

**CITY OF SANTA BARBARA  
Fiscal Agent Investments  
September 30, 2014**

	CASH & CASH EQUIVALENTS Book & Market	Guaranteed Investment Contracts (GIC) Book & Market	STOCKS		BONDS		US GOVT & AGENCIES		TOTALS	
			Book	Market	Book	Market	Book	Market	Book	Market
<b>BOND FUNDS</b>										
<i>RESERVE FUNDS</i>										
2004 RDA - Housing Bonds	0.65	-	-	-	-	-	-	-	0.65	0.65
2002 Municipal Improvement - Refunding COPS	18,824.80	547,530.00	-	-	-	-	-	-	566,354.80	566,354.80
2011 Water - Safe Drinking Water State Loan	458,956.80	-	-	-	-	-	-	-	458,956.80	458,956.80
2013 Water - Refunding COPS	1,776,416.76	428,069.44	-	-	-	-	636,099.18	639,660.80	2,840,585.38	2,844,147.00
2004 Sewer - Revenue Bonds	64,580.27	1,357,140.00	-	-	-	-	-	-	1,421,720.27	1,421,720.27
2009 Airport - Revenue Bonds	123,418.08	-	-	-	-	-	3,046,249.96	3,035,464.35	3,169,668.04	3,158,882.43
2014 Waterfront - Refunding Bonds	4,439.68	581,455.74	-	-	-	-	-	-	585,895.42	585,895.42
Subtotal, Reserve Funds	<u>2,446,637.04</u>	<u>2,914,195.18</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>3,682,349.14</u>	<u>3,675,125.15</u>	<u>9,043,181.36</u>	<u>9,035,957.37</u>
<i>PROJECT FUNDS</i>										
2001 RDA Bonds	2,366,565.19	-	-	-	-	-	-	-	2,366,565.19	2,366,565.19
2003 RDA Bonds	8,507,865.14	-	-	-	-	-	-	-	8,507,865.14	8,507,865.14
Subtotal, Project Funds	<u>10,874,430.33</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>10,874,430.33</u>	<u>10,874,430.33</u>
<b>SUBTOTAL BOND FUNDS</b>	<b><u>13,321,067.37</u></b>	<b><u>2,914,195.18</u></b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>3,682,349.14</u></b>	<b><u>3,675,125.15</u></b>	<b><u>19,917,611.69</u></b>	<b><u>19,910,387.70</u></b>
<b>POLICE/FIRE - SVC RETIREMENT FUND</b>										
Police/Fire Funds	64,700.90	-	137,534.15	242,142.31	219,676.71	229,709.60	-	-	421,911.76	536,552.81
	<u>64,700.90</u>	<u>-</u>	<u>137,534.15</u>	<u>242,142.31</u>	<u>219,676.71</u>	<u>229,709.60</u>	<u>-</u>	<u>-</u>	<u>421,911.76</u>	<u>536,552.81</u>
<b>TOTAL FISCAL AGENT INVESTMENTS</b>	<b><u>13,385,768.27</u></b>	<b><u>2,914,195.18</u></b>	<b><u>137,534.15</u></b>	<b><u>242,142.31</u></b>	<b><u>219,676.71</u></b>	<b><u>229,709.60</u></b>	<b><u>3,682,349.14</u></b>	<b><u>3,675,125.15</u></b>	<b><u>20,339,523.45</u></b>	<b><u>20,446,940.51</u></b>

## Notes:

- (1) Cash & cash equivalents include money market funds.  
(2) Market values have been obtained from the following trustees: US Bank and MUFG Union Bank, N.A. - The Private Bank