF SANTA BARBARA
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For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

INFORMATION SYSTEMS ICS FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	2,358,079	786,026	-	1,572,053	33.3%	762,131
Miscellaneous	-	1,138	-	(1,138)	100.0%	1,209
Operating Transfers-In	-	-	-	-	100.0%	6,580
TOTAL REVENUES	2,358,079	787,163	-	1,570,916	33.4%	769,920
EXPENSES						
Salaries & Benefits	1,642,999	545,529	-	1,097,470	33.2%	525,503
Materials, Supplies & Services	795,547	185,393	362,299	247,855	68.8%	207,360
Special Projects	14,500	1,024	5,000	8,476	41.5%	2,704
Equipment	295,864	10,656	81,328	203,880	31.1%	31,829
Capitalized Fixed Assets	1,000	-	-	1,000	0.0%	-
Appropriated Reserve	15,582	-	-	15,582	0.0%	-
TOTAL EXPENSES	2,765,492	742,602	448,626	1,574,263	43.1%	767,396

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenses
For the Four Months Ended October 31, 2012 (33.3% of Fiscal Year)

WATERFRONT FUND

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial	1,657,000	640,648	-	1,016,352	38.7%	585,389
Leases - Food Service	2,423,000	1,115,245	-	1,307,755	46.0%	999,498
Slip Rental Fees	4,041,464	1,356,181	-	2,685,283	33.6%	1,322,072
Visitors Fees	383,000	178,231	-	204,769	46.5%	170,177
Slip Transfer Fees	450,000	178,300	-	271,700	39.6%	216,650
Parking Revenue	1,886,360	857,629	-	1,028,731	45.5%	826,210
Wharf Parking	248,880	100,712	-	148,169	40.5%	94,688
Other Fees & Charges	235,008	85,643	-	149,365	36.4%	133,384
Investment Income	150,900	65,995	-	84,905	43.7%	66,021
Rents & Concessions	310,952	118,516	-	192,436	38.1%	124,545
Miscellaneous	286,000	90,959	-	195,041	31.8%	40,071
Operating Transfers-In	-	-	-	-	100.0%	151,160
TOTAL REVENUES	12,072,564	4,788,058	-	7,284,506	39.7%	4,729,865
EXPENSES						
Salaries & Benefits	5,741,416	2,002,420	-	3,738,996	34.9%	1,946,714
Materials, Supplies & Services	3,621,195	1,103,359	753,684	1,764,151	51.3%	1,001,275
Special Projects	140,685	66,328	-	74,357	47.1%	25,767
Debt Service	1,849,105	1,226,865	-	622,240	66.3%	1,051,353
Capital Outlay Transfers	1,540,978	513,659	-	1,027,319	33.3%	311,494
Equipment	129,369	53,907	6,787	68,675	46.9%	14,570
Capitalized Fixed Assets	40,000	-	-	40,000	0.0%	-
Other	-	1,000	-	(1,000)	100.0%	1,000
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	13,162,748	4,967,539	760,471	7,434,738	43.5%	4,352,174

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.