

**CITY OF SANTA BARBARA
CITY COUNCIL**

Helene Schneider
Mayor
Gregg Hart
Mayor Pro Tempore
Randy Rowse
Ordinance Committee Chair
Dale Francisco
Finance Committee Chair
Frank Hotchkiss
Cathy Murillo
Bendy White



Paul Casey
City Administrator

Ariel Pierre Calonne
City Attorney

City Hall
735 Anacapa Street
<http://www.SantaBarbaraCA.gov>

**MAY 19, 2015
AGENDA**

ORDER OF BUSINESS: Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council meeting begins at 2:00 p.m. in the Council Chamber at City Hall.

REPORTS: Copies of the reports relating to agenda items are available for review in the City Clerk's Office, at the Central Library, and <http://www.SantaBarbaraCA.gov>. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") for that item from either the Clerk's Office, the Reference Desk at the City's Main Library, or online at the City's website (<http://www.SantaBarbaraCA.gov>). Materials related to an item on this agenda submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office located at City Hall, 735 Anacapa Street, Santa Barbara, CA 93101, during normal business hours.

PUBLIC COMMENT: At the beginning of the 2:00 p.m. session of each regular City Council meeting, and at the beginning of each special City Council meeting, any member of the public may address the City Council concerning any item not on the Council's agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the City Council. Should City Council business continue into the evening session of a regular City Council meeting at 6:00 p.m., the City Council will allow any member of the public who did not address them during the 2:00 p.m. session to do so. The total amount of time for public comments will be 15 minutes, and no individual speaker may speak for more than 1 minute. The City Council, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

REQUEST TO SPEAK: A member of the public may address the Finance or Ordinance Committee or City Council regarding any scheduled agenda item. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that the item is taken up by the Finance or Ordinance Committee or City Council.

CONSENT CALENDAR: The Consent Calendar is comprised of items that will not usually require discussion by the City Council. A Consent Calendar item is open for discussion by the City Council upon request of a Councilmember, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak at the time the Council considers the Consent Calendar.

AMERICANS WITH DISABILITIES ACT: If you need auxiliary aids or services or staff assistance to attend or participate in this meeting, please contact the City Administrator's Office at 564-5305. If possible, notification at least 48 hours prior to the meeting will usually enable the City to make reasonable arrangements. Specialized services, such as sign language interpretation or documents in Braille, may require additional lead time to arrange.

TELEVISION COVERAGE: Each regular City Council meeting is broadcast live in English and Spanish on City TV Channel 18 and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 9:00 a.m., and in Spanish on Sundays at 4:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at www.citytv18.com for rebroadcasts of Finance and Ordinance Committee meetings, and for any changes to the replay schedule.

ORDER OF BUSINESS

- 12:00 p.m. - Special Finance Committee Meeting, David Gebhard Public Meeting Room, 630 Garden Street
- 2:00 p.m. - City Council Meeting
- 4:00 p.m. - Advisory Group Interviews (Estimated Time)

SPECIAL FINANCE COMMITTEE MEETING - 12:00 P.M. IN THE DAVID GEBHARD PUBLIC MEETING ROOM, 630 GARDEN STREET (120.03)

1. Subject: Fiscal Year 2015 Third Quarter Review (120.03)

Recommendation: That the Finance Committee recommend that Council:

- A. Hear a report from staff on the status of revenues and expenditures in relation to budget for the nine months ended March 31, 2015;
- B. Accept the Fiscal Year 2015 Interim Financial Statements for the Nine Months Ended March 31, 2015; and
- C. Approve the proposed third quarter adjustments to Fiscal Year 2015 estimated appropriations and revenues as detailed in the attached schedule of Proposed Third Quarter Adjustments.

(See Council Agenda Item No. 20)

2. Subject: Finance Committee Review Of The Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017 (120.03)

Recommendation: That Finance Committee:

- A. Hear a report from staff on the Recommended Budget for Fiscal Year 2016 which will include a pension update, a status report on the Employee Mortgage Loan Assistance Program (EMLAP), and staff-proposed adjustments to the Fiscal Year 2016 recommended budget; and
- B. Make final decisions and recommendations to Council relative to the items presented to the Finance Committee in connection with the Fiscal Year 2016 recommended budget.

REGULAR CITY COUNCIL MEETING – 2:00 P.M.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL

CHANGES TO THE AGENDA

PUBLIC COMMENT

CONSENT CALENDAR

1. Subject: Minutes

Recommendation: That Council waive further reading and approve the minutes of the special meetings of April 30, and May 4, 2015, the adjourned regular meeting of May 4, 2015, and the regular meeting of May 5, 2015.

2. Subject: April 2015 Investment Report (260.02)

Recommendation: That Council accept the April 2015 Investment Report.

3. Subject: Receipt Of Donated Fire Equipment (520.03)

Recommendation: That Council accept a donation of thermal imaging camera equipment for firefighting with a value of approximately \$6,000 from FLIR Systems, Inc.

4. Subject: Mutual Aid Personnel Compensation (520.03)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Authorizing Portal to Portal Pay for Sworn Fire Employees Assigned to Mutual Aid Response.

CONSENT CALENDAR (CONT'D)

5. Subject: Ordinance Establishing Citation Authority For Community Service Officers (520.04)

Recommendation: That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 1.20 of Title 1 of the Santa Barbara Municipal Code by Adding and Adopting Section 1.20.060, Establishing the Citation Authority of the Community Service Officer Classification.

6. Subject: Software Maintenance For Regional Law Enforcement Data Sharing System (520.04)

Recommendation: That Council find it in the City's best interest to waive the bidding process as provided in Municipal Code 4.52.070(k) and authorize the General Services Manager to issue a purchase order to IBM for the estimated amount of \$106,296 for software maintenance and services for the Coplink system for Fiscal Year 2015.

7. Subject: Acceptance of Federal Aviation Administration Airport Improvement Program Grant Offer For Santa Barbara Airport (560.01)

Recommendation: That Council accept and authorize the Airport Director to execute, on behalf of the City, a Federal Aviation Administration Grant offer, No. 3-06-0235-49, in an amount not to exceed \$3,100,000 in Airport Improvement Program (AIP) funds, for the Airport Lighting and Safety Upgrade Improvement Project and North General Aviation Ramp Replacement Project.

8. Subject: Westside Boys And Girls Club Property Lease (580.04)

Recommendation: That Council authorize the Parks and Recreation Director to enter into a three-year lease agreement with the United Boys and Girls Club of Santa Barbara County for the Westside Boys and Girls Club located at 602 W. Anapamu Street, at one dollar a year.

9. Subject: Integrated Pest Management 2014 Annual Report (330.01)

Recommendation: That Council accept the Integrated Pest Management (IPM) 2014 Annual Report that addresses the use of pesticides and alternatives to control weeds or eliminate pests on City property.

CONSENT CALENDAR (CONT'D)

10. Subject: Approval Of Parcel Map And Execution Of Agreements For 240 West Alamar Street (640.08)

Recommendation: That Council approve and authorize the City Administrator to execute and record Parcel Map Number 20,814 and standard agreements relating to the approved subdivision at 240 West Alamar Street.

11. Subject: Acceptance Of Meter Easements For 1130 Punta Gorda Street (540.06)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Accepting an Agreement for Access to Water Meters and Sub-Meters and Grant of Easement at 1130 Punta Gorda Street.

12. Subject: Contract For Construction Of Influent Pump Station Improvements (540.13)

Recommendation: That Council:

- A. Transfer \$242,605.69 from Wastewater Fund Reserves to the Wastewater Capital Fund;
- B. Increase appropriations by \$1,070,245 in the Wastewater Capital Fund for the Influent Pump Station Variable Frequency Drive and Programmable Logic Controller Replacement Project, funded from a \$242,605.69 transfer from Wastewater Capital reserves and the balance of \$827,639.31 funded from a reimbursement from the State Revolving Loan Fund approved by separate action;
- C. Award a contract with Taft Electric Company in their low bid amount of \$732,910 for construction of the Influent Pump Station Variable Frequency Drive and Programmable Logic Controller Replacement Project, Bid No. 3740; and authorize the Public Works Director to execute the contract and approve expenditures up to \$73,290 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment;
- D. Authorize the Public Works Director to execute a contract with Mimiaga Engineering Group in the amount of \$111,000 for construction management services, and approve expenditures of up to \$11,100 for extra services of Mimiaga Engineering Group that may result from necessary changes in the scope of work; and
- E. Authorize the Public Works Director to execute a contract with Brown & Caldwell in the amount of \$81,376 for construction support services, and approve expenditures of up to \$8,138 for extra services of Brown & Caldwell that may result from necessary changes in the scope of work.

CONSENT CALENDAR (CONT'D)

13. Subject: Contract For Preliminary Design Of Las Positas Road Multiuse Path (530.04)

Recommendation: That Council:

- A. Authorize the Public Works Director to execute a City Professional Services contract with RRM Design Group in the amount of \$938,426 for preliminary design services of the Las Positas Road Multiuse Path Project, and authorize the Public Works Director to approve expenditures of up to \$93,843 for extra services of RRM Design Group that may result from necessary changes in the scope of work; and
- B. Increase appropriations and estimated revenues related to the Active Transportation Program Grant by \$1,018,000 in the Fiscal Year 2015 Streets Grant Fund for the Las Positas Multiuse Path Project.

14. Subject: Introduction Of Ordinance For Curb Marking For Parking Regulations (550.01)

Recommendation: That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.48 of the Municipal Code by Amending Section 10.48.040, Curb Markings to Indicate Parking Regulations - Authority of the Transportation Engineer.

15. Subject: Introduction Of Ordinance For Prohibition Of Unauthorized Traffic Signs (530.05)

Recommendation: That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.12 of the Municipal Code by Amending Section 10.12.170, Displaying of Unauthorized Signs Prohibited - Nuisance.

16. Subject: Introduction Of Ordinance Establishing Bus Stop Zones (530.05)

Recommendation: That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.48 of the Municipal Code by Amending Section 10.48.090, Bus Zones to be Established.

CONSENT CALENDAR (CONT'D)

17. Subject: Set A Date For Public Hearing Regarding Appeal Of Parks And Recreation Commission Denial For 3740 Pescadero Drive (570.08)

Recommendation: That Council:

- A. Set the date of June 16, 2015, at 2:00 p.m. for hearing the appeal filed by Carey Ludford, of the Parks and Recreation Commission denial of an application for the removal of one (1) Schinus terebinthifolius, Brazilian Pepper, located at 3740 Pescadero Drive; and
- B. Set the date of June 15, 2015, at 1:00 p.m. for a site visit to the property located at 3740 Pescadero Drive.

NOTICES

- 18. The City Clerk has on Thursday, May 14, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
- 19. Receipt of communication advising of vacancy created on the Santa Barbara Youth Council with the resignation of Katie Carrillo-Castro; the vacancy will be part of the current City Advisory Groups recruitment.

This concludes the Consent Calendar.

REPORT FROM THE FINANCE COMMITTEE

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

FINANCE DEPARTMENT

20. Subject: Fiscal Year 2015 Third Quarter Review (210.01)

Recommendation: That Council:

- A. Hear a report from staff on the status of revenues and expenditures in relation to budget for the nine months ended March 31, 2015;
- B. Accept the Fiscal Year 2015 Interim Financial Statements for the Nine Months Ended March 31, 2015; and
- C. Approve the proposed third quarter adjustments to Fiscal Year 2015 appropriations and estimated revenues as detailed in the schedule of Proposed Third Quarter Adjustments.

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS (CONT'D)

PUBLIC WORKS DEPARTMENT

21. Subject: Introduction Of Ordinance Approving A Joint Powers Agreement For Wastewater Treatment In The Mission Canyon Area (540.13)

Recommendation: That Council:

- A. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Approving the 2015 Joint Powers Agreement for Wastewater Collection, Treatment and Disposal for County Service Area 12 in the Mission Canyon Area Between the County of Santa Barbara and the City of Santa Barbara; and
- B. Authorize the City Administrator to execute a Joint Powers Agreement for Wastewater Collection, Treatment, and Disposal in the Mission Canyon Area with the County of Santa Barbara.

CITY ADMINISTRATOR

22. Subject: Community Polling Results On Unfunded Infrastructure Needs (530.01)

Recommendation: That Council:

- A. Receive a report on community polling results on the City's unfunded infrastructure needs and possible funding options related to streets, parks and facilities; and
- B. Direct staff to initiate procedural steps to place a sales tax measure on the November 2015 ballot.

ADMINISTRATIVE SERVICES DEPARTMENT

23. Subject: Vote-By-Mail General Municipal Election, Agreement For Election Services And Direction Regarding Potential Ballot Measures (110.03)

Recommendation: That Council:

- A. Authorize the City Clerk to conduct the November 3, 2015 General Municipal Election as a Vote-By-Mail Election;
- B. Authorize the Administrative Services Director to execute a \$135,000 professional services agreement with Martin & Chapman Company for election services necessary concerning the City's November 2015 General Election, and to approve expenditures of up to \$20,250 for extra services that may result from necessary changes in the scope of work; and
- C. Direct staff and the City Attorney to return to Council to discuss other potential matters to be placed on the November ballot.

MAYOR AND COUNCIL REPORTS

24. Subject: Interviews For City Advisory Groups (140.05)

Recommendation: That Council:

- A. Hold interviews of applicants to various City Advisory Groups; and
- B. Continue interviews of applicants to June 2, 2015, and June 9, 2015.
(Estimated Time: 4:00 p.m.)

PUBLIC HEARINGS

25. Subject: Renewal Of Levy For Fiscal Year 2016 For The Wildland Fire Suppression Assessment District (520.03)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Declaring Its Intention to Continue Vegetation Road Clearance, Implementation of a Defensible Space Inspection and Assistance Program, and Implementation of a Vegetation Management Program Within the Foothill and Extreme Foothill Zones; Declaring the Work to be of More Than General or Ordinary Benefit and Describing the District to be Assessed to Pay the Costs and Expenses Thereof; Approving the Engineer's Report; Confirming Diagram and Assessment; and Ordering Continuation of the Wildland Fire Suppression Assessment District for Fiscal Year 2016.

COUNCIL AND STAFF COMMUNICATIONS

COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

CLOSED SESSIONS

26. Subject: Public Employee Performance Evaluation - Government Code Section 54957 (160.01)

Recommendation: That Council hold a closed session for a Public Employee Performance Evaluation per Government Code Section 54957.

Title: City Attorney
Scheduling: Duration, 40 minutes; anytime
Report: None anticipated

CLOSED SESSIONS (CONT'D)

27. Subject: Conference With Labor Negotiator (440.05)

Recommendation: That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristine Schmidt, Administrative Services Director, regarding negotiations with the Fire Management Association, Supervisors Association, and regarding salaries and fringe benefits for unrepresented management.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

ADJOURNMENT

CITY OF SANTA BARBARA
FINANCE COMMITTEE
SPECIAL MEETING AGENDA

DATE: May 19, 2015
TIME: 12:00 P.M.
PLACE: David Gebhard Public Meeting Room
630 Garden Street

Dale Francisco, Chair
Bendy White
Gregg Hart

Paul Casey
City Administrator

Robert Samario
Finance Director/
Acting Assistant City Administrator

ITEMS TO BE CONSIDERED:

1. Subject: Fiscal Year 2015 Third Quarter Review

Recommendation: That the Finance Committee recommend that Council:

- A. Hear a report from staff on the status of revenues and expenditures in relation to budget for the nine months ended March 31, 2015;
- B. Accept the Fiscal Year 2015 Interim Financial Statements for the Nine Months Ended March 31, 2015; and
- C. Approve the proposed third quarter adjustments to Fiscal Year 2015 appropriations and estimated revenues as detailed in the attached schedule of Proposed Third Quarter Adjustments.

(See Council Agenda Item No. 20)

2. Subject: Finance Committee Review Of The Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017

Recommendation: That Finance Committee:

- A. Hear a report from staff on the Recommended Budget for Fiscal Year 2016 which will include a pension update, a status report on the Employee Mortgage Loan Assistance Program (EMLAP), staff-proposed adjustments to the fiscal year 2016 recommended budget; and
- B. Make final decisions and recommendations to Council relative to the items presented to the Finance Committee in connection with the fiscal year 2016 recommended budget.



CITY OF SANTA BARBARA

FINANCE COMMITTEE AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Finance Committee

FROM: Administration Division, Finance Department

SUBJECT: Finance Committee Review Of The Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017

RECOMMENDATION: That Finance Committee:

- A. Hear a report from staff on the Recommended Budget for Fiscal Year 2016 which will include a pension update, a status report on the Employee Mortgage Loan Assistance Program (EMLAP), staff-proposed adjustments to the fiscal year 2016 recommended budget; and
- B. Make final decisions and recommendations to Council relative to the items presented to the Finance Committee in connection with the fiscal year 2016 recommended budget.

DISCUSSION:

Over the last several weeks, the Finance Committee has received reports from staff on various aspects of the fiscal year 2016 recommended budget in accordance with the approved Finance Committee review schedule, as revised. Today's meeting will cover the following topics:

1. Pension update
2. Employee Mortgage Loan Assistance Program (EMLAP) status
3. Follow-up on items requested by Finance Committee
4. Staff-proposed adjustments

These items are the last of the topics to be reviewed by the Finance Committee. As such, staff will be asking the Finance Committee to provide staff with recommendations relative to all items considered by the Finance Committee. In addition to the topics discussed at the current meeting, the other topics include the following:

Finance Committee Agenda Report

Finance Committee Review Of The Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017

May 19, 2015

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1. General Fund tax revenue assumptions
2. Proposed changes to General Fund and Enterprise Fund fees, charges, and rates
3. Proposed Airport Fund budget, including the proposed reduction in Aircraft, Rescue and Fire Fighting (ARFF) services
4. Requests from outside organizations

The recommendations of the Finance Committee will be forwarded to Council on Monday, June 1, the first meeting scheduled for the City Council to consider the entirety of the fiscal year 2016 recommended budget.

ATTACHMENTS:

1. Approved Finance Committee Budget Review Schedule
2. Schedule of Staff Recommended Adjustments to the Proposed Two-Year Financial Plan for Fiscal Years 2016 and 2017

SUBMITTED BY: Robert Samario, Finance Director/Acting Assistant City Administrator

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Finance Committee Review Schedule
Two-Year Financial Plan for Fiscal Years 2016 and 2017

| Meeting Date and Time | Department |
|--|---|
| Tuesday, April 21, 2015 12:30 p.m. – 1:45 p.m. | <ul style="list-style-type: none"> ➤ Proposed Finance Committee Budget Review Schedule |
| Tuesday, April 28, 2015 12:00 p.m. – 1:45 p.m. | <ul style="list-style-type: none"> ➤ General Fund non-departmental revenues and assumptions ➤ General Fund Multi-Year Forecast ➤ March 31, 2015 Investment Report & Fiscal Agent Report (Non-Budget Item) ➤ ARFF Discussion (Non-Budget Item) |
| Tuesday, May 5, 2015 12:30 p.m. – 1:45 p.m. | <ul style="list-style-type: none"> ➤ General Fund departmental proposed fee changes ➤ Rental Assistance Grants (Non-Budget Item) ➤ Turner Foundation Loan (Non-Budget Item) |
| Tuesday, May 12, 2015 12:30 p.m. – 1:45 p.m. | <ul style="list-style-type: none"> ➤ Enterprise fund proposed fee changes ➤ Funding Requests from Community Organizations |
| Tuesday, May 19, 2015 12:00 p.m. – 1:45 p.m. | <ul style="list-style-type: none"> ➤ Pension Update ➤ Employee Mortgage Loan Assistance Program (EMLAP) Status ➤ Follow-up on items requested by Finance Committee ➤ Staff recommended adjustments, if any ➤ Finance Committee decisions/ recommendations ➤ FY15 Third Quarter Review (Non-Budget Item) |

ATTACHMENT 1

Note: No Council meeting on May 26, 2015.

CITY OF SANTA BARBARA
Schedule of Staff Recommended Adjustments
Two-Year Financial Plan for Fiscal Years 2016 and 2017

| | Estimated Revenue* | Appropriations* | Addition to/ (Use of) Reserves |
|--|-----------------------|--------------------|--------------------------------------|
| GENERAL FUND | | | |
| Administrative Services Department | | | |
| Shift corresponding costs (vehicle allowance and allocated costs) from the City Administrator's Office to Administrative Services for staff costs already moved to the new Administration program in the Administrative Services Department. | \$ - | \$ 23,861 | |
| City Administrator's Office | | | |
| Shift corresponding costs (vehicle allowance and allocated costs) from the City Administrator's Office to Administrative Services for staff costs already moved to the new Administration program in the Administrative Services Department. | - | (23,861) | |
| Increase transfer of City TV PEG (Public Education and Government access) Fee revenue to the Capital Outlay Fund for the City TV capital project reserve so the full amount of PEG revenue estimated to be received is appropriated. | - | 3,000 | |
| General Government | | | |
| Reduce appropriated reserves to balance the General Fund. | - | (3,000) | |
| General Fund Fund Total | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |
| CAPITAL OUTLAY FUND | | | |
| City Administrator's Office | | | |
| Increase transfer in from the General Fund for the City TV PEG Fee capital project reserve, as described above. | \$ 3,000 | \$ - | |
| Capital Outlay Fund Total | <u>\$ 3,000</u> | <u>\$ -</u> | <u>\$ 3,000</u> |
| CREEKS FUND | | | |
| Parks and Recreation Department | | | |
| Reduce transfer of Measure B funds to the Street Sweeping Fund due to reduced costs in the Street Sweeping Fund, as described below. | \$ - | \$ (43,480) | |
| Creeks Fund Total | <u>\$ -</u> | <u>\$ (43,480)</u> | <u>\$ 43,480</u> |

| | Estimated Revenue* | Appropriations* | Addition to/ (Use of) Reserves |
|---|-----------------------|------------------|--------------------------------------|
| STREETS FUND | | | |
| Public Works Department | | | |
| Move costs for the City's general National Pollutant Discharge Elimination System (NPDES) permit from the Street Sweeping Fund, as described below. | \$ - | \$ 30,000 | |
| Streets Fund Total | \$ - | \$ 30,000 | \$ (30,000) |

| | | | |
|---|--------------------|--------------------|------------------|
| STREET SWEEPING FUND | | | |
| Public Works Department | | | |
| Move costs for the City's general NPDES permit to the Streets Fund. This is requested by Public Works staff, Creeks staff, and the Creeks Advisory Committee to reduce the amount of Measure B revenue funding needed to help support the Street Sweeping Fund. The transfer of Measure B funds from the Creeks Fund is adjusted to reflect the reduced costs for the NPDES permit and other supplies/services, and moving costs between the residential and commercial programs in the Street Sweeping Fund to better align program revenues with program costs. | \$ (43,480) | \$ (55,000) | |
| Street Sweeping Fund Total | \$ (43,480) | \$ (55,000) | \$ 11,520 |

* Note: Amounts shown above are for FY 2016, however similar adjustments are also proposed for the second year of the Proposed Two-Year Financial Plan (FY 2017).



CITY OF SANTA BARBARA CITY COUNCIL MINUTES

SPECIAL MEETING April 30, 2015 COUNCIL CHAMBER, 735 ANACAPA STREET

CALL TO ORDER

Mayor Pro Tempore Gregg Hart called the meeting to order at 3:00 p.m.

PLEDGE OF ALLEGIANCE

Mayor Pro Tempore Hart.

ROLL CALL

Councilmembers present: Dale Francisco (3:05 p.m.), Frank Hotchkiss, Cathy Murillo, Randy Rowse, Bendy White, Mayor Pro Tempore Gregg Hart.

Councilmembers absent: Mayor Helene Schneider.

Staff present: City Administrator Paul Casey, City Attorney Ariel Pierre Calonne, Deputy City Clerk Deborah L. Applegate.

PUBLIC COMMENT

No one wished to speak.

NOTICES

The City Clerk has on Thursday, April 23, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.

Councilmember Francisco arrived at 3:05 p.m.

PUBLIC HEARINGS

Subject: Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017 (230.05)

Recommendation: That Council hear presentations from the Community Development and Library Departments on their recommended budgets as contained in the Proposed Two-Year Financial Plan for Fiscal Years 2016 and 2017.

(Cont'd)

Subject: Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017 (Cont'd)

Documents:

- April 30, 2015, report from the Finance Director/Acting Assistant City Administrator.
- PowerPoint presentation prepared and made by Staff.

Speakers:

- Staff: Community Development Director George Buell, Chief Building Official Andrew Stuffer, City Planner Renee Brooke, Community Development Business Manager Sue Gray, Building Inspector/Plan Check Supervisor Larry Cassidy, Library Director Irene Marcias.
- Members of the Public: Bessie Condos, Ethan Shenkman, Chris Roberts, Robert Burke.

Discussion:

Community Development Director Buell provided overview of the Community Development Department and its General Fund expenditures and revenues. Community Development Business Manager Gray described Land Development & Construction Indicators and Planning/Building & Safety Development Revenue. Library Director Irene Marcias provided overviews of the Library Department's benchmarks, recommended budget for Financial Year 2016, update on Capital Project and P3 Accomplishments and Objectives. Councilmembers' questions were answered by staff.

By consensus, the hearing was continued to May 4, 2015, at 3:00 p.m.

ADJOURNMENT

Mayor Pro Tempore Hart adjourned the meeting at 4:25 p.m.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA
CITY CLERK'S OFFICE

GREGG HART
MAYOR PRO TEMPORE

ATTEST: _____
DEBORAH L. APPLGATE
DEPUTY CITY CLERK



CITY OF SANTA BARBARA CITY COUNCIL MINUTES

ADJOURNED REGULAR MEETING May 4, 2015 1215 E. COTA STREET

CALL TO ORDER

The meeting was called to order at 1:35 p.m.

ROLL CALL

Councilmembers present: Dale Francisco, Frank Hotchkiss, Cathy Murillo, Randy Rowse, Bendy White, Mayor Helene Schneider.

Councilmembers absent: Gregg Hart.

Staff present: City Administrator Paul Casey, City Attorney Ariel Pierre Calonne.

PUBLIC COMMENT

No one wished to speak.

NOTICES

The City Clerk has on Thursday, April 30, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.

SITE VISIT

Subject: 1215 E. Cota Street

Recommendation: That Council make a site visit to the property located at 1215 E. Cota Street, which is the subject of an appeal hearing set for May 5, 2015, at 2:00 p.m.

Discussion:

Staff reviewed the proposed home's plans and elevations. Councilmembers were then led on a tour of the subject property. They also visited the Appellant's property to view the proposed project.

ADJOURNMENT

The meeting was adjourned at 1:55 p.m.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA
CITY CLERK'S OFFICE

HELENE SCHNEIDER
MAYOR

ATTEST:

DEBORAH L. APPLGATE
DEPUTY CITY CLERK



CITY OF SANTA BARBARA CITY COUNCIL MINUTES

SPECIAL MEETING May 4, 2015 COUNCIL CHAMBER, 735 ANACAPA STREET

CALL TO ORDER

Mayor Helene Schneider called the meeting to order at 3:01 p.m.

PLEDGE OF ALLEGIANCE

Mayor Schneider.

ROLL CALL

Councilmembers present: Dale Francisco (3:06 p.m.), Gregg Hart, Frank Hotchkiss, Cathy Murillo, Bendi White, Mayor Schneider.

Councilmembers absent: Randy Rowse.

Staff present: City Administrator Paul Casey, City Attorney Ariel Pierre Calonne, Deputy City Clerk Susan Tschech.

PUBLIC COMMENT

No one wished to speak.

NOTICES

The City Clerk has on Thursday, April 30, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.

PUBLIC HEARINGS

Subject: Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017 (230.05)

Recommendation: That Council hear presentations from the Finance (including General Government), Administrative Services, City Attorney, City Administrator, and Mayor and Council Departments on their recommended budgets as contained in the Proposed Two-Year Financial Plan for Fiscal Years 2016 and 2017.

Documents:

- May 4, 2015, report from the Acting Assistant City Administrator/Finance Director.
- PowerPoint presentations prepared and made by Staff.

Speakers:

Staff: Acting Assistant City Administrator/Finance Director Robert Samario, Risk Manager Mark Howard, City Administrator Paul Casey, Administrative Services Director Kristine Schmidt, City Clerk Services Manager Gwen Peirce, Information Systems Manager Rob Badger, City Attorney Ariel Calonne, Assistant to the City Administrator Nina Johnson.

Discussion:

Acting Assistant City Administrator/Finance Director Samario presented an overview of the Finance Department's General Fund budget, with an emphasis on the impact of currently open positions and a proposal to add an Accounting Services Supervisor position. Risk Manager Howard reviewed the City's Self-Insurance Fund, showing trends related to the frequency and severity of workers' compensation and liability claims; he also explained the outlook for the fund, with a focus on projected increases in costs for workers' compensation, liability, and property and miscellaneous insurance.

Staff of the Administrative Services Department outlined the proposed budget for the department and its three divisions. Key budget changes were described, including transfers from the City Administrator's Office and a request for a new position to assist the department director on labor issues.

City Attorney Calonne presented the City Attorney's Office budget for Fiscal Year 2016, including the redirection of funding from a vacant support position to the restoration and enhancement of department services. Mr. Calonne noted that the office has been reorganized into four functional areas and that performance objectives and milestones have been developed.

(Cont'd)

**Subject: Proposed Two-Year Financial Plan For Fiscal Years 2016 And 2017
(Cont'd)**

Discussion (Cont'd):

Assistant to the City Administrator Johnson summarized the City Administrator's Office proposed budget and significant expenditures. She also outlined the office's work plan for the coming year, including the replacement of City TV equipment and expansion of informational resources and videos on the City's website. Ms. Johnson then detailed the Mayor and Council's Office budget, including an outline of expenditures related to arts and community promotions and a description of performance objectives.

Staff answered Councilmembers' questions about all four budgets.

ADJOURNMENT

Mayor Schneider adjourned the meeting at 4:31 p.m.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA
CITY CLERK'S OFFICE

HELENE SCHNEIDER
MAYOR

ATTEST: _____
SUSAN TSCHECH, CMC
DEPUTY CITY CLERK



CITY OF SANTA BARBARA CITY COUNCIL MINUTES

REGULAR MEETING May 5, 2015 COUNCIL CHAMBER, 735 ANACAPA STREET

CALL TO ORDER

Mayor Helene Schneider called the meeting to order at 2:00 p.m. (The Finance Committee and Ordinance Committee met at 12:30 p.m.)

PLEDGE OF ALLEGIANCE

Mayor Schneider.

ROLL CALL

Councilmembers present: Dale Francisco, Gregg Hart, Frank Hotchkiss, Cathy Murillo, Randy Rowse, Bendy White, Mayor Schneider.

Councilmembers absent: Councilmember Frank Hotchkiss.

Staff present: City Administrator Paul Casey, Assistant City Attorney Sarah Knecht, Deputy City Clerk Deborah L. Applegate.

CEREMONIAL ITEMS

1. **Subject: Employee Recognition - Service Award Pins (410.01)**

Recommendation: That Council authorize the City Administrator to express the City's appreciation to employees who are eligible to receive service award pins for their years of service through May 31, 2015.

Documents:

May 5, 2015, report from the Administrative Services Director.

Speakers:

Staff: City Administrator Paul Casey, Award Recipient Beatriz Gularte and Marisela Salinas.

(Cont'd)

1. (Cont'd)

By consensus, the Council approved the recommendation and the following employees were recognized:

5-Year Pin

Kyle Lowry, Police Officer, Police Department
Megan Harrison, Police Officer, Police Department

10-Year Pin

Joshua Thompson, PC / Network Technician II, Administrative Services
Curtis Harrison, Senior Plans Examiner, Community Development Department
Ryan DiGuilio, Fire Inspector II, Fire Department
Mark Cavalier, Welder / Fabricator, Public Works Department
Theresa Lancy, Water/Wastewater Maintenance Planner/Scheduler, Public Works
Keven Strasburg, Park Project Technician, Parks and Recreation Department
Alberto Cuevas, Airport Maintenance Worker II, Airport Department
Stephen Spurlock, Airport Patrol Officer II, Airport Department

15-Year Pin

Jeff Deming, Animal Control Officer, Police Department
Michael Kronman, Harbor Operations Manager, Waterfront Department
Rebecca Klarich, Public Safety Dispatcher, Police Department

20-Year Pin

Rogelio Arroyo, Senior Control Systems Operations Specialist, Public Works

25-Year Pin

Beatriz Gularte, Project Planner, Community Development Department
Marisela Salinas, Project Planner, Community Development Department

PUBLIC COMMENT

Speakers: Phil Walker; Tom Widroe, City Watch; Geof Bard; Kate Smith.

ITEMS REMOVED FROM CONSENT CALENDAR

7. **Subject: Set A Date For Public Hearing Regarding Renewal Of Levy For Fiscal Year 2016 For The Wildland Fire Suppression Assessment (520.03)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Declaring its Intention to Continue the Wildland Fire Suppression Assessment Within the Foothill and Extreme Foothill Zones; Declaring the Work to be of More Than General or Ordinary Benefit and Describing the District to be Assessed to Pay the Costs and Expenses Thereof; Preliminarily Approving the Updated Engineer's Report; Stating Intention to Continue Assessments for Fiscal Year 2016; and Establishing a Time of 2:00 P.M. on Tuesday, May 19, 2015, in the City Council Chambers for a Public Hearing on the Wildland Fire Suppression Assessment.

Councilmember White stated he would abstain from voting on this item due to a conflict of interest related to his living within the Foothill and Extreme Foothill Zones.

Documents:

- May 5, 2015, report from the Fire Chief.
- Proposed Resolution.

The title of the resolution related to this item was read.

Motion:

Councilmembers Murillo/Rowse to approve the recommendation; Resolution No. 15-031.

Vote:

Unanimous roll call vote (Abstentions: Councilmember White; Absent: Councilmember Hotchkiss).

CONSENT CALENDAR (Item Nos. 2 – 11)

The title of the resolutions and ordinances related to Consent Calendar items were read.

Motion:

Councilmembers Rowse/White to approve the Consent Calendar as recommended.

Vote:

Unanimous roll call vote. (Absent: Councilmember Hotchkiss).

2. Subject: Minutes

Recommendation: That Council waive further reading and approve the minutes of the regular meetings of April 14, and 21, 2015, and the adjourned regular meeting of April 20, 2015.

Action: Approved the recommendation.

3. Subject: Adoption of Ordinance For Lease Amendment to Lease No. 23,017, Between MAG Aviation and the City of Santa Barbara. (330.04)

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Approving and Authorizing the Airport Director to Execute a Second Amendment to Lease Agreement No. 23,017, as previously amended July 1, 2010, with MAG Aviation, a Partnership, and the City of Santa Barbara, for operation of a self-service aviation fueling facility, at 1600 Cecil Cook Place, at the Santa Barbara Airport, effective upon the adoption of the enabling Ordinance, to allow a one year waiver of the scheduled CPI rental adjustment.

Action: Approved the recommendation; Ordinance No. 5692; Agreement No. 23,017.01.

4. Subject: Records Destruction For Administrative Services Department (160.06)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the Administrative Services Department in the City Clerk's Office and Human Resources Division.

Action: Approved the recommendation, Resolution No. 15-023 (May 5, 2015, report from the Administrative Services Director; proposed resolution).

5. Subject: Records Destruction For Waterfront Department (160.06)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the Waterfront Department in the Administration Office.

Action: Approved the recommendation, Resolution No. 15-030 (May 5, 2015, report from the Waterfront Director; proposed resolution).

6. Subject: Sole Source Vendor For Digital Storage Equipment (520.04)

Recommendation: That Council find it in the City's best interest to waive the formal bid process as authorized by Municipal Code 4.52.070 (L), and authorize the City's General Services Manager to issue a Purchase Order to Technology Express, in the amount of \$48,081 for the purchase of the Hewlett Packard (HP) digital storage equipment at the Police Department.

Action: Approved the recommendation (May 5, 2015, report from the Chief of Police).

8. Subject: Adoption Of 2015-2019 Consolidated Plan And 2015-16 Annual Action Plan (660.01)

Recommendation: That Council:

- A. Adopt the 2015-2019 Consolidated Plan and 2015-16 Annual Action Plan for submittal to the US Department of Housing and Urban Development (HUD); and
- B. Authorize the City Administrator to sign all necessary documents to submit the City's 2015-2019 Consolidated Plan and 2015-2016 Annual Action Plan to HUD.

Action: Approved the recommendations (May 5, 2015, report from the Community Development Director).

9. Subject: Capital Improvement Projects: Third Quarter Report For Fiscal Year 2015 (230.05)

Recommendation: That Council receive the City's Capital Improvement Projects Third Quarter Report for Fiscal Year 2015.

Action: Approved the recommendation (May 5, 2015, report from the Public Works Director).

10. Subject: Acceptance Of Public Street Easement Deed For 3885 State Street (330.03)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Accepting a Public Street Easement Over the Real Property Commonly Known as 3885 State Street for All Street Purposes.

Action: Approved the recommendation; Resolution No. 15-032 (May 5, 2015, report from the Public Works Director; proposed resolution).

NOTICES

11. The City Clerk has on Thursday, April 30, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.

This concluded the Consent Calendar.

REPORT FROM THE FINANCE COMMITTEE

Finance Committee Chair Dale Francisco reported that the Committee met to review the proposed Two-Year Financial Plan for Fiscal Years 2016 and 2017 and consider the proposed \$275,000 loan to the Turner Foundation to rehabilitate seven low-income rental units and two federal HOME Investment Partnership Program Tenant Based Rental Assistance Grants.

REPORT FROM THE ORDINANCE COMMITTEE

Ordinance Committee Chair Randy Rowse reported that the Committee met and will forward to council for introduction and subsequent adoption ordinances related to: 1) Prohibition Of Unauthorized Traffic Signs; 2) Establishing Bus Stop Zones; 3) Curb Marking For Parking Regulations; and 4) Proposal To Change The System For Assignment Of Mooring Permits In The East Beach Mooring Area From A Lottery System To A First-Come, First-Serve System.

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

ADMINISTRATIVE SERVICES DEPARTMENT

12. **Subject: Report On Gender Representation On Boards And Commissions (140.02)**

Recommendation: That Council review the findings of a report from the County of Santa Barbara Commission for Women entitled, "A Countywide Snapshot of Gender Representation on Appointed Boards and Commissions".

Documents:

- May 5, 2015, report from the Director of Administrative Services.
- PowerPoint presentation prepared and made by Staff.

Speakers:

- Staff: Director of Administrative Services Kristy Schmidt.
- County of Santa Barbara Commission For Women: Gail R. Teton-Landis, 2nd District Representative; Gina Fisher, 1st District Representative; Suzanne Peck, 2nd District Representative.
- Members of the Public: Tom Widroe, City Watch.

(Cont'd)

12. (Cont'd)

Discussion: Staff's presentation included information regarding gender composition of 103 boards and commissions of the County of Santa Barbara and all municipalities within the county. The data examined the number of women represented on these various boards and commissions. Councilmembers' asked questions and their questions were answered.

PUBLIC WORKS DEPARTMENT

13. Subject: Contract To Provide A Work Plan For Desalination Subsurface Intake And Potable Reuse Feasibility Studies (540.10)

Recommendation: That Council:

- A. Authorize the Public Works Director to execute a Professional Services contract with Carollo Engineers, Inc., in the amount of \$312,659 to provide a Work Plan for Desalination Subsurface Intake and Potable Reuse Feasibility Studies, and approve expenditures of up to \$31,266 for extra services of Carollo Engineers, Inc., that may result from necessary changes in the scope of work, for a total contract phase amount of \$343,925; and
- B. Increase estimated revenues and appropriations in the Drought Fund in the amount of \$343,925 for a Desalination Subsurface Intake Work Plan and Potable Reuse Feasibility Studies funded from the transfer of Water Fund Reserves.

Documents:

- May 5, 2015, report from the Public Works Director.
- PowerPoint presentation prepared and made by Staff.

Speakers:

- Staff: Water Resources Manager Joshua Haggmark; Public Works Director Rebecca Bjork.
- Members of the Public: Tom Widroe, City Watch; Kira Redmond, Santa Barbara Channelkeeper.

Motion:

Councilmembers Murillo/Hart to approve the recommendations; Agreement No. 25,191.

Vote:

Unanimous roll call vote. (Absent: Councilmember Hotchkiss)

PUBLIC HEARINGS

14. Subject: Appeal Of Single Family Design Board Approval For Additions To A Residence At 1215 E. Cota Street (640.07)

Recommendation: That Council deny the appeal of Trevor Martinson, agent for Roger Goldtrap, and uphold the Single Family Design Board decision to grant Project Design Approval for additions to an existing single-family residence.

Documents:

- May 5, 2015, report from the Community Development Director.
- May 5, 2015, report from Trevor J. Martinson.
- PowerPoint presentation prepared and made by Staff.

Public Comment Opened:

3:37 p.m.

Speakers:

- Staff: Senior Planner Jaime Limón.
- Appellant: Trevor J. Martinson, Roger Goldtrap.
- Applicant: Leslie Colasse.

Public Comment Closed:

3:37 p.m.

Motion:

Councilmember White/Hart to deny the appeal and uphold the decision of the Single Family Design Board to grant Project Design Approval for additions to an existing single-family residence.

Vote:

Unanimous voice vote. (Absent: Councilmember Hotchkiss)

RECESS

4:00 p.m. – 6:00 p.m.

Mayor Schneider presiding.

Councilmembers present: Francisco, Hart, Murillo, Rowse, White, Mayor Schneider.

Councilmembers absent: Councilmember Hotchkiss.

Staff present: City Administrator Casey, Assistant City Attorney Knecht, Deputy City Clerk Applegate.

PUBLIC COMMENT

No one wished to speak.

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

PUBLIC WORKS DEPARTMENT

15. **Subject: Declaration Of Stage Three Drought Emergency And Adoption Of Stage Three Water Use Regulations And Development Restrictions (540.05)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Declaring a Stage Three Drought Emergency and Establishing Water Use Regulations and Development Restrictions to Be Effective During a Stage Three Drought Emergency.

Documents:

- May 5, 2015, report from the Public Works Director.
- PowerPoint presentation prepared and made by Staff.
- Proposed Resolution.

The title of the resolution was read.

Speakers:

- Staff: Water Resources Manager Joshua Haggmark, Acting Water Resources Manager Madaline Ward, Acting Senior Planner Alison DuBusk, Project Manager Bill Ferguson.
- Members of the Public: Milt Hess; Bryce Carneal, Hunter Industries; Allen Cooper, Pintado Pools, Inc; Myles Steimle, California Pools; Reyne Stagelmann, Santa Barbara Association of Realtors; Brad Smith, Youth Drought Project; Art Gtuummitt, SPCA Swimming Pool, Inc.

Motion:

Councilmembers White/Rowse to approve the recommendation but limited to portions mandated by the State and to include a requirement that new pools must have automatic pool covers.

Vote:

Motion died for lack of a second.

Motion:

Councilmembers Hart/Francisco to approve recommendation to declare a Stage Three Drought Emergency and establish a required 25 percent City-wide reduction in demand; and to direct staff to modify the proposed regulations to include only the requirements of the State Water Resources Control Board Emergency Regulations adopted on May 5, 2015, and bring back to Council for approval.

Vote:

Majority roll call vote; (Noes: Councilmember Murillo, Absent: Councilmember Hotchkiss).

16. Subject: Introduction Of Ordinance Amending Municipal Code Chapter 14.32 To Prohibit Private Water Well Construction On Properties Served By The City's Water System (540.10)

Recommendation: That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Municipal Code Chapter 14.32 to Prohibit Private Water Well Construction on Properties Served by the City's Water System.

Documents:

- May 5, 2015, report from the Public Works Director.
- PowerPoint presentation prepared and made by Staff.
- Proposed Ordinance.

The title of the ordinance was read.

Speakers:

- Staff: Water Resources Supervisor Kelly Dyer.
- Members of the Public: Tom Widroe, City Watch.

Motion:

Councilmembers Murillo/Hart to approve recommendation.

Vote:

Majority voice vote. (Noes: Councilmember Francisco, Absent: Councilmember Hotchkiss).

ADJOURNMENT

Mayor Schneider adjourned the meeting at 7:40 p.m.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA
CITY CLERK'S OFFICE

HELENE SCHNEIDER
MAYOR

ATTEST: _____
DEBORAH L. APPLGATE
DEPUTY CITY CLERK



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Treasury Division, Finance Department

SUBJECT: April 2015 Investment Report

RECOMMENDATION:

That Council accept the April 2015 Investment Report.

DISCUSSION:

The attached investment report includes Investment Activity, Interest Revenue, a Summary of Cash and Investments, and Investment Portfolio detail as of April 30, 2015.

ATTACHMENT: April 2015 Investment Report

PREPARED BY: Julie Nemes, Treasury Manager

SUBMITTED BY: Robert Samario, Acting Assistant City Administrator/Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Activity and Interest Report
April 30, 2015

INVESTMENT ACTIVITY

PURCHASES OR DEPOSITS

| | | |
|--------------|-----------|----------|
| | \$ | - |
| Total | \$ | - |

SALES, MATURITIES, CALLS OR WITHDRAWALS

| | | |
|---|-----------|--------------------|
| 4/15 Federal Home Loan Bank (FHLB) - Call | \$ | (2,000,000) |
| 4/17 Federal Home Loan Bank (FHLB) - Call | | (2,000,000) |
| 4/17 Federal Home Loan Mortgage Corp (FHLMC) - Maturity | | (2,000,000) |
| Total | \$ | (6,000,000) |

ACTIVITY TOTAL

\$ (6,000,000)

INVESTMENT INCOME

POOLED INVESTMENTS

| | | |
|--------------------------------|-----------|----------------|
| Interest Earned on Investments | \$ | 165,506 |
| Amortization | | (11,065) |
| Total | \$ | 154,441 |

INCOME TOTAL

\$ 154,441

CITY OF SANTA BARBARA

Investment Portfolio

April 30, 2015

| DESCRIPTION | PURCHASE DATE | MATURITY DATE | QUALITY RATING MOODY'S | QUALITY RATING S & P | STATED RATE | YIELD AT 365 | FACE VALUE | BOOK VALUE | MARKET VALUE | BOOK GAIN/(LOSS) | COMMENTS |
|--|---------------|---------------|---------------------------|-------------------------|-------------|--------------|---------------|---------------|---------------|------------------|---|
| LOCAL AGENCY INVESTMENT FUNDS | | | | | | | | | | | |
| LOCAL AGENCY INVESTMENT FUND | - | - | - | - | 0.283 | 0.283 | 24,000,000.00 | 24,000,000.00 | 24,000,000.00 | 0.00 | |
| Subtotal, LAIF | | | | | | | 24,000,000.00 | 24,000,000.00 | 24,000,000.00 | 0.00 | |
| CERTIFICATES OF DEPOSIT | | | | | | | | | | | |
| AMERICAN EXPRESS BANK FSB | 10/23/14 | 10/23/19 | - | - | 2.200 | 2.200 | 250,000.00 | 250,000.00 | 252,890.00 | 2,890.00 | FDIC Certificate 35328 |
| CAPITAL ONE BANK USA NA | 10/29/14 | 10/29/19 | - | - | 1.900 | 1.900 | 250,000.00 | 250,000.00 | 252,860.00 | 2,860.00 | FDIC Certificate 33954 |
| GE CAPITAL BANK | 10/17/14 | 10/17/19 | - | - | 2.000 | 2.000 | 250,000.00 | 250,000.00 | 252,847.50 | 2,847.50 | FDIC Certificate 33778 |
| GOLDMAN SACHS BANK USA | 10/29/14 | 10/29/19 | - | - | 2.150 | 2.150 | 250,000.00 | 250,000.00 | 252,842.50 | 2,842.50 | FDIC Certificate 33124 |
| MONTECITO BANK & TRUST | 11/18/13 | 11/18/15 | - | - | 0.600 | 0.600 | 2,000,000.00 | 2,000,000.00 | 2,000,000.00 | 0.00 | |
| UNION BANK | 08/31/12 | 08/31/15 | - | - | 1.230 | 1.247 | 2,000,000.00 | 2,000,000.00 | 2,000,000.00 | 0.00 | |
| UNION BANK | 08/31/12 | 08/31/17 | - | - | 1.490 | 1.511 | 4,000,000.00 | 4,000,000.00 | 4,000,000.00 | 0.00 | |
| Subtotal, Certificates of deposit | | | | | | | 9,000,000.00 | 9,000,000.00 | 9,011,440.00 | 11,440.00 | |
| TREASURY SECURITIES - COUPON | | | | | | | | | | | |
| U S TREASURY NOTE | 10/25/12 | 10/31/15 | Aaa | AA+ | 1.250 | 0.397 | 2,000,000.00 | 2,008,492.42 | 2,011,560.00 | 3,067.58 | |
| U S TREASURY NOTE | 02/22/13 | 05/15/16 | Aaa | AA+ | 5.125 | 0.442 | 2,000,000.00 | 2,096,673.39 | 2,099,680.00 | 3,006.61 | |
| U S TREASURY NOTE | 02/22/13 | 08/31/16 | Aaa | AA+ | 1.000 | 0.502 | 2,000,000.00 | 2,013,162.91 | 2,015,460.00 | 2,297.09 | |
| U S TREASURY NOTE | 02/22/13 | 02/28/17 | Aaa | AA+ | 0.875 | 0.607 | 2,000,000.00 | 2,009,690.70 | 2,011,880.00 | 2,189.30 | |
| Subtotal, Treasury Securities | | | | | | | 8,000,000.00 | 8,128,019.42 | 8,138,580.00 | 10,560.58 | |
| FEDERAL AGENCY ISSUES - COUPON | | | | | | | | | | | |
| FED AGRICULTURAL MTG CORP | 10/03/13 | 10/03/18 | - | - | 1.720 | 1.720 | 2,000,000.00 | 2,000,000.00 | 2,029,020.00 | 29,020.00 | |
| FED AGRICULTURAL MTG CORP | 12/12/13 | 12/12/18 | - | - | 1.705 | 1.705 | 2,000,000.00 | 2,000,000.00 | 2,042,860.00 | 42,860.00 | |
| FEDERAL FARM CREDIT BANK | 01/22/15 | 07/22/19 | Aaa | AA+ | 1.720 | 1.720 | 2,000,000.00 | 2,000,000.00 | 1,994,980.00 | (5,020.00) | Callable, continuous |
| FEDERAL FARM CREDIT BANK | 07/09/14 | 07/09/18 | Aaa | AA+ | 1.470 | 1.470 | 2,000,000.00 | 2,000,000.00 | 2,003,240.00 | 3,240.00 | Callable 07/09/15, then continuous |
| FEDERAL FARM CREDIT BANK | 01/22/15 | 01/22/19 | Aaa | AA+ | 1.480 | 1.480 | 2,000,000.00 | 2,000,000.00 | 2,002,600.00 | 2,600.00 | Callable 01/22/16, then continuous |
| FEDERAL FARM CREDIT BANK | 08/15/12 | 08/15/17 | Aaa | AA+ | 0.980 | 0.980 | 2,000,000.00 | 2,000,000.00 | 1,996,880.00 | (3,120.00) | Callable, continuous |
| FEDERAL FARM CREDIT BANK | 09/18/13 | 09/18/17 | Aaa | AA+ | 1.550 | 1.550 | 2,000,000.00 | 2,000,000.00 | 2,032,240.00 | 32,240.00 | |
| FEDERAL FARM CREDIT BANK | 12/16/14 | 12/16/19 | Aaa | AA+ | 2.000 | 2.000 | 2,000,000.00 | 2,000,000.00 | 2,010,860.00 | 10,860.00 | Callable 12/16/15, then continuous |
| FEDERAL FARM CREDIT BANK | 02/11/15 | 02/11/19 | Aaa | AA+ | 1.520 | 1.520 | 2,000,000.00 | 2,000,000.00 | 2,001,740.00 | 1,740.00 | Callable 02/11/16, then continuous |
| FEDERAL FARM CREDIT BANK | 02/16/11 | 02/16/16 | Aaa | AA+ | 2.570 | 2.570 | 2,000,000.00 | 2,000,000.00 | 2,036,520.00 | 36,520.00 | |
| FEDERAL FARM CREDIT BANK | 07/17/13 | 07/17/17 | Aaa | AA+ | 1.300 | 1.300 | 2,000,000.00 | 2,000,000.00 | 2,022,180.00 | 22,180.00 | |
| FEDERAL HOME LOAN BANK | 09/13/13 | 09/14/18 | Aaa | AA+ | 2.000 | 1.910 | 2,000,000.00 | 2,005,751.82 | 2,058,680.00 | 52,928.18 | |
| FEDERAL HOME LOAN BANK | 01/16/13 | 01/16/18 | Aaa | AA+ | 1.000 | 1.000 | 4,000,000.00 | 4,000,000.00 | 3,986,680.00 | (13,320.00) | Callable 07/16/15, then qtrly |
| FEDERAL HOME LOAN BANK | 01/17/14 | 04/17/18 | Aaa | AA+ | 1.480 | 1.480 | 2,000,000.00 | 2,000,000.00 | 2,026,940.00 | 26,940.00 | |
| FEDERAL HOME LOAN BANK | 06/26/14 | 06/26/19 | Aaa | AA+ | 1.250 | 2.062 | 2,000,000.00 | 2,000,000.00 | 2,002,980.00 | 2,980.00 | SU 1.25%-6% Call 06/26/15, then qtrly |
| FEDERAL HOME LOAN BANK | 11/26/14 | 11/26/19 | Aaa | AA+ | 1.500 | 2.102 | 2,000,000.00 | 2,000,000.00 | 2,001,940.00 | 1,940.00 | SU 1.5%-5% Call 05/26/15, then qtrly |
| FEDERAL HOME LOAN BANK | 04/15/11 | 05/27/15 | Aaa | AA+ | 2.000 | 2.000 | 2,000,000.00 | 2,000,000.00 | 2,002,760.00 | 2,760.00 | |
| FEDERAL HOME LOAN BANK | 12/16/13 | 12/14/18 | Aaa | AA+ | 1.750 | 1.650 | 2,000,000.00 | 2,006,913.58 | 2,041,360.00 | 34,446.42 | |
| FEDERAL HOME LOAN BANK | 06/18/14 | 06/09/17 | Aaa | AA+ | 1.000 | 1.003 | 2,000,000.00 | 1,999,858.45 | 2,012,440.00 | 12,581.55 | |
| FEDERAL HOME LOAN BANK | 10/22/14 | 11/18/16 | Aaa | AA+ | 0.750 | 0.500 | 2,000,000.00 | 2,007,675.55 | 2,009,140.00 | 1,464.45 | |
| FEDERAL HOME LOAN MTG CORP | 06/26/13 | 06/26/18 | Aaa | AA+ | 1.400 | 1.400 | 2,000,000.00 | 2,000,000.00 | 1,999,140.00 | (860.00) | Callable 06/26/15, then qtrly |
| FEDERAL HOME LOAN MTG CORP | 12/18/13 | 12/18/18 | Aaa | AA+ | 1.500 | 1.839 | 1,000,000.00 | 1,000,000.00 | 1,001,790.00 | 1,790.00 | SU 1.5%-2.75% Call 06/18/15, then qtrly |
| FEDERAL HOME LOAN MTG CORP | 06/30/14 | 06/30/17 | Aaa | AA+ | 1.000 | 1.000 | 2,000,000.00 | 2,000,000.00 | 2,002,880.00 | 2,880.00 | Callable 06/30/15, once |

CITY OF SANTA BARBARA

Investment Portfolio

April 30, 2015

| DESCRIPTION | PURCHASE DATE | MATURITY DATE | QUALITY RATING MOODY'S | QUALITY RATING S & P | STATED RATE | YIELD AT 365 | FACE VALUE | BOOK VALUE | MARKET VALUE | BOOK GAIN/(LOSS) | COMMENTS |
|--|---------------|---------------|---------------------------|-------------------------|-------------|--------------|----------------|----------------|----------------|------------------|-------------------------------|
| FEDERAL HOME LOAN MTG CORP | 09/12/12 | 09/12/17 | Aaa | AA+ | 1.000 | 1.000 | 2,000,000.00 | 2,000,000.00 | 1,999,640.00 | (360.00) | Callable 06/12/15, then qtrly |
| FEDERAL HOME LOAN MTG CORP | 01/16/13 | 01/16/18 | Aaa | AA+ | 1.050 | 1.050 | 4,000,000.00 | 4,000,000.00 | 4,006,200.00 | 6,200.00 | Callable 07/16/15, then qtrly |
| FEDERAL HOME LOAN MTG CORP | 11/26/14 | 11/26/19 | Aaa | AA+ | 2.000 | 2.000 | 2,000,000.00 | 2,000,000.00 | 2,002,500.00 | 2,500.00 | Callable 05/26/15, then qtrly |
| FEDERAL HOME LOAN MTG CORP | 06/26/13 | 06/26/18 | Aaa | AA+ | 1.500 | 1.500 | 2,000,000.00 | 2,000,000.00 | 2,003,660.00 | 3,660.00 | Callable 06/26/15, then qtrly |
| FEDERAL HOME LOAN MTG CORP | 11/20/13 | 09/29/17 | Aaa | AA+ | 1.000 | 1.030 | 1,000,000.00 | 999,287.60 | 1,006,750.00 | 7,462.40 | |
| FEDERAL NATL MORTGAGE ASSN | 01/30/13 | 01/30/18 | Aaa | AA+ | 1.030 | 1.030 | 3,000,000.00 | 3,000,000.00 | 3,004,110.00 | 4,110.00 | Callable 07/30/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 12/12/12 | 12/12/17 | Aaa | AA+ | 1.000 | 1.000 | 2,000,000.00 | 2,000,000.00 | 1,989,820.00 | (10,180.00) | Callable 06/12/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 06/19/13 | 12/19/16 | Aaa | AA+ | 0.750 | 0.750 | 2,000,000.00 | 2,000,000.00 | 2,001,420.00 | 1,420.00 | Callable 06/19/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 11/15/13 | 10/26/17 | Aaa | AA+ | 0.875 | 1.062 | 2,000,000.00 | 1,990,917.73 | 2,003,920.00 | 13,002.27 | |
| FEDERAL NATL MORTGAGE ASSN | 12/11/13 | 11/27/18 | Aaa | AA+ | 1.625 | 1.606 | 2,000,000.00 | 2,001,296.08 | 2,031,160.00 | 29,863.92 | |
| FEDERAL NATL MORTGAGE ASSN | 11/08/12 | 11/08/17 | Aaa | AA+ | 1.000 | 1.000 | 2,000,000.00 | 2,000,000.00 | 1,997,880.00 | (2,120.00) | Callable 05/08/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 11/08/12 | 11/08/17 | Aaa | AA+ | 1.000 | 1.000 | 2,000,000.00 | 2,000,000.00 | 1,997,880.00 | (2,120.00) | Callable 05/08/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 12/26/12 | 12/26/17 | Aaa | AA+ | 1.000 | 1.000 | 4,000,000.00 | 4,000,000.00 | 3,987,680.00 | (12,320.00) | Callable 06/26/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 09/21/10 | 09/21/15 | Aaa | AA+ | 2.000 | 2.000 | 2,000,000.00 | 2,000,000.00 | 2,014,020.00 | 14,020.00 | |
| FEDERAL NATL MORTGAGE ASSN | 12/10/10 | 10/26/15 | Aaa | AA+ | 1.625 | 2.067 | 2,000,000.00 | 1,995,929.95 | 2,014,720.00 | 18,790.05 | |
| FEDERAL NATL MORTGAGE ASSN | 02/05/13 | 02/05/18 | Aaa | AA+ | 1.000 | 1.000 | 2,000,000.00 | 2,000,000.00 | 1,989,320.00 | (10,680.00) | Callable 05/05/15, then qtrly |
| FEDERAL NATL MORTGAGE ASSN | 11/20/13 | 10/26/17 | Aaa | AA+ | 0.875 | 1.070 | 2,000,000.00 | 1,990,519.07 | 2,003,920.00 | 13,400.93 | |
| Subtotal, Federal Agencies | | | | | | | 85,000,000.00 | 84,998,149.83 | 85,374,450.00 | 376,300.17 | |
| CORPORATE/MEDIUM TERM NOTES | | | | | | | | | | | |
| BERKSHIRE HATHAWAY FIN | 12/15/10 | 12/15/15 | Aa2 | AA | 2.450 | 2.530 | 2,000,000.00 | 1,999,066.67 | 2,024,560.00 | 25,493.33 | |
| BERKSHIRE HATHAWAY INC | 11/29/13 | 02/09/18 | Aa2 | AA | 1.550 | 1.550 | 2,000,000.00 | 2,000,000.00 | 2,024,200.00 | 24,200.00 | |
| GENERAL ELECTRIC CAPITAL CORP | 11/10/10 | 11/09/15 | A1 | AA+ | 2.250 | 2.250 | 2,000,000.00 | 2,000,000.00 | 2,019,540.00 | 19,540.00 | |
| GENERAL ELECTRIC CAPITAL CORP | 01/14/14 | 01/14/19 | A1 | AA+ | 2.300 | 2.250 | 2,000,000.00 | 2,003,480.61 | 2,047,600.00 | 44,119.39 | |
| PROCTOR & GAMBLE | 09/20/11 | 11/15/15 | Aa3 | AA- | 1.800 | 1.085 | 2,000,000.00 | 2,007,513.44 | 2,015,420.00 | 7,906.56 | |
| TOYOTA MOTOR CREDIT | 09/26/11 | 09/15/16 | Aa3 | AA- | 2.000 | 1.800 | 2,000,000.00 | 2,005,224.42 | 2,037,680.00 | 32,455.58 | |
| Subtotal, Corporate Securities | | | | | | | 12,000,000.00 | 12,015,285.14 | 12,169,000.00 | 153,714.86 | |
| SB AIRPORT PROMISSORY NOTE (LT) | | | | | | | | | | | |
| SANTA BARBARA AIRPORT | 07/14/09 | 06/30/29 | - | - | 3.500 | 4.195 | 5,200,181.75 | 5,200,181.75 | 5,200,181.75 | 0.00 | |
| Subtotal, SBA Note | | | | | | | 5,200,181.75 | 5,200,181.75 | 5,200,181.75 | 0.00 | |
| CHECKING ACCOUNT | | | | | | | | | | | |
| MUFG UNION BANK NA CHKNG ACCNT | - | - | - | - | 0.400 | 0.400 | 18,227,353.48 | 18,227,353.48 | 18,227,353.48 | 0.00 | |
| Subtotal, Checking Account | | | | | | | 18,227,353.48 | 18,227,353.48 | 18,227,353.48 | 0.00 | |
| TOTALS | | | | | | | 161,427,535.23 | 161,568,989.62 | 162,121,005.23 | 552,015.61 | |

Market values have been obtained from the City's safekeeping agent, MUFG Union Bank NA - The Private Bank (UBTPB). UBTPB uses Interactive Data Pricing Service, Bloomberg and DTC.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Training & Recruitment Division, Fire Department

SUBJECT: Receipt Of Donated Fire Equipment

RECOMMENDATION:

That Council accept a donation of thermal imaging camera equipment for firefighting with a value of approximately \$6,000 from FLIR Systems, Inc.

DISCUSSION:

FLIR Systems, Inc. has generously donated to the Santa Barbara Fire Department a K55 Thermal Imaging Camera kit (K55 TIC) worth in excess of \$6,000. Thermal imaging cameras have become an integral part of firefighting equipment because this vital tool helps responders to see more clearly in the darkest, smoke-filled environments. The K55 TIC helps firefighters to attack fires more strategically, stay better oriented, detect combustibles and locate hot spots quicker, and find victims faster. This improves the safety and protection of first responders and the likelihood of success. The K55 TIC produces digital images that are ultra-sharp and show extraordinary structural, edge and other instantly-recognizable detail. This system's new, in-camera video storage feature can record and store up to 600 minutes of video which can be useful for later analysis and ideal for training purposes.

BUDGET/FINANCIAL INFORMATION:

The acceptance of this donated equipment does not require a budget adjustment.

PREPARED BY: Tony Pighetti, Fire Training Captain

SUBMITTED BY: Pat McElroy, Fire Chief

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Administration Division, Fire Department

SUBJECT: Mutual Aid Personnel Compensation

RECOMMENDATION:

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Authorizing Portal to Portal Pay for Sworn Fire Employees Assigned to Mutual Aid Response.

DISCUSSION:

The City of Santa Barbara Fire Department participates in the California Fire and Rescue Mutual Aid System when it sends firefighting personnel to out-of-district emergency fire incidents for assistance. The costs incurred by the City as a result of this mutual aid assistance are reimbursed to the City under the terms of the California Fire Assistance Agreement (CFAA).

The CFAA is amended annually and one of this year's changes is the stipulation that City Council, as the City's governing body, pass a resolution that confirms how the City compensates its mutual aid personnel. The resolution recommended by staff provides that:

- The City will compensate its employees "portal to portal" (from the time they leave the duty station until they return) while in the course of their employment and away from their official duty station and assigned to an emergency incident, in support of an emergency incident, or pre-positioned for emergency response; and
- The City incurs direct and/or replacement labor costs under its labor agreements and employment policies at the time-and-one-half overtime rate of pay for such emergency assignments.

The current labor agreements and policies comply with these two clauses. Therefore, this resolution does not change how the City compensates its employees or in any way affect reimbursement procedures and policies. It merely documents and validates for

the benefit of the CFAA how we compensate employees under the terms of their current labor agreements.

BUDGET/FINANCIAL INFORMATION:

The adoption of this resolution has no budgetary or financial impact.

PREPARED BY: Ron Liechti, Administrative Services Manager

SUBMITTED BY: Pat McElroy, Fire Chief

APPROVED BY: City Administrator's Office

RESOLUTION NO. _____

A RESOLUTION OF THE COUNCIL OF THE CITY OF
SANTA BARBARA AUTHORIZING PORTAL TO PORTAL
PAY FOR SWORN FIRE EMPLOYEES ASSIGNED TO
MUTUAL AID RESPONSE

WHEREAS, the City of Santa Barbara is a public agency located in the County of Santa Barbara, State of California;

WHEREAS, the City has in its employ sworn Fire department response personnel that respond to emergencies outside of City's jurisdiction, including but not limited to the Fire Chief, Fire Division Chiefs, Fire Battalion Chiefs, Fire Captains, Fire Engineers, Firefighters, and Fire Inspectors;

WHEREAS, the City of Santa Barbara has a long standing policy and practice to compensate its sworn employees "portal to portal" while in the course of their employment and assigned to an emergency incident, in support of an emergency incident, or pre-positioned for emergency response outside of City's jurisdiction;

WHEREAS, for this purpose "portal to portal" is defined as being from the time the employee leaves the normal duty station until the time the employee returns to the normal duty station;

WHEREAS, under the City's labor agreements and employment policies, the City incurs direct and/or replacement labor costs at the time-and-one-half overtime rate of pay for such emergency assignments;

WHEREAS, effective January 1, 2015 the California Fire Assistance Agreement (CFAA) requires verification by the City Council of this practice; and

WHEREAS, this action will not change the current terms and conditions under the City's applicable Memoranda of Understanding and employment policies:

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA that:

- 1) Effective on and after January 1, 2015, sworn Fire department response personnel that respond to emergencies outside of the City's jurisdiction will be paid "portal to portal" while in the course of their employment and assigned to an emergency incident, in support of an emergency incident, or pre-positioned for emergency response outside of City's jurisdiction; and
- 2) The City incurs direct and/or replacement labor costs at the time-and-one-half overtime rate of pay for such emergency assignments.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Police Department, Patrol Division

SUBJECT: Ordinance Establishing Citation Authority For Community Service Officers

RECOMMENDATION:

That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 1.20 of Title 1 of the Santa Barbara Municipal Code by Adding and Adopting Section 1.20.060, Establishing the Citation Authority of the Community Service Officer Classification.

DISCUSSION:

Council has established the Community Service Officer employment classification at the Police Department. Community Service Officers are not peace officers under the California Penal Code, though they will be required to complete the peace officer training course prescribed in Penal Code Section 832. Some of their job responsibilities will be to issue criminal municipal code citations to violators. In order for employees who are not peace officers to issue criminal citations, Penal Code Section 836.5 requires that the governing body authorize the citation authority by ordinance. The ability of Community Service Officers to issue citations for violations of the Santa Barbara Municipal Code will aid in ensuring the public health, safety, and welfare of the community.

This amendment passed the Ordinance Committee on April 7, 2015. Slight changes to the ordinance title and wording have been made to better integrate the new section in with existing code sections.

PREPARED BY: William Marazita, Police Captain

SUBMITTED BY: Camerino Sanchez, Police Chief

APPROVED BY: City Administrator's Office

ORDINANCE NO. _____

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING CHAPTER 1.20 OF TITLE 1 OF THE SANTA BARBARA MUNICIPAL CODE BY ADDING AND ADOPTING SECTION 1.20.060, ESTABLISHING THE CITATION AUTHORITY OF THE COMMUNITY SERVICE OFFICER CLASSIFICATION.

THE COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Chapter 1.20 of Title 1 of the Santa Barbara Municipal Code by adding and adopting Section 1.20.060 which reads as follows:

1.20.060 Community Service Officer

The City may establish a Community Service Officer classification pursuant to Santa Barbara Municipal Code section 3.04.040. The Community Service Officer is authorized pursuant to California Penal Code section 836.5, and by this section, to issue a criminal citation provided that the employee has first completed an introductory course of training prescribed by the Commission on Peace Officer Standards and Training pursuant to Penal Code section 832. The Community Service Officer shall be required to wear a distinctive uniform, as prescribed by the Chief of Police. The Community Service Officer is designated as an employee who has the duty to enforce the Santa Barbara Municipal Code and issue a criminal citation to a person, without warrant, whenever such employee has reasonable cause to believe that the person has committed a misdemeanor or an infraction in the presence of the employee.

SECTION 2. CEQA. This ordinance is not subject to the California Environmental Quality Act ("CEQA") pursuant to Section 15060(c)(2) of the CEQA Guidelines (Title 14, Chapter 3 of the California Code of Regulations) because the activity will not result in a direct or reasonable foreseeable indirect physical change in the environment, and Section 15060(c)(3) because the activity is not a project as defined in Section 15378 of the CEQA Guidelines because it has no potential for resulting in physical change to the environment, directly or indirectly.



CITY OF SANTA BARBARA
COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015
TO: Mayor and Councilmembers
FROM: Administrative Services Division, Police Department
SUBJECT: Software Maintenance For Regional Law Enforcement Data Sharing System

RECOMMENDATION:

That Council find it in the City's best interest to waive the bidding process as provided in Municipal Code 4.52.070(k) and authorize the General Services Manager to issue a purchase order to IBM for the estimated amount of \$106,296 for software maintenance and services for the Coplink system for Fiscal Year 2015.

DISCUSSION:

In 2008, the County of Santa Barbara Law Enforcement Chiefs (CLEC) acquired a data sharing application called Coplink. Coplink is a data sharing warehouse that synchronizes with multiple law enforcement records management systems throughout the County of Santa Barbara. The Coplink application allows law enforcement personnel to search County wide records management systems to aid in investigations.

An annual budget is presented to CLEC by the Information Technology Manager from the Police Department, who is also the project manager for the Joint Powers Authority (JPA), which consists of the seven member agencies that are part of the Santa Barbara County Information System (SBCISS) node. The maintenance agreements that were included during the acquisition of Coplink have expired and all ongoing maintenance expenses will be included in the JPA budget. CLEC has approved the budget to cover all the maintenance expenses and the amount each agency owes. The total expenses will be shared between the seven law enforcement agencies that contribute their data to the Coplink system. The apportioned cost to each agency is based on the authorized number of sworn officers within each agency. The Santa Barbara Police Department's share of the cost is 20% of the total maintenance expenses, which is estimated to be \$20,138 for Fiscal Year 2015.

BUDGET/FINANCIAL INFORMATION:

The entire cost of the Coplink system will be paid out of the California Law Enforcement Telecommunications System (CLETS) Fund administered by the City. The costs will be reimbursed by the participating agencies, including the City of Santa Barbara. The City's share of \$20,138 was included in the Fiscal Year 2015 Police Department budget.

The City of Santa Barbara will be reimbursed for the \$86,158 in Fiscal Year 2015. The full budget impact is reflected in the CLETS Fund budget.

PREPARED BY: Dennis Diaz, Police Information Technology Manager/LSP

SUBMITTED BY: Camerino Sanchez, Chief of Police

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Administration Division, Airport Department

SUBJECT: Acceptance Of Federal Aviation Administration Airport Improvement Program Grant Offer For Santa Barbara Airport

RECOMMENDATION:

That Council accept and authorize the Airport Director to execute, on behalf of the City, a Federal Aviation Administration Grant offer, No. 3-06-0235-49, in an amount not to exceed \$3,100,000 in Airport Improvement Program (AIP) funds, for the Airport Lighting and Safety Upgrade Improvement Project and North General Aviation Ramp Replacement Project.

DISCUSSION:

Background

The Federal Aviation Administration (FAA), under authorization from the United States Congress, distributes Airport Improvement Program (AIP) funds each year. Half of each year's authorized level of AIP funding is distributed to all eligible commercial service airports through an entitlement program that guarantees a minimum level of federal assistance each year based on prior year passenger enplanement levels. The remaining AIP funds are distributed on a discretionary basis. Santa Barbara's total funding amount for the 2015 entitlement grant is estimated at \$3,100,000.

Grant Projects

The Airport Lighting and Safety Upgrade Improvement project will extend the useful life of portions of the Airport's airfield, specifically the lighting system, the Northeast Portland Concrete Cement (PCC) ramp, and the eastern security fence. The project will also improve signage and taxiway markings on the airfield to enhance clarity and safety. The entire airfield electrical system will be replaced or rewired as necessary during infield examination. Approximately 10,000 square feet of the Northeast PCC ramp, which is over sixty years old and in very poor condition will also be replaced.

Grant Offer

Airport staff has been verbally notified by FAA that the Airport Improvement Grant application has been approved. In order to meet the FAA's deadline for acceptance of the grant award, staff is requesting early authorization, based upon the verbal notification, for the Airport Director to execute the grant agreements for the Federal Fiscal Year 2015, when the actual documents with the specific fund amounts arrive.

BUDGET/FINANCIAL INFORMATION:

Upon receipt of the actual grant agreements, staff will return to Council to appropriate the grant revenue and matching sponsor share, 9.3% of the total project cost, which will be funded from the Airport Capital fund.

PREPARED BY: Hazel Johns, Airport Director

SUBMITTED BY: Hazel Johns, Airport Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Administration Division, Parks and Recreation Department

SUBJECT: Westside Boys And Girls Club Property Lease

RECOMMENDATION:

That Council authorize the Parks and Recreation Director to enter into a three-year lease agreement with the United Boys and Girls Club of Santa Barbara County for the Westside Boys and Girls Club located at 602 W. Anapamu Street, at one dollar a year.

DISCUSSION:

In 1964, the City entered into a fifty-year lease at one dollar a year with the Westside Boys Club of Santa Barbara, which allowed the club to develop the property and build a facility within the confines of what was then known as Anapamu Park, and now known as Bohnett Park. Since being completed in 1967, the club has served Westside youth, first as the Westside Boys Club and now as the Westside Boys and Girls Club (Club). The Club is part of the United Boys and Girls Clubs of Santa Barbara County (United) organization.

In anticipation of the long-term lease period coming to a close August 18, 2014, City staff began meeting with United representatives in January 2013 to discuss various concerns related to the Club. In particular, concerns had been raised around fiscal and operational sustainability of the Club and the United organization, uneven quality of programs and supervision, and deteriorated condition of the facility. Meetings continued on a regular basis since that time, and staff sought direction from City Council twice related to the lease.

Over the last two years, the United organization has continued to address concerns, make facility improvements, and improve how the facility serves youth and families. The current leadership has improved staffing and program quality, and has expanded services and facility operation days/hours to better serve the Westside community.

The negotiated lease is for a three-year term, continuing at one dollar a year over that period. The lease includes a number of performance milestones related to programs, financial and operational sustainability, and facility condition. If United maintains its

status as a tenant in good standing and satisfactorily completes all of the performance milestones before the initial term of this lease expires, the City agrees to negotiate a new long-term lease on terms mutually agreeable to the parties.

Similar to agreements with other non-profits leasing space from the City, the lease speaks to allowed uses, hours of operation, facility improvements, prohibition of assignments or sub-leases, indemnification, insurance, etc. Also similar to such agreements, United will submit an annual report on programs, finances, improvements, etc. The contents of the annual report are detailed in the lease and are intended to show progress towards a number of performance milestones mentioned in the lease.

In collaboration with the Police Department, the new lease includes that a Community Policing Office like the one operating at the Franklin Neighborhood Center, will be established at the Club to serve Westside residents. The Community Policing Office is expected to be in operation within 30 days of the lease signing.

SUMMARY:

The Parks and Recreation Department recommends that the City enter into a three-year agreement with the United Boys and Girls Club of Santa Barbara County for the Westside Boys and Girls Club.

A copy of the contract/agreement is available for public review in the City Clerk's Office.

SUBMITTED BY: Nancy L. Rapp, Parks and Recreation Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Parks Division, Parks and Recreation Department

SUBJECT: Integrated Pest Management 2014 Annual Report

RECOMMENDATION:

That Council accept the Integrated Pest Management (IPM) 2014 Annual Report that addresses the use of pesticides and alternatives to control weeds or eliminate pests on City property.

DISCUSSION:

Background

The City of Santa Barbara adopted an IPM Strategy on January 26, 2004, to provide an ongoing specific program to further reduce the amount and toxicity of pesticides used on City property and, where feasible, to eliminate pesticide use in public areas using alternative methods. The City had been informally identifying and employing the least toxic alternatives since the 1990s. The City's IPM Strategy formalized this effort, and requires an annual program report to be presented to the IPM Advisory Committee, Parks and Recreation Commission, Airport Commission, and City Council.

In addition to reviewing annual program implementation, the 2014 Report discusses the Pesticide Hazard And Exposure Reduction (PHAER) Zone Model adopted by the City Council on February 14, 2006, and improvements to City facilities to reduce pesticide use. The IPM Strategy required the development of a "Zone System" tied to the IPM Approved Materials List to limit pesticide use based on potential human exposure.

The PHAER Zone model assigns Green, Yellow, or Red/Special Circumstances Zone designations to sites, or portions of sites, based upon the potential for exposure by humans and sensitive habitat to hazardous pesticides and allows use of carefully screened materials by zone designation. For example, Green Zones are areas of high human exposure potential and only pesticides designated as "Green", which show very limited human and environmental impacts may be used. Yellow Zones are areas with moderate human or environmental hazard. Red/Special Circumstances Zones are areas where high hazard pesticides for highly challenging pest management problems are needed to control pests. Overall, the Zone Model provides for incremental and measurable

expansion of risk-reduction efforts, along with communicating clearly to the public the general potential for pesticide exposure.

2014 Annual Report

The IPM 2014 Annual Report (Attachment 1) addresses the following:

- Types of pest problems encountered by each department
- Types and quantities of pesticides used by each department
- Exemptions currently in place and granted the past year
- Alternative pest management practices
- Effectiveness of alternative practices
- Proposed changes to pest management practices

IPM 2014 Program Highlights

As shown in the table below, the use of Green materials decreased from 2,339 units in 2013 to 867 units in 2014. The use of Yellow materials increased from 1,159 units to 1,896 units. The use of Red materials decreased from 28 units to 9 units. Overall pesticide use decreased from 3,525 units to 2,772 units. City-wide pesticide use overall decreased 21% in 2014, primarily due to another low rainfall year that has resulted in fewer pests.

It is important to note that because pesticide use will vary from year to year, an increase or decrease from the previous year does not necessarily indicate a long-term trend. Many factors affect the amount of pesticides applied in any one year.

City-wide tracked hours of non-chemical IPM alternative practices increased 45% from 10,485 hours in 2013 to 15,247 hours in 2014. A number of factors influence time spent on using alternative practices including the number of staff available to perform alternative methods, departmental priorities, and severity of pest outbreaks. As has been the case since IPM tracking began, the majority of tracked time is spent hand weeding and weed whipping. In general, most alternative pest management practices are more labor intensive and costly, and not as effective as the use of Yellow and Red classified pesticides. Most Green materials and practices provide only moderate control of pest populations.

| Department / Division | Material Tier Category | | | | 2013 Total Pesticide Use | Percent Change |
|-----------------------|------------------------|----------------|-------------|----------------|--------------------------|----------------|
| | Green | Yellow | Red | Total | | |
| Airport Dept | 720 | 1,282.2 | 0 | 2,002.2 | 3,172.85 | -37% |
| Golf Division | 1.55 | 3.32 | 8.51 | 13.38 | 29.19 | -54% |
| Parks Division | 0.27 | 11.961 | 0 | 12.231 | 24.20 | -49% |
| Public Works Dept. | 145.52 | 598.57 | 0 | 744.09 | 298.86 | +149% |
| 2014 Total | 867.34 | 1,896.1 | 8.51 | 2,771.9 | 3,525.10 | -21% |
| 2013 Total | 2,338.78 | 1,158.65 | 27.67 | 3,525.10 | | |
| Percent Change | -63% | 63% | -69% | -21% | - | - |

IPM Advisory Committee and Parks and Recreation Commission Recommendation

At a special meeting held April 13, 2015, the IPM Advisory Committee reviewed and approved the IPM 2014 Annual Report and recommended that the report be forwarded to the Parks and Recreation Commission, Airport Commission, and City Council for review and approval. A memo from Greg Chittick, Chair, on behalf of the IPM Advisory Committee is attached (Attachment 2).

On April 22, 2015, the Parks and Recreation Commission voted unanimously to accept the IPM 2014 Annual Report and forward the report to City Council.

The Airport Commission is scheduled to review the 2014 IPM Annual Report on May 20, 2014.

SUSTAINABILITY IMPACT:

Under the City's sustainability program, the City's goals of Source Reduction and Toxics Reduction are met through the IPM Program. The Parks and Recreation Department uses recycler mowers to reduce green waste and reduce the need for fertilizer. Additionally, all City staff continues to use IPM methods at City parks and facilities in lieu of pesticide use.

ATTACHMENT(S): 1. IPM 2014 Annual Report
2. Memo from IPM Advisory Committee

PREPARED BY: Santos Escobar, Parks Manager

SUBMITTED BY: Nancy L. Rapp, Parks and Recreation Director

APPROVED BY: City Administrator's Office



**City of Santa Barbara
Integrated Pest Management Strategy**

DRAFT 2014 Annual Report

Prepared April 2015



P.O. Box 1990
Santa Barbara, California, 93102
(805) 564-5433

www.santabarbaraca.gov

http://www.santabarbaraca.gov/Resident/Community/Parks_and_Beaches/Integrated_Pest_Management.htm

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I. BACKGROUND

In January 2004, the City of Santa Barbara (City) adopted a City-wide Integrated Pest Management (IPM) Strategy to reduce pesticide hazards on City property and promote effective pest management.

The IPM Strategy contains the mission and purpose, assigns responsibilities, and outlines pest management processes, among other things. In addition, The Strategy requires an annual report be prepared that addresses the following:

- Types of pest problems encountered by each Department
- Types and quantities of pesticides used by each Department
- Exemptions in place and granted during the past year
- Alternatives used for phased out pesticides
- Alternatives proposed for use within the next 12 months
- Effectiveness of any changes in practices implemented
- Planned changes to pest management practices

PHAER Zone System

The IPM Strategy required the development of a “Zone System” tied to the IPM Approved Materials List to limit pesticide use based on potential human exposure. In February 2006, the City Council approved the PHAER Zone system to be incorporated into the IPM Strategy.

The PHAER Zone system assigns a Green, Yellow, or Special Circumstance/Red Zone designation to each site, or portions of sites, based upon the potential for exposure by humans and sensitive habitat to hazardous pesticides, and allows the use of carefully screened materials by zone designation. For example, Green Zones are areas of high exposure potential, and only pesticides designated as “Green”, which show very limited human and environmental impacts, may be used. Yellow Zones are areas with less potential for harm from exposure, and a broader range of “Yellow” materials are permitted under the PHAER Zone system.

Citizen and Staff IPM Advisory Committees

The City Council established the 5 member Citizen IPM Advisory Committee by Resolution No. 06-008. The members of the Committee are appointed by the Parks and Recreation Commission to serve two-year terms. The purpose of the Committee is to review and advise on the implementation of the City’s Integrated Pest Management Strategy. The 2014 Citizen IPM Advisory Committee included the following representatives:

- Greg Chittick, Community at large
- Larry Saltzman, Pesticide Awareness and Alternative Coalition
- Kristen LaBonte, Community at large

The Citizen IPM Advisory Committee has had two positions that have remained unfilled for the past year due to a lack of applicants.

Department IPM Coordinators are representatives appointed by Department Directors to serve on the Staff IPM Committee. Department representatives include: Jeff McKee from the Airport, Sue Gray from Community Development, Joe Poire from Fire, James Dewey from Public Works, Judd Conley from the Waterfront, and Santos Escobar from Parks and Recreation. The Staff IPM Committee continued to work effectively with the Citizen IPM Advisory Committee to

administer the IPM Strategy and oversee pest management practices. The Parks and Recreation Department coordinates both the Citizen and Staff IPM Committees and oversees the implementation of the City's IPM Program.

II. IPM 2014 STRATEGY RESULTS

1. Citizen IPM Advisory Committee Actions

The Citizen IPM Advisory Committee met three (3) times in 2014 to review 26 requests for exemptions, consult with staff on current pest issues and applicable IPM practices, and approve the 2013 IPM Report. The Committee approved all 26 requests and denied zero (0).

2. Pests Encountered

A variety of pests were encountered on City properties in 2014 as outlined in Table 1. Departments ranked their top three pest problems with the numbers 1, 2 and 3. Other pest problems encountered are asterisked (*). Footnote annotations reference additional information including names of plant diseases, weeds, grasses, and specific insects. Due to the low rainfall, the overall abundance of these pests was down as compared to other years.

Table 1. Pest Problems Encountered by Department/Division

| Pest Category | Specific Pest | Airport | Creeks | Golf | Parks | Parking | Public Works | Waterfront |
|---------------|------------------------------|----------|--------|-----------------------|-----------------------|----------|--------------|------------|
| Plant pests | Giant whitefly | * | | | * | * | * | |
| | Misc. plant insects | | | * | * ³ | 3 | * | |
| | Disease | * | | 1 ¹ | * ⁴ | * | | |
| Tree Pests | Oak Worm | | | | * | 2 | * | |
| | Psyllids | | | | * | | | |
| | Various Pine Bark Beetle sp. | | | | * | | | |
| Weeds | Invasives | * | * | 3 ² | 1 ⁵ | | | |
| | General weeds | 3 | * | * | 1 | 1 | * | 3 |
| | Perennial grasses | * | * | * | 1 ⁶ | | * | * |
| Vertebrates | Gopher | 2 | * | 1 | 2 | | * | * |
| | Ground Squirrel | * | * | 1 | * | | | * |
| | Gulls/ nuisance birds | * | | | * | * | | 2 |
| | Moles | | | 1 | * | | | |
| | Raccoons | * | | 2 | | | | |
| | Skunks | * | | 2 | | | | |
| Human Health | Poison Oak | * | | | * | | | |
| | Bees, yellow jackets, etc. | * | | * | 3 | * | 2 | |
| | Rats/ mice | * | | * | * | * | 3 | 1 |
| | Mosquitoes | 1 | | * | * | | 1 | |
| Other | Termites | * | | | | | * | |
| | Roaches | | | | | | * | |
| | Ants | * | | | | * | * | |

1. Golf reported these plant diseases (fungus): Dollar Spot, Pink Snow Mold, Anthracnose, Rhizoctonia Patch, Waitea patch, Take-All patch, and Rapid blight
2. Golf reported these invasive weeds: Clover, Creeping Woodsorrell, English Daisy, and Dandelion.
3. Parks reported these plant insects: Lerp Psyllids, Mites, Oak Moths, Thrips, Aphids, Snails, Slugs, and Ants.
4. Parks reported these plant diseases: Leaf Spot, Mildew, Blight, Pink Bud Rot, Sooty Mold, Pythium, Armillaria, and Phytothora.
5. Parks reported these invasive weeds: Arrundo, Nutgrass, Kikuyu Grass, Clover, Oxalis, Malva, Foxtail, Spurge, Dandelion, Milkweed, Sow Thistle, Poa annua, Puncture Vine, Johnson Grass, and Poison Oak.
6. Parks reported the following perennial grasses: Crab, and Bermuda.

3. City-wide Pesticide Use

City Departments that applied pesticides, or contracted with pesticide applicators, also prepared monthly pesticide and alternative use reports, and participated in the preparation of this Annual Report. The monthly reports form the basis of the Annual Report and are available at the main offices of each Department.

Table 2 below provides a summary of total pesticide use (gallons and pounds) for 2014, including any increase or decrease in use from 2013. City-wide pesticide use overall decreased 21% in 2014, primarily due to another low water year that has resulted in fewer pests. The use of Green materials decreased 63% from 2,339 units to 867 units, while use of Yellow material increased 63% from 1,159 units to 1,896 units. Use of Red materials decreased 69% from 28 units in 2013 to 8.5 units in 2014. The control of mosquitoes accounted for 69% of all the pesticide use in 2014.

At the Department level, the Airport reduced its use of all categories of pesticides by 37%. A combination of factors influenced this decrease including the Goleta slough being open to the ocean and low rainfall leading to lower mosquito management. Both the Parks and Golf Divisions reduced their use of pesticides 49% and 54% respectively. The Public Works Water Resources Division increased use of pesticides by 149% from 2013. This increase was due to treatment of sanitary sewer mains with an herbicide that kills tree roots. Prior to use, Water Resources staff conducted small scale experiments alongside the City Arborist to confirm that larger scale use of the herbicide would not impact healthy trees. Root intrusion in sewer mains has caused sewage overflows.

Table 2. 2014 Pesticide Use by Department and Tier

| Department / Division | Material Tier Category | | | | 2013 Total Pesticide Use | Percent Change |
|-----------------------|------------------------|----------------|-------------|----------------|--------------------------|----------------|
| | Green | Yellow | Red | Total | | |
| Airport Dept | 720 | 1,282.2 | 0 | 2,002.2 | 3,172.85 | -37% |
| Golf Division | 1.55 | 3.32 | 8.51 | 13.38 | 29.19 | -54% |
| Parks Division | 0.27 | 11.961 | 0 | 12.231 | 24.20 | -49% |
| Public Works Dept. | 145.52 | 598.57 | 0 | 744.09 | 298.86 | +149% |
| 2014 Total | 867.34 | 1,896.1 | 8.51 | 2,771.9 | 3,525.10 | -21% |
| 2013 Total | 2,338.78 | 1,158.65 | 27.67 | 3,525.10 | | |
| Percent Change | -63% | 63% | -69% | -21% | - | - |

Table 3 presents a more in depth look at pesticide use by Department/Division, including: pesticide tier and name, active ingredient, class of pesticide, units and number of applications. Pesticides are reported in either pounds or gallons depending on whether they are dry or liquid. Vectobac G was the most frequently applied insecticide, at 64 times, while Altosid Xr-B was the most applied by weight (roughly 1,200 pounds). Both are for the control of mosquitoes. Other highly used materials include:

- Roundup, an herbicide used to treat weeds and grasses was applied a total of 19 times. 15 applications by the Airport Department and 2 applications by the Parks and Golf Divisions.
- Razorooter and Vaporooter, two herbicides applied by the Public Works Department 165 and 192 times respectively to kill roots within sewer systems.
- Surflan, another herbicide used by the Airport Department as weed and grass control on the runway.

Red materials, though not used in large quantities, include 4 different fungicides used by the Golf Division to control fungus on the greens. A total of 8.51 gallons were used over 13 applications.

One product, Pointer-Imidacloprid, was injected into the bark of the Historic Italian Stone Pines along East Anapamu Street to treat pine bark beetles. While this product has been shown in research to disrupt bee populations, the treatment was fully enclosed within the tree bark and is not anticipated to reach the pollen of the tree.

It is important to note that because pesticide use will vary from year to year, an increase or decrease from the previous year does not necessarily indicate a long-term trend. Many factors affect the amount of pesticides applied in any one year. This topic is further discussed in Section 7.

Table 3. Pesticide Use by Department/Division

| Pesticide Name | Active Ingredient | Class | Amount of Pesticide Applied | | | | | | | | | | | | |
|--------------------------|------------------------|-------------|-----------------------------|----------------|-------------------------|----------|----------------------|----------|-------------------------|---------------|--------------|-----------|----------------------|--------------|----|
| | | | Airport | | Golf | | Parks and Recreation | | Public Works | | Applications | | | | |
| | | | Gallons | Pounds | Gallons | Pounds | Gallons | Pounds | Gallons | Pounds | Airport | Golf | Parks and Recreation | Public Works | |
| Acelepryn | Chlorantraniliprole | Insecticide | | | 0.19 | | | | | | | | 1 | | |
| Conserve | Spinosad | Larvicide | | | | | 0.25 | | | | | | | 1 | |
| Primo Maxx | Trinexapac-ethyl | Regulator | | | 1.36 | | | | | | | | 16 | | |
| Safer | K salts of fatty acids | Insecticide | | | | | 0.02 | | | | | | | 1 | |
| Vectobac G | Bti | Insecticide | | 640 | | | | | | 145.52 | 39 | | | | 25 |
| VectoLex CG | B. sphaericus | Insecticide | | 80 | | | | | | | 1 | | | | |
| Green Totals | | | 0 | 720 | 1.55 | 0 | 0.27 | 0 | 0 | 145.52 | 40 | 17 | 2 | 25 | |
| Advion Gel | Indoxacarb | Insecticide | | 0.39 | | | 0.02 | | 0.29 | | 9 | | 2 | 18 | |
| Altosid XR-B | Methoprene | Insecticide | | 1,203.20 | | | | | | | 6 | | | | |
| Aquamaster | Glyphosate | Herbicide | | | | | 0.97 | | | | | | 2 | | |
| Arilon | Indoxacarb | Insecticide | 0.03 | | | | 0.025 | | 0.08 | | 1 | | 1 | 19 | |
| Fore | Mancozeb | Fungicide | | | | 3 | | | | | | 1 | | | |
| Pointer | Imidacloprid | Insecticide | | | | | 0.41 | | | | | | 1 | | |
| Polaris | Imazapyr | Herbicide | | | | | 1.5 | | | | | | 14 | | |
| Razoroooter | Diquat | Herbicide | | | | | | | 136.76 | | | | | 165 | |
| Round-up Custom | Glyphosate | Herbicide | 41.08 | | 0.29 | | 7.94 | | | | 15 | 2 | 2 | | |
| Surflan | Oryzalin | Herbicide | 37.5 | | | | | | | | 5 | | | | |
| Termidor SC | Fipronil | Insecticide | 0.04 | | | | 0.006 | | 0.04 | | 1 | | 1 | 4 | |
| Trilogy | Neem Oil | Insecticide | | | | | 1.5 | | | | | | 2 | | |
| Trimmit 2SC | Pacllobutrazol | Regulator | | | 0.03 | | | | | | | 1 | | | |
| Vaporoooter | Metam Na / Dichlobenil | Herbicide | | | | | | | 461.4 | | | | | 192 | |
| Yellow Totals | | | 78.65 | 1203.59 | 0.32 | 3 | 12.371 | 0 | 0.41 | 598.16 | 37 | 4 | 25 | 398 | |
| Banner-maxx | Propiconazole | Fungicide | | | 4.57 | | | | | | | 6 | | | |
| Heritage | Azoxystrobin | Fungicide | | | 1.18 | | | | | | | 2 | | | |
| Insignia | Pyraclostrobin | Fungicide | | | 0.83 | | | | | | | 1 | | | |
| Medallion | Fludioxonil | Fungicide | | | 1.93 | | | | | | | 4 | | | |
| Red Totals | | | 0 | 0 | 8.51 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 0 | 0 | |
| Department Totals | | | 78.65 | 1923.59 | 10.38 | 3 | 12.641 | 0 | 0.41 | 743.68 | 77 | 34 | 27 | 423 | |
| City-wide Totals: | | | Gallons 102.081 | | Pounds 2,670.270 | | | | Applications 561 | | | | | | |

4. EXEMPTIONS

Under the IPM Strategy and PHAER Zone system, exemptions may be granted when a pest outbreak poses an immediate threat to public health, employee safety, or will result in significant economic or environmental damage. Exemption requests are often made in anticipation of a particular pest and may be requested for one-time application or as a programmatic exemption for a single year. The exemption process is outlined in the IPM Strategy.

Twenty-seven (27) exemptions were requested in 2014. Table 4 provides a summary of the exemption requests by Department/Division. Of the twenty-seven (27) requests approved, twenty-six (26) were requested and approved by the IPM Committee, and one (1) was an emergency request approved by the City IPM Coordinator, Santos Escobar. This emergency request was to treat the historic Anapamu Street Stone Pines suffering from a bark beetle infestation. Of the twenty-seven (27) requests, seventeen (17) were applied and ten (10) were not implemented. A number of reasons can account for a pesticide going unused: the pest did not materialize, the product became unavailable, a green material was found, or alternative methods were utilized.

Table 4. 2014 Exemption Summary

| Exemptions | Airport | Creeks | Facilities | Golf | Parks | Public Works | Totals |
|--------------------|----------------|---------------|-------------------|-------------|--------------|---------------------|---------------|
| Emergency | | | | | 1 | | 1 |
| Proposed | 2 | 2 | 4 | 12 | 2 | 4 | 26 |
| Passed | 2 | 2 | 4 | 12 | 2 | 4 | 26 |
| Denied | - | - | - | - | - | - | - |
| Applied | | 2 | 3 | 8 | 1 | 2 | 17 |
| Not Applied | 2 | | 1 | 4 | 1 | 2 | 10 |

Tables 5a and b below provide a detailed look at pesticide exemption requests. Table 5a includes those that were requested and applied, while Table 5b includes exemptions that were requested and not applied. All exemptions were programmatic requests to use throughout the year. This can be due to an anticipation of a particular pest outbreak or because treatment of the pest requires multiple applications.

- The Airport Department made 2 exemption requests for the use of the rodenticide Fumitoxin and insecticide Vikane to control gophers and mosquitoes. Neither were applied.
- The Creeks Division made exemptions requests for Rodeo/Aquamaster and Polaris, both herbicides, for Arundo removal. Both were applied.
- The Facilities Division made 4 requests for insecticides and used all but 1. This was to control ants and roaches in and around buildings.
- At twelve, the Golf Division had the most requests for exemptions, but only applied 8. Requests included a number of fungicides and 2 regulators to control plant diseases on the greens (See Table 1).
- The Parks Division requested an emergency exemption for an insecticide to control the Bark Beetles infecting the Historic Italian Stone Pines on East Anapamu St. In addition, a herbicide was requested and used at Parma Park to reduce non-native invasives. A rodenticide was requested for use at several parks to control squirrels and rats, but was not applied.

- The Water Resources Division of Public Works Department made 4 requests. Two included the use of herbicides to control root intrusion within sewers. The other two were for herbicides to control weeds within medians, but were not applied.

Table 5 a. Applied Exemptions Requests

| Dept. / Div. | Material | Class | Type | Site |
|--------------|------------------|-------------|------|--------------------------|
| Creeks | Round-Up Pro Max | Herbicide | | Hidden Valley Open Space |
| Creeks | Polaris | Herbicide | | Hidden Valley Open Space |
| Facilities | Termidor | Insecticide | | Buildings |
| Facilities | Advion | Insecticide | | Buildings |
| Facilities | Arilon | Insecticide | | Buildings |
| Golf | Heritage | Fungicide | | Greens |
| Golf | Acelepryn | Fungicide | | Greens |
| Golf | Banner-Maxx | Fungicide | | Greens |
| Golf | Fore | Fungicide | | Greens |
| Golf | Insignia | Fungicide | | Greens |
| Golf | Medallion | Fungicide | | Greens |
| Golf | Primo Maxx | Regulator | | Greens |
| Golf | Trimmit | Regulator | | Greens |
| Parks | Round-Up Custom | Herbicide | | Parma Park |
| Parks | Pointer | Insecticide | | Anapamu Stone Pines |
| Public Works | Vaporooter | Herbicide | | Sewers |
| Public Works | Razorooter | Herbicide | | Sewers |

Table 5b. Not Applied Exemption Requests

| Dept. / Div. | Material | Class | Type | Site |
|--------------|-----------------|-------------|------|--|
| Airport | Fumitoxin | Rodenticide | | Airfield |
| Airport | Vikane | Insecticide | | Buildings |
| Facilities | Timbor | Insecticide | | Buildings |
| Golf | Affirm | Fungicide | | Greens |
| Golf | Daconil | Fungicide | | Greens |
| Golf | Prostar | Fungicide | | Greens |
| Golf | Proxy | Regulator | | Greens |
| Parks | Diphacinone | Rodenticide | | Shoreline, Leadbetter, Chase Palm, MacKenzie Parks |
| Public Works | Round-Up Custom | Herbicide | | Medians |
| Public Works | Surflan | Herbicide | | Medians |

Roughly an equal number of exemption requests were made between 2013 and 2014 (Table 6).

Table 6. Comparison of Exemptions for 2013 and 2014

| Exemptions | 2013 | 2014 |
|---|------|------|
| Number of Exemption Requests (total) | 22 | 27 |
| Number of Exemption Requests Approved | 22 | 26 |
| Number of Approved Exemption Requests Applied | 16 | 17 |
| Number of Approved Exemption Requests Not Applied | 6 | 10 |

5. ALTERNATIVE PEST MANAGEMENT PRACTICES USED IN 2014

The use of non-chemical IPM alternatives are emphasized over pesticide applications. Hours reported for the total year are from the *Monthly Alternative Use Reports* prepared by each Department. Non-chemical pest management alternatives are presented in Table 7 and vary from year to year. A check (✓) indicates the alternative was used, but time was not tracked. City Departments track time using a variety of methods. Some Departments track Alternative Management Practices by issuing Work Orders, while some track time by having their staff fill out reports on their daily activities. Additionally, when time has been spent on Alternative Management Practices by contractors, they usually report the time spent to the Department that oversees the contract. Table 7 below is a combination of staff time and contractor time when reported.

Total tracked hours for City-wide alternative practices increased 45% from 10,485 hours in 2013 to 15,247 hours in 2014. Figure 1 illustrates a downward trend in hours spent using alternative practices since 2008. A number of factors influence time spent on alternative practices including the number of staff available to perform alternative methods, department priorities, and severity of pest outbreak. As has been the case since IPM tracking began, the majority of tracked time is spent hand weeding and weed whipping.

Table 7. Staff Time Using Alternative Management Practices (hours)

| PEST | Alternative | Airport | Golf | Public Works | Parks | Citywide Hours |
|--------------------|---------------------------|----------------|--------------|----------------|--------------|----------------|
| WEEDS | Mulch & wood chips | ✓ | 82 | ✓ | 342 | 424 |
| | Weed fabric | | | | ✓ | 0 |
| | Propane flame weeder | | | | ✓ | 0 |
| | Hand weeding | 3,868 | 240 | 55 | 2,154 | 6,317 |
| | Weed whip | 411 | 1,628 | 54 | 4,310 | 6,403 |
| | Habitat modification | | | | ✓ | 0 |
| | Irrigation Mgmt. | ✓ | ✓ | ✓ | ✓ | 0 |
| | Host plants squeeze out | | | | | 0 |
| PLANT PESTS | Irrigation Mgmt. | ✓ | ✓ | ✓ | ✓ | 0 |
| | Compost tea/microbial in. | | ✓ | | | 0 |
| | Enhance plant health | | ✓ | | ✓ | 0 |
| | Worm castings | | | | ✓ | 0 |
| | Effective micro-organisms | | ✓ | | | 0 |
| | Wash off plants | | | | ✓ | 0 |
| | Remove plant/tree | | | | ✓ | 0 |
| GOPHERS | Traps | 69 | 103 | ✓ | 650 | 822 |
| SQUIRRELS | Traps | | 206 | | 121 | 327 |
| RATS & MICE | Mechanical traps | 3 | | 800 | ✓ | 803 |
| | Cat | | | | ✓ | 0 |
| MOSQUITOES | Mosquito fish | | | | ✓ | 0 |
| | Remove stagnant water | | | | ✓ | 0 |
| BEES | Bee Keepers | | | 151 | ✓ | 151 |
| OTHER | Glue traps/roaches | | | ✓ | | 0 |
| | Heat Treatment | | | ✓ | | 0 |
| Total Hours | | 4,351.0 | 2,259 | 1,060.0 | 7,577 | 15,247 |

Figure 1. Trend in Alternative Management Practices (in hours)

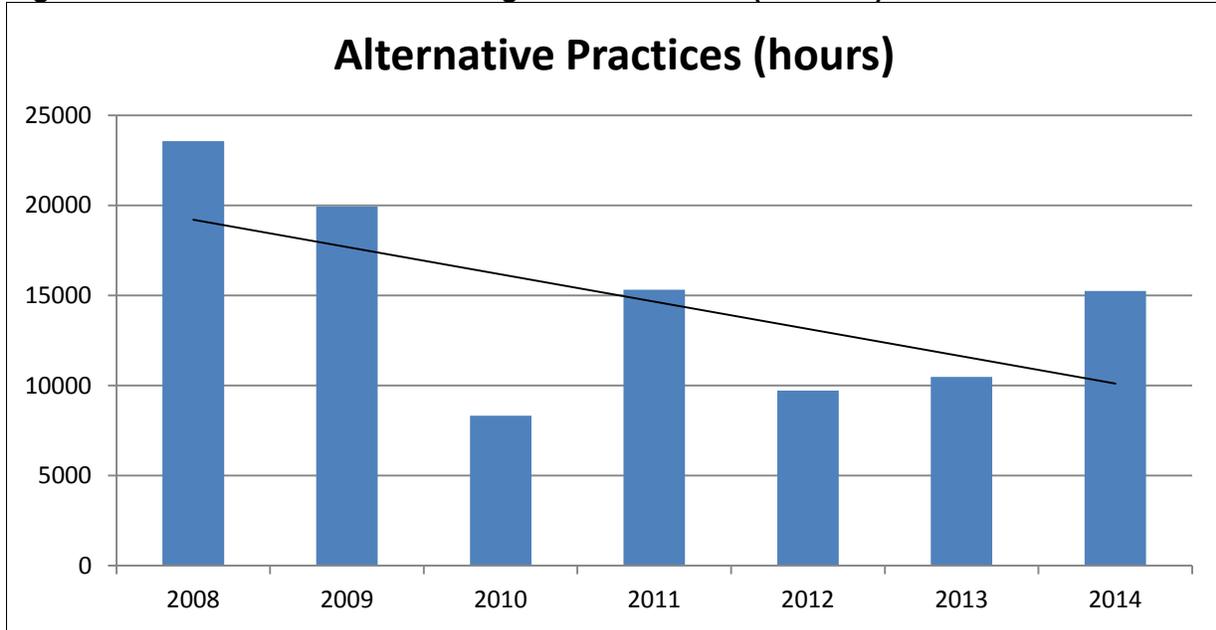


Figure 2 compares the level of effort (in hours) between the 6 alternative methods tracked in 2014. As a whole, maintaining weeds through mulching, hand weeding and weed whipping accounts for 13,144 hours (86%) of the total time tracked. While mulch is one method of weed and grass control, the use of mulch has dropped significantly since 2012. This is primarily due to past years of over-mulching sites and the problems associated with over-mulching (mounding, rot, fungus). The use of mechanical traps for gopher, squirrels, rats and mice control accounted for nearly 13% of total tracked time, or 1,952 hours. Time spent for bee control accounted for 151 hours or 1% of total time tracked using IPM alternative methods. Much of the City's rodent trapping and bee control are done by contractor.

Figure 2. 2014 Citywide Tracked Alternative Methods

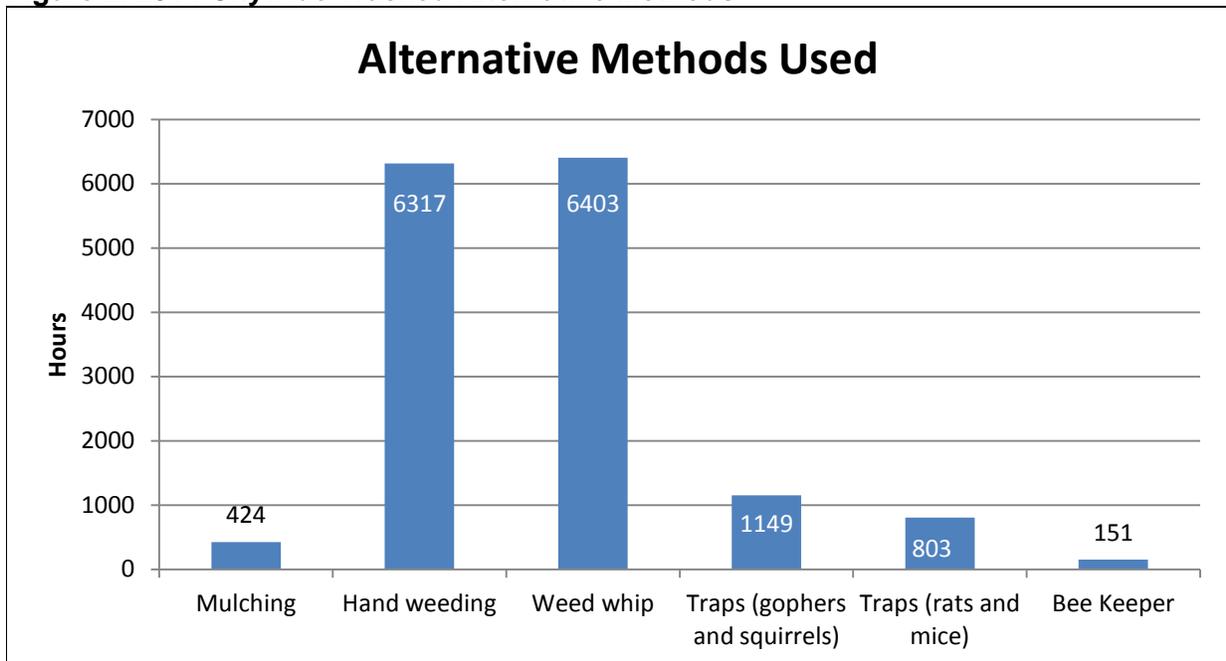
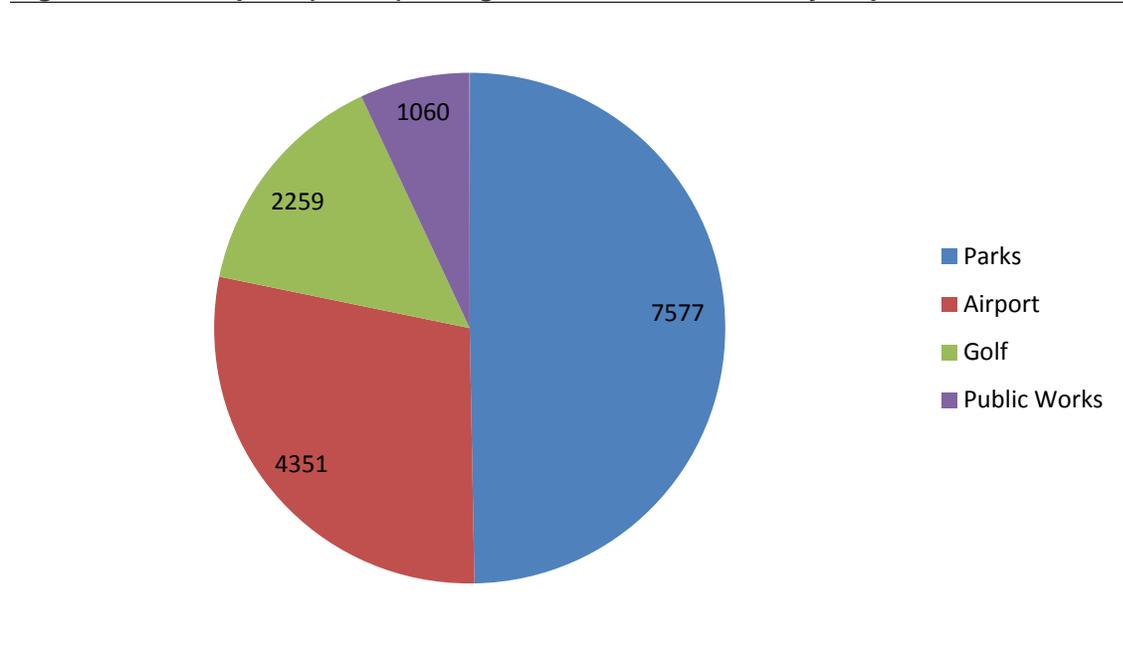


Figure 3 below compares the use of alternative methods (in hours) by Department/Division. Of the total 15,247 hours spent using alternative methods, the Parks Division accounted for 7,577 hours, or 50% of total time, the Airport accounted for 4,351 hours, or 29% of total time, Public Works accounted for 1060 hours, or 7% of total time, and the Golf Division accounted for 2259 hours, or 15%.

Figure 3. Time Spent (hours) Using Alternative Methods by Department/Division



6. EFFECTIVENESS OF ALTERNATIVE PRACTICES IMPLEMENTED

In general, most alternative pest management practices are more labor intensive and costly, and not as effective as the use of Yellow and Red classified pesticides. While most Green materials and practices provide only moderate control of pest populations, there have been some successes.

As the program completed its eleventh year, the impact of reduced reliance on pesticides, particularly herbicides, is becoming noticeable in areas, such as the weed population at Alice Keck Park Memorial Garden and other landscape areas throughout the City. The effectiveness of alternatives for the biggest pest problems encountered in an average year is reviewed below.

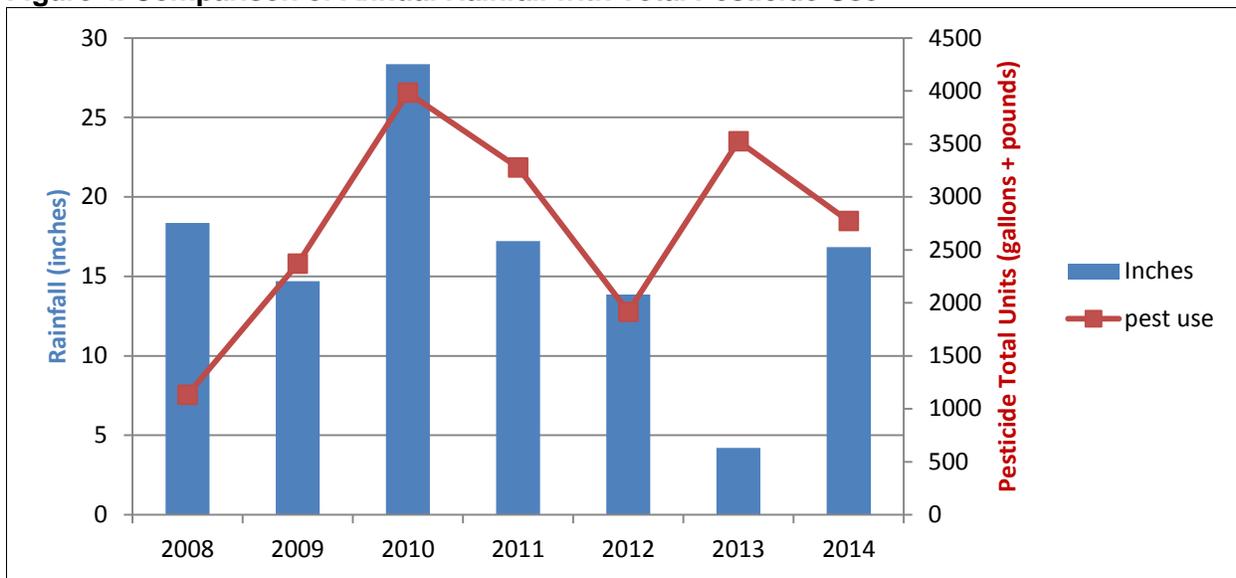
- **Weeds:** A variety of alternatives provide moderate effectiveness and control including: weeding, weed whipping, mulching, mowing, and a flame torch in designated safe areas. These alternatives are significantly more labor and cost intensive and not as effective as Yellow materials such as Glyphosate. Alternative chemicals, such as clove oil or acid based herbicides, have not proven effective. This has resulted in a notable increase in weed populations, predominantly on parkland, that continues to have a negative effect on aesthetics and landscape health.
- **Insects / Mollusks:** Results are mixed for combating insects and mollusks. For some insects, there are no known effective alternatives. Some alternatives can be very effective but expensive, such as removing non-resistant plants and replacing them with resistant varieties. However, the following alternatives have proven successful against insects and mollusks:
 - Sluggo for snails and slugs
 - Worm castings for white fly
 - Insecticidal soap for aphids
 - Neem oil as a dormant spray
 - Bti for mosquitoes
 - Acelepryn for beetles
- **Disease:** No effective alternative has been found for most diseases. Where possible, staff focuses on preventative treatments to enhance plant health. Once disease strikes, a plant may be removed and replaced with a less susceptible plant. If a plant cannot be removed, pesticides are generally required to combat the disease.
- **Gophers:** For the most part, mechanical traps are being used City-wide. Traps have been found to be moderately effective and are more expensive than rodenticides due to higher costs of purchasing, installing, monitoring, and cleaning out traps.
- **Ground Squirrels:** Mechanical trapping, using snap traps, is the primary method of control at this time. This method is moderately effective at controlling populations. Both trapping and baiting have proven very labor intensive.
- **Mice / Rats:** At this time, traps are the primary way of controlling this population. Traps have been found to be effective depending on population size and location and available food sources. Positive public perception seems to far outweigh the costs of using traps. Traps are very effective in controlling rodents on downtown State Street and at Coast Village Road.
- **Termites:** Building Maintenance uses heat treatments to control drywood termites where appropriate. Heat was found to be equally effective as pesticides on smaller buildings with drywood termites. However, costs are 50% higher at this time, and heat is not effective on large structures or with subterranean termites.

7. CONCLUSION

Many factors contribute to the use of pesticides as well as the tier of pesticides used. These include weather patterns (unseasonably dry or wet weather), introduction of new, or changes to existing pest populations, effectiveness of alternative methods as well as the effectiveness and availability of certain pesticide materials. Such variances are, and will continue to be, a normal occurrence.

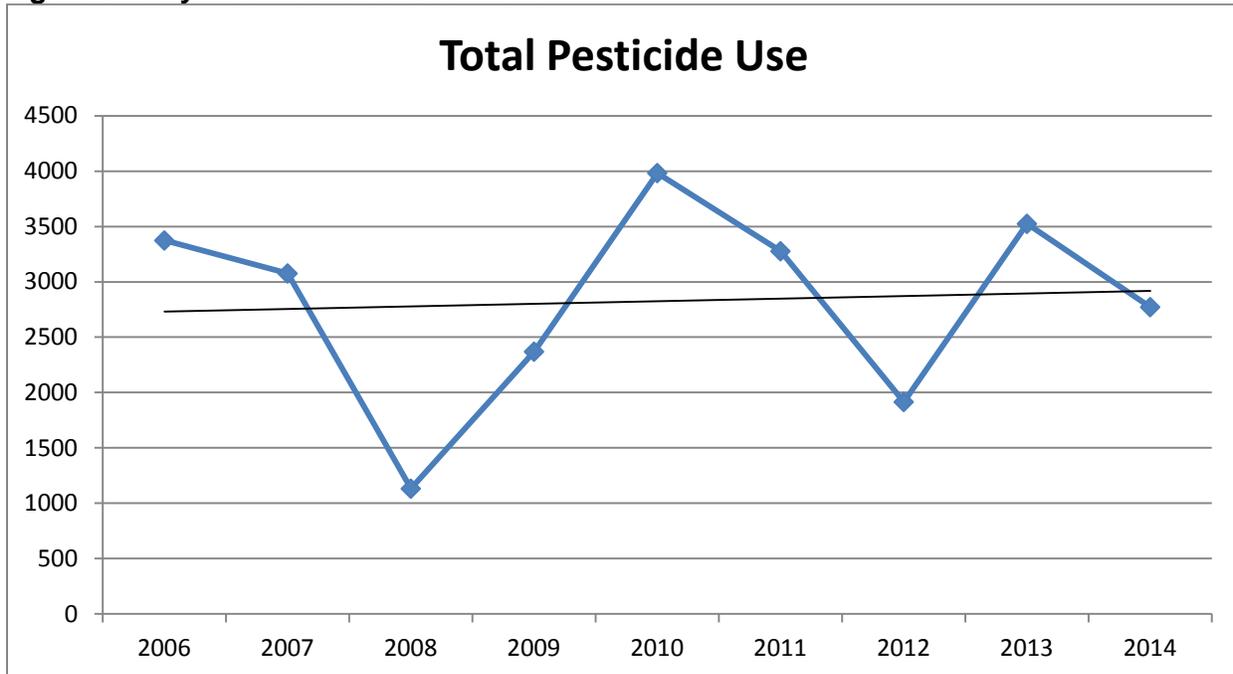
One of the main factors that determine pest populations is rainfall. More rain generally amounts to a greater population of insects and weeds, thus more pesticide use. Figure 4 compares annual rainfall with total pesticide use. With the exception of 2013, the data indicates a greater use of pesticides during wetter years. 2013 pesticide use was influenced by the Goleta Slough being closed leading to an increased mosquito population in Airport creeks.

Figure 4. Comparison of Annual Rainfall with Total Pesticide Use



Because the number of factors that affect pesticide use can vary greatly from year to year, it is difficult to look at past pest management practices to predict future pesticide use. In addition, prior to implementing IPM and the PHAER Zone, pesticide use was not analyzed, and thought to be used at higher frequencies and in larger quantities¹. That said, the general trend of the City since 2006 appears to be less hours spent on alternative practices (Figure 1) and a level trend in pesticide use (Figure 5).

Figure 5. Citywide Pesticide Use Trend

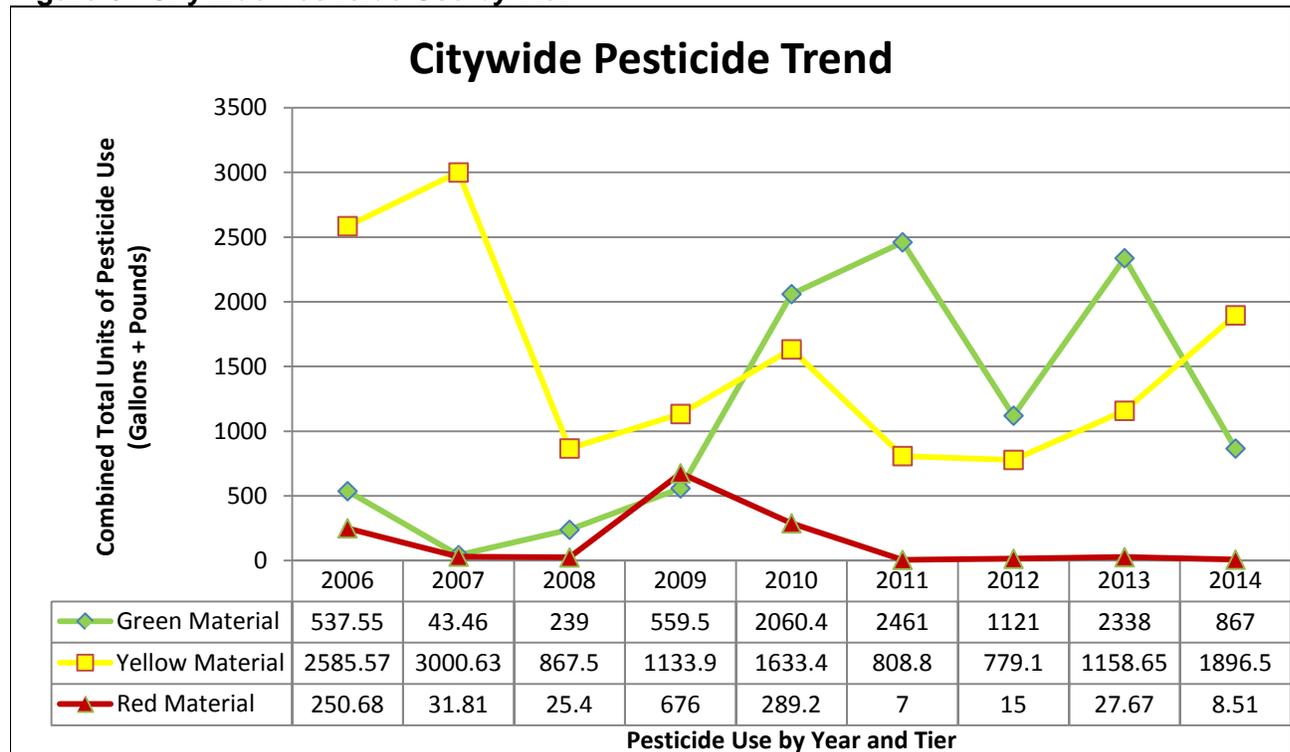


In addition, it should be noted that the amount of pesticides used and the number of applications are not necessarily accurate indicators of the extent of pesticide use or, conversely, the extent of use of reduced-risk pest management methods and alternative practices. For example, staff may apply several hundred small-scale "spot" applications targeted at problem areas rather than a few treatments of a large area. Further, staff may replace a more toxic pesticide used at a smaller quantity with a less hazardous compound that must be applied at a much larger quantity.

¹ Information based on staff and IPM Advisory Committee knowledge.

Figure 6 looks at the City's pesticide use by tier since 2006. The data indicates that an increase in Yellow and Red materials generally amounts to less Green material, though this is not always the case. 2010, for example, saw a higher than average use of both Red and Yellow material, while still using a significant amount of Green material. The *2010 Annual Report* indicates that 80% of all pesticide use in 2010 was for mosquito control. In fact, mosquito control accounts for the majority of pesticide use in any given year.

Figure 6. Citywide Pesticide Use by Tier



In order to have a more informed discussion on City pesticide use, as well as to better understand and/or compare year to year data, the following can be considered:

- Continue to track hours spent applying pesticides.
- Assess the overall asset by Department/Division to determine the extent of pesticides actually being applied
- Discuss cultural practices used that reduce pesticide use.
- Improve reporting contractor hours related to pesticide use and alternative practices.

The above considerations must be weighed against the resource needs to acquire the information. In addition, tools and staff training may be required in order to help facilitate data gathering.

It is always important for City staff to find cost effective, low risk, viable alternatives to reduce pesticide hazards and to increase the overall efficiency of IPM practices. Additionally, changes in maintenance standards and expectations may be necessary if more Green materials are employed.

Also critical to reducing pesticide hazards in the City of Santa Barbara is the continuation of community outreach and public education. It is anticipated that with greater community outreach, the public will become more aware of low risk alternatives that they can employ at home, thus adding to the overall health of the community.

III. PLAN FOR 2015

The Parks and Recreation Department will continue to administrate and refine the IPM Strategy and proposes to address the following items:

- Increase the data collected by contractors engaged in IPM alternative practices; and
- Expand park inspections to better determine labor requirements or any necessary changes to the IPM Policy.

All Departments will continue to test any promising new materials or methods of integrated pest management as they are introduced. Departments will also continue to monitor pest populations and adjust priorities as needed. Staff and the IPM Advisory Committee will continue to monitor research regarding impacts of pesticides on humans, wildlife and native habitats as well as begin a discussion on funding and staffing options for community education and outreach to reduce pesticide use on private property.

ATTACHMENTS

ATTACHMENT A: APPROVED MATERIALS LIST

The pesticides listed on the Approved Materials List are categorized according to the pesticide screening protocol in the PHAER Zone system.

| Product Name | Active Ingredient | ZONE | Type |
|----------------------------------|----------------------------------|--------|------------------|
| Acelepryn | Chlorantraniliprole | Green | Insecticide |
| Advance Ant Bait | Orthoboric Acid | Green | Insecticide |
| Advion Roach Stations (enclosed) | Indoxacarb | Green* | Insecticide |
| AllDown | citric acid, acetic acid, garlic | Green | Herbicide |
| <i>Any brand name</i> | Orthoboric Acid ant bait station | Green | Insecticide |
| Avert Cockroach Bait Station | Abamectin B1 0.05% | Green* | Insecticide |
| Avert Cockroach Gel Bait | Abamectin B1 0.05% | Green | Insecticide |
| Bactimos Pellets | Bt | Green | Insecticide |
| Bactimos Wettable | Bt | Green | Insecticide |
| Bio-Weed | corn gluten | Green | Herbicide |
| Borid Turbo | Orthoboric Acid | Green | Insecticide |
| BurnOut 2 | clove oil | Green | Herbicide |
| Cease Biofungicide | B. subtilis | Green | Fungicide |
| Cinnamite | cinnamaldehyde | Green | Insect/Fung |
| Conserve | spinosad | Green | Insecticide |
| Dipel Flowable | Bt | Green | Insecticide |
| Drax Ant Kill PF | Orthoboric Acid | Green | Insecticide |
| EcoExempt | Wintergreen Oil | Green | Herbicide |
| EcoExempt D | 2-Phenethyl propionate / Euginol | Green | Insecticide |
| Embark | mefluidide | Green | Growth Regulator |
| GreenErgy | Citric, Acetic Acid | Green | Herbicide |
| Kaligreen | potassium bicarbonate | Green | Fungicide |
| Matran (EPA Registration Exempt) | clove oil | Green | Herbicide |
| Natura Weed-A-Tak | clove oil | Green | Herbicide |
| Niban | Isoboric Acid 5% | Green | Insecticide |
| Primo-Maxx | Trinexapac-Ethyl | Green | Growth Regulator |
| Proxy | Ethephon | Green | Growth Regulator |
| Safer Soap | potassium salts of fatty acids | Green | Insecticide |
| Sluggo | iron phosphate | Green | Other |
| Summit BTI Briquets | Bt | Green | Insecticide |
| Teknar HP-D | Bti | Green | Insecticide |
| Terro II | Orthoboric Acid | Green | Insecticide |
| Vectobac G | Btk | Green | Insecticide |
| VectoLex CG | bacillus sphaericus | Green | Insecticide |

| Product Name | Active Ingredient | ZONE | Type |
|-------------------------------|--|--------|-----------------------|
| Victor Wasp and Hornet Killer | Mint Oil 8% & Sodium Lauryl Sulfate 1% | Green | Insecticide |
| Advion Ant Arena | Indoxacarb | Yellow | Insecticide |
| Advion Roach Gel | Indoxacarb | Yellow | Insecticide |
| Advion Insect Granules | Indoxacarb | Yellow | Insecticide |
| Affirm | Polyoxin D zinc salt | Yellow | Fungicide |
| Agnique MMF | POE Isoocatadecanol | Yellow | Insecticide |
| Aliette | fosetyl aluminum | Yellow | Fungicide |
| Altosid Briquettes | methoprene | Yellow | Other |
| Altosid Liquid | methoprene | Yellow | Other |
| Altosid Pellets | methoprene | Yellow | Other |
| Altosid XR-B | methoprene | Yellow | Other |
| Aquamaster-Rodeo | glyphosate | Yellow | Herbicide |
| Avid | abamectin | Yellow | Miticide/Insecticide |
| Ditrac | Diphacinone | Yellow | Rodenticide |
| Dormant | petroleum oil | Yellow | Insecticide |
| Green Light | Neem oil | Yellow | Insecticide/Fungicide |
| Kop-R-Spray | Copper Oil | Yellow | Fungicide |
| M-PEDE | potassium salts of fatty acids | Yellow | Insecticide |
| Omni Oil | Mineral Oil | Yellow | Fungicide |
| Polaris | Imazapyr | Yellow | Herbicide |
| Prostar 70 WP | flutolanil | Yellow | Fungicide |
| Rose Defense | Neem oil | Yellow | Insect/Fung |
| Roundup Pro | glyphosate | Yellow | Herbicide |
| Roundup PROMAX | glyphosate | Yellow | Herbicide |
| Safticide Oil | petroleum oil | Yellow | Insecticide |
| Stylet Oil | Petroleum distillates | Yellow | Insecticide |
| Sulf-R-Spray | Parafin oil, sulfur | Yellow | Fungicide |
| Razoroater | Diquat | Yellow | Herbicide |
| Superior Spray Oil | petroleum distillates | Yellow | Insecticide |
| Surflan | oryzalin | Yellow | Herbicide |
| Surflan AS | oryzalin | Yellow | Herbicide |
| Termidor SC | Fipronil | Yellow | Insecticide |
| Triact | Neem oil | Yellow | Insecticide/Fungicide |
| Trilogy | Neem oil | Yellow | Insecticide/Fungicide |
| Trimmit 2SC | Paclobutrazol | Yellow | Growth Regulator |
| Wasp-Freeze | allethrin | Yellow | Insecticide |
| Wilco Ground Squirrel Bait | diphacinone | Yellow | Other |
| XL 2G | benfenin; oryzalin | Yellow | Herbicide |
| Banner-maxx | Propiconazole | S.C. | Fungicide |
| Bayleton | triadimafon triazole | S. C. | Fungicide |

| Product Name | Active Ingredient | ZONE | Type |
|--------------|---------------------|-------|-------------|
| Daconil | Chlorothalonil | S.C. | Fungicide |
| Fumitoxin | Aluminum phosphide | S. C. | Rodenticide |
| Insignia | Pyraclostrobin | S.C. | Fungicide |
| Heritage | Azoxystrobin | S.C. | Fungicide |
| Manage | halosulfuron methyl | S. C. | Herbicide |
| Medallion | fludioxonil | S. C. | Fungicide |
| Quick Pro | glyphosate/diquat | S. C. | Herbicide |
| Reward | diquat dibromide | S. C. | Herbicide |
| Rubigan | fenarimol | S. C. | Fungicide |
| Rubigan EC | fenarimol | S. C. | Fungicide |
| Subdue | metalaxyl | S. C. | Fungicide |
| Turflon | Triclopyr | S.C. | Herbicide |
| Zp Rode | zinc phosphide | S. C. | Rodenticide |

* By decision of the Citizen IPM Advisory Committee, chemicals that may be classified normally as Yellow materials may be classified as Green materials if they are entirely enclosed in factory sealed bait stations.



City of Santa Barbara
Parks and Recreation Department

Memorandum

DATE: April 15, 2015

TO: City Council
Parks and Recreation Commission
Airport Commission

FROM: City IPM Advisory Committee

SUBJECT: IPM Advisory Committee Review of IPM Program in 2014 and 2014 Annual Report

The City of Santa Barbara's Integrated Pest Management (IPM) program is in its' 12th year. The Committee agrees with the analysis presented in the 2014 annual report and supports the direction and programs described therein.

Pesticide use has decreased substantially from its pre-strategy period before 2004, with the use of the most toxic materials decreasing by over 90% and green practices developed in a number of areas. Some use of toxic pesticides continues, however, due to a number of challenges, including control of fungus on golf greens, control of rodents on airport runways, mosquito control during critical periods, and control of invasive weeds. Although there is some apparent trending upwards, it is important to keep in mind the general overall substantial downward trend since before the start of the program.

Many of the City's challenges utilize green materials on a regular basis, such as mosquito control using Bti, rodent control using trapping, the use of compost tea on golf greens or the control of weeds using mechanical methods. However, often the challenges are sufficient, and the public health or resource issues substantial enough, that more toxic materials are used. The committee takes public health very seriously, and the use of more toxic materials for the control of mosquitoes (related to west nile virus) or other public health issues receive top priority. These challenges will always be present. We will continue to pursue green materials, but also protect the public's health and resources as part of this committee's mission and goals.

With the associated need for increased labor related to least toxic methods, we continue to believe that developing a well-coordinated volunteer program will help the long-term effectiveness of the IPM program. A coordinated effort to expand the existing volunteer pool would help to reduce the need for pesticide use during renovations.

The Advisory Committee will continue to work with staff, elected officials, and members of the public to ensure a quality program that protects the City's assets while not compromising human and environmental health.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Approval Of Parcel Map And Execution Of Agreements For 240 West Alamar Street

RECOMMENDATION:

That Council approve and authorize the City Administrator to execute and record Parcel Map Number 20,814 and standard agreements relating to the approved subdivision at 240 West Alamar Street.

DISCUSSION:

A Tentative Map for the subdivision located at 240 West Alamar Street (Attachment 1), was conditionally approved on July 17, 2014, by adoption of the Planning Commission (PC) Conditions of Approval, Resolution Number 018-14 (Attachment 2). The project involves the demolition of a single-family residence and detached garage, and construction of a two- and three-story 7,410 square foot condominium building containing four price restricted three-bedroom units. Staff has reviewed the Parcel Map and has found it to be in substantial compliance with the previously approved Tentative Map, the Conditions of Approval, the State Subdivision Map Act, and the City's Subdivision Ordinance.

In accordance with the Planning Commission approval, the Owner(s) (Attachment 3) have signed and submitted the Parcel Map and the required Agreements to the City, tracked under Public Works Permit Number PBW2014-01580. Council approval is required if Council agrees with the staff determination that the Parcel Map conforms to all the requirements of the Subdivision Map Act and the Municipal Code applicable at the time of the approval of the Tentative Map (Municipal Code, Chapter 27.09.060, City Council Action).

Staff recommends that Council authorize the City Administrator to execute the required *Agreement Relating to Subdivision Map Conditions Imposed on Real Property* and the *Agreement for Land Development Improvements*.

The *Agreement Assigning Water Extraction Rights* does not require Council approval, and will be signed by the Public Works Director in accordance with City Council Resolution Number 02-131.

THE PARCEL MAP IS AVAILABLE FOR REVIEW IN THE CITY CLERK'S OFFICE.

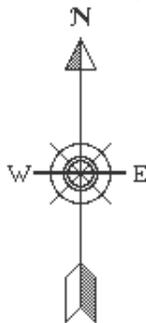
- ATTACHMENT(S):**
1. Vicinity Map
 2. Conditions required to be recorded concurrent with Parcel Map Number 20,814 by the Planning Commission Conditions of Approval Resolution Number 018-14
 3. List of Owners/Trustees

PREPARED BY: John Ewasiuk, Principal Civil Engineer/MW/DAS/ks

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

Vicinity Map
240 West Alamar



Not to Scale

CONDITIONS REQUIRED TO BE RECORDED CONCURRENT WITH FINAL MAP NUMBER 20,814 BY PLANNING COMMISSION CONDITIONS OF APPROVAL, RESOLUTION NO. 018-14

240 West Alamar

Said approval is subject to the following conditions:

1. **Approved Development.** The development of Real Property approved by the Planning Commission on May 15, 2014, is limited to the demolition of a single-family residence and attached garage, and construction of a two- and three-story 7, 410 square foot condominium building containing four price-restricted, three-bedroom units, affordable to moderate income households; and the improvements shown on the Tentative Subdivision Map signed by the chair of the Planning Commission on said date, and on file at the City of Santa Barbara.
2. **Ownership Unit Affordability Restrictions.** The four dwelling units on the Tentative Subdivision Map shall be designated as Affordable Moderate-Income Units and sold only to households who, at the time of their purchase, qualify as Moderate-Income Households as defined in the City's adopted Affordable Housing Policies and Procedures. This maximum sale price upon initial sale shall not exceed \$334,600.
The Affordable Units shall be sold and occupied in conformance with the City's adopted Affordable Housing Policies and Procedures. The resale prices of the Affordable Units shall be controlled by means of a recorded affordability covenant executed by, Developer, Owner, and the City to assure continued affordability for at least ninety (90) years from the initial sale of the affordable unit. No affordable unit may be rented prior to its initial sale.
3. **Uninterrupted Water Flow.** The owner shall provide for the continuation of any historic uninterrupted flow of water onto the Real Property, including, but not limited to, swales, natural watercourses, conduits and any access roads, as appropriate.
4. **Pesticide or Fertilizer Useage near Creeks.** The use of pesticides or fertilizer shall be prohibited within the creek setback area, which drains directly into Mission Creek.
5. **Storm Water Pollution Control and Drainage System Maintenance.** Owner shall maintain the drainage system and storm water pollution control devices in a functioning state and in accordance with the Storm Water BMP Guidance Manual. Should any of the project's surface or subsurface drainage structures or storm water pollution control methods fail to capture, infiltrate, and/or treat water, or result in increased erosion, the Owner shall be responsible for any necessary repairs to the system and restoration of the eroded area. Should repairs or restoration become necessary, prior to the commencement of such repair or restoration work, the Owner shall submit a repair and restoration plan to the Community Development Director to determine if an amendment or a new Building Permit is required to authorize such work. The Owner is responsible for the adequacy of any project-

related drainage facilities and for the continued maintenance thereof in a manner that will preclude any hazard to life, health, or damage to the Real Property or any adjoining property.

6. **Recreational Vehicle Storage Prohibition.** No recreational vehicles, boats or trailers shall be stored on the Real Property.
7. **Required Private Covenants, Conditions, and Restrictions (CC&Rs).** The Owners shall record in the official records of Santa Barbara County either private covenants, conditions and restrictions, a reciprocal easement agreement, or a similar agreement which, among other things, shall provide for the following:
 - a. **Common Area Maintenance.** An express method for the appropriate and regular maintenance of the common areas, common access ways, common utilities and other similar shared or common facilities or improvements of the development, which methodology shall also provide for an appropriate cost-sharing of such regular maintenance among the various owners of the condominium units.
 - b. **Garages Available for Parking.** A covenant that includes a requirement that all garages be kept open and available for the parking of vehicles owned by the residents of the property in the manner for which the garages were designed and permitted.
 - c. **Parking Space Assignment.** Parking spaces within the project shall be allocated.
 - d. **Landscape Maintenance.** A covenant that provides that the landscaping shown on the approved Landscaping Plan shall be maintained and preserved at all times in accordance with the Plan. Such plan shall not be modified unless prior written approval is obtained from the appropriate design review board. If said landscaping is removed for any reason without approval by the appropriate design review board, the owner is responsible for its immediate replacement.
 - e. **Trash and Recycling.** Trash holding areas shall include recycling containers with at least equal capacity as the trash containers, and trash/recycling areas shall be easily accessed by the consumer and the trash hauler. Green waste shall either have containers adequate for the landscaping, or be hauled off site by the landscaping maintenance company. If no green waste containers are provided for common interest developments, include an item in the CC&Rs state that the green waste will be hauled off site.
 - f. **Public Improvement Districts.** A covenant that includes a waiver to protest formation of public improvement districts.
 - g. **Covenant Enforcement.** A covenant that permits each owner to contractually enforce the terms of the private covenants, reciprocal easement agreement, or similar agreement required by this condition.

ATTACHMENT 3

240 West Alamar

CITY VENTURES HOMEBUILDING

LIST OF OWNERS

Bill McReynolds, Vice President of Development



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Water Resources Division, Public Works Department

SUBJECT: Acceptance Of Meter Easements For 1130 Punta Gorda Street

RECOMMENDATION:

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Accepting an Agreement for Access to Water Meters and Sub-Meters and Grant of Easement at 1130 Punta Gorda Street.

DISCUSSION:

City water meters are often installed in the public right of way. However, recently, a few projects have gained approval to install the meters and sub-meters on private property. These meters are City-owned and maintained.

In order to ensure future access for City personnel to install, read, repair, maintain, replace, and remove the City water service meters as needed, easement agreements granting access to the City are needed. The proposed Resolution will demonstrate acceptance by the City of the proffered easements and provide for the recordation of the pertinent agreements in the Official Records of Santa Barbara County. Furthermore, these documents outline the limits of City ownership and clearly designate that the only City infrastructure on the private property are the water meter boxes, water meters, and their shut-off valves.

PREPARED BY: Cathy Taylor, Water System Manager/DC/DH/mh

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

RESOLUTION OF ACCEPTANCE NO. _____

A RESOLUTION OF THE COUNCIL OF THE CITY
OF SANTA BARBARA ACCEPTING AN
AGREEMENT FOR ACCESS TO WATER METERS
AND SUB-METERS AND GRANT OF EASEMENT
AT 1130 PUNTA GORDA STREET

WHEREAS, the City has granted approval for the installation of City water meters on private property; and

WHEREAS, it is necessary to outline the limits of City ownership and clearly designate that the only City infrastructure on the private property is the water meters and their meter boxes and shut-off valves.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

SECTION 1. In accordance with California Government Code Section 27281, the City of Santa Barbara hereby accepts those certain easements for public water service meters and all related purposes described in the Agreement for Access to Water Meters and Sub-Meters and Grant of Easement At 1130 Punta Gorda Street, by and between the City of Santa Barbara, a municipal corporation, and Green Valley Corporation.

SECTION 2. The City of Santa Barbara hereby approves, and the Public Works Director is hereby authorized to execute the Agreement for Access to Water Meters and Sub-Meters and Grant of Easement, by and between the City of Santa Barbara and Green Valley Corporation.

SECTION 3. The City of Santa Barbara hereby consents to the recordation by the City Clerk, or by designated City staff, of the Agreement for Access to Water Meters and Sub-Meters and Grant of Easement in the Official Records of the County of Santa Barbara, State of California.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Contract For Construction Of Influent Pump Station Improvements

RECOMMENDATION: That Council:

- A. Transfer \$242,605.69 from Wastewater Fund Reserves to the Wastewater Capital Fund;
- B. Increase appropriations by \$1,070,245 in the Wastewater Capital Fund for the Influent Pump Station Variable Frequency Drive and Programmable Logic Controller Replacement Project, funded from a \$242,605.69 transfer from Wastewater Capital reserves and the balance of \$827,639.31 funded from a reimbursement from the State Revolving Loan Fund approved by separate action;
- C. Award a contract with Taft Electric Company in their low bid amount of \$732,910 for construction of the Influent Pump Station Variable Frequency Drive and Programmable Logic Controller Replacement Project, Bid No. 3740; and authorize the Public Works Director to execute the contract and approve expenditures up to \$73,290 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment;
- D. Authorize the Public Works Director to execute a contract with Mimiaga Engineering Group in the amount of \$111,000 for construction management services, and approve expenditures of up to \$11,100 for extra services of Mimiaga Engineering Group that may result from necessary changes in the scope of work; and
- E. Authorize the Public Works Director to execute a contract with Brown & Caldwell in the amount of \$81,376 for construction support services, and approve expenditures of up to \$8,138 for extra services of Brown & Caldwell that may result from necessary changes in the scope of work.

DISCUSSION:

BACKGROUND

On July 17, 2012, Council awarded a contract to construct the El Estero Wastewater Treatment Plant (El Estero) Influent Pumps Replacement Project. The work generally consisted of replacing the four existing influent pumps, shafts, and motors; replacement of two existing Variable Frequency Drives (VFDs) for the high flow pumps, installation of four new jib cranes to service each pump, and new ventilation ductwork to replace the existing ductwork.

During the design phase, the two VFDs for the low flow pumps and the Programmable Logic Controller (PLC) were identified as equipment that could be reused as a cost saving measure. However, the two existing VFDs and the PLC have not been performing as anticipated. Staff attempted to remedy this during the construction phase; however, the existing VFDs are not reliable and the PLC needs to be updated.

On July 22, 2014, Council awarded a contract with Brown & Caldwell (B&C) for final design services to upgrade the two VFDs and the PLC.

PROJECT DESCRIPTION

The work generally consists of replacing the two VFDs for the low flow pumps with new VFDs, and replacing the existing PLC with a new, updated PLC, which includes a redundant backup PLC.

CONTRACT BIDS

One bid was received for the subject work as follows:

| BIDDER | BID AMOUNT |
|-------------------------------------|-------------------|
| 1. Taft Electric Company Ventura | \$732, 910 |

The low bid of \$732,910, submitted by Taft Electric Company (Taft), is an acceptable bid that is responsive to and meets the requirements of the bid specifications. Taft's bid is approximately \$17,000 lower than the engineer's estimate of \$750,000 for the project.

The change order funding recommendation of \$73,290, or 10 percent, is typical for this type of work and size of project.

CONSTRUCTION PHASE CONTRACT SERVICES

Staff recommends that Council authorize the Public Works Director to execute a contract with Mimiaga Engineering Group (MEG) in the amount of \$111,000 for construction management services, and approve expenditures of up to \$11,100 for extra services that may result from necessary changes in the scope of work. The extra services funding recommendation of 10 percent is typical for this scope of work. MEG performed construction management services for the original Influent Pump Replacement project and is very familiar with El Estero's electrical and control system.

Staff recommends that Council authorize the Public Works Director to execute a contract with B&C in the amount of \$81,376 for design support services during construction, and approve expenditures of up to \$8,138 for extra services that may result from necessary changes in the scope of work. The extra services funding recommendation of 10 percent is typical for this scope of work. B&C designed the project and is experienced in this type of work.

FUNDING

The following summarizes the expenditures recommended in this report:

CONSTRUCTION CONTRACT FUNDING SUMMARY

| | Basic Contract | Change Funds | Total |
|--|-----------------------|---------------------|--------------------|
| Taft | \$732,910 | \$73,290 | \$806,200 |
| Mimiaga Engineering Group | \$111,000 | \$11,100 | \$122,100 |
| Brown & Caldwell | \$81,376 | \$8,138 | \$89,514 |
| TOTAL RECOMMENDED AUTHORIZATION | | | \$1,017,814 |

The following summarizes all project design costs, construction contract funding, and other project costs:

ESTIMATED TOTAL PROJECT COST

**Cents have been rounded to the nearest dollar in this table.*

| | |
|--|--------------------|
| Design (by Contract) | \$81,569 |
| City Staff Costs | 24,141 |
| Subtotal | \$105,710 |
| Construction Contract | \$732,910 |
| Construction Change Order Allowance | 73,290 |
| Subtotal | \$806,200 |
| Construction Management/Inspection (by Contract) | \$122,100 |
| Construction Management/Inspection (by City Staff) | 52,431 |
| Design Support Services (by Contract) | 89,514 |
| Subtotal | \$264,045 |
| TOTAL PROJECT COST | \$1,175,955 |

Design costs of \$105,710 have already been incurred. The remaining costs total \$1,070,245. The Wastewater Capital Fund will be reimbursed \$827,639.31 for costs of the Rehab Aeration Basin project from the State Revolving Loan at the Third Quarter Review being heard by City Council on May 19, 2015. Staff recommends that an additional \$242,605.69 be appropriated from reserves, for total funding to construct the project.

PREPARED BY: Linda Sumansky, Principal Civil Engineer/LA/mh

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Contract For Preliminary Design Of Las Positas Road Multiuse Path

RECOMMENDATION: That Council:

- A. Authorize the Public Works Director to execute a City Professional Services contract with RRM Design Group in the amount of \$938,426 for preliminary design services of the Las Positas Road Multiuse Path Project, and authorize the Public Works Director to approve expenditures of up to \$93,843 for extra services of RRM Design Group that may result from necessary changes in the scope of work; and
- B. Increase appropriations and estimated revenues related to the Active Transportation Program Grant by \$1,018,000 in the Fiscal Year 2015 Streets Grant Fund for the Las Positas Multiuse Path Project.

EXECUTIVE SUMMARY:

The Las Positas Road Multiuse Path Project (Project) includes the planning, environmental, and design phases for a separated pathway for bicyclists, runners, and pedestrians of all ages and abilities along Las Positas and Modoc Roads. The Project begins on Modoc Road at the western City limits and continues east for approximately 1 mile toward the intersection of Modoc and Las Positas Roads, then veers south for approximately 1.6 miles along Las Positas Road to Cliff Drive. The Project is funded primarily through Active Transportation Program (ATP) grant funds. Construction funding has not yet been identified for the Project.

DISCUSSION:

BACKGROUND

On September 27, 2014 the City was awarded \$1,372,000 in ATP funds for the planning, environmental, and design phases of the Project. The purpose of the ATP Program is to increase the proportion of trips accomplished by biking and walking,

increase the safety of non-motorized users, achieve greenhouse gas reduction goals, enhance public health, and benefit disadvantaged (minority and low income) communities.

Effective December 10, 2014, the California Transportation Commission (CTC) has given Caltrans approval to distribute the ATP funds. As part of the grant requirements, ATP funds must be appropriated within two years of CTC approval, with no more than a one-year extension for each phase. Final design of the Project is currently scheduled to be completed in 2017. Staff plans to pursue future grant opportunities to obtain construction funding for the Project, potentially in a phased approach, given the large scale of the Project.

PROJECT DESCRIPTION

The Project includes the planning, environmental, and design phases for a separated pathway for bicyclists, runners, and pedestrians of all ages and abilities along Las Positas and Modoc Roads. The Project begins on Modoc Road at the western City limits and continues east for approximately 1 mile toward the intersection of Modoc and Las Positas Roads, then veers south for approximately 1.6 miles along Las Positas Road to Cliff Drive.

The multiuse path will provide key connections between Santa Barbara's regional Crosstown and Coastal Bike Routes, the neighborhoods adjacent to the path, Elings Park, Arroyo Burro Beach and Park, and the Douglas Family Preserve. In addition to the multiuse path itself, the Project will include connection points to other bikeways and adjacent neighborhood sidewalks, which may include intersection improvements as necessary to enhance the multiuse path. With the likely upcoming transfer of ownership of the former Veronica Meadows property to the City, an alternative that includes a multiuse path through the property will be evaluated. This alternative would include a pedestrian/bicycle bridge crossing over Arroyo Burro Creek to provide a connection to the Alan Road cul-de-sac.

This Project is located in an area of the City that has long been planned for alternative transportation improvements, creek restoration, and expanded public open space and recreation. The Las Positas corridor provides significant opportunities, but also a range of constraints due to existing infrastructure, Las Positas and Arroyo Burro Creeks, and the surrounding native habitats and steep slopes. Staff acknowledges these constraints and the challenges faced with balancing various City policies related to each constraint. Public Works staff has worked with Parks and Recreation and Creeks Division staff to develop a scope of work that will analyze and evaluate various alternatives as a means of identifying key constraints and the tradeoffs associated with each alternative.

One of the key constraint areas is the section of Las Positas Road between Veronica Springs Road and Las Positas Place, where the Creeks Division is leading a concurrent City project to restore Las Positas Creek by removing the existing concrete channel. Public Works acknowledges that close coordination and collaboration will be needed with Creeks Division as both projects move forward with parallel design efforts. The

Project's scope of work includes coordination with Creeks Division staff for this reach as a distinct task to ensure that the two projects are closely coordinated.

DESIGN PHASE CONSULTANT ENGINEERING SERVICES

RRM Design Group (RRM) was selected as part of a Request for Qualifications process that followed strict Caltrans' Local Assistance Procedures Manual requirements. Consultants were rated based upon their qualifications and technical proposals. Six consultants submitted proposals, and three firms were selected for interviews. Based upon the proposals and interview, RRM was ranked as the most qualified consultant for this Project. RRM was asked to provide a cost proposal to perform the preliminary design and environmental documentation work. Negotiations with RRM produced a fair and reasonable price.

The contract amount of \$938,426 includes the preliminary design and environmental documentation phases of the Project, as well as optional services in the event that a full Environmental Impact Report (EIR) is required for environmental clearance. If an EIR is not required for this Project, these optional services will not be authorized by City staff. Staff recommends 10 percent, \$93,843, for potential extra services, for a total contract amount of \$1,032,269. A separate contract with RRM will be negotiated for the final design phase upon completion of the preliminary design.

COMMUNITY OUTREACH

The Project is identified in the City's Six-Year Capital Improvement Program for Fiscal Year 2014-2019, under the Bicycle Master Plan, and Pedestrian Master Plan. The Program and Plans have gone through extensive community-based participation. Since 2012, City staff has attended three neighborhood meetings regarding traffic conditions on Cliff Drive and Las Positas Roads. The community has expressed a strong desire for the City to move forward with this Project to improve commuting conditions and recreational opportunities.

A Project Development Team (PDT) will be assembled for the Project, consisting of the City Project Engineer and other City representatives, along with the Consultant Project Manager and Caltrans Local Assistance. The PDT will review and approve the Project through three distinct design phases: Conceptual, Preliminary, and Final. The level of environmental documentation will be based on National Environmental Policy Act requirements. The Project will continue through the City's community-based public participation process and will be reviewed by the Architectural Board of Review.

Additional public information will be disseminated throughout the Project in a timely manner, similar to what has been done for other recently completed bridge replacement projects. In addition, information will be available on the Public Works Department, Engineering Division's Interactive Map of Design and Construction Projects Map (santabarbaraca.gov/MajorProjectsMap).

FUNDING

The following summarizes all estimated total Project costs:

ESTIMATED TOTAL PROJECT COST

| | City Share | ATP Share | Total |
|---|--------------------|--------------------|---------------------|
| Preliminary Design & Environmental Services (by Contract) | \$0 | \$1,032,269 | \$1,032,269 |
| City Staff Costs – Preliminary Design | 150,000 | 0 | 150,000 |
| Subtotal | \$150,000 | \$1,032,269 | \$1,182,269 |
| Final Design Services (by future Contract) | \$232,269 | \$267,731 | \$500,000 |
| City Staff Costs – Final Design | 28,000 | 72,000 | 100,000 |
| Subtotal | \$260,269 | \$339,731 | \$600,000 |
| TOTAL DESIGN COSTS | \$410,269 | \$1,372,000 | \$1,782,269 |
| Estimated Construction Contract w/Change Order Allowance | \$746,200 | \$6,715,800* | \$7,462,000 |
| Estimated Construction Management/Inspection | 120,000 | 1,080,000 | 1,200,000 |
| Estimated Other Construction Costs | 17,500 | 157,500 | 175,000 |
| TOTAL CONSTRUCTION COSTS | \$883,700 | \$7,953,300 | \$8,837,000 |
| TOTAL PROJECT COST | \$1,293,969 | \$9,325,300 | \$10,619,269 |

*Construction funding has not yet been identified. Assumes future ATP grant funding for construction with a 10 percent City match.

ATP grant funds and the City's Streets Fund budget will cover the cost for the preliminary design and environmental services phase. In the first quarter of Fiscal Year 2015, \$354,000 of ATP grant funds were appropriated in the Streets Grant Fund for this Project. Staff recommends that the balance of \$1,018,000 of ATP grant funds for the design phase of this Project be appropriated in the Fiscal Year 2015 Streets Grant Fund, for the total grant amount of \$1,372,000.

The ATP grant requires a minimum 10 percent City match for this Project. The City committed \$178,000 in matching funds as part of the grant application and those funds were previously appropriated in the Streets Fund for this Project in the first quarter of Fiscal Year 2015. Due to the complexity of the Project and uncertainties related to the level of environmental clearance that will be required, staff is budgeting an additional

\$232,269 of City Streets Funds for the final design phase. Award of the final design contract is anticipated in Fiscal Year 2017.

Construction funding for this Project has not yet been identified, but future ATP Grant Funds may be a potential source for funding. Staff will pursue future grant opportunities in an effort to identify construction funding.

SUSTAINABILITY IMPACT:

The Project will improve safety and accessibility for pedestrians and cyclists and will contribute to the City's sustainability goals by encouraging more people to walk and bike, reducing energy consumption and air pollution.

ATTACHMENT(S): Las Positas Road Multiuse Path Design - Preliminary Plan

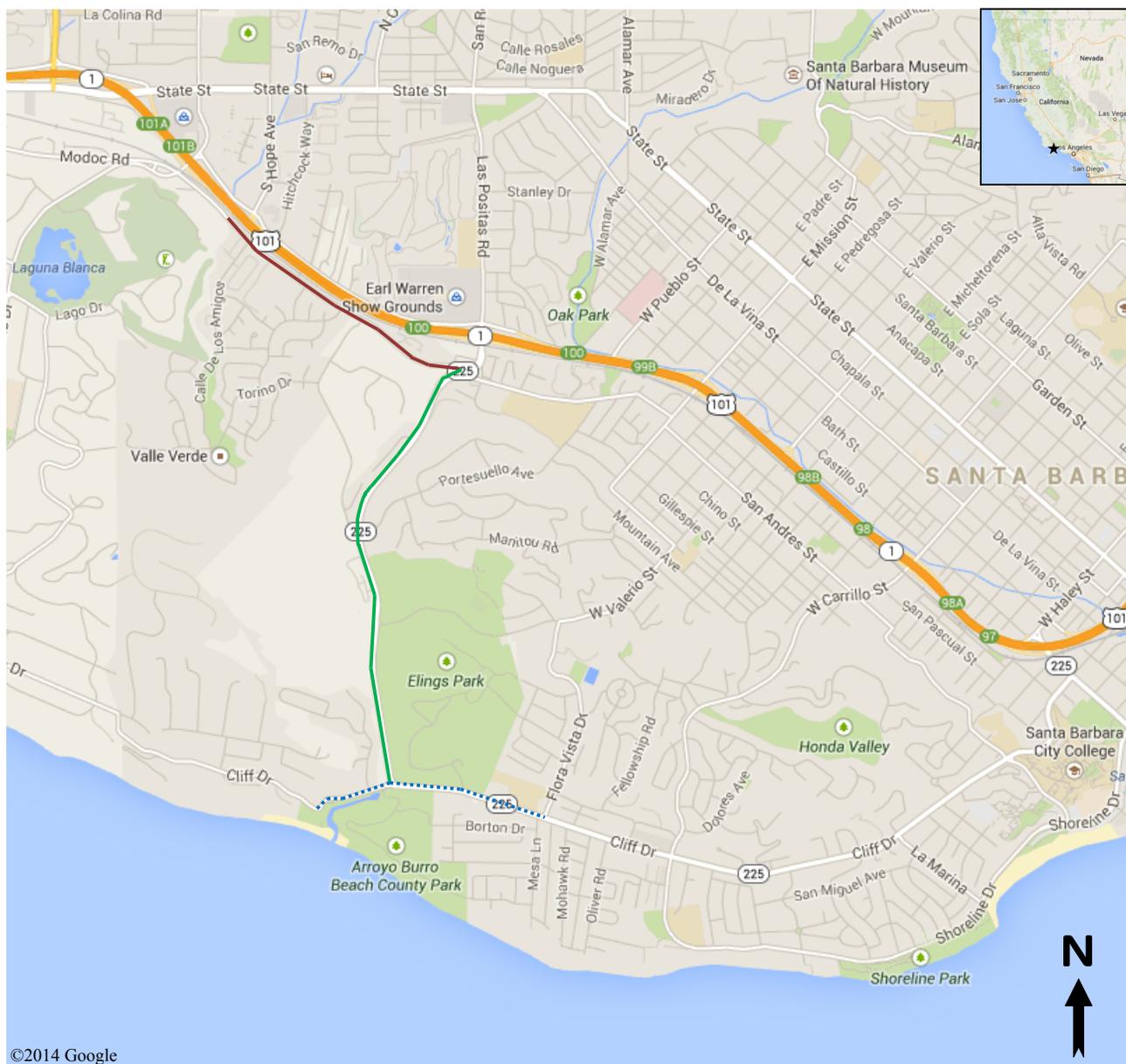
PREPARED BY: John Ewasiuk, Principal Civil Engineer/AS/sk

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office



City of Santa Barbara Las Positas Road Multiuse Path Design



©2014 Google



1" = 3000'

Preliminary Plan

Legend:

- New Modoc Road Class I Multiuse Path (~5300')
- New Las Positas Road Class I Multiuse Path (~8400')
- Currently being studied for a new Class I Multiuse Path



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Introduction Of Ordinance For Curb Marking For Parking Regulations

RECOMMENDATION:

That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.48 of the Municipal Code by Amending Section 10.48.040, Curb Markings to Indicate Parking Regulations – Authority of the Transportation Engineer.

DISCUSSION:

Section 10.48.040 of the Santa Barbara Municipal Code (SBMC) authorizes the Transportation Engineer to regulate street parking by use of colored curb markings. Within this section of the SBMC, the meaning of different colored curbs is established. Currently:

- Red indicates no stopping or standing, except at bus stops.
- Yellow means loading zone between 7 a.m. and 6 p.m., Monday through Saturday.
- White indicates passenger loading only, at all times, unless otherwise indicated by curb markings or posted sign.
- Green indicates 15-minute parking, 9 a.m. to 6 p.m., Monday through Saturday.

The purpose of the proposed amendment is to provide flexibility during the time-of-day limits that currently exist in the SBMC for green (15-minute) and yellow (loading) zones. Occasionally, there is a need for a green or yellow zone during times of the day that do not presently match the times established in SBMC.

This ordinance amendment will give the Transportation Engineer greater flexibility to establish green or yellow zones with alternate time-of-day limits through the combination of curb colors and signage, in order to better meet the community's needs. Further, by allowing the use of signs in combination with curb colors, parking zones can

be more clearly marked, and drivers are less likely to mistakenly park in a time-limited parking or loading zone.

White zones currently have flexible time limits per the SBMC, so the changes are only recommended for green and yellow zones.

Ordinance Committee has recommended this ordinance be forwarded to Council for approval.

PREPARED BY: Derrick Bailey, Supervising Transportation Engineer/mj

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

ORDINANCE NO.

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING CHAPTER 10.48 OF THE MUNICIPAL CODE BY AMENDING SECTION 10.48.040, CURB MARKINGS TO INDICATE PARKING REGULATIONS – AUTHORITY OF THE TRANSPORTATION ENGINEER

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Chapter 10.48 of the Santa Barbara Municipal Code is revised to read as follows:

10.48.040 Curb Markings to Indicate Parking Regulations - Authority of Transportation Engineer.

A. The Transportation Engineer is authorized subject to the provisions and limitations of this title, to place, and when required shall place, the following curb markings to indicate parking or standing regulations, and the curb markings shall have the meanings as herein set forth:

1. Red means no stopping, standing or parking at any time except as permitted by the Vehicle Code, and except that a bus may stop in a red zone marked or signed as a bus loading zone.

2. Yellow means no stopping, standing or parking at any time between seven a.m. (7:00 a.m.) and six p.m. (6:00 p.m.) of any day except Sunday, unless otherwise indicated by posted signage, for any purpose other than the loading or unloading of passengers or freight, providing that the loading or unloading of passengers or the loading or unloading of freight shall not extend beyond the time necessary therefore and in no event exceed the time limits as follows:

a. Commercial vehicles, stopping, standing or parking in any yellow zone for the purpose of loading and unloading freight shall be limited to thirty (30) minutes, and during such time no person shall leave any such commercial vehicle unattended for longer than ten (10) minutes.

b. Noncommercial vehicles stopping, standing or parking in any yellow zone shall be limited to three (3) minutes, and during such time no person shall leave any such vehicle unattended.

c. For the purposes of this Section 10.48.040(A)(2), "Freight" is defined as goods ordinarily transported by common carrier.

3. White means no stopping, standing or parking for any purpose other than loading or unloading of passengers which shall not exceed three (3) minutes, or the depositing of mail or books in an adjacent designated container. Such restrictions shall apply twenty-four hours a day, seven days a week, unless otherwise indicated by curb markings or posted signs.

4. Green means no standing or parking for longer than fifteen (15) minutes at any time between nine a.m. (9:00 a.m.) and six p.m. (6:00 p.m.) of any day except Sunday, unless otherwise indicated by posted signage.

5. Blue means no stopping, standing or parking at any time except for those physically handicapped persons whose vehicles display a distinguishing license plate or placard issued to disabled persons pursuant to the Vehicle Code.

- B. When the Transportation Engineer as authorized under this chapter has caused curb markings to be placed, no person shall stop, stand or park a vehicle adjacent to any such legible curb marking in violation of any of the provisions of this section.
- C. Any person parking adjacent to blue curb markings without displaying a distinguishing license plate or placard issued to disabled persons pursuant to the Vehicle Code shall be punished by a fine of not less than twenty-five dollars (\$25.00). (Ord. 5353, 2005; Ord. 4842, 1993; Ord. 4080, 1980; Ord. 3913, 1977; Ord. 3483, 1971; Ord. 3465, 1971; Ord. 2713 §1(part), 1959; prior Code §31.83.)



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Introduction Of Ordinance For Prohibition Of Unauthorized Traffic Signs

RECOMMENDATION:

That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.12 of the Municipal Code by Amending Section 10.12.170, Displaying of Unauthorized Signs Prohibited – Nuisance.

DISCUSSION:

Section 10.12.170 of the Santa Barbara Municipal Code (SBMC) prohibits the display of certain unauthorized (nuisance) traffic signs. Those certain signs include official warning or directional signs or signals intended to direct *the movement of traffic or acts of operators*. Recently, however, the display of parking control signs from private property for the purpose of regulating *parking* in the public right of way is becoming more common (Attachment 1). As such, staff is recommending that the current ordinance be amended to include a prohibition for the display of signs attempting to regulate parking within the public right of way.

Additionally, as presently codified under Section 10.12.170 of the SBMC, the City's ability to remedy the display of unauthorized (nuisance) traffic signs is limited to authorizing the Chief of Police to remove, or cause to be removed, the offending sign. Staff recommends amending the existing section to include the City's ability to address nuisance sign violations with administrative citations, rather than by Police action, when appropriate. Signs that create a traffic hazard could be dealt with immediately by the Police Department, but signs that do not create an immediate traffic hazard, like parking signs, could be more appropriately dealt with by the Public Works Department through administrative citations.

Ordinance Committee recommended that this ordinance be forwarded to Council for approval.

ATTACHMENT: Example of Unauthorized (Nuisance) Parking Sign

PREPARED BY: Derrick Bailey, Supervising Transportation Engineer/mj

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

Typical Parking Sign on Private Property Facing Street
Example of Unauthorized (Nuisance) Parking Sign



AN ORDINANCE OF THE COUNCIL OF THE
CITY OF SANTA BARBARA AMENDING
CHAPTER 10.12 OF THE MUNICIPAL CODE
BY AMENDING SECTION 10.12.170,
DISPLAYING OF UNAUTHORIZED SIGNS
PROHIBITED – NUISANCE

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS
FOLLOWS:

SECTION 1. Section 10.12.170 of the Santa Barbara Municipal Code is amended to
read as follows:

10.12.170 Displaying of Unauthorized Signs Prohibited - Nuisance.

It shall be unlawful for any person to place or maintain or display any device, other than an official warning or directional sign, or sign erected under competent authority, upon or in view of a street, which purports to be or is an imitation of or resembles an official warning or directional sign or signal or which attempts to direct or regulate movement of traffic, parking, or the acts of operators. Any such device shall be a public nuisance and subject to penalty under Chapter 1.25 and 1.28 of the Santa Barbara Municipal Code. ~~and the~~ ~~the~~ The Chief of Police may remove it or cause it to be removed any display, sign, or device deemed to be an immediate traffic hazard without notice. (~~Ord. 2713 §1(part), 1959; prior Code §31.34.~~)



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Introduction Of Ordinance Establishing Bus Stop Zones

RECOMMENDATION:

That Council introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.48 of the Municipal Code by Amending Section 10.48.090, Bus Zones to be Established.

DISCUSSION:

Section 10.48.090 of the Santa Barbara Municipal Code (SBMC) authorizes the Transportation Engineer to establish bus loading zones and bus layover zones for the purpose of parking standby buses.

Use of Bus Stops by Buses Other Than MTD

Based on the definition of a bus under the California Vehicle Code (CVC) and the existing SBMC ordinance, any vehicle defined as a bus may use the bus stops in the City of Santa Barbara. Buses are defined by the CVC as vehicles designed for and used for carrying 15 or more passengers. SBMC Section 10.48.090, as presently enacted, does not specify the type of bus that may use the bus stops and layover zones. When buses other than the Metropolitan Transit District (MTD) use the bus stops and layover zones, it impacts the ability of MTD buses to load and unload passengers in a safe and timely manner. Staff recommends that the SBMC be amended to clarify that the bus stop zones have been established for use by MTD.

Establishing of Tour Bus Loading Zones

Amendment of the SBMC to clarify that bus stop zones have been established for use by MTD creates the need to establish tour bus loading zones, so that visitors may be safely loaded and unloaded from designated areas near local attractions. Staff recommends that the SBMC be amended to grant the Transportation Engineer the authority to establish tour bus loading zones.

A letter of support from MTD General Manager, Jerry Estrada, is attached.

Use of MTD Bus Stops by Other Buses

At certain bus stops, other buses, such as tour buses or charter buses may desire to use bus stops that are intended for MTD. Allowing these other buses to utilize MTD bus stops is an efficient use of curb space, as long as MTD operations are not negatively impacted. Staff also recommends that Council grant the Public Works Director the authority to issue permits for other buses to use the bus stop zones, if a determination is made that such use will not negatively impact MTD's ability to safely and timely unload passengers.

Local commuter buses Vista and Clean Air Express will be accommodated at city bus stops.

Staff have reached out to the two known local users of MTD bus stops: the Santa Barbara Trolley, and the Landshark. Staff intends to accommodate these local businesses to the most reasonable extent possible, without impacting MTD operations.

Ordinance Committee recommended that this ordinance be forwarded to Council for approval.

ATTACHMENT: Letter from Jerry Estrada, MTD General Manager, dated March 11, 2015

PREPARED BY: Derrick Bailey, Supervising Transportation Engineer/mj

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office



Metropolitan Transit District
550 Olive Street
Santa Barbara, CA 93101

p (805) 963-3364
f (805) 963-3365
www.sbmtd.gov

CITY OF SANTA BARBARA

MAR 24 2015

ENGINEERING

March 11, 2015

Derrick Bailey
Public Works Department
City of Santa Barbara
630 Garden Street
Santa Barbara, CA 93101

Dear Mr. Bailey:

Thank you for the opportunity to coordinate on amending the City bus stop ordinance. MTD is supportive and appreciative of the ordinance to ensure MTD buses can load and unload passengers at bus stops designated for MTD use. MTD looks forward to working with the City Transportation Engineer when it is deemed necessary to allow other buses public or private in using such stops. Please do not hesitate to contact me at (805) 963-3364 if you have any questions or concerns.

Sincerely,



Jerry Estrada
General Manager

AN ORDINANCE OF THE COUNCIL OF THE
CITY OF SANTA BARBARA AMENDING
CHAPTER 10.48 OF THE MUNICIPAL CODE
BY AMENDING SECTION 10.48.090, BUS
ZONES TO BE ESTABLISHED

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Section 10.48.090 of the Santa Barbara Municipal Code is amended to read as follows:

10.48.090 Bus Zones to be Established.

A. Transportation Engineer. The Transportation Engineer is authorized to establish bus loading zones adjacent to the curb for the purpose of loading and unloading of buses and bus layover zones for the purpose of parking standby buses. The Transportation Engineer is further authorized to determine the location and dimensions of such zones.

B. Definition. ~~The word "bus" as used in this section means any motor bus, motor coach, trackless trolley coach, or passenger stage used as a common carrier of passengers.~~ The word "bus" as used in this section means a vehicle operated by the Metropolitan Transit District. The words "tour bus" means a bus defined as a tour bus by the California Vehicle Code.

C. Dimensions. No bus loading zone shall exceed sixty feet (60') in length except that when satisfactory evidence has been presented to the Transportation Engineer showing the necessity ~~therefor~~ therefore, ~~the Transportation Engineer may extend bus loading zones not to exceed a total length of one hundred sixty feet (160')~~.

D. Bus Loading Zone - Marking. Bus loading zones shall be marked to indicate that they have been so designated. The Transportation Engineer shall approve the method of marking such zones with a sign or a red curb with letters stenciled in white.

E. Bus Layover Zones - Marking. The Transportation Engineer shall mark bus layover zones by a sign which gives notice that stopping, standing or parking of vehicles is not permitted except for buses.

F. Prohibition. No person shall stop, stand or park any vehicle except a bus in a bus loading zone or bus layover zone.

G. School Bus Zones. Notwithstanding the other provisions in this section, the Transportation Engineer may designate certain bus loading zones as "school bus zones" and further designate certain hours of the day on certain days of the week during which no person shall stop, stand, or park any vehicle except a school bus in said zone. Said restrictions shall be posted on a sign in a manner easily visible to motorists. At all other times, persons may stop, stand, or park any vehicle in said zone. (Ord. 4080, 1980; Ord. 3688, 1974.)

H. Tour Bus Loading Zones. Notwithstanding the other provisions in this section, the Transportation Engineer may designate certain curb areas as "tour bus loading zones" for the parking or loading and unloading of passengers, and further designate time limits and certain hours of the day on certain days of the week during which no person shall stop, stand, or park any vehicle except a tour bus in said zone. Said restrictions shall be posted on a sign in a manner easily visible to motorists. At all other times, persons may stop, stand, or park any vehicle in said zone.

I. Permits to Use Bus Loading and Layover Zones. The Public Works Director is authorized to issue permits for the use of bus loading and layover zones for the purposes for active loading and unloading of passengers to buses other than those operated by the Metropolitan Transit District. The permit applicant must demonstrate that it will not impede operations of the Metropolitan Transit District's use of the bus loading or layover zones.

J. Revocation of Bus Loading and Layover Zone Permit. If it is determined by the Public Works Director that a permittee's use of a bus loading zone or bus layover is negatively impacting the Metropolitan Transit District's ability to safely and timely unload passengers, the Public Works Director will cause to be sent a written Notice of Intent to Revoke to the permittee via certified mail. A permittee may request reconsideration of the Notice of Intent to Revoke in writing to the Public Works Director within ten (10) business days of the date of the Notice of Intent to Revoke. The request for reconsideration shall set forth all relevant evidence showing that the permittee's use of the bus loading or layover zone does not negatively impact the Metropolitan Transit District's ability to safely and timely unload passengers. The Public Works Director, or his or her designee, shall issue a written Notice of Decision within ten (10) business days of the date of the request for reconsideration. The Notice of Decision shall be sent to the permittee via certified mail and will be deemed final and effective as of the date of the Notice of Decision. Appeal of the Notice of Decision may be brought pursuant to Santa Barbara Municipal Code Chapter 1.30. If a request for reconsideration is not received within ten (10) days of the date of the Notice of Intent to Revoke, the permit shall be deemed revoked on the eleventh day following the date of the Notice of Intent to Revoke.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015
TO: Mayor and Councilmembers
FROM: Accounting Division, Finance Department
SUBJECT: Fiscal Year 2015 Third Quarter Review

RECOMMENDATION: That Council:

- A. Hear a report from staff on the status of revenues and expenditures in relation to budget for the nine months ended March 31, 2015;
- B. Accept the Fiscal Year 2015 Interim Financial Statements for the Nine Months Ended March 31, 2015; and
- C. Approve the proposed third quarter adjustments to Fiscal Year 2015 appropriations and estimated revenues as detailed in the schedule of Proposed Third Quarter Adjustments.

DISCUSSION:

Each month, staff presents the interim financial statements (Attachment 1) showing the status of revenues and expenditures in relation to budget for each of the City's Funds. Each quarter, the interim financial statements are expanded to include a detailed narrative analysis of the General Fund and Enterprise Funds. This narrative analysis is included in Attachment 2.

In addition to the third quarter budget analysis, staff brings forward recommended adjustments for City Council approval. These adjustments are the result of new information and/or unanticipated events that occurred since the adoption of the budget in June 2014. A listing and description of each proposed adjustment to the current year budget is provided in Attachment 3.

- ATTACHMENTS:**
- 1. Summary by Fund Statement of Revenues and Expenditures for the Nine Months Ended March 31, 2015
 - 2. Interim Financial Statements for the Nine Months Ended March 31, 2015 (Narrative Analysis)
 - 3. Schedule of Proposed Third Quarter Adjustments

PREPARED BY: Julie Nemes, Treasury Manager

SUBMITTED BY: Robert Samario, Acting Assistant City Administrator

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> |
|--|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|
| GENERAL FUND | | | | | |
| Revenue | 117,225,968 | 80,710,479 | - | 36,515,490 | 68.9% |
| Expenditures | <u>118,855,058</u> | <u>84,499,791</u> | <u>1,823,533</u> | 32,531,734 | 72.6% |
| <i>Addition to / (use of) reserves</i> | <u>(1,629,089)</u> | <u>(3,789,312)</u> | <u>(1,823,533)</u> | | |
| SOLID WASTE FUND | | | | | |
| Revenue | 20,645,776 | 15,561,065 | - | 5,084,711 | 75.4% |
| Expenditures | <u>20,613,368</u> | <u>15,029,189</u> | <u>255,342</u> | 5,328,837 | 74.1% |
| <i>Addition to / (use of) reserves</i> | <u>32,408</u> | <u>531,876</u> | <u>(255,342)</u> | | |
| WATER OPERATING FUND | | | | | |
| Revenue | 41,297,502 | 28,288,792 | - | 13,008,711 | 68.5% |
| Expenditures | <u>47,853,870</u> | <u>31,937,948</u> | <u>2,023,305</u> | 13,892,616 | 71.0% |
| <i>Addition to / (use of) reserves</i> | <u>(6,556,367)</u> | <u>(3,649,157)</u> | <u>(2,023,305)</u> | | |
| WASTEWATER OPERATING FUND | | | | | |
| Revenue | 18,883,613 | 13,570,569 | - | 5,313,044 | 71.9% |
| Expenditures | <u>20,539,007</u> | <u>12,936,778</u> | <u>1,198,805</u> | 6,403,424 | 68.8% |
| <i>Addition to / (use of) reserves</i> | <u>(1,655,394)</u> | <u>633,791</u> | <u>(1,198,805)</u> | | |
| DOWNTOWN PARKING | | | | | |
| Revenue | 7,936,933 | 6,390,728 | - | 1,546,205 | 80.5% |
| Expenditures | <u>8,465,044</u> | <u>5,965,322</u> | <u>274,777</u> | 2,224,945 | 73.7% |
| <i>Addition to / (use of) reserves</i> | <u>(528,110)</u> | <u>425,406</u> | <u>(274,777)</u> | | |
| AIRPORT OPERATING FUND | | | | | |
| Revenue | 15,469,349 | 11,688,419 | - | 3,780,930 | 75.6% |
| Expenditures | <u>15,786,050</u> | <u>10,737,130</u> | <u>515,417</u> | 4,533,503 | 71.3% |
| <i>Addition to / (use of) reserves</i> | <u>(316,701)</u> | <u>951,289</u> | <u>(515,417)</u> | | |
| GOLF COURSE FUND | | | | | |
| Revenue | 2,091,048 | 1,392,878 | - | 698,170 | 66.6% |
| Expenditures | <u>2,080,245</u> | <u>1,459,016</u> | <u>4,813</u> | 616,416 | 70.4% |
| <i>Addition to / (use of) reserves</i> | <u>10,803</u> | <u>(66,137)</u> | <u>(4,813)</u> | | |
| INTRA-CITY SERVICE FUND | | | | | |
| Revenue | 5,477,943 | 3,957,568 | - | 1,520,375 | 72.2% |
| Expenditures | <u>7,117,928</u> | <u>5,458,039</u> | <u>131,879</u> | 1,528,011 | 78.5% |
| <i>Addition to / (use of) reserves</i> | <u>(1,639,986)</u> | <u>(1,500,471)</u> | <u>(131,879)</u> | | |

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> |
|--|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|
| FLEET REPLACEMENT FUND | | | | | |
| Revenue | 2,731,151 | 2,122,976 | - | 608,175 | 77.7% |
| Expenditures | <u>5,882,656</u> | <u>1,997,398</u> | <u>1,375,652</u> | 2,509,606 | 57.3% |
| <i>Addition to / (use of) reserves</i> | <u>(3,151,505)</u> | <u>125,578</u> | <u>(1,375,652)</u> | | |
| FLEET MAINTENANCE FUND | | | | | |
| Revenue | 2,640,697 | 1,996,369 | - | 644,328 | 75.6% |
| Expenditures | <u>3,328,709</u> | <u>2,116,030</u> | <u>420,564</u> | 792,115 | 76.2% |
| <i>Addition to / (use of) reserves</i> | <u>(688,012)</u> | <u>(119,662)</u> | <u>(420,564)</u> | | |
| SELF INSURANCE TRUST FUND | | | | | |
| Revenue | 6,507,674 | 4,899,930 | - | 1,607,744 | 75.3% |
| Expenditures | <u>6,178,328</u> | <u>5,416,462</u> | <u>244,519</u> | 517,347 | 91.6% |
| <i>Addition to / (use of) reserves</i> | <u>329,346</u> | <u>(516,532)</u> | <u>(244,519)</u> | | |
| INFORMATION SYSTEMS ICS FUND | | | | | |
| Revenue | 3,120,588 | 2,340,441 | - | 780,147 | 75.0% |
| Expenditures | <u>3,352,141</u> | <u>2,159,710</u> | <u>41,652</u> | 1,150,779 | 65.7% |
| <i>Addition to / (use of) reserves</i> | <u>(231,553)</u> | <u>180,731</u> | <u>(41,652)</u> | | |
| WATERFRONT FUND | | | | | |
| Revenue | 12,661,137 | 11,218,706 | - | 1,442,431 | 88.6% |
| Expenditures | <u>13,695,537</u> | <u>9,404,884</u> | <u>515,862</u> | 3,774,791 | 72.4% |
| <i>Addition to / (use of) reserves</i> | <u>(1,034,400)</u> | <u>1,813,823</u> | <u>(515,862)</u> | | |
| TOTAL FOR ALL FUNDS | | | | | |
| Revenue | 256,689,380 | 184,138,920 | - | 72,550,460 | 71.7% |
| Expenditures | <u>273,747,940</u> | <u>189,117,697</u> | <u>8,826,120</u> | 75,804,123 | 72.3% |
| <i>Addition to / (use of) reserves</i> | <u>(17,058,560)</u> | <u>(4,978,777)</u> | <u>(8,826,120)</u> | | |

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Remaining Balance</u> | <u>Percent Received</u> | <u>Previous YTD</u> |
|------------------------------------|--------------------------|-----------------------|------------------------------|-----------------------------|-------------------------|
| TAXES | | | | | |
| Sales and Use | 21,726,115 | 15,303,616 | 6,422,499 | 70.4% | 14,592,656 |
| Property Taxes | 27,164,000 | 14,673,340 | 12,490,660 | 54.0% | 14,042,156 |
| Utility Users Tax | 7,257,800 | 5,262,367 | 1,995,433 | 72.5% | 5,325,050 |
| Transient Occupancy Tax | 17,641,400 | 14,173,102 | 3,468,298 | 80.3% | 12,504,710 |
| Business License | 2,571,200 | 2,065,824 | 505,376 | 80.3% | 1,994,039 |
| Real Property Transfer Tax | 678,000 | 457,033 | 220,967 | 67.4% | 453,593 |
| <i>Total</i> | <u>77,038,515</u> | <u>51,935,283</u> | <u>25,103,232</u> | 67.4% | <u>48,912,204</u> |
| LICENSES & PERMITS | | | | | |
| Licenses & Permits | 233,500 | 145,435 | 88,065 | 62.3% | 138,843 |
| <i>Total</i> | <u>233,500</u> | <u>145,435</u> | <u>88,065</u> | 62.3% | <u>138,843</u> |
| FINES & FORFEITURES | | | | | |
| Parking Violations | 2,681,987 | 1,952,072 | 729,915 | 72.8% | 1,969,890 |
| Library Fines | 105,500 | 73,324 | 32,176 | 69.5% | 100,788 |
| Municipal Court Fines | 120,000 | 48,135 | 71,865 | 40.1% | 65,052 |
| Other Fines & Forfeitures | 300,000 | 258,871 | 41,129 | 86.3% | 264,896 |
| <i>Total</i> | <u>3,207,487</u> | <u>2,332,402</u> | <u>875,085</u> | 72.7% | <u>2,400,626</u> |
| USE OF MONEY & PROPERTY | | | | | |
| Investment Income | 627,224 | 415,559 | 211,665 | 66.3% | 442,835 |
| Rents & Concessions | 398,797 | 288,851 | 109,946 | 72.4% | 278,765 |
| <i>Total</i> | <u>1,026,021</u> | <u>704,411</u> | <u>321,610</u> | 68.7% | <u>721,600</u> |
| INTERGOVERNMENTAL | | | | | |
| Grants | 304,863 | 184,848 | 120,016 | 60.6% | 271,420 |
| Vehicle License Fees | - | 38,585 | (38,585) | 100.0% | 39,945 |
| Reimbursements | 437,654 | 350,183 | 87,471 | 80.0% | 894,218 |
| <i>Total</i> | <u>742,517</u> | <u>573,615</u> | <u>168,902</u> | 77.3% | <u>1,205,583</u> |
| FEES & SERVICE CHARGES | | | | | |
| Finance | 949,905 | 726,082 | 223,823 | 76.4% | 701,381 |
| Community Development | 4,654,515 | 3,291,666 | 1,362,849 | 70.7% | 3,012,796 |
| Recreation | 3,049,474 | 2,136,812 | 912,662 | 70.1% | 1,963,798 |
| Public Safety | 653,827 | 400,970 | 252,857 | 61.3% | 418,821 |
| Public Works | 5,951,301 | 4,410,827 | 1,540,474 | 74.1% | 4,173,123 |
| Library | 762,398 | 704,957 | 57,441 | 92.5% | 714,867 |
| Reimbursements | 4,471,212 | 3,533,667 | 937,545 | 79.0% | 3,193,123 |
| <i>Total</i> | <u>20,492,632</u> | <u>15,204,981</u> | <u>5,287,651</u> | 74.2% | <u>14,177,909</u> |
| OTHER REVENUES | | | | | |
| Miscellaneous | 1,669,765 | 1,327,976 | 341,789 | 79.5% | 1,269,446 |
| Franchise Fees | 3,771,000 | 2,739,815 | 1,031,185 | 72.7% | 2,823,628 |
| Indirect Allocations | 6,411,155 | 4,808,366 | 1,602,789 | 75.0% | 4,719,556 |
| Operating Transfers-In | 1,433,377 | 938,195 | 495,182 | 65.5% | 1,227,782 |
| Anticipated Year-End Variance | 1,200,000 | - | 1,200,000 | 0.0% | - |
| <i>Total</i> | <u>14,485,296</u> | <u>9,814,352</u> | <u>4,670,944</u> | 67.8% | <u>10,040,412</u> |
| TOTAL REVENUES | <u>117,225,968</u> | <u>80,710,479</u> | <u>36,515,490</u> | 68.9% | <u>77,597,177</u> |

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>YTD Expended and Encumbered</u> | <u>Previous YTD</u> |
|---------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|--|-------------------------|
| GENERAL GOVERNMENT | | | | | | |
| <u>Mayor & City Council</u> | | | | | | |
| MAYOR & CITY COUNCIL | 792,975 | 581,111 | 6,403 | 205,461 | 74.1% | |
| ARTS AND COMMUNITY PROMOTIONS | 2,627,740 | 2,440,395 | 137,478 | 49,867 | 98.1% | |
| <i>Total</i> | <u>3,420,715</u> | <u>3,021,506</u> | <u>143,881</u> | <u>255,328</u> | 92.5% | <u>2,132,835</u> |
| <u>City Attorney</u> | | | | | | |
| CITY ATTORNEY | 3,203,695 | 2,384,523 | 81,097 | 738,074 | 77.0% | |
| <i>Total</i> | <u>3,203,695</u> | <u>2,384,523</u> | <u>81,097</u> | <u>738,074</u> | 77.0% | <u>1,565,068</u> |
| <u>Administration</u> | | | | | | |
| CITY ADMINISTRATOR | 1,709,162 | 1,033,327 | 23,015 | 652,820 | 61.8% | |
| CITY TV | 575,011 | 406,209 | 22,626 | 146,176 | 74.6% | |
| <i>Total</i> | <u>2,284,173</u> | <u>1,439,536</u> | <u>45,641</u> | <u>798,996</u> | 65.0% | <u>1,584,077</u> |
| <u>Administrative Services</u> | | | | | | |
| CITY CLERK | 501,662 | 365,460 | 6,487 | 129,715 | 74.1% | |
| ELECTIONS | 75,000 | 15,769 | 50,044 | 9,188 | 87.8% | |
| HUMAN RESOURCES | 1,486,320 | 911,323 | 20,310 | 554,687 | 62.7% | |
| EMPLOYEE DEVELOPMENT | 49,468 | 28,985 | 3,450 | 17,033 | 65.6% | |
| <i>Total</i> | <u>2,112,450</u> | <u>1,321,536</u> | <u>80,291</u> | <u>710,623</u> | 66.4% | <u>1,486,646</u> |
| <u>Finance</u> | | | | | | |
| ADMINISTRATION | 260,409 | 148,719 | 45,756 | 65,934 | 74.7% | |
| REVENUE & CASH MANAGEMENT | 519,455 | 342,530 | 21,773 | 155,152 | 70.1% | |
| CASHIERING & COLLECTION | 488,983 | 348,271 | - | 140,712 | 71.2% | |
| LICENSES & PERMITS | 499,751 | 352,718 | 14,947 | 132,086 | 73.6% | |
| BUDGET MANAGEMENT | 475,712 | 336,843 | 12,750 | 126,119 | 73.5% | |
| ACCOUNTING | 644,696 | 441,626 | 47,210 | 155,860 | 75.8% | |
| PAYROLL | 317,773 | 229,554 | - | 88,219 | 72.2% | |
| ACCOUNTS PAYABLE | 239,384 | 172,095 | - | 67,289 | 71.9% | |
| CITY BILLING & CUSTOMER SERVICE | 729,485 | 433,078 | 103,741 | 192,667 | 73.6% | |
| PURCHASING | 643,166 | 466,055 | 1,587 | 175,523 | 72.7% | |
| CENTRAL WAREHOUSE | 194,491 | 143,521 | 299 | 50,671 | 73.9% | |
| MAIL SERVICES | 116,186 | 79,025 | 6,310 | 30,851 | 73.4% | |
| <i>Total</i> | <u>5,129,491</u> | <u>3,494,036</u> | <u>254,372</u> | <u>1,381,082</u> | 73.1% | <u>3,512,593</u> |
| TOTAL GENERAL GOVERNMENT | <u>16,150,523</u> | <u>11,661,138</u> | <u>605,282</u> | <u>3,884,103</u> | 76.0% | <u>10,281,219</u> |
| PUBLIC SAFETY | | | | | | |
| <u>Police</u> | | | | | | |
| CHIEF'S STAFF | 1,114,970 | 793,899 | 1,222 | 319,849 | 71.3% | |
| SUPPORT SERVICES | 687,279 | 497,113 | 1,658 | 188,507 | 72.6% | |
| RECORDS BUREAU | 1,424,883 | 990,584 | 7,245 | 427,054 | 70.0% | |

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>YTD Expended and Encumbered</u> | <u>Previous YTD</u> |
|----------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|--|-------------------------|
| PUBLIC SAFETY | | | | | | |
| <u>Police</u> | | | | | | |
| ADMIN SERVICES | 1,103,716 | 858,644 | 9,789 | 235,284 | 78.7% | |
| PROPERTY ROOM | 213,855 | 141,089 | 21 | 72,745 | 66.0% | |
| TRAINING/RECRUITMENT | 541,866 | 316,845 | 13,184 | 211,837 | 60.9% | |
| RANGE | 1,372,724 | 995,296 | 28,594 | 348,834 | 74.6% | |
| COMMUNITY & MEDIA RELATIONS | 862,784 | 598,543 | - | 264,241 | 69.4% | |
| INFORMATION TECHNOLOGY | 1,261,880 | 966,025 | 9,182 | 286,673 | 77.3% | |
| INVESTIGATIVE DIVISION | 4,956,320 | 3,643,844 | 408 | 1,312,069 | 73.5% | |
| CRIME LAB | 159,784 | 106,420 | 3,299 | 50,065 | 68.7% | |
| PATROL DIVISION | 15,748,702 | 11,504,583 | 64,037 | 4,180,082 | 73.5% | |
| TRAFFIC | 1,413,132 | 955,523 | 1,100 | 456,509 | 67.7% | |
| SPECIAL EVENTS | 858,861 | 801,031 | - | 57,830 | 93.3% | |
| TACTICAL PATROL FORCE | 1,790,617 | 1,145,022 | - | 645,595 | 63.9% | |
| STREET SWEEPING ENFORCEMENT | 349,699 | 250,757 | - | 98,942 | 71.7% | |
| NIGHT LIFE ENFORCEMENT | 323,946 | 203,743 | - | 120,203 | 62.9% | |
| PARKING ENFORCEMENT | 996,316 | 605,553 | 9,086 | 381,677 | 61.7% | |
| COMBINED COMMAND CENTER | 2,495,608 | 1,675,140 | 766 | 819,703 | 67.2% | |
| ANIMAL CONTROL | 695,107 | 465,548 | 7,330 | 222,228 | 68.0% | |
| <i>Total</i> | <u>38,372,049</u> | <u>27,515,201</u> | <u>156,922</u> | <u>10,699,927</u> | 72.1% | <u>28,691,308</u> |
| <u>Fire</u> | | | | | | |
| ADMINISTRATION | 885,869 | 642,196 | 3,776 | 239,897 | 72.9% | |
| EMERGENCY SERVICES AND PUBLIC ED | 318,743 | 233,072 | - | 85,671 | 73.1% | |
| PREVENTION | 1,149,258 | 832,618 | - | 316,640 | 72.4% | |
| WILDLAND FIRE MITIGATION PROGRAM | 196,752 | 138,826 | 3,072 | 54,854 | 72.1% | |
| OPERATIONS | 18,035,119 | 13,647,333 | 34,144 | 4,353,642 | 75.9% | |
| TRAINING AND RECRUITMENT | 437,757 | 286,271 | - | 151,486 | 65.4% | |
| ARFF | 1,965,145 | 1,418,794 | - | 546,351 | 72.2% | |
| <i>Total</i> | <u>22,988,643</u> | <u>17,199,110</u> | <u>40,992</u> | <u>5,748,541</u> | 75.0% | <u>17,371,148</u> |
| TOTAL PUBLIC SAFETY | <u>61,360,692</u> | <u>44,714,311</u> | <u>197,913</u> | <u>16,448,468</u> | 73.2% | <u>46,062,456</u> |
| PUBLIC WORKS | | | | | | |
| <u>Public Works</u> | | | | | | |
| ADMINISTRATION | 1,132,859 | 750,238 | 3,840 | 378,782 | 66.6% | |
| ENGINEERING SVCS | 5,190,401 | 3,599,992 | 10,858 | 1,579,551 | 69.6% | |
| PUBLIC RT OF WAY MGMT | 1,097,734 | 783,566 | 1,818 | 312,350 | 71.5% | |
| ENVIRONMENTAL PROGRAMS | 477,377 | 184,397 | 220,893 | 72,086 | 84.9% | |
| <i>Total</i> | <u>7,898,371</u> | <u>5,318,192</u> | <u>237,409</u> | <u>2,342,769</u> | 70.3% | <u>5,261,504</u> |
| TOTAL PUBLIC WORKS | <u>7,898,371</u> | <u>5,318,192</u> | <u>237,409</u> | <u>2,342,769</u> | 70.3% | <u>5,261,504</u> |

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>YTD Expended and Encumbered</u> | <u>Previous YTD</u> |
|------------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|--|-------------------------|
| COMMUNITY SERVICES | | | | | | |
| <u>Parks & Recreation</u> | | | | | | |
| REC PROGRAM MGMT | 728,108 | 502,559 | 7,714 | 217,835 | 70.1% | |
| FACILITIES & SPECIAL EVENTS | 776,403 | 572,969 | - | 203,434 | 73.8% | |
| YOUTH ACTIVITIES | 1,081,330 | 756,965 | 6,699 | 317,666 | 70.6% | |
| ACTIVE ADULTS | 730,330 | 500,465 | 2,659 | 227,206 | 68.9% | |
| AQUATICS | 1,307,066 | 894,927 | 23,028 | 389,111 | 70.2% | |
| SPORTS | 568,670 | 396,167 | 7,093 | 165,410 | 70.9% | |
| TENNIS | 289,547 | 187,964 | 1,820 | 99,763 | 65.5% | |
| NEIGHBORHOOD & OUTREACH SERV | 1,232,847 | 878,768 | - | 354,079 | 71.3% | |
| ADMINISTRATION | 738,630 | 537,379 | 1,074 | 200,176 | 72.9% | |
| PROJECT MANAGEMENT TEAM | 425,848 | 295,584 | 1,201 | 129,064 | 69.7% | |
| BUSINESS SERVICES | - | 827 | 2,767 | (3,594) | 100.0% | |
| PARK OPERATIONS MANAGEMENT | 425,305 | 240,659 | 58,825 | 125,821 | 70.4% | |
| GROUNDS & FACILITIES MAINTENANCE | 4,923,440 | 3,256,761 | 114,144 | 1,552,534 | 68.5% | |
| FORESTRY | 1,243,841 | 844,111 | 20,830 | 378,900 | 69.5% | |
| BEACH MAINTENANCE | 160,875 | 104,057 | 27,584 | 29,234 | 81.8% | |
| MEDIANS PARKWAYS & CONTRACTS | 1,148,051 | 617,656 | 126,984 | 403,412 | 64.9% | |
| <i>Total</i> | <u>15,780,292</u> | <u>10,587,817</u> | <u>402,422</u> | <u>4,790,053</u> | 69.6% | <u>10,286,493</u> |
| <u>Library</u> | | | | | | |
| ADMINISTRATION | 478,261 | 352,464 | - | 125,797 | 73.7% | |
| PUBLIC SERVICES | 2,832,343 | 1,921,475 | - | 910,868 | 67.8% | |
| SUPPORT SERVICES | 1,727,136 | 1,270,973 | 30,699 | 425,463 | 75.4% | |
| <i>Total</i> | <u>5,037,740</u> | <u>3,544,912</u> | <u>30,699</u> | <u>1,462,129</u> | 71.0% | <u>3,451,735</u> |
| TOTAL COMMUNITY SERVICES | <u>20,818,032</u> | <u>14,132,728</u> | <u>433,122</u> | <u>6,252,182</u> | 70.0% | <u>13,738,228</u> |
| COMMUNITY DEVELOPMENT | | | | | | |
| <u>Community Development</u> | | | | | | |
| ADMINISTRATION | 695,290 | 518,661 | 1,896 | 174,733 | 74.9% | |
| RENTAL HOUSING MEDIATION | 207,165 | 150,091 | - | 57,074 | 72.5% | |
| HUMAN SERVICES | 1,001,899 | 717,806 | 255,095 | 28,998 | 97.1% | |
| HOUSING PRESERVATION AND DEV | 37,784 | 10,111 | 27,674 | (1) | 100.0% | |
| LONG RANGE PLAN & SPEC STUDY | 888,268 | 600,163 | 5,088 | 283,017 | 68.1% | |
| DEVEL & ENVIRONMENTAL REVIEW | 1,397,024 | 959,168 | 8,254 | 429,602 | 69.2% | |
| ZONING INFO & ENFORCEMENT | 1,411,287 | 989,729 | 3,740 | 417,817 | 70.4% | |
| DESIGN REV & HIST PRESERVATION | 1,187,654 | 783,346 | 3,880 | 400,428 | 66.3% | |
| BLDG INSP & CODE ENFORCEMENT | 1,179,595 | 789,291 | 9,116 | 381,189 | 67.7% | |
| RECORDS ARCHIVES & CLER SVCS | 585,566 | 373,801 | 12,892 | 198,873 | 66.0% | |
| BLDG COUNTER & PLAN REV SVCS | 1,500,031 | 1,008,479 | 21,513 | 470,039 | 68.7% | |
| <i>Total</i> | <u>10,091,563</u> | <u>6,900,647</u> | <u>349,146</u> | <u>2,841,769</u> | 71.8% | <u>7,096,787</u> |
| TOTAL COMMUNITY DEVELOPMENT | <u>10,091,563</u> | <u>6,900,647</u> | <u>349,146</u> | <u>2,841,769</u> | 71.8% | <u>7,096,787</u> |

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>YTD Expended and Encumbered</u> | <u>Previous YTD</u> |
|-------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|--|-------------------------|
| NON-DEPARTMENTAL | | | | | | |
| <u>Non-Departmental</u> | | | | | | |
| OTHER | - | 1,749 | 660 | (2,409) | 100.0% | |
| TRANSFERS OUT | 198,500 | 136,375 | - | 62,125 | 68.7% | |
| DEBT SERVICE TRANSFERS | 351,276 | 340,901 | - | 10,375 | 97.0% | |
| CAPITAL OUTLAY TRANSFER | 1,725,000 | 1,293,750 | - | 431,250 | 75.0% | |
| APPROP.RESERVE | 261,101 | - | - | 261,101 | 0.0% | |
| <i>Total</i> | <u>2,535,877</u> | <u>1,772,775</u> | <u>660</u> | <u>762,442</u> | 69.9% | <u>1,128,643</u> |
| TOTAL NON-DEPARTMENTAL | <u>2,535,877</u> | <u>1,772,775</u> | <u>660</u> | <u>762,442</u> | 69.9% | <u>1,128,643</u> |
| TOTAL EXPENDITURES | <u>118,855,058</u> | <u>84,499,791</u> | <u>1,823,533</u> | <u>32,531,734</u> | 72.6% | <u>83,568,837</u> |

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Special Revenue Funds
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> |
|--|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|
| TRAFFIC SAFETY FUND | | | | | |
| Revenue | 525,000 | 276,793 | - | 248,207 | 52.7% |
| Expenditures | <u>525,000</u> | <u>276,793</u> | <u>-</u> | <u>248,207</u> | 52.7% |
| <i>Revenue Less Expenditures</i> | <u>-</u> | <u>-</u> | <u>-</u> | <u>-</u> | |
| CREEK RESTORATION/WATER QUALITY IMPRVMT | | | | | |
| Revenue | 3,625,652 | 2,935,114 | - | 690,538 | 81.0% |
| Expenditures | <u>4,072,687</u> | <u>2,443,820</u> | <u>276,675</u> | <u>1,352,193</u> | 66.8% |
| <i>Revenue Less Expenditures</i> | <u>(447,035)</u> | <u>491,294</u> | <u>(276,675)</u> | <u>(661,655)</u> | |
| COMMUNITY DEVELOPMENT BLOCK GRANT | | | | | |
| Revenue | 1,838,117 | 412,768 | - | 1,425,349 | 22.5% |
| Expenditures | <u>2,280,237</u> | <u>758,059</u> | <u>343,101</u> | <u>1,179,077</u> | 48.3% |
| <i>Revenue Less Expenditures</i> | <u>(442,120)</u> | <u>(345,291)</u> | <u>(343,101)</u> | <u>246,272</u> | |
| COUNTY LIBRARY | | | | | |
| Revenue | 1,918,015 | 1,335,249 | - | 582,766 | 69.6% |
| Expenditures | <u>2,071,054</u> | <u>1,474,263</u> | <u>5,704</u> | <u>591,088</u> | 71.5% |
| <i>Revenue Less Expenditures</i> | <u>(153,039)</u> | <u>(139,013)</u> | <u>(5,704)</u> | <u>(8,322)</u> | |
| STREETS FUND | | | | | |
| Revenue | 10,105,799 | 7,330,188 | - | 2,775,611 | 72.5% |
| Expenditures | <u>10,741,470</u> | <u>7,505,575</u> | <u>262,375</u> | <u>2,973,520</u> | 72.3% |
| <i>Revenue Less Expenditures</i> | <u>(635,671)</u> | <u>(175,387)</u> | <u>(262,375)</u> | <u>(197,910)</u> | |
| MEASURE A | | | | | |
| Revenue | 3,376,976 | 2,436,054 | - | 940,922 | 72.1% |
| Expenditures | <u>3,900,696</u> | <u>2,636,967</u> | <u>287,171</u> | <u>976,558</u> | 75.0% |
| <i>Revenue Less Expenditures</i> | <u>(523,720)</u> | <u>(200,914)</u> | <u>(287,171)</u> | <u>(35,636)</u> | |

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

SOLID WASTE FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Service charges | 20,092,765 | 15,134,054 | - | 4,958,711 | 75.3% | 14,862,293 |
| Other Fees & Charges | 297,342 | 183,325 | - | 114,017 | 61.7% | 224,908 |
| Investment Income | - | 9,927 | - | (9,927) | 100.0% | - |
| Grants | 20,000 | - | - | 20,000 | 0.0% | - |
| Miscellaneous | 235,669 | 233,759 | - | 1,910 | 99.2% | 226,296 |
| TOTAL REVENUES | <u>20,645,776</u> | <u>15,561,065</u> | <u>-</u> | <u>5,084,711</u> | 75.4% | <u>15,313,497</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 958,244 | 671,186 | - | 287,058 | 70.0% | 622,313 |
| Materials, Supplies & Services | 18,789,809 | 14,030,879 | 243,660 | 4,515,270 | 76.0% | 13,699,344 |
| Special Projects | 555,532 | 269,026 | 8,338 | 278,168 | 49.9% | 282,894 |
| Transfers-Out | 50,000 | 37,500 | - | 12,500 | 75.0% | 37,500 |
| Capital Outlay Transfers | - | - | - | - | 0.0% | 13,167 |
| Equipment | 149,783 | 20,598 | 3,344 | 125,841 | 16.0% | 1,996 |
| Other | 100,000 | - | - | 100,000 | 0.0% | - |
| Appropriated Reserve | 10,000 | - | - | 10,000 | 0.0% | - |
| TOTAL EXPENSES | <u>20,613,368</u> | <u>15,029,189</u> | <u>255,342</u> | <u>5,328,837</u> | 74.1% | <u>14,657,214</u> |

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

WATER OPERATING FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Water Sales- Metered | 34,869,458 | 24,153,515 | - | 10,715,943 | 69.3% | 25,683,670 |
| Service Charges | 606,500 | 588,019 | - | 18,481 | 97.0% | 547,345 |
| Cater JPA Treatment Charges | 2,516,860 | 852,738 | - | 1,664,122 | 33.9% | 1,542,469 |
| Investment Income | 529,200 | 323,315 | - | 205,885 | 61.1% | 413,171 |
| Rents & Concessions | 22,872 | 17,154 | - | 5,718 | 75.0% | 17,154 |
| Reimbursements | 782,779 | 345,021 | - | 437,758 | 44.1% | 301,262 |
| Miscellaneous | 20,000 | 59,197 | - | (39,197) | 296.0% | 61,687 |
| Operating Transfers-In | 1,949,833 | 1,949,833 | - | - | 100.0% | 185,000 |
| TOTAL REVENUES | <u>41,297,502</u> | <u>28,288,792</u> | <u>-</u> | <u>13,008,711</u> | 68.5% | <u>28,751,758</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 8,649,787 | 6,018,803 | - | 2,630,984 | 69.6% | 5,969,776 |
| Materials, Supplies & Services | 10,786,686 | 5,920,517 | 1,723,140 | 3,143,029 | 70.9% | 5,343,249 |
| Special Projects | 949,366 | 123,578 | 249,000 | 576,789 | 39.2% | 243,610 |
| Water Purchases | 8,716,165 | 6,306,216 | 10,046 | 2,399,902 | 72.5% | 5,826,102 |
| Debt Service | 5,087,163 | 3,084,397 | - | 2,002,766 | 60.6% | 2,604,854 |
| Transfer-Out | 1,167,502 | 1,012,502 | - | 155,000 | 86.7% | - |
| Capital Outlay Transfers | 11,969,228 | 9,351,921 | - | 2,617,307 | 78.1% | 8,391,923 |
| Equipment | 210,797 | 87,231 | 4,455 | 119,111 | 43.5% | 19,130 |
| Capitalized Fixed Assets | 132,175 | 8,477 | 35,663 | 88,035 | 33.4% | 7,697 |
| Other | 35,000 | 24,306 | 1,000 | 9,694 | 72.3% | 24,957 |
| Appropriated Reserve | 150,000 | - | - | 150,000 | 0.0% | - |
| TOTAL EXPENSES | <u>47,853,870</u> | <u>31,937,948</u> | <u>2,023,305</u> | <u>13,892,616</u> | 71.0% | <u>28,431,298</u> |

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

WASTEWATER OPERATING FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Service Charges | 17,928,555 | 12,667,940 | - | 5,260,615 | 70.7% | 13,018,515 |
| Fees | 775,071 | 752,153 | - | 22,918 | 97.0% | 733,740 |
| Investment Income | 158,100 | 123,092 | - | 35,008 | 77.9% | 121,430 |
| Rents & Concessions | 20,887 | 25,768 | - | (4,881) | 123.4% | 15,650 |
| Miscellaneous | 1,000 | 1,615 | - | (615) | 161.5% | 1,334 |
| TOTAL REVENUES | <u>18,883,613</u> | <u>13,570,569</u> | <u>-</u> | <u>5,313,044</u> | <u>71.9%</u> | <u>13,890,669</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 5,893,242 | 3,913,587 | - | 1,979,655 | 66.4% | 3,966,339 |
| Materials, Supplies & Services | 7,401,610 | 4,766,923 | 1,105,621 | 1,529,067 | 79.3% | 4,332,211 |
| Special Projects | 745,482 | 132,303 | 91,342 | 521,837 | 30.0% | 61,212 |
| Debt Service | 1,791,664 | 633,541 | - | 1,158,123 | 35.4% | 304,043 |
| Capital Outlay Transfers | 4,431,441 | 3,449,316 | - | 982,125 | 77.8% | 3,116,045 |
| Equipment | 96,568 | 36,971 | 25 | 59,572 | 38.3% | 8,137 |
| Capitalized Fixed Assets | 26,000 | 1,386 | 818 | 23,796 | 8.5% | 6,728 |
| Other | 3,000 | 2,750 | 1,000 | (750) | 125.0% | 1,000 |
| Appropriated Reserve | 150,000 | - | - | 150,000 | 0.0% | - |
| TOTAL EXPENSES | <u>20,539,007</u> | <u>12,936,778</u> | <u>1,198,805</u> | <u>6,403,424</u> | <u>68.8%</u> | <u>11,795,715</u> |

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

DOWNTOWN PARKING FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Improvement Tax | 980,000 | 809,803 | - | 170,197 | 82.6% | 756,602 |
| Parking Fees | 6,535,946 | 5,234,560 | - | 1,301,386 | 80.1% | 4,986,220 |
| Other Fees & Charges | 3,000 | 4,349 | - | (1,349) | 145.0% | 3,348 |
| Investment Income | 102,100 | 78,809 | - | 23,291 | 77.2% | 77,705 |
| Rents & Concessions | 104,000 | 79,345 | - | 24,655 | 76.3% | 59,873 |
| Miscellaneous | 18,387 | 51,238 | - | (32,850) | 278.7% | 41,330 |
| Operating Transfers-In | 193,500 | 132,625 | - | 60,875 | 68.5% | 32,625 |
| TOTAL REVENUES | <u>7,936,933</u> | <u>6,390,728</u> | <u>-</u> | <u>1,546,205</u> | <u>80.5%</u> | <u>5,957,703</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 4,183,765 | 3,022,687 | - | 1,161,078 | 72.2% | 3,051,966 |
| Materials, Supplies & Services | 2,188,253 | 1,471,891 | 93,169 | 623,193 | 71.5% | 1,381,531 |
| Special Projects | 586,431 | 345,806 | 181,608 | 59,017 | 89.9% | 343,348 |
| Transfer-Out | 459,125 | 381,844 | - | 77,281 | 83.2% | 227,298 |
| Capital Outlay Transfers | 970,000 | 727,500 | - | 242,500 | 75.0% | 1,130,815 |
| Equipment | 27,470 | 15,594 | - | 11,876 | 56.8% | 2,268 |
| Appropriated Reserve | 50,000 | - | - | 50,000 | 0.0% | - |
| TOTAL EXPENSES | <u>8,465,044</u> | <u>5,965,322</u> | <u>274,777</u> | <u>2,224,945</u> | <u>73.7%</u> | <u>6,137,226</u> |

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

AIRPORT OPERATING FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Leases-Commercial/Industrial | 4,545,175 | 3,143,453 | - | 1,401,722 | 69.2% | 3,180,769 |
| Leases-Terminal | 4,607,134 | 3,537,079 | - | 1,070,055 | 76.8% | 3,801,040 |
| Leases-Non-Commercial Aviation | 1,819,730 | 1,461,553 | - | 358,177 | 80.3% | 1,276,659 |
| Leases-Commercial Aviation | 4,218,655 | 3,316,624 | - | 902,031 | 78.6% | 3,280,581 |
| Investment Income | 121,300 | 80,553 | - | 40,747 | 66.4% | 90,632 |
| Miscellaneous | 157,355 | 149,156 | - | 8,199 | 94.8% | 69,409 |
| TOTAL REVENUES | <u>15,469,349</u> | <u>11,688,419</u> | <u>-</u> | <u>3,780,930</u> | <u>75.6%</u> | <u>11,699,090</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 5,723,701 | 3,821,280 | - | 1,902,421 | 66.8% | 3,851,722 |
| Materials, Supplies & Services | 8,011,669 | 5,485,222 | 502,734 | 2,023,713 | 74.7% | 5,185,108 |
| Special Projects | 94,926 | 3,414 | - | 91,512 | 3.6% | 409,950 |
| Transfer-Out | 20,354 | 15,266 | - | 5,088 | 75.0% | 14,796 |
| Debt Service | 1,815,718 | 1,361,788 | - | 453,930 | 75.0% | 1,369,629 |
| Capital Outlay Transfers | - | - | - | - | 0.0% | 713,548 |
| Equipment | 96,765 | 50,160 | 12,683 | 33,922 | 64.9% | 31,432 |
| Appropriated Reserve | 22,917 | - | - | 22,917 | 0.0% | - |
| TOTAL EXPENSES | <u>15,786,050</u> | <u>10,737,130</u> | <u>515,417</u> | <u>4,533,503</u> | <u>71.3%</u> | <u>11,576,185</u> |

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

GOLF COURSE FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Fees & Card Sales | 1,766,876 | 1,180,540 | - | 586,336 | 66.8% | 1,202,952 |
| Investment Income | 10,100 | 5,975 | - | 4,125 | 59.2% | 7,358 |
| Rents & Concessions | 313,572 | 204,286 | - | 109,286 | 65.1% | 242,419 |
| Miscellaneous | 500 | 2,077 | - | (1,577) | 415.4% | 37,968 |
| TOTAL REVENUES | <u>2,091,048</u> | <u>1,392,878</u> | <u>-</u> | <u>698,170</u> | <u>66.6%</u> | <u>1,490,697</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 1,108,919 | 809,077 | - | 299,842 | 73.0% | 796,025 |
| Materials, Supplies & Services | 621,441 | 407,532 | 4,473 | 209,436 | 66.3% | 428,366 |
| Special Projects | 363 | - | - | 363 | 0.0% | - |
| Debt Service | 264,895 | 180,961 | - | 83,935 | 68.3% | 180,687 |
| Capital Outlay Transfers | 80,727 | 60,545 | - | 20,182 | 75.0% | 145,214 |
| Equipment | 3,000 | - | - | 3,000 | 0.0% | - |
| Other | 900 | 901 | 340 | (341) | 137.9% | 864 |
| TOTAL EXPENSES | <u>2,080,245</u> | <u>1,459,016</u> | <u>4,813</u> | <u>616,416</u> | <u>70.4%</u> | <u>1,551,156</u> |

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

INTRA-CITY SERVICE FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Service Charges | 2,638,369 | 1,978,777 | - | 659,592 | 75.0% | 1,898,080 |
| Work Orders - Bldg Maint. | 2,604,016 | 1,932,839 | - | 671,177 | 74.2% | 1,744,542 |
| Grants | 27,527 | 0 | - | 27,527 | 0.0% | 92,922 |
| Miscellaneous | 203,031 | 42,201 | - | 160,830 | 20.8% | 42,704 |
| Operating Transfers-In | 5,000 | 3,750 | - | 1,250 | 75.0% | - |
| TOTAL REVENUES | <u>5,477,943</u> | <u>3,957,568</u> | <u>-</u> | <u>1,520,375</u> | 72.2% | <u>3,778,248</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 3,618,855 | 2,578,156 | - | 1,040,699 | 71.2% | 2,544,219 |
| Materials, Supplies & Services | 1,566,678 | 1,187,090 | 43,461 | 336,128 | 78.5% | 1,053,859 |
| Special Projects | 610,491 | 416,525 | 86,647 | 107,319 | 82.4% | 585,871 |
| Capital Outlay Transfers | 1,267,070 | 1,267,070 | - | - | 100.0% | - |
| Equipment | 15,000 | 5,642 | - | 9,358 | 37.6% | 3,000 |
| Capitalized Fixed Assets | 13,349 | 3,556 | 1,771 | 8,022 | 39.9% | 4,592 |
| Appropriated Reserve | 26,485 | - | - | 26,485 | 0.0% | - |
| TOTAL EXPENSES | <u>7,117,928</u> | <u>5,458,039</u> | <u>131,879</u> | <u>1,528,011</u> | 78.5% | <u>4,191,541</u> |

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

FLEET REPLACEMENT FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Vehicle Rental Charges | 2,244,137 | 1,683,103 | - | 561,034 | 75.0% | 1,671,604 |
| Investment Income | 123,000 | 87,920 | - | 35,080 | 71.5% | 93,966 |
| Rents & Concessions | 233,966 | 175,475 | - | 58,491 | 75.0% | 175,484 |
| Miscellaneous | 130,048 | 150,323 | - | (20,275) | 115.6% | 65,961 |
| Operating Transfers-In | - | 26,156 | - | (26,156) | 100.0% | - |
| TOTAL REVENUES | <u>2,731,151</u> | <u>2,122,976</u> | <u>-</u> | <u>608,175</u> | <u>77.7%</u> | <u>2,007,015</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 200,432 | 135,648 | - | 64,784 | 67.7% | 144,041 |
| Materials, Supplies & Services | 2,725 | 1,981 | - | 744 | 72.7% | 2,461 |
| Special Projects | 75,000 | 1,623 | - | 73,377 | 2.2% | 26,290 |
| Capitalized Fixed Assets | 5,604,499 | 1,858,146 | 1,375,652 | 2,370,701 | 57.7% | 1,022,074 |
| TOTAL EXPENSES | <u>5,882,656</u> | <u>1,997,398</u> | <u>1,375,652</u> | <u>2,509,606</u> | <u>57.3%</u> | <u>1,194,866</u> |

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

FLEET MAINTENANCE FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Vehicle Maintenance Charges | 2,527,627 | 1,895,720 | - | 631,907 | 75.0% | 1,847,574 |
| Reimbursements | 10,000 | 7,500 | - | 2,500 | 75.0% | 7,500 |
| Miscellaneous | 103,070 | 93,148 | - | 9,922 | 90.4% | 98,194 |
| TOTAL REVENUES | <u>2,640,697</u> | <u>1,996,369</u> | <u>-</u> | <u>644,328</u> | 75.6% | <u>1,953,268</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 1,331,631 | 880,132 | - | 451,499 | 66.1% | 942,919 |
| Materials, Supplies & Services | 1,221,460 | 841,779 | 135,059 | 244,622 | 80.0% | 725,743 |
| Special Projects | 61,300 | 17,840 | 5,643 | 37,817 | 38.3% | 19,801 |
| Debt Service | 43,070 | 32,303 | - | 10,767 | 75.0% | 32,301 |
| Equipment | 9,000 | 7,951 | - | 1,049 | 88.3% | 9,990 |
| Capitalized Fixed Assets | 646,181 | 336,026 | 279,862 | 30,293 | 95.3% | 9,121 |
| Appropriated Reserve | 16,067 | - | - | 16,067 | 0.0% | - |
| TOTAL EXPENSES | <u>3,328,709</u> | <u>2,116,030</u> | <u>420,564</u> | <u>792,115</u> | 76.2% | <u>1,739,875</u> |

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

SELF INSURANCE TRUST FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Insurance Premiums | 2,785,022 | 2,088,767 | - | 696,255 | 75.0% | 2,067,084 |
| Workers' Compensation Premiums | 3,388,165 | 2,541,124 | - | 847,041 | 75.0% | 2,213,026 |
| OSH Charges | 203,462 | 152,597 | - | 50,865 | 75.0% | 145,375 |
| Investment Income | 55,200 | 30,769 | - | 24,431 | 55.7% | 35,518 |
| Miscellaneous | - | 29,805 | - | (29,805) | 100.0% | 39,728 |
| Operating Transfers-In | 75,825 | 56,869 | - | 18,956 | 75.0% | - |
| TOTAL REVENUES | <u>6,507,674</u> | <u>4,899,930</u> | <u>-</u> | <u>1,607,744</u> | <u>75.3%</u> | <u>4,500,731</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 571,460 | 340,642 | - | 230,818 | 59.6% | 363,207 |
| Materials, Supplies & Services | 5,606,502 | 5,072,467 | 244,519 | 289,516 | 94.8% | 4,453,079 |
| Special Projects | 121 | - | - | 121 | 0.0% | - |
| Equipment | 245 | 3,354 | - | (3,109) | 1368.9% | 66 |
| TOTAL EXPENSES | <u>6,178,328</u> | <u>5,416,462</u> | <u>244,519</u> | <u>517,347</u> | <u>91.6%</u> | <u>4,816,352</u> |

The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

INFORMATION SYSTEMS ICS FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Service charges | 3,120,588 | 2,340,441 | - | 780,147 | 75.0% | 1,886,248 |
| TOTAL REVENUES | <u>3,120,588</u> | <u>2,340,441</u> | <u>-</u> | <u>780,147</u> | 75.0% | <u>1,886,248</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 1,940,182 | 1,205,107 | - | 735,075 | 62.1% | 1,205,116 |
| Materials, Supplies & Services | 1,017,256 | 693,158 | 37,145 | 286,953 | 71.8% | 687,534 |
| Special Projects | 18,400 | 129 | - | 18,271 | 0.7% | 305 |
| Capital Outlay Transfers | 344,000 | 258,000 | - | 86,000 | 75.0% | 159,000 |
| Equipment | 18,250 | 3,302 | 4,507 | 10,441 | 42.8% | 21,808 |
| Capital Fixed Assets | 1,000 | 14 | - | 986 | 1.4% | 121,345 |
| Appropriated Reserve | 13,053 | - | - | 13,053 | 0.0% | - |
| TOTAL EXPENSES | <u>3,352,141</u> | <u>2,159,710</u> | <u>41,652</u> | <u>1,150,779</u> | 65.7% | <u>2,195,108</u> |

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Nine Months Ended March 31, 2015 (75% of Fiscal Year)

WATERFRONT FUND

| | <u>Annual Budget</u> | <u>YTD Actual</u> | <u>Encum- brances</u> | <u>Remaining Balance</u> | <u>Percent of Budget</u> | <u>Previous YTD</u> |
|--------------------------------|--------------------------|-----------------------|---------------------------|------------------------------|------------------------------|-------------------------|
| REVENUES | | | | | | |
| Leases - Commercial | 1,401,007 | 1,222,659 | - | 178,348 | 87.3% | 1,123,459 |
| Leases - Food Service | 2,694,636 | 2,511,713 | - | 182,923 | 93.2% | 2,235,412 |
| Slip Rental Fees | 4,204,739 | 3,142,905 | - | 1,061,834 | 74.7% | 3,110,844 |
| Visitors Fees | 455,000 | 368,475 | - | 86,525 | 81.0% | 332,629 |
| Slip Transfer Fees | 575,000 | 873,900 | - | (298,900) | 152.0% | 524,250 |
| Parking Revenue | 2,069,466 | 1,941,649 | - | 127,817 | 93.8% | 1,815,239 |
| Wharf Parking | 255,000 | 202,366 | - | 52,635 | 79.4% | 197,000 |
| Grants | 25,600 | - | - | 25,600 | 0.0% | - |
| Other Fees & Charges | 236,723 | 193,130 | - | 43,593 | 81.6% | 188,513 |
| Investment Income | 117,000 | 104,963 | - | 12,037 | 89.7% | 125,103 |
| Rents & Concessions | 304,966 | 243,522 | - | 61,444 | 79.9% | 244,615 |
| Miscellaneous | 322,000 | 413,426 | - | (91,426) | 128.4% | 429,439 |
| TOTAL REVENUES | <u>12,661,137</u> | <u>11,218,706</u> | <u>-</u> | <u>1,442,431</u> | <u>88.6%</u> | <u>10,326,503</u> |
| EXPENSES | | | | | | |
| Salaries & Benefits | 6,050,691 | 4,257,824 | - | 1,792,867 | 70.4% | 4,392,465 |
| Materials, Supplies & Services | 4,018,126 | 2,836,778 | 467,936 | 713,412 | 82.2% | 2,613,417 |
| Special Projects | 261,506 | 148,101 | 25,000 | 88,405 | 66.2% | 135,223 |
| Debt Service | 1,780,351 | 1,095,838 | - | 684,513 | 61.6% | 1,300,999 |
| Capital Outlay Transfers | 1,385,000 | 1,038,750 | - | 346,250 | 75.0% | 1,158,116 |
| Equipment | 82,759 | 26,219 | 4,822 | 51,718 | 37.5% | 13,609 |
| Capital Fixed Assets | 17,104 | - | 17,104 | - | 100.0% | 22,896 |
| Other | - | 1,375 | 1,000 | (2,375) | 100.0% | 1,798 |
| Appropriated Reserve | 100,000 | - | - | 100,000 | 0.0% | - |
| TOTAL EXPENSES | <u>13,695,537</u> | <u>9,404,884</u> | <u>515,862</u> | <u>3,774,791</u> | <u>72.4%</u> | <u>9,638,523</u> |

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

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For the Nine Months Ended March 31, 2015 (75% of Year Elapsed)*

General Fund Revenues

The table below summarizes General Fund revenues for the nine months ended March 31, 2015. For interim financial statement purposes, revenues are reported on a cash basis (i.e. when the funds are received). The table below includes the budgeted totals as well as the year-to-date (YTD) budget, which for tax revenues and franchise fees have been seasonally adjusted based on a 3-year average of collections through the same period. Because tax revenues are not collected evenly throughout the year, adjusting the year-to-date budget to reflect the unique collection pattern for each type of tax allows for a more meaningful comparison to year-to-date results. For all other revenues, the Year-to-Date Budget column represents 75% (9 months out of the 12 elapsed) of the annual budget column. Unlike tax revenues, these revenues tend to be collected more evenly throughout the year.

| Summary of Revenues For the Nine Months Ended March 31, 2015 GENERAL FUND | | | | | | | | |
|---|-----------------------|----------------------|----------------------|------------------|-------------------------|-------------------------------------|--------------------------|--|
| | Current Year Analysis | | | | | Prior Year Analysis | | |
| | Annual Budget | YTD Budget * | YTD Actual | YTD Variance | YTD Percent Rec'd | 3-Year Average Bench- mark | Prior Year YTD Actual | Variance Prior Yr To Current Yr |
| Sales & Use Tax | \$ 21,726,115 | \$ 15,036,644 | \$ 15,303,616 | \$ 266,972 | 70.44% | 69.21% | \$ 14,592,656 | 4.9% |
| Property Tax | 27,164,000 | 14,244,802 | 14,673,340 | 428,538 | 54.02% | 52.44% | 14,042,156 | 4.5% |
| UUT | 7,257,800 | 5,487,623 | 5,262,367 | (225,256) | 72.51% | 75.61% | 5,325,050 | -1.2% |
| TOT | 17,641,400 | 13,276,918 | 14,173,102 | 896,184 | 80.34% | 75.26% | 12,504,710 | 13.3% |
| Bus License | 2,571,200 | 2,019,163 | 2,065,824 | 46,661 | 80.34% | 78.53% | 1,994,039 | 3.6% |
| Real Prop Trans Tax | 678,000 | 474,397 | 457,033 | (17,364) | 67.41% | 69.97% | 453,593 | 0.8% |
| Total Taxes | 77,038,515 | 50,539,546 | 51,935,283 | 1,395,736 | 67.41% | 65.31% | 48,912,204 | 6.2% |
| License & Permits | 233,500 | 175,125 | 145,435 | (29,690) | 62.28% | 75.00% | 138,843 | 4.7% |
| Fines & Forfeitures | 3,207,487 | 2,405,615 | 2,332,402 | (73,213) | 72.72% | 75.00% | 2,400,626 | -2.8% |
| Franchise Fee | 3,771,000 | 2,828,250 | 2,739,815 | (88,435) | 72.65% | 75.00% | 2,823,628 | -3.0% |
| Use of Money & Property | 1,026,021 | 769,516 | 704,411 | (65,105) | 68.65% | 75.00% | 721,600 | -2.4% |
| Intergovernmental | 742,517 | 556,888 | 573,615 | 16,727 | 77.25% | 75.00% | 1,205,583 | -52.4% |
| Fees & Charges | 20,492,632 | 15,369,474 | 15,204,981 | (164,493) | 74.20% | 75.00% | 14,177,909 | 7.2% |
| Miscellaneous | 9,514,296 | 7,135,722 | 7,074,537 | (61,185) | 74.36% | 75.00% | 7,216,784 | -2.0% |
| Total Other | 38,987,453 | 29,240,590 | 28,775,196 | (465,394) | 73.81% | 75.00% | 28,684,973 | 0.3% |
| Total Before Budgeted Variances | 116,025,968 | 79,780,136 | 80,710,479 | 930,342 | | | 77,597,177 | |
| Anticipated Year-End Var | 1,200,000 | 900,000 | - | (900,000) | 0.00% | 75.00% | - | 0.0% |
| Total Revenues | \$ 117,225,968 | \$ 80,680,136 | \$ 80,710,479 | \$ 30,342 | 68.85% | 68.09% | \$ 77,597,177 | 4.0% |

* YTD Budget for Taxes is calculated based on a 3-year average of collections for each revenue source; for all other revenues, YTD Budget is calculated on a straight-line basis based on the number of months elapsed.

The table above summarizes General Fund revenues for the nine months ended March 31, 2015. For interim financial statement purposes, revenues are reported on a cash basis (i.e. when the funds are received). Total revenues are \$30,342 above the budget through March 31, 2015; however, total revenues collected before budgeted variances are \$930,342 over YTD budget. Major revenues and significant variances are discussed below.

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Sales Taxes

Sales tax revenue for the first nine months of the fiscal year was \$266,972 above the YTD budget on a cash basis. However, while representing three quarterly sales tax payments year-to-date, the revenues received through March 31, 2015 provide information for the growth in sales tax revenues earned for the quarter ended December 31, 2014, which were 4.7% over those from the prior year. Sales tax revenues continue to show recovery from growth lost during the recession. Staff projects sales tax revenues to be above the original budget of \$21.3 million by approximately \$564,000.

Property Tax

Property tax revenue was \$428,538 above the YTD budget at March 31, 2015. Revenue growth for Fiscal Year 2015 is trending higher than expected based on information provided by the County of Santa Barbara relative to increases in assessed values, which were just over 5% per county records. Property tax revenues are projected to exceed the adopted budget by approximately \$505,000 at year-end.

Utility Users Tax

Utility Users Tax revenues are \$225,256 below the year-to-date budget, a 1.2% decrease over the same nine-month period last year. The two reasons for the shortfall are the increasing segmentation in the telephony market (which pushes down overall traditional landline telephone and cellular telephone service), and the drop in water usage. Based on our current projections, revenues are expected to be more than \$288,000 below budget at year-end.

Transient Occupancy Tax

TOT revenue was \$896,184 above the YTD budget at March 31, as shown on the table on the previous page. This is 13.3% higher than the same nine-month period in the prior year. Based on current projections, revenues are expected to be more than \$1,081,000 above budget at year-end.

License & Permits

Licenses and permits revenue is \$29,690 below the year-to-date budget. This variance is largely the result of a decline in taxicab permit revenue and the decline in miscellaneous licenses and permits. At this point it is unclear what factors are driving the decline, or if this revenue category will recover by the end of the year.

Fines and Forfeitures

Revenues from Fines and Forfeitures were approximately \$73,000 below the YTD Budget. The largest component of fines and forfeitures revenue is police parking citations and municipal citations. The California Department of Motor Vehicles (DMV) component of parking citations may have suffered due to a period of staff vacancies in the parking enforcement division. If there is a period of vacancies in the City's traffic enforcement, fewer parking citations may be written and referred to the DMV, which leads to reduced revenue sometime in the future. About

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\$70,000 of reduced revenue this year may be attributed to the effects of vacancies in this division.

In addition, municipal court fines are contingent on fines levied by the courts based on criminal citations written by City's uniformed officers. Fines may vary widely year to year based on the types and frequency of citations written and how these citations are adjudicated. Thus, the revenue from these types of fines is difficult to predict. Year-to-date, this revenue is \$40,000 below expected budget.

Franchise Fee

Franchise Fee revenue was \$88,435 below the YTD budget at March 31, as shown on the table on the previous page. This negative variance is the result of a timing difference in payments under our new natural gas franchise agreement. Based on current projections, revenues are expected to be approximately \$156,000 above budget at year-end.

Miscellaneous

Total Miscellaneous revenue is \$61,185 below the year-to-date budget. The table below describes the largest components of miscellaneous revenue, which includes overhead cost recovery, transfers in, donations, administrative citations, auction revenue, City TV revenue, sale of property, insurance rebates, refunds, and other miscellaneous revenue.

| Miscellaneous Revenue General Fund For the Nine Months Ended March 31, 2015 | | | | | | | | |
|---|---------------------|---------------------|---------------------|--------------------|----------------------------|---------------------|------------------------|---------------------|
| Type of Misc. Revenue | Annual Budget | YTD Budget | YTD Actual | Budget Variance | Percent Received YTD | Prior Year YTD | Prior Year Variance | Percent Variance |
| Miscellaneous | \$ 1,669,765 | \$ 1,252,324 | 1,327,976 | \$ 75,652 | 79.5% | \$ 1,269,446 | \$ 58,530 | 4.6% |
| Indirect Allocations | 6,411,155 | 4,808,366 | 4,808,366 | 0 | 75.0% | 4,719,556 | 88,810 | 1.9% |
| Operating-Transfers In | 1,433,377 | 1,075,033 | 938,195 | (136,838) | 65.5% | 1,227,782 | (289,587) | -23.6% |
| Total | \$ 9,514,297 | \$ 7,135,723 | \$ 7,074,537 | \$ (61,185) | 74.4% | \$ 7,216,784 | \$ (142,247) | -2.0% |

Operating-Transfers In is \$136,838 below the year-to-date budget. Each year the Library uses money from large gifts that are held in trust to cover special projects or certain ongoing operations. The money is not recognized as revenue until the close of the year once it is determined how much gift money is needed to cover these expenditures. For Fiscal Year 2015, Library has budgeted total Operating Transfers In of \$222,000, which would amount to \$166,000 at March 31 if the transfers were booked monthly. With this adjustment, the total variance for Operating Transfers In would amount to a positive \$30,000. Based on current projections, revenues in this category are expected to be meet budget (or be slightly over-budget) once all revenues adjustments are completed.

Fees & Service Charges

Overall, fees and service charges are \$164,493 below the YTD budget. The table on the next page provides more details on fees and service charges by department. The more significant variances are also discussed.

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| Fees and Service Charges General Fund For the Nine Months Ended March 31, 2015 | | | | | | | | |
|--|----------------------|----------------------|----------------------|---------------------|----------------------------|----------------------|------------------------|---------------------|
| Department | Annual Budget | YTD Budget | YTD Actual | Budget Variance | Percent Received YTD | Prior Year YTD | Prior Year Variance | Percent Variance |
| Finance | \$ 949,905 | \$ 712,429 | \$ 726,082 | \$ 13,653 | 76.44% | \$ 701,381 | \$ 24,701 | 3.5% |
| Community Development | 4,654,515 | 3,490,886 | 3,291,666 | (199,220) | 70.72% | 3,012,796 | 278,870 | 9.3% |
| Parks & Recreation | 3,049,474 | 2,287,106 | 2,136,812 | (150,294) | 70.07% | 1,963,798 | 173,014 | 8.8% |
| Public Safety | 653,827 | 490,370 | 400,970 | (89,400) | 61.33% | 418,821 | (17,851) | -4.3% |
| Public Works | 5,951,301 | 4,463,476 | 4,410,827 | (52,649) | 74.12% | 4,173,123 | 237,704 | 5.7% |
| Library | 762,398 | 571,799 | 704,957 | 133,159 | 92.47% | 714,867 | (9,910) | -1.4% |
| Reimbursements | 4,471,212 | 3,353,409 | 3,533,667 | 180,258 | 79.03% | 3,193,123 | 340,544 | 10.7% |
| Total | \$ 20,492,632 | \$ 15,369,474 | \$ 15,204,981 | \$ (164,493) | 74.20% | \$ 14,177,909 | \$ 1,027,072 | 7.2% |

Community Development fees are approximately \$199,200 below the year-to-date budget. This variance is mostly due to slow activity in building-related charges, such as planning work orders and building permit fees. However, these revenues are expected to trend upward for the remainder of the year, and in the case of building permits are projected to be over budget by \$67,000.

Parks & Recreation fees are approximately \$150,300 below the year-to-date budget. Most of the increase will be realized in the last quarter of the year, as popular summer recreation programs begin to collect registration fees. Staff projects revenues will come in \$45,000 higher than budget at year-end.

Public Safety fees are approximately \$89,400 below the year-to-date budget. Most of the variance is due to lower dismissal fees in the Police Department, largely as a result of temporary decreased staffing in the parking citation section, as discussed above. False alarm billing revenues are also lower than projected, but are expected to increase in the latter part of the year as businesses exceed the free false alarm threshold. Staff projects revenues to be under budget by approximately \$100,000 by fiscal year-end.

Public Works fees are approximately \$52,600 below the year-to-date budget. The variance is due to engineering work order revenue being down at the end of the third quarter, which is expected to be under budget at year-end.

Reimbursement revenues are approximately \$180,300 above the YTD budget. The variance is mostly due to revenues of approximately \$147,000 remitted by the State in the current year for SB90 mandated cost reimbursements from fiscal years 1995 through 1998. Because the State has not made these payments reliably each year, these reimbursements have been an unbudgeted revenue category. Any revenue received will result in a positive budget variance.

Anticipated Year-End Variances and Budgeted Savings from Concessions

It is important to note that the table on page 1 includes \$1,200,000 for anticipated year-end budget variances. The \$1.2 million is roughly equal to 1.0% of budgeted operating expenditures

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in the General Fund and, although budgeted as revenue, represents staff's estimate of the favorable expenditure variances (i.e. expenditures *under* budget) for the year. As is the case each year, the Anticipated Year-End Variance budgeted will not reflect any actual revenues, but rather favorable variances in expenditures by year-end.

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General Fund Expenditures

The table below summarizes the General Fund budget and year-to-date expenditures through March 31, 2015. The “Adjusted Annual Budget” column represents the adopted budget, appropriation carryovers from the prior year, and any supplemental appropriations approved by Council in the current year.

| SUMMARY OF EXPENDITURES GENERAL FUND For the Nine Months Ended March 31, 2015 | | | | | | | |
|---|------------------------------|----------------------|----------------------|---|---------------------|---|-------------|
| Department | Adjusted Annual Budget | YTD Budget | YTD Actual | YTD Variance Without Encumbrance | Encum- brance | YTD Variance With Encumb Favorable (Unfavorable) | |
| | | | | | | \$ | % |
| Mayor & Council | \$ 3,420,715 | \$ 2,798,487 | \$ 3,021,506 | \$ (223,019) | \$ 143,881 | \$ (366,900) | -10.7% |
| City Attorney | 3,203,695 | 2,349,910 | 2,384,523 | (34,613) | 81,097 | (115,710) | -3.6% |
| City Administrator | 2,284,173 | 1,693,714 | 1,439,536 | 254,178 | 45,641 | 208,537 | 9.1% |
| Administrative Svcs. | 2,112,450 | 1,605,673 | 1,321,536 | 284,137 | 80,291 | 203,846 | 9.6% |
| Finance | 5,129,491 | 3,855,325 | 3,494,036 | 361,289 | 254,372 | 106,917 | 2.1% |
| Police | 38,372,049 | 28,026,945 | 27,515,201 | 511,744 | 156,922 | 354,822 | 0.9% |
| Fire | 22,988,643 | 16,662,168 | 17,199,110 | (536,942) | 40,992 | (577,934) | -2.5% |
| Public Works | 7,898,371 | 5,775,289 | 5,318,192 | 457,097 | 237,409 | 219,688 | 2.8% |
| Parks & Recreation | 15,780,292 | 11,305,001 | 10,587,817 | 717,184 | 402,422 | 314,762 | 2.0% |
| Library | 5,037,740 | 3,614,578 | 3,544,912 | 69,666 | 30,699 | 38,967 | 0.8% |
| Community Development | 10,091,563 | 7,613,075 | 6,900,647 | 712,428 | 349,146 | 363,282 | 3.6% |
| Non-Departmental | 2,535,877 | 2,094,381 | 1,772,775 | 321,606 | 660 | 320,946 | 12.7% |
| Total | <u>\$ 118,855,058</u> | <u>\$ 87,394,548</u> | <u>\$ 84,499,791</u> | <u>\$ 2,894,757</u> | <u>\$ 1,823,533</u> | <u>\$ 1,071,225</u> | <u>0.9%</u> |
| % of annual budget | | 73.5% | 71.1% | 2.4% | 1.5% | 0.9% | |

As shown above, a year-to-date budget (labeled “YTD Budget”) column is included. This column has been developed based on a 3-year average of expenditures in order to adjust for the seasonal nature of certain expenditures, such as debt service and summer recreation programs. The table includes actual expenditures without encumbrances, and separate column for the variance after considering encumbrances. Inclusion of encumbrances can significantly distort the analysis of budgeted and actual expenditures during the year. Outstanding encumbrances include certain appropriations that were carried forward from prior year and contracts or blanket purchase orders that have been executed in the current year but are expected to be used throughout the year. The following discussion and analysis does not include the impact of encumbrances.

The year-to-date budget of \$87.4 million at March 31, compared to actual expenditures of \$84.5 million, resulted in a favorable variance of approximately \$2.9 million. Significant variances in departments are discussed below.

Mayor and Council expenditures are over the YTD budget by approximately \$223,000. The variance is due to a timing difference in payments of \$345,000 per quarter for the annual contract with Visit Santa Barbara to promote the City as a tourist destination and location for film production. In the prior year, the fourth quarter payment was made in April; however, for Fiscal Year 2015, this payment was made in March 2015 thereby reporting 100% of contract expenditures by March 31. Staff anticipates expenditures to be within budget at year-end.

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City Attorney expenditures are over the YTD budget by about \$34,600 due to legal settlements paid out in the first nine months of the year. Staff anticipates expenditures to be within budget at year-end.

Fire Department expenditures are over the YTD budget by approximately \$536,900. Most of the variance is due to overtime costs for sworn personnel providing coverage for employees out on injuries; these positions must be backfilled to maintain minimum staffing requirements. Although there are unfilled firefighter positions, the department anticipates there will be insufficient savings from these vacancies to cover the additional overtime costs in order to meet budget at year-end.

Mutual aid expenditures are also over budget by about \$149,000 during the first nine months of Fiscal Year 2015. Mutual aid expenditures relate to the cost of providing assistance to other locations throughout the state. As of March 31, mutual aid expenditures amounted to about \$500,000 in comparison to budgeted mutual aid expenditures of \$351,300. However, the Fire Department has received about \$344,000 in mutual aid reimbursement revenues as of March 31, and anticipates an additional amount of \$439,000 that has already been billed but not yet received. Mutual aid revenues are projected to exceed expenditures by \$100,000 for all mutual aid activities through March 31, 2015. As there is the possibility of additional mutual aid activities by year-end, staff will be monitoring mutual aid revenues and expenditures and will request an adjustment at year-end to record the total revenues and appropriations for all fiscal year 2015 mutual aid activities. At this time, staff anticipates that this additional \$100,000 of mutual aid revenues above expenditures will be sufficient to offset the excess overtime costs incurred to prevent the use of reserves by year-end.

Parks and Recreation expenditures are below YTD budget by approximately \$717,200. The variance is mostly due to reduced salary and benefit costs as a result of vacant positions. Budget savings of \$145,000 are also expected from the Medians and Parkways program, as Fiscal Year 2014 carryover funds were used for work performed in the current fiscal year.

Community Development expenditures are below YTD budget by approximately \$712,400. This variance is largely attributed to salary savings of about \$380,000 resulting from position vacancies and hourly vacancies in Records, and approximately \$60,000 in across-the-board savings in Supplies and Services.

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Enterprise Fund Revenues and Expenses

Unlike the General Fund, which relies primarily on taxes to subsidize programs and services, Enterprise Fund operations are financed primarily from user fees and other non-tax revenues. The table below summarizes Enterprise Fund revenues and expenses through March 31, 2015, with a comparison to the current year budget and prior year expenses through the first nine months. Note that the “YTD Budget” column has been calculated based on a 3-year average collection rate through March 31st. This rate, which is shown as a percentage in the “3 Year Average” column, has been applied to the annual budget amount to arrive at the Year-to-Date Budget. This approach is used in recognition that enterprise fund revenues and certain expenses are seasonally affected and are not necessarily received or incurred evenly throughout the year.

| SUMMARY OF REVENUES & EXPENSES For The Nine Months Ended March 31, 2015 ENTERPRISE FUNDS | | | | | | | | |
|---|------------------------------|-------------------------|-----------------------|-------------------------|------------------------|---------------------------|----------------------------|-----------------------|
| | Current Year Analysis | | | | | | Prior Year Analysis | |
| | Annual Budget | YTD Budget * | YTD Actual | YTD Variance | YTD Percent | 3 Year Average | YTD Actual | % Variance |
| Solid Waste Fund | | | | | | | | |
| Revenues | \$ 20,645,776 | \$ 15,385,232 | \$ 15,561,065 | \$ 175,833 | 75.4% | 74.5% | \$ 15,313,497 | 1.6% |
| Expenses | 20,613,368 | 15,029,207 | 15,029,189 | 18 | 72.9% | 72.9% | 14,657,214 | 2.5% |
| Water Fund | | | | | | | | |
| Revenues | 41,297,502 | 30,415,610 | 28,288,792 | (2,126,818) | 68.5% | 73.7% | 28,751,758 | -1.6% |
| Expenses | 47,853,870 | 35,258,731 | 31,937,948 | 3,320,783 | 66.7% | 73.7% | 28,431,298 | 12.3% |
| Wastewater Fund | | | | | | | | |
| Revenues | 18,883,613 | 14,262,793 | 13,570,569 | (692,224) | 71.9% | 75.5% | 13,890,669 | -2.3% |
| Expenses | 20,539,007 | 14,262,286 | 12,936,778 | 1,325,508 | 63.0% | 69.4% | 11,795,715 | 9.7% |
| Downtown Parking Fund | | | | | | | | |
| Revenues | 7,936,933 | 5,730,466 | 6,390,728 | 660,262 | 80.5% | 72.2% | 5,957,703 | 7.3% |
| Expenses | 8,465,044 | 6,377,564 | 5,965,322 | 412,242 | 70.5% | 75.3% | 6,137,226 | -2.8% |
| Airport Fund | | | | | | | | |
| Revenues | 15,469,349 | 11,567,979 | 11,688,419 | 120,440 | 75.6% | 74.8% | 11,699,090 | -0.1% |
| Expenses | 15,786,050 | 11,081,807 | 10,737,130 | 344,677 | 68.0% | 70.2% | 11,576,185 | -7.2% |
| Golf Fund | | | | | | | | |
| Revenues | 2,091,048 | 1,483,599 | 1,392,878 | (90,721) | 66.6% | 71.0% | 1,490,697 | -6.6% |
| Expenses | 2,080,245 | 1,560,808 | 1,459,016 | 101,792 | 70.1% | 75.0% | 1,551,156 | -5.9% |
| Waterfront Fund | | | | | | | | |
| Revenues | 12,661,137 | 9,376,838 | 11,218,706 | 1,841,868 | 88.6% | 74.1% | 10,326,503 | 8.6% |
| Expenses | 13,695,537 | 10,070,328 | 9,404,884 | 665,444 | 68.7% | 73.5% | 9,638,523 | -2.4% |
| * The YTD Budget column has been calculated based on a 3-year average of collections for revenues, and of payments made for expenses through March 31, which has been applied to the annual budget. | | | | | | | | |

*Fiscal Year 2015 Interim Financial Statements
For the Nine Months Ended March 31, 2015 (75% of Year Elapsed)*

The expenses shown in the preceding table do not include outstanding encumbrances at March 31, 2015. Inclusion of encumbrances can significantly distort the analysis of budgeted and actual expenditures after nine months. Outstanding encumbrances include appropriations that were carried forward from prior year as part of the appropriation carryovers and contracts or blanket purchase orders that have been added in the current year but are expected to be spent over the coming months.

The following discussion highlights some of the more significant revenue and expense variances of the enterprise funds, in relation to budget or prior year.

Water Fund

Water Fund revenues are below the year-to-date budget by approximately \$2.1 million, primarily due to a water sales revenue shortfall exceeding \$1.5 million through the end of the third quarter. Conservation efforts have increased and customers are being conscientious due to the current drought situation, which is favorable news for water supply but has significantly impacted revenues. Staff has identified grant funding opportunities and anticipates at least \$800,000 in grant awards that will offset some of the revenue shortfall.

Expenses for the Water Fund are below the YTD budget by approximately \$3.3 million. Of this variance, \$1.1 million pertains to budgeted loan repayments for the Cater Advanced Water Treatment Plant and Ortega Ground Water Treatment Plant projects. These projects must be completed before loan repayments to the State begin; as such, although debt service payments were budgeted in anticipation of the completion of the projects, these are most likely to begin in the next fiscal year. The variance is also due to various other factors including equipment purchases that were budgeted but not yet expended, and lower water production costs that have realized savings in water treatment chemicals and supplies.

Wastewater Fund

Wastewater Fund revenues are below the YTD budget approximately \$692,200. The shortfall is related to the reduction in water usage, as a portion of sewer service charges are based on discharges to the sewer system as measured through water usage.

Wastewater Fund expenses are approximately \$1.3 million below the YTD budget. This variance is mostly due to \$500,000 in Special Projects for the Computer Maintenance Management System (CMMS) upgrade that has not yet been completed. The variance is also due to a large number of vacant positions this fiscal year, which have resulted in significant savings in personnel costs.

Downtown Parking Fund

Downtown Parking Fund revenues are above the YTD budget by approximately \$660,300. Most of the variance is due to increased hourly parking and monthly parking revenues from an improved downtown economy and the use of credit cards in the lots. Expenses are below the YTD budget by approximately \$412,200. This variance is primarily due to lower facilities and equipment repair costs in the first nine months of the year.

*Fiscal Year 2015 Interim Financial Statements
For the Nine Months Ended March 31, 2015 (75% of Year Elapsed)*

Airport Fund

Airport Fund revenues are meeting budget at March 31, 2015. Expenses for the Airport Fund are below the YTD budget by approximately \$344,700. The variance is mostly due to position vacancies in the department, and the closure of Long-Term Lot 2 throughout the holiday period.

Golf Fund

Golf Fund revenues are below the YTD budget by approximately \$90,700. The drought measures and general condition of the range have impacted revenues, and it is anticipated that any additional significant reduction in water allowances may impact further the quality of the turf areas on the course, which may further impact revenue generation. Overall, it is expected that revenues will end the year approximately \$92,000 under budget.

Golf Fund expenses are below the YTD budget by approximately \$101,800, however staff anticipates budget savings of \$18,000 at year-end. As a result, there may be a need to use reserves of approximately \$64,000 to cover the revenue shortfall.

Waterfront Fund

Waterfront Fund revenues are above the YTD budget by approximately \$1.8 million. Good summer weather, and an unseasonably warm fall and winter season contributed to significant increases in revenues. In particular, slip transfer fees are above projections, increasing about \$350,000 over the prior year. Leases with businesses on the wharf and harbor, and parking lots also realized higher revenues.

Expenses for the Waterfront Fund are below the YTD budget by approximately \$665,400. Most of the variance is due to lower salary and benefit costs. Long-tenured employees that were compensated at the top of their pay scale recently retired, and have been replaced with new employees that typically are hired at the bottom of the pay scale, thereby realizing savings in personnel costs. The variance is also due to the programming of \$100,000 of appropriated reserves in the operating budget to cover contingencies that arise during the course of the fiscal year. As of March 31, no appropriated reserves have been used.

City of Santa Barbara
Interim Financial Statements for the Nine Months Ended March 31, 2015
Proposed Budget Adjustments

| | <u>Increase (Decrease) in Appropriations</u> | <u>Increase (Decrease) in Estimated Revenues</u> | <u>Addition to (Use of) Reserves</u> |
|--|--|--|--|
| GENERAL FUND (1000) | | | |
| City Attorney | | | |
| Transfer Appropriations from Appropriated Reserves for New Cingular Wireless Settlement | \$ 117,083 | \$ - | \$ (117,083) |
| <p>The City Council recently authorized staff to enter into a settlement agreement with New Cingular Wireless PCS (New Cingular) for reimbursement of amounts Cingular repaid its customers as a result of improper assessment of City utility users taxes (UUT) on the data portion of services. Cingular improperly charged UUT on data services from November 2005 through September 2010. The City agreed to reimburse New Cingular \$234,165. These recommended entries will increase appropriations by \$117,083 in both the General Fund and the Streets Operating Fund since UUT revenues are evenly allocated between these two funds. For the General Fund portion, staff is recommending that the increase in appropriations be allocated to the City Attorney's Office and be funded from a transfer of appropriated reserves.</p> | | | |
| Increase Estimated Revenues for Litigation Settlements | - | 10,000 | 10,000 |
| Increase Appropriations for Hourly Salaries - Special Event Permitting Regulations | 10,000 | - | (10,000) |
| <p>The Parks and Recreation Department has requested the assistance of the City Attorney's Office to perform a special project to update special event permitting regulations in Fiscal Year 2015. Due to limited staffing, this project requires the use of hourly staff. Additionally, the City Attorney's Office has earned over \$82,000 in litigation settlements revenues as of March 31, 2015, which exceeds Fiscal Year 2015 budgeted revenues by \$52,000. These recommended entries will increase estimated revenues and appropriations by \$10,000 for the new special project in the City Attorney's Office.</p> | | | |
| Fire | | | |
| Transfer Available Appropriations from Fire Equipment Fund - Equipment | - | 15,000 | 15,000 |
| Increase Appropriations for Fire Recruit Background Checks | 15,000 | - | (15,000) |
| <p>As part of the Fiscal Year 2015 budget, the Fire Department budgeted \$10,000 for background checks on new fire recruits. Due to an increase in the recruiting class, Fire Department staff expect to perform a minimum of fifteen backgrounds checks for the Fire Academy class of approximately ten recruits, resulting in anticipated total costs of \$25,000. These recommended entries will transfer \$15,000 of available appropriations from the equipment account in the Fire Equipment Fund to professional services in the Fire Department in the General Fund for additional background checks.</p> | | | |
| Parks & Recreation | | | |
| Increase Estimated Revenues for Aquatics Program - Summer Camp Registrations | - | 30,000 | 30,000 |
| Increase Appropriations for Aquatics Summer Camp - Non-Contractual Services | 30,000 | - | (30,000) |
| <p>As of March 31, 2015, Parks and Recreation Department staff are projecting the Aquatics program to exceed budget by almost \$40,000 by year-end. Registrations for the traditional summer camp programs and new summer camp offerings have exceeded expectations. The increase in camp registrations require additional non-contractual services of \$30,000 to meet the demands of the expanded camp offerings. These recommended entries will increase estimated revenues and appropriations in the Aquatics program by \$30,000.</p> | | | |
| General Government | | | |
| Transfer Appropriations from Appropriated Reserves to City Attorney for Settlement | (117,083) | - | 117,083 |
| <p>The City Council recently authorized staff to enter into a settlement agreement with New Cingular Wireless PCS (New Cingular) for reimbursement of amounts Cingular repaid its customers as a result of improper assessment of City utility users taxes (UUT) on the data portion of services. Cingular improperly charged UUT on data services from November 2005 through September 2010. The City agreed to reimburse New Cingular \$234,165. These recommended entries will increase appropriations by \$117,083 in both the General Fund and the Streets Operating Fund since UUT revenues are evenly allocated between these two funds. For the General Fund portion, staff is recommending that the increase in appropriations be allocated to the City Attorney's Office and be funded from a transfer of appropriated reserves.</p> | | | |
| Total General Fund | <u>\$ 55,000</u> | <u>\$ 55,000</u> | <u>\$ -</u> |

| | Increase (Decrease) in Appropriations | Increase (Decrease) in Estimated Revenues | Addition to (Use of) Reserves |
|--|---|--|-------------------------------------|
| FIRE EQUIPMENT FUND (1040) | | | |
| Decrease Appropriations - Equipment | \$ (15,000) | \$ - | \$ 15,000 |
| Transfer Available Appropriations to General Fund for Fire Recruit Background Checks | 15,000 | - | (15,000) |

As part of the Fiscal Year 2015 budget, the Fire Department budgeted \$10,000 for background checks on new fire recruits. Due to an increase in the recruiting class, Fire Department staff expect to perform a minimum of fifteen backgrounds checks for the Fire Academy class of approximately ten recruits, resulting in anticipated totals costs of \$25,000. These recommended entries will transfer \$15,000 of available appropriations from the equipment account in the Fire Equipment Fund to professional services in the Fire Department in the General Fund for additional background checks.

| | | | |
|----------------------------------|-------------|-------------|-------------|
| Total Fire Equipment Fund | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |
|----------------------------------|-------------|-------------|-------------|

SPECIAL REVENUE FUNDS

| | | | |
|--|-------|----------|----------|
| Police Asset Forfeiture Fund (2310) | | | |
| Increase Estimated Revenues for Auto Anti-Theft Program | \$ - | \$ 5,600 | \$ 5,600 |
| Increase Appropriations for Auto Anti-Theft Program - Investigative Licenses & Equipment | 5,600 | - | (5,600) |

As of March 31, 2015, the Police Department has received an additional \$5,600 of State Auto Anti-Theft revenues to be used in the prevention of automobile thefts. These funds will be used to purchase additional online investigative tools licenses and necessary surveillance equipment. These recommended entries will increase estimated revenues and appropriations in the Auto Anti-Theft program in the Police Asset Forfeiture Fund by \$5,600.

| | | | |
|---|-----------------|-----------------|-------------|
| Total Police Asset Forfeiture Fund | <u>\$ 5,600</u> | <u>\$ 5,600</u> | <u>\$ -</u> |
|---|-----------------|-----------------|-------------|

| | | | |
|--|------------|------|--------------|
| Streets Operating Fund (2400) | | | |
| Increase Appropriations for New Cingular Wireless Settlement | \$ 117,083 | \$ - | \$ (117,083) |

The City Council recently authorized staff to enter into a settlement agreement with New Cingular Wireless PCS (New Cingular) for reimbursement of amounts Cingular repaid its customers as a result of improper assessment of City utility users taxes (UUT) on the data portion of services. Cingular improperly charged UUT on data services from November 2005 through September 2010. The City agreed to reimburse New Cingular \$234,165. These recommended entries will increase appropriations by \$117,083 in both the General Fund and the Streets Operating Fund since UUT revenues are evenly allocated between these two funds. For the Streets Operating Fund portion, staff is recommending an increase in appropriations from available reserves.

| | | | |
|-------------------------------------|-------------------|-------------|---------------------|
| Total Streets Operating Fund | <u>\$ 117,083</u> | <u>\$ -</u> | <u>\$ (117,083)</u> |
|-------------------------------------|-------------------|-------------|---------------------|

| | | | |
|---|----------|------|------------|
| County Library Fund (2500) | | | |
| Increase Appropriations for Carpinteria Library - Public Access Computers Replacement | \$ 8,145 | \$ - | \$ (8,145) |

During Fiscal Year 2015, the ten public computers in the Carpinteria Library have experienced increasing technical problems requiring frequent repairs. This recommended entry will increase appropriations from available Carpinteria Library reserves by \$8,145 for the replacement of these ten computers.

| | | | |
|----------------------------------|-----------------|-------------|-------------------|
| Total County Library Fund | <u>\$ 8,145</u> | <u>\$ -</u> | <u>\$ (8,145)</u> |
|----------------------------------|-----------------|-------------|-------------------|

| | | | |
|--|----------|-------------|-------------|
| Miscellaneous Grants Fund (2830) | | | |
| Decrease Estimated Revenues for SBRNET Program | \$ - | \$ (63,000) | \$ (63,000) |
| Decrease Appropriations for SBRNET Program - Salaries & Benefits | (63,000) | - | 63,000 |

Prior to Fiscal Year 2015, the Police Department had received grant funding in the Miscellaneous Grants Fund for an officer to participate on the Santa Barbara Regional Narcotic Enforcement Team (SBRNET). At budget adoption in June 2014, staff anticipated receiving \$63,000 in SBRNET funding in Fiscal Year 2015, which would be used to fund 17% of an officer to participate in the SBRNET program. However, staff has determined that this funding will not be received as expected. These recommended entries will decrease estimated revenues and appropriations in the Miscellaneous Grants Fund by \$63,000. Additionally, all expenditures for the SBRNET program for the current fiscal year will be re-classified to salaries and benefits in the Police Department in the General Fund.

SPECIAL REVENUE FUNDS (cont.)

Miscellaneous Grants Fund (2830) - cont.

| | <u>Increase (Decrease) in Appropriations</u> | <u>Increase (Decrease) in Estimated Revenues</u> | <u>Addition to (Use of) Reserves</u> |
|---|--|--|--|
| Increase Estimated Revenues for the Explorers Program - Donations | - | 250 | 250 |
| Increase Appropriations for the Explorers Program | 250 | - | (250) |

During Fiscal Year 2015, the Police Department has received additional unbudgeted donations for the Explorers program which supports local youth interested in a career in law enforcement. \$200 was received from the Montecito Fire Protection District and \$50 was donated from a private citizen. These recommended entries will increase estimated revenues and appropriations in the Explorers program by \$250.

| | | | |
|--|--------|--------|----------|
| Increase Estimated Revenues for Police Memorial Statue Project - Donations | - | 55,000 | 55,000 |
| Increase Appropriations for Police Memorial Statue Project | 55,000 | - | (55,000) |

On February 24, 2015 Council approved the initial \$5,000 donation of the \$60,000 needed for the Police Memorial Statue Project. Staff anticipates receiving the additional \$55,000 in donations by fiscal year-end and no City funds will be used for the project. These recommended entries will increase estimated revenues and appropriations by \$55,000 to allow donated funds to be spent as they are received.

Total Miscellaneous Grants Fund

| | | |
|-------------------|-------------------|-------------|
| <u>\$ (7,750)</u> | <u>\$ (7,750)</u> | <u>\$ -</u> |
|-------------------|-------------------|-------------|

Streets Capital Fund (3400)

| | | | |
|---|-----------|------|-------------|
| Increase Appropriations for Safe Routes to School - Cleveland Project | \$ 25,433 | \$ - | \$ (25,433) |
|---|-----------|------|-------------|

As of March 31, 2015, Public Works staff have identified \$25,433 of additional needs in the Streets Capital Fund to cover final costs associated with the Safe Routes to School - Cleveland project. The project exceeded budget due to additional costs required for project management and inspection during construction. This recommended entry will increase appropriations from reserves to cover the final costs of the project.

| | | | |
|--|---|--------|--------|
| Transfer from Streets Grant Capital Fund for Reimbursement of Prior Year Costs | - | 32,734 | 32,734 |
|--|---|--------|--------|

As grant funded projects are completed, Finance and Public Works performs an analysis of project costs and revenues over a multi-year period in the Streets Capital Fund and Streets Grant Capital Fund. Based on this analysis, entries are prepared, if needed, to properly match expenditures with revenues within these funds. These recommended entries will transfer funds from the Streets Grant Capital Fund to the Streets Capital Fund for reimbursement of costs incurred in the prior years for the Carrillo/Anacapa Intersection Improvements project and to properly match expenditures with revenues.

Total Streets Capital Fund

| | | |
|------------------|------------------|-----------------|
| <u>\$ 25,433</u> | <u>\$ 32,734</u> | <u>\$ 7,301</u> |
|------------------|------------------|-----------------|

Streets Grants Fund (3410)

| | | | |
|--|-------------|------|-----------|
| Decrease Appropriations - Carrillo/Anacapa Intersection Improvements Project | \$ (32,734) | \$ - | \$ 32,734 |
| Transfer to Streets Capital Fund for Reimbursement of Prior Year Costs | 32,734 | - | (32,734) |

As grant funded projects are completed, Finance and Public Works performs an analysis of project costs and revenues over a multi-year period in the Streets Capital Fund and Streets Grant Capital Fund. Based on this analysis, entries are prepared, if needed, to properly match expenditures with revenues within these funds. These recommended entries will transfer funds from the Streets Grant Capital Fund to the Streets Capital Fund for reimbursement of costs incurred in the prior years for the Carrillo/Anacapa Intersection Improvements project and to properly match expenditures with revenues.

Total Streets Grants Fund

| | | |
|-------------|-------------|-------------|
| <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |
|-------------|-------------|-------------|

ENTERPRISE FUNDS

| | Increase (Decrease) in Appropriations | Increase (Decrease) in Estimated Revenues | Addition to (Use of) Reserves |
|--|--|--|--|
|--|--|--|--|

Water Operating Fund (5000)

| | | | |
|--|------|------------|------------|
| Transfer Reserve Balance from Sheffield Project Fund | \$ - | \$ 137,285 | \$ 137,285 |
|--|------|------------|------------|

As of June 30, 2014, total reserves in the Sheffield Project Fund amounted to \$137,285. The Sheffield project closed prior to 2014 fiscal year-end and reserves are remaining from left-over funds and will not be used. This recommended entry will transfer the \$137,285 of remaining reserves in the Sheffield Project Fund to the Water Operating Fund reserves.

| | | | |
|--|---|---------|---------|
| Transfer from State Revolving Loan Fund for Reimbursement - Aeration Rehab SRF | - | 259,089 | 259,089 |
|--|---|---------|---------|

The Wastewater Fund recently received approval for a State Revolving Fund loan (SRF) for the Aeration Rehabilitation project. Prior to Fiscal Year 2015, eligible funds were spent on preliminary design and other early project costs out of the Water Capital Fund and the Wastewater Capital Fund. During the current year, the State Revolving Loan Fund was reimbursed \$1,111,361 of these early project costs, of which \$1,086,728 was paid out of the Water Capital and Wastewater Capital Funds. The remaining \$24,633 was originally spent out of the SRF Fund. These recommended entries will transfer the funds from the State Revolving Loan Fund to the Water Operating Fund and the Wastewater Capital Fund for reimbursement of design and early project costs.

Total Water Operating Fund

| | | | |
|--|-------------|-------------------|-------------------|
| | <u>\$ -</u> | <u>\$ 396,374</u> | <u>\$ 396,374</u> |
|--|-------------|-------------------|-------------------|

Sheffield Project Fund (5020)

| | | | |
|--|------------|------|--------------|
| Transfer Reserve Balance to Water Operating Fund | \$ 137,285 | \$ - | \$ (137,285) |
|--|------------|------|--------------|

As of June 30, 2014, total reserves in the Sheffield Project Fund amounted to \$137,285. The Sheffield project closed prior to 2014 fiscal year-end and reserves are remaining from left-over funds and will not be used. This recommended entry will transfer the \$137,285 of remaining reserves in the Sheffield Project Fund to the Water Operating Fund reserves.

Total Sheffield Project Fund

| | | | |
|--|-------------------|-------------|---------------------|
| | <u>\$ 137,285</u> | <u>\$ -</u> | <u>\$ (137,285)</u> |
|--|-------------------|-------------|---------------------|

Wastewater Capital Fund (5110)

| | | | |
|--|------|------------|------------|
| Transfer from State Revolving Loan Fund for Reimbursement - Aeration Rehab SRF | \$ - | \$ 827,639 | \$ 827,639 |
|--|------|------------|------------|

The Wastewater Fund recently received approval for a State Revolving Fund loan (SRF) for the Aeration Rehabilitation project. Prior to Fiscal Year 2015, eligible funds were spent on preliminary design and other early project costs out of the Water Capital Fund and the Wastewater Capital Fund. During the current year, the State Revolving Loan Fund was reimbursed \$1,111,361 of these early project costs, of which \$1,086,728 was paid out of the Water Capital and Wastewater Capital Funds. The remaining \$24,633 was originally spent out of the SRF Fund. These recommended entries will transfer the funds from the State Revolving Loan Fund to the Water Operating Fund and the Wastewater Capital Fund for reimbursement of design and early project costs.

Total Wastewater Capital Fund

| | | | |
|--|-------------|-------------------|-------------------|
| | <u>\$ -</u> | <u>\$ 827,639</u> | <u>\$ 827,639</u> |
|--|-------------|-------------------|-------------------|

State Revolving Loan Fund (5130)

| | | | |
|--|------------|------|--------------|
| Transfer to Water Operating Fund for Reimb. of Design & Other Costs - Aeration Rehab SRF | \$ 259,089 | \$ - | \$ (259,089) |
| Transfer to Wastewater Capital for Reimb. of Design & Other Costs - Aeration Rehab SRF | \$ 827,639 | \$ - | \$ (827,639) |

The Wastewater Fund recently received approval for a State Revolving Fund loan (SRF) for the Aeration Rehabilitation project. Prior to Fiscal Year 2015, eligible funds were spent on preliminary design and other early project costs out of the Water Capital Fund and the Wastewater Capital Fund. During the current year, the State Revolving Loan Fund was reimbursed \$1,111,361 of these early project costs, of which \$1,086,728 was paid out of the Water Capital and Wastewater Capital Funds. The remaining \$24,633 was originally spent out of the SRF Fund. These recommended entries will transfer the funds from the State Revolving Loan Fund to the Water Operating Fund and the Wastewater Capital Fund for reimbursement of design and early project costs.

Total State Revolving Loan Fund

| | | | |
|--|---------------------|-------------|-----------------------|
| | <u>\$ 1,086,728</u> | <u>\$ -</u> | <u>\$ (1,086,728)</u> |
|--|---------------------|-------------|-----------------------|

| | Increase (Decrease) in Appropriations | Increase (Decrease) in Estimated Revenues | Addition to (Use of) Reserves |
|--|--|--|--|
| ENTERPRISE FUNDS (cont.) | | | |
| Golf Capital Fund (5610) | | | |
| Decrease Appropriations - Golf Course Irrigation Infrastructure Upgrade Project | \$ (73,827) | \$ - | \$ 73,827 |
| Increase Appropriations - Golf Club Infrastructure Renewal Project | 73,827 | - | (73,827) |
| <p>Since the adoption of the Fiscal Year 2015 budget, the capital needs of the Golf Fund have changed. Staff has been evaluating the existing capital budget in light of golfer demands during the summer months and the needs at the course and has determined that funding is needed to address the aging driving range poles and to install new security cameras. Staff has also determined that the original irrigation project is smaller in scope than originally planned. These recommended entries transfer \$73,827 of available appropriations from the golf course irrigation infrastructure upgrade project to the golf course infrastructure renewal project to sandblast, acid wash and repaint the driving range poles, replace netting and install new security cameras.</p> | | | |
| Total Golf Capital Fund | \$ - | \$ - | \$ - |
| Airport Capital Fund (5710) | | | |
| Transfer from Airport Grants Fund for Reimb. of Design Services - Runway Rehab Project | \$ - | \$ 80,479 | \$ 80,479 |
| Increase Appropriations for FAA Design Development | 80,479 | - | (80,479) |
| <p>The Airport maintains a revolving Federal Aviation Administration (FAA) Design Development account in the Airport Capital Fund which is used to fund the design of FAA-eligible projects. During Fiscal Year 2015, the Airport Department received Federal reimbursement of \$80,749 into the Airport Grants Fund for design costs related to the Runway 15L - 33R Rehab project. These recommended entries will transfer the funds from the Airport Grants Fund to the Airport Capital Fund to reimburse the Capital Fund for the costs expended on design services for the Runway 15L - 33R Rehab project and will increase appropriations in the FAA Design Development account for future project design costs.</p> | | | |
| Total Airport Capital Fund | \$ 80,479 | \$ 80,479 | \$ - |
| Airport Grants Fund (5720) | | | |
| Transfer to Airport Capital Fund for Reimb. of Design Services - Runway Rehab Project | \$ 80,479 | \$ - | \$ (80,479) |
| <p>The Airport maintains a revolving Federal Aviation Administration (FAA) Design Development account in the Airport Capital Fund which is used to fund the design of FAA-eligible projects. During Fiscal Year 2015, the Airport Department received Federal reimbursement of \$80,749 into the Airport Grants Fund for design costs related to the Runway 15L - 33R Rehab project. These recommended entries will transfer the funds from the Airport Grants Fund to the Airport Capital Fund to reimburse the Capital Fund for the costs expended on design services for the Runway 15L - 33R Rehab project.</p> | | | |
| Total Airport Grants Fund | \$ 80,479 | \$ - | \$ (80,479) |
| Waterfront Operating Fund (5800) | | | |
| Transfer Available Reserves to Harbor Preservation Fund | \$ 2,117,678 | \$ - | \$ (2,117,678) |
| <p>The Waterfront Operating Fund ended Fiscal Year 2014 with \$2,891,829 of reserves above policy. \$774,151 of these reserves were budgeted for use in Fiscal Year 2015, resulting in \$2,117,678 of available reserves above policy. This recommended entry will transfer the available reserves in the Waterfront Operating Fund to the Harbor Preservation Fund to be reserved for capital use.</p> | | | |
| Total Waterfront Operating Fund | \$ 2,117,678 | \$ - | \$ (2,117,678) |
| Harbor Preservation Fund (5820) | | | |
| Transfer Available Reserves from the Waterfront Operating Fund | \$ - | \$ 2,117,678 | \$ 2,117,678 |
| <p>The Waterfront Operating Fund ended Fiscal Year 2014 with \$2,891,829 of reserves above policy. \$774,151 of these reserves were budgeted for use in Fiscal Year 2015, resulting in \$2,117,678 of available reserves above policy. This recommended entry will transfer the available reserves in the Waterfront Operating Fund to the Harbor Preservation Fund to be reserved for capital use.</p> | | | |
| Total Harbor Preservation Fund | \$ - | \$ 2,117,678 | \$ 2,117,678 |

| | Increase (Decrease) in Appropriations | Increase (Decrease) in Estimated Revenues | Addition to (Use of) Reserves |
|--|---|--|-------------------------------------|
|--|---|--|-------------------------------------|

INTERNAL SERVICE FUNDS

Self Insurance Trust Fund (6100)

| | | | |
|--|--------------|------|----------------|
| Increase Appropriations for Workers' Compensation Claims | \$ 1,046,238 | \$ - | \$ (1,046,238) |
| Increase Appropriations for Liability Claims | 288,189 | - | (288,189) |

As of March 31, 2015, the amount spent on claims in the Self Insurance Trust Fund exceeds the allocated amounts by a substantial margin. The value of workers' compensation claims payments and liability claims are \$504,238 and \$238,189 greater than the allocated amounts as of March 31, 2015, respectively. Staff anticipates that the Self Insurance Trust Fund will need approximately \$1.3 million to balance the fund by fiscal year-end. These recommended entries will increase appropriations from reserves for workers' compensation claims and liability claims for a total of \$1,334,427. Staff will return at fourth quarter to report on the final figures for Fiscal Year 2015 and make any final requests, if needed.

Total Self Insurance Trust Fund

| | | |
|---------------------|-------------|-----------------------|
| \$ 1,334,427 | \$ - | \$ (1,334,427) |
|---------------------|-------------|-----------------------|

Facilities Management Fund (6300)

| | | | |
|--|---------|------------|------------|
| Transfer Appropriations from the Facilities Capital Fund | \$ - | \$ 127,463 | \$ 127,463 |
| Increase Appropriations for General Fund Materials | 127,463 | - | (127,463) |

Since the adoption of the Fiscal Year 2015 budget, the Public Works Department has reviewed existing facilities capital needs and is requesting adjustments due to projects being completed under budget, new projects identified during the year, and existing projects that require additional funding. As of March 31, 2015, the Facilities Management Fund - General Fund Materials line item has exceeded budget by approximately \$80,000 due to additional materials and contracts costs used for charge-back purposes. Staff have identified project savings in the Facilities Capital Fund, primarily in the Library Exterior Paint project and the Shoreline Park Restroom Renovation project, to cover the additional costs in the Facilities Management Fund - General Fund Materials account. These recommended entries will transfer available appropriations from projects completed under budget in the Facilities Capital Fund to the General Fund Materials account in the Facilities Management Fund.

Total Facilities Management Fund

| | | |
|-------------------|-------------------|-------------|
| \$ 127,463 | \$ 127,463 | \$ - |
|-------------------|-------------------|-------------|

Facilities Capital Fund (6310)

Adjust Capital Project Budgets:

| | | | |
|--|-----------|---|-----------|
| Decrease Appropriations - Library Exterior Paint Project | (106,255) | - | 106,255 |
| Decrease Appropriations - Shoreline Park Restroom Renovation Project | (11,773) | - | 11,773 |
| Decrease Appropriations - Library Security Gate Installation Project | (4,850) | - | 4,850 |
| Decrease Appropriations - Pershing Park Ball Field Project | (4,200) | - | 4,200 |
| Decrease Appropriations - City Hall Replacement Carpeting in Finance Project | (385) | - | 385 |
| Decrease Appropriations - PD Air Handler Replacement Project | (151,806) | - | 151,806 |
| Decrease Appropriations - Central Library Gutter / Eave Repair Project | (44,286) | - | 44,286 |
| Decrease Appropriations - Westside Center Replacement Exterior Project | (32,041) | - | 32,041 |
| Decrease Appropriations - Cabrillo Gym Spalling Repair Project | (25,822) | - | 25,822 |
| Increase Appropriations - Miscellaneous Staff Project | 253,955 | - | (253,955) |
| Transfer Available Appropriations to Facilities Management Fund | 127,463 | - | (127,463) |

Since the adoption of the Fiscal Year 2015 budget, the Public Works Department has reviewed existing facilities capital needs and is requesting adjustments due to projects being completed under budget, new projects identified during the year, and existing projects that require additional funding. Staff have identified project savings in the Facilities Capital Fund that can be transferred to either the Miscellaneous Staff project to complete several small capital projects or to the Facilities Management Fund to cover additional costs in the General Fund Materials account. Staff has identified additional funding needs out of the Miscellaneous Staff project for small projects such as access control for the Police Department Headquarters, repairs to doors and windows at the Central Library and re-decking of the Oak Park dance floor. Additionally, as of March 31, 2015, the Facilities Management Fund - General Fund Materials line item has already exceeded budget by approximately \$80,000 due to additional materials and contracts costs used for charge-back purposes. These recommended entries will transfer available appropriations from projects completed under budget in the Facilities Capital Fund to the Miscellaneous Staff project in the Facilities Capital Fund and to the General Fund Materials account in the Facilities Management Fund.

Total Facilities Capital Fund

| | | |
|-------------|-------------|-------------|
| \$ - | \$ - | \$ - |
|-------------|-------------|-------------|



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Water Resources Division, Public Works Department

SUBJECT: Introduction Of Ordinance Approving A Joint Powers Agreement For Wastewater Treatment In The Mission Canyon Area

RECOMMENDATION: That Council:

- A. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Approving the 2015 Joint Powers Agreement for Wastewater Collection, Treatment and Disposal for County Service Area 12 in the Mission Canyon Area Between the County of Santa Barbara and the City of Santa Barbara; and
- B. Authorize the City Administrator to execute a Joint Powers Agreement for Wastewater Collection, Treatment, and Disposal in the Mission Canyon Area with the County of Santa Barbara.

DISCUSSION:

Background

On May 24, 1983, Council granted conceptual approval for the provision of wastewater service in the Santa Barbara County Mission Canyon Area (CSA 12). Council authorized staff to negotiate with the County Public Works Department to determine appropriate charges for connection and service. In addition, Council directed staff and the Planning Commission to advise Council of the mechanism that would provide for the interests of the City of Santa Barbara (City) while cooperating with the County of Santa Barbara (County) on planning issues. These efforts culminated in the creation of a Joint Powers Agreement (JPA) between the County and the City, which was approved by Council on August 31, 1984.

The JPA established provisions for the CSA 12 properties to connect to and utilize the City's wastewater system for wastewater collection, treatment, and disposal purposes. The JPA delineated procedures and practices for property buy-in compensation and payment, annual wastewater service charges, City-performed maintenance services provided to CSA 12, and miscellaneous contract terms and conditions.

Current Update Process:

In 2013, County staff contacted the City to initiate a process to update the JPA. The County's primary goals in this update process are to:

- Transfer the annual sewer service billing process from the County's semi-annual billing cycle for property tax to the City's monthly water billing cycle, which would eliminate the County from acting as a pass-through agency for collecting CSA 12 property sewer fees on behalf of the City. This new transfer process allows the City to collect sewer service fees directly from 815 properties that are being served by the City.
- Eliminate existing JPA provisions related to City wastewater collection system maintenance services of the CSA 12 sewer system. The portion of the CSA 12 system which the City maintains consists of about 11 miles of 8-inch diameter sewer mains and two sewer lift stations. The County now seeks to provide these maintenance services directly to CSA 12 residents.
- Update the JPA language to provide for contemporary documentation of inter-agency services and eliminate documentation that no longer serves a useful purpose for either agency.

The draft JPA was developed in 2014 and is now being submitted for both City Council and County Board of Supervisors approvals.

BUDGET/FINANCIAL INFORMATION:

County CSA 12 ratepayers will pay individual monthly sewer service fees directly to the City through the City's current water billing process, rather than County staff transmitting these fees semi-annually to the City on behalf of property owners within CSA 12. The City Wastewater revenues will be reduced by approximately \$250,000 annually by no longer performing and billing for sewer and lift station maintenance services for the CSA 12 wastewater collection system.

SUSTAINABILITY IMPACT:

This action will enable City staff to reallocate its time and resources toward increased maintenance of the City's wastewater collection system infrastructure.

PREPARED BY: Joshua Haggmark, Water Resources Manager/CJT/mh

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

ORDINANCE NO. _____

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA APPROVING THE 2015 JOINT POWERS AGREEMENT FOR WASTEWATER COLLECTION, TREATMENT, AND DISPOSAL FOR COUNTY SERVICE AREA 12 IN THE MISSION CANYON AREA BETWEEN THE COUNTY OF SANTA BARBARA AND THE CITY OF SANTA BARBARA

WHEREAS, there is an unincorporated area of the County of Santa Barbara (the "County") north of and adjacent to the City of Santa Barbara (the "City"), commonly known as the "Mission Canyon Area." County Service Area 12 was created by the County per Resolution 84-72 to provide public sewer service and septic tank maintenance to a portion in the Mission Canyon Area; and,

WHEREAS, existing septic systems in the Mission Canyon Area have historically had a high incidence of failure and thereby have the potential to endanger health, safety, life and property through pollution of ground and surface waters; and,

WHEREAS, an existing Joint Powers Agreement between the City and the County was approved for execution by the Santa Barbara City Council at a meeting on September 18, 1984, and was executed on October 2, 1984 to establish powers, prescribe responsibilities, and set conditions for conveying wastewater and septic tank pumpage from County Service Area 12 to City's collection system for further treatment and disposal; and,

WHEREAS, City has available capacity in the City's wastewater collection system, treatment plant, and ocean outfall effluent disposal facility to accept the existing and contemplated wastewater flows and septic tank pumpage from County Service Area 12 as described in the Mission Canyon Community Plan; and,

WHEREAS, it is not the intent of City or County that the Mission Canyon Area, including County Service Area 12, be annexed to City as a condition of City providing wastewater services; and,

WHEREAS, Sections 55080 through 55093 inclusive of the California Government Code authorize agreements between two local agencies for the joint construction and maintenance of sanitation facilities upon adoption of resolutions by the governing bodies of each local agency, that such joint construction and maintenance is in the interest or advantage of both local agencies; and,

WHEREAS, Sections 55110 through 55115 inclusive of the California Government Code authorize agreements between local agencies for joint use of sewage treatment plants and other sewage disposal works, and authorize bonds to pay for purchasing capacity in the plant or works or a for right to use them; and

WHEREAS, Sections 6500 through 6516 of the Government Code authorize public agencies, which include both cities and counties, to enter into agreements to jointly exercise powers common to each agency; and Section 6502 further provides that it shall not be necessary that any power common to the contracting parties be exercisable by each such contracting power, with respect to the geographical area in which such power is to be jointly exercised; and

WHEREAS, the parties hereto each have powers to regulate and control land use and development and to protect the public health, safety and welfare.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN as follows:

1. The 2015 Joint Powers Agreement for Wastewater Collection, Treatment and Disposal for County Service Area 12 in the Mission Canyon Area between the County of Santa Barbara and the City of Santa Barbara is hereby approved. The City Administrator is authorized to execute said Agreement and other documents necessary to give effect to such Agreement.
2. The 2015 Joint Powers Agreement for Wastewater Collection, Treatment and Disposal for County Service Area 12 in the Mission Canyon Area between the County of Santa Barbara and the City of Santa Barbara supersedes the 1984 Joint Powers Agreement No. 12,554 between the County and the City.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015
TO: Mayor and Councilmembers
FROM: City Administrator's Office
SUBJECT: Community Polling Results On Unfunded Infrastructure Needs

RECOMMENDATION: That Council:

- A. Receive a report on community polling results on the City's unfunded infrastructure needs and possible funding options related to streets, parks and facilities; and
- B. Direct staff to initiate procedural steps to place a sales tax measure on the November 2015 ballot.

DISCUSSION:

BACKGROUND

Many City facilities, such as the airport, parking structures, and water treatment infrastructure, are primarily funded through user fees and have sufficient reserves for long-term repairs and maintenance. Meanwhile, the City's basic facilities rely on general tax dollars and lack sufficient funding for regular maintenance, future upgrades or replacement. These facilities include streets, sidewalks, the police station, fire stations, parks, recreation facilities, libraries, and community centers. The elimination of the Redevelopment Agency, a decline in federal funding, and less buying power with gas tax revenue created a gap in funding for streets, parks, and aging community facilities. A key challenge for the community is addressing how to maintain safe and functioning streets and historic facilities to prevent them from falling into serious disrepair or closure.

PUBLIC OUTREACH

In Spring 2014, the City Council appointed a Council Infrastructure Committee (Mayor Schneider, Councilmember White, and Councilmember Rowse) to get community input on facility needs, building on the efforts of the Infrastructure Task Force. The Task Force, a private group of community members, reviewed the City's infrastructure needs in 2008 and found that the City faced a significant funding shortfall to maintain and upgrade essential infrastructure, such as streets, parks, and facilities.

The Council Infrastructure Committee conducted public outreach activities to inform the public about the City's aging facilities and learn about the community's interests and priorities to repair and upgrade various facilities. A total of 17 community meetings were held in different locations, including 8 meetings for the general public and 11 meetings for service organizations, neighborhood associations, and business groups. According to an informal survey made available in English and Spanish at the community meetings and on the City's website, 493 survey respondents ranked facilities in order of priority for upgrades, modernization, or replacement. The highest ranked priorities were:

- 1) Streets and pavement maintenance;
- 2) Police station; and
- 3) Sidewalks.

Based on the survey results and numerous suggestions from community meetings, Council approved the following next steps at their meeting on January 27, 2015:

- 1) Expand public/private partnerships to fund improvements for libraries, park and recreation facilities, and community centers;
- 2) Review municipal code and insurance requirements to allow more flexibility for philanthropy; and
- 3) Continue public outreach to gather more information about specific funding options related to top infrastructure priorities, including a possible ballot measure, by conducting a formal polling effort and convening a community meeting.

Two community meetings were held on February 25, 2015, to inform community leaders and the general public about public outreach findings and next steps to conduct a formal polling effort.

COMMUNITY POLLING

On March 3, 2015, Council authorized an agreement with Godbe Research to conduct a community poll via phone and Internet related to unfunded infrastructure needs and possible funding options. The scientifically valid survey tool would gauge voter support for a funding measure to improve City facilities, ensuring the broadest participation in terms of voter demographics and geography.

A total of 640 community members responded to the poll that was conducted in late March and early April. The survey asked the following question:

- "To protect services and improve City of Santa Barbara facilities, including:
- Protecting fire prevention and 911 dispatch services;
 - Protecting neighborhood police patrols and crime prevention;
 - Repairing streets, sidewalks and repairing potholes;
 - Replacing the 55-year-old police operations center with an updated, earthquake safe building;
 - Repairing senior facilities;

- Repairing and updating libraries and recreation centers;
- Protecting parks, playfields, children and teen programs; and
- Other general city services;

shall Santa Barbara enact a one-half cent sales tax for 20 years, with citizens' oversight, and all funds staying local?"

64.4% of survey respondents supported the ½ cent general purpose sales tax increase. Respondents indicated the same level of support for a ¼ cent general purpose sales tax increase. Other key findings included the following:

- 69.4% of respondents were pleased with the job the City is doing to provide services to the community.
- 66.1% of respondents were pleased with the job the City is doing to maintain City facilities.
- 51.1% of respondents were pleased with the job the City is doing to manage and spend taxpayer dollars.

NEXT STEPS

Based on extensive public outreach and formal poll results that indicate community interest in pursuing a sales tax increase, Council should consider direction to staff to initiate the procedural steps to place a sales tax measure on the November 2015 ballot. If Council directs staff to proceed, staff would return to Council by July 2015 with proposed ballot measure language and a resolution directing the City Clerk and City Attorney to prepare all necessary actions and documents to place a sales tax measure before the voters in the November 2015 election.

PREPARED BY: Nina Johnson, Assistant to the City Administrator

SUBMITTED BY: Paul Casey, City Administrator

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: City Clerk Division, Administrative Services Department

SUBJECT: Vote-By-Mail General Municipal Election, Agreement For Election Services And Direction Regarding Potential Ballot Measures

RECOMMENDATION: That Council:

- A. Authorize the City Clerk to conduct the November 3, 2015 General Municipal Election as a Vote-By-Mail Election;
- B. Authorize the Administrative Services Director to execute a \$135,000 professional services agreement with Martin & Chapman Company for election services necessary concerning the City's November 2015 General Election, and to approve expenditures of up to \$20,250 for extra services that may result from necessary changes in the scope of work;
- C. Direct staff and the City Attorney to return to Council to discuss other potential matters to be placed on the November ballot.

EXECUTIVE SUMMARY:

The proposal for the November 3, 2015 Vote-By-Mail Election includes the following elements:

- Continuing to include return postage to make it easier for voters to return ballots;
- Designating five drop-off center (DOC) locations to be open on Election Day to be located within the three Council districts on the ballot, at City Hall, and in the Upper State/San Roque area in the event of a citywide ballot measure; and
- Extending the days for the City Hall DOC location to be open the week prior to Election Day, including the Saturday before Election Day.

BACKGROUND:

The City has held four stand-alone elections: on November 6, 2007, November 3, 2009, November 8, 2011, and November 5, 2013. The voter turnout, the percentage of ballots returned by mail or in person, and the overall cost for these elections are as follows:

| Election Date | Registered Voters | Ballots Cast | By Mail | In Person | Voter Turnout | Cost |
|------------------|-------------------|--------------|---------|-----------|---------------|-----------|
| November 6, 2007 | 44,165 | 16,364 | 67% | 33% | 37.05% | \$280,000 |
| November 3, 2009 | 46,718 | 23,167 | 78% | 22% | 49.59% | \$240,000 |
| November 8, 2011 | 44,562 | 18,387 | 84% | 16% | 41.26% | \$208,000 |
| November 5, 2013 | 46,106 | 17,659 | 75% | 25% | 38.30% | \$200,400 |

The November 6, 2007 Election, the City's first stand-alone election, was conducted as a traditional poll election to fill three Councilmember seats and decide one ballot measure. The City's final direct election cost totaled approximately \$280,000. The City Council authorized the City Clerk's Office to conduct the November 3, 2009 Election as a vote-by-mail election. The election was conducted to fill the Mayor's seat and three Councilmember seats, and to decide four ballot measures. The City's final direct election cost totaled approximately \$240,000. The City provided voters with prepaid postage envelopes to return their ballots and voters had the option to vote in person at any of the seven designated drop-off centers and on the Saturday before Election Day. The November 8, 2011 Election was also a vote-by-mail election conducted to fill three Councilmember seats with no ballot measures. The total direct cost for that election was approximately \$208,000. Similar to 2009, the City provided voters with prepaid postage envelopes to return their ballots and voters had the option to vote in person at any of the five designated drop-off centers. The centers were also open the Saturday before Election Day. The November 5, 2013 Election was a vote-by-mail election conducted to fill the Mayor's seat and three Councilmember seats, and to decide one ballot measure. The City provided voters with prepaid postage return envelopes and voters had the option to vote in person at any of the four designated drop-off center. The City Hall drop-off center was also open the week prior to Election Day, including Saturday. The total direct cost for that election was \$200,400.

The November 3, 2015 General Municipal Election will be conducted as a by-district election to fill three Councilmember District seats and potentially to decide ballot measures. For informational purposes, attached to this report are the district boundary map that was approved by the City Council on March 30, as well as the legal description of the district boundaries.

DISCUSSION:

Staff recommends that Council approve conducting the 2015 election as a vote-by-mail election, based on the high voter turnout and cost savings in the previous three elections. The plan includes a recommendation to designate five drop-off center locations: one in each of the three Council districts that are on the ballot, one at City Hall, and one at Grace Lutheran Church on upper State Street in the event that there will be ballot measures on the ballot. The City Hall drop-off center location hours would be extended to be open the week prior to Election Day, including Saturday, October 31.

Drop-Off Center Locations

During the 2013 election, the City Hall DOC location received 2,293 ballots during the eight days that it was open, including 1,405 ballots that were received on Election Day alone. This was an increased amount from the 2011 election – in 2011, the City Hall DOC had received 1,454 total and 1,337 on Election Day. Part of this increase might be attributed to the fact that the City Hall DOC was open two additional days in 2013. In 2013, Grace Lutheran Church received 1,032 ballots, Calvary Baptist Church received 493 ballots, and Franklin Neighborhood Center received 478 ballots on Election Day.

For the 2015 election, staff is recommending that three of the drop-off centers be located within the Districts that will be determined to be on the ballot. Pursuant to the Settlement Agreement for *Banales, et al. v. City of Santa Barbara*, Districts 1 and 3 will be two of the districts on the November 2015 ballot. The City Council will be deciding on the third district in June. Staff is recommending that the Franklin Neighborhood Center be designated as the District 1 DOC location and Calvary Baptist Church be designated as the District 3 DOC location. The third location will be determined once the district will be determined, and City Hall will be the fourth location. The abovementioned three locations were all used successfully in the City's 2013 Election.

Additionally, in the event that a citywide ballot measure or measures are placed on the November ballot, staff is recommending a fifth DOC location be added to the list of drop-off centers in order to accommodate voters in the Upper State/San Roque area. The proposed location would be at Grace Lutheran Church, which also served as a successful location in the City's previous elections. If there are no ballot measures placed on the ballot, then this location would not be necessary.

The drop-off center hours for all DOC locations would be 7:00 a.m. – 8:00 p.m. on Election Day.

City Hall Drop-Off Center Extended Days

In 2011 and 2013, additional poll workers were hired to work at the City Hall drop-off center several days prior to the election in order to lessen the amount of foot traffic in the City Clerk's Office and increase accessibility to voters. This process was very successful. It allowed staff to manage the daily envelope processing in the Clerk's Office with fewer outside interruptions, while still maintaining accessibility to voters.

For 2015, staff recommends that this process be continued and that the City Hall drop-off center be opened:

- Tuesday, October 27 through Friday, October 30, during regular business hours;
- Saturday, October 31, from 9:00 a.m. to 5:00 p.m.
- Monday, November 2, during regular business hours;
- Election Day, Tuesday, November 3, from 7:00 a.m. to 8:00 p.m.

Election Consultant

Martin & Chapman Company and specializes in and have provided comprehensive election services to local municipalities, including the City of Santa Barbara. Martin & Chapman Company, located in Anaheim, California, was established in 1956 and provides election supplies, services and consultation to over 400 cities, counties and associations in the states of California and Nevada. Martin & Chapman satisfactorily provided stand-alone election services to the City in 2007, 2009, 2011 and 2013. Additionally, the Company has provided limited consultant services to the City of Santa Barbara related to ballot measures, initiatives and elections for the past 20 years. Martin & Chapman serves as the primary election consultant for cities in the California counties of Ventura, Santa Barbara, San Luis Obispo, Los Angeles, Orange, San Bernardino, Riverside, San Diego, and Imperial, and has extensive experience in assisting cities that conduct by-district elections.

Martin & Chapman will provide the following supplies and services to the City:

- Nomination, mail ballot and precinct supplies;
- Voter identification reports;
- Vote by mail tracking program;
- Sample ballot/voter information pamphlets;
- Official ballots and supplies;
- Ballot counting, including equipment, and election night supplies;
- Translation services; and
- Qualified staff member availability by telephone or in person.

In addition to the abovementioned agreement with Martin & Chapman Co., the City Clerk's office is anticipating incurring approximately \$34,000 in costs in order to contract with Donna M. Grindey, who will be providing on-site professional services for the election. Ms. Grindey has satisfactorily previously provided stand-alone election consultant services to the City of Santa Barbara in 2007, 2009, 2011 and 2013.

Next Steps

The election work plan calls for staff to return to Council in mid-June requesting Council to adopt the necessary resolutions to schedule the City's general municipal election:

- Calling for the election,
- Authorizing a Vote-By-Mail election (if approved),
- Adopting regulations pertaining to candidates' statements,
- Directing the City Attorney to prepare an impartial analysis of any ballot measures or Charter Amendments, and
- Setting priorities for filing a written argument regarding ballot measures or Charter Amendments.

BUDGET/FINANCIAL INFORMATION:

The direct cost of a vote-by-mail election is budgeted to be between \$200,000 - 300,000. The cost of a traditional poll election is estimated at \$337,000. The Administrative Services Department Fiscal Year 2016 budget request includes \$300,000 from the General Fund for the 2015 General Municipal Election.

SUSTAINABILITY:

It is anticipated that a vote-by-mail election will reduce the number of potential vehicle trips made by voters and poll workers who would normally drive to the polls. This supports the City's sustainability goals to protect the environment.

PREPARED BY: Gwen Peirce, City Clerk Services Manager

SUBMITTED BY: Kristine Schmidt, Administrative Services Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: City Clerk's Office, Administrative Services Department

SUBJECT: Interviews For City Advisory Groups

RECOMMENDATION: That Council:

- A. Hold interviews of applicants to various City Advisory Groups; and
- B. Continue interviews of applicants to June 2, 2015, and June 9, 2015.

DISCUSSION:

Interviews of applicants for various positions on City Advisory Groups are to be held on May 19, 2015, at an estimated time of 4:00 p.m. Applicants will also have the option to be interviewed on June 2, 2015, at 6:00 p.m. and June 9, 2015, at an estimated time of 4:00 p.m.

For the current 40 vacancies, 83 individuals submitted 92 applications. A list of eligible applicants and pertinent information about the City Advisory Groups is attached to this report.

Applicants have been notified that to be considered for appointment they must be interviewed. Applicants have been requested to prepare a 2-3 minute verbal presentation, in response to a set of questions specific to the group for which they are applying. Applicants applying to more than one advisory group may have up to 5 minutes for their presentation.

Applicants for the Santa Barbara Youth Council were notified that they must also appear for an interview before the Youth Council. They had the option to appear on Friday, May 8, 2015, at 4:30 p.m. at the Louise Lowry Davis Center or on Monday, May 11, 2015, at 4:00 p.m. at City Hall in Council Chambers.

Appointments are scheduled to take place on June 23, 2015.

ATTACHMENT: List of Applicants

PREPARED BY: Deborah L. Applegate, Deputy City Clerk

SUBMITTED BY: Kristy Schmidt, Administrative Services Director

APPROVED BY: City Administrator's Office

ACCESS ADVISORY COMMITTEE

- One vacancy.
- Term Expiration:
 - One term: December 31, 2017
- Qualifications/Category: Resident of the City or a full-time employee of an entity doing business within the City who demonstrates an interest, experience, and commitment to issues pertaining to disability and access.
 - One representative from the Disability Community.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|-----------------------------------|------------------------|--|---|-------|
| <i>Disability Community (1)</i> | Robert Burnham | 6/24/2014 12/16/2008 | | |
| | Jacob Lesner-Buxton | | | |

COMMUNITY DEVELOPMENT AND HUMAN SERVICES COMMITTEE

- Four vacancies.
- Term Expirations:
 - One term expires December 31, 2016 (Disabled Community)
 - One term expires December 31, 2016 (Downtown Neighborhood)
 - One term expires December 31, 2017 (African American Community)
 - One term expires December 31, 2018 (Westside Neighborhood)
- Must be residents or employees of the designated organizations, but need not be qualified electors of the City, and must represent one of the specified categories or organizations. One representative from each:

| | | |
|-------------------------|-------------------------|------------------------------|
| ➤ Downtown Neighborhood | ➤ Westside Neighborhood | ➤ African American Community |
| | ➤ Disabled Community | |
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--|----------------------------|---|--|-------|
| <i>Disabled Community (1)</i> | Charlotte A. Gullap-Moore* | | 1) CDHSC 2) Measure P | |
| | Amy Winslow | | | |
| <i>Downtown Neighborhood (1)</i> | Danah Williams* | | | |
| <i>African American Community (1)</i> | Charlotte A. Gullap-Moore* | | 1) CDHSC 2) Measure P | |
| | Zahra Nahar-Moore* | | | |
| | Danah Williams* | | | |
| <i>Westside Neighborhood (1)</i> | Zahra Nahar-Moore* | | | |
| | Alejandra Gutierrez | | 1) Fire and Police Commission 2) CDHSC | |

*Eligible for more than one category.

COMMUNITY EVENTS & FESTIVALS COMMITTEE

- Two vacancies.
- Term Expirations:
 - Two terms expire December 31, 2015 (Business/Lodging/Retail Industry)
- Qualifications/Category:
 - Two representatives from the Business/Lodging/Retail Industry.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--|--------------|--|---|-------|
| <i>Business/Lodging/ Retail Industry (2)</i> | Barry Dorsey | | | |

DOWNTOWN PARKING COMMITTEE

- One vacancy.
- Term Expiration:
 - December 31, 2015
- Qualifications/Category:
 - Appointee shall demonstrate an interest and knowledge of downtown parking issues and must be a resident of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|-----------------------------------|------------------|--|---|-------|
| <i>Resident of the City (1)</i> | Ed France | | | |
| | James F. Scafide | | | |
| | Ethan Shenkman | | | |

FIRE AND POLICE COMMISSION

- Two vacancies.
- Term Expirations:
 - One term expires, December 31, 2016.
 - One term expires, December 31, 2018.
- Qualifications/Category:
 - Qualified elector of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--|--------------------------------------|---|--|-------|
| <i>Qualified Electors of the City (2)</i> | Jeannie Daniel | | | |
| | Alejandra Gutierrez | | 1) Fire and Police Commission 2) CDHSC | |
| | Rigoberto Gutierrez | | | |
| | Robert Mercado | | 1) Water Commission 2) Fire and Police Commission | |
| | Kathleen (Missy) McSweeney- Zeitsoff | | 1) Fire and Police Commission 2) Neighborhood Advisory Council | |

FIRE AND POLICE PENSION COMMISSION

- Three vacancies.
- Term Expirations:
 - One term expires December 31, 2017 (Active/Retired Police Officer)
 - Two terms expire December 31, 2018 (Qualified Electors)
- Qualifications/Categories:
 - Two qualified electors of the City who are not an active fire fighters or police officers.
 - One active or retired police officer who is a member of the Fire and Police Pension System who need not be a resident or elector of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--|-------------|---|--|-------|
| <i>Qualified Electors (2)</i> | Scott Tracy | 12/07/2010 12/16/2008 | | |
| <i>Active or Retired Police Officer Who is a Member of the Fire and Police Pension System (1)</i> | | | | |

HOUSING AUTHORITY COMMISSION

- One vacancy.
- Term Expiration:
 - August 6, 2019
- Qualifications/Categories:
 - Qualified elector of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|---|--------------|--|---|-------|
| <i>Qualified Elector – Public at Large (1)</i> | David Hughes | 6/28/2011 7/03/2007 | | |
| | Brian So | | 1) RHMTF 2) HAC | |

LIBRARY BOARD

- One vacancy.
- Term Expiration:
 - December 31, 2018
- Qualifications/Categories:
 - Qualified elector of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--------------------------------------|----------------|--|---|-------|
| <i>Qualified Elector (1)</i> | Will Tomlinson | | | |

LIVING WAGE ADVISORY COMMITTEE

- Four vacancies.
- Term Expirations:
 - June 30, 2016 (Employee of Local Santa Barbara Area Non-Profit Entity)
 - June 30, 2017 (Nominee of a Local Living Wage Advocacy)
 - June 30, 2018 (Qualified Elector)
 - June 30, 2019 (Owner/Manager of a Service Contractor)
- Qualifications/Categories:
 - One member from the public at large who shall be a qualified elector of the City.
 - One member of the Committee shall be employed by a local Santa Barbara area non-profit entity.
 - One member shall be a owner/manager of a service contractor subject to the City's Living Wage Ordinance.
 - One member shall be a Nominee of a Local Living Wage Advocacy Group.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|---|-------------------|--|---|-------|
| <i>Member of the Public at Large – Qualified Elector of the City (1)</i> | Gregory Freeland | | | |
| | Mario Quezada | | | |
| | Jarrold Schwartz* | | | |
| <i>Employed by a Local Santa Barbara Area Non-Profit Entity (1)</i> | Jarrold Schwartz* | | | |
| <i>Owner/Manager of a Service Contractor Subject to the City's Living Wage Ordinance (1)</i> | | | | |
| <i>Nominee of a Local Living Wage Advocacy Group (1)</i> | Anna Kokotovic | yes | | |

*Eligible for more than one category.

MEASURE P COMMITTEE

- Four vacancies.
- Term Expirations:
 - One term expires December 31, 2016 (Criminal Defense Attorney)
 - One term expires December 31, 2017 (Medical Professional)
 - One term expires December 31, 2018 (Resident of the City)
 - One term expires December 31, 2018 (Drug Abuse, Treatment & Prevention Counselor)
- Qualifications/Categories:
 - Criminal Defense Attorney
 - Medical Professional
 - Resident of the City
 - Drug Abuse, Treatment & Prevention Counselor
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1st, 2nd, 3rd) | Notes |
|---|---------------------------|---|--|--------------|
| <i>Criminal Defense Attorney (1)</i> | | | | |
| <i>Medical Professional (1)</i> | Charlotte A. Gullap-Moore | | 1) CDHSC 2) Measure P | |
| <i>Resident of the City (1)</i> | | | | |
| <i>Drug Abuse, Treatment & Prevention Counselor (1)</i> | | | | |

NEIGHBORHOOD ADVISORY COUNCIL

- One vacancy.
- Term Expirations:
 - One term expires December 31, 2018
- Qualifications/Categories:
 - Public at Large

- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|-----------------------------------|---|--|---|-------|
| <i>Public at Large (1)</i> | Amy I. Dunphy | | | |
| | Kathleen (Missy) McSweeney Zeitsoff | | 1) Fire and Police Commission 2) Neighborhood Advisory Council | |

PARKS AND RECREATION COMMISSION

- One vacancy.
- Term Expiration:
 - One term expires December 31, 2017
- Qualifications/Categories:
 - Qualified electors of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--|------------|---|--|-------|
| <i>Qualified Elector of the City (1)</i> | Ed Cavazos | | | |

RENTAL HOUSING MEDIATION TASK FORCE

- One vacancy.
- Term Expiration:
 - One term: December 16, 2016 (Tenant)
- Qualifications/Categories: Non-City members must be affiliated with a landlord tenant organization within City limits.
 - One Tenant (City or County)
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|------------------------------------|-----------------|---|--|-------|
| <i>Tenant - City or County (1)</i> | Brian So - City | | 1) RHMTF 2) HAC | |

SANTA BARBARA YOUTH COUNCIL

- Twelve vacancies.
- Term Expirations:
 - Two terms expire June 30, 2016, (Local Alternative, Community, or Continuation HS)
 - Two terms expire June 30, 2017, (Dos Pueblos High School)
 - Three terms expire June 30, 2017, (Santa Barbara High School)
 - Two terms expires June 30, 2017, (San Marcos High School)
 - Two terms expire June 30, 2017, (Local Private High School)
 - One term expires June 30, 2017, (Member of the Public)
- Qualifications/Categories: Members must be between the ages of 13-19 years.
 - Two members from local alternative, community, or continuation high school (City or County).
 - Two members from Dos Pueblos High School (City or County).
 - Three members from Santa Barbara High School (City or County).
 - Two members from San Marcos High School (City or County).
 - Two members from a local private High School (City or County).
 - One member may be a Member at Large (City or County).
 - *Of the 15 members, 8 must be residents of the City of Santa Barbara – in current recruitment, 5 must be residents of the City.
 - *Applicants must appear for an interview before the Santa Barbara Youth Council and City Council.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--|---|---|---|-------|
| <i>Members From Local Alternative, Community, or Continuation High School (2)</i> | Allison Mariche – City (La Cuesta H. S.) | | | |
| | Manny Rea – County (La Cuesta H. S.) | | | |
| <i>Dos Pueblos High School (3)</i> | Ryan Daniel - City | | | |
| | Cindy Diaz - County | | | |
| | Alexandra Gonzalez-Edgar - County | | | |
| | Nathaniel Getachew - County | | | |
| | Amanda Hagen - County | | | |
| | Areli Lopez - County | | | |
| | Michelle Qin - County | | | |
| | Sophia Qin - County | | | |
| <i>Santa Barbara High School (3)</i> | Karim Cortez - City | | | |
| | Valerie Jaimes - County | | | |
| | Anais Amelia Jimenez - City | | | |
| | Porter Rees - City | | | |
| | Wilson Sherman - City | | | |
| | Jensen Steady - City | | | |
| | Alyssa Talaugon - City | | | |
| | Charles Thrift - City | | | |

| | | | | |
|-----------------------------------|---------------------------|-----------|--|--|
| | Calvin Thrift - City | | | |
| | Tatum Vestal - County | | | |
| San Marcos High School (1) | Kevin Acuna - City | | | |
| | Sophia Bordofsky - City | | | |
| | Ava Castanha - City | | | |
| | Ari Chittick - City | 6/25/2013 | | |
| | Cate Clancy - City | | | |
| | Miles Cole - County | | | |
| | Kendra Dayton - City | | | |
| | David Dinklage - County | | | |
| | Kadin Donohoe - County | | | |
| | Ryan Fay - City | | | |
| | Adam Fuller - City | | | |
| | Sam Fuller - City | | | |
| | Grace Ingram - County | | | |
| | Zoe Ise - County | | | |
| | Layla Landeros - County | | | |
| | Kento Perera - City | | | |
| | Bennett Reichard - County | | | |
| | Amanda Roberts - County | | | |
| | Amanda Schwartz - City | | | |
| | Ben Spievak - City | | | |

| | | | | |
|--------------------------------------|--|--|--|--|
| | Giulia Tasca - City | | | |
| | Daniella Trisler - City | | | |
| | Zachary Wells - County | | | |
| Local Private High School (1) | Adrien Abbud – City (Providence Hall) | | | |
| | Veronika Everson – County (Providence Hall) | | | |
| | Ali Mikles – County (Bishop Diego H.S.) | | | |
| | Ty Trosky – County (Laguna Blanca H. S.) | | | |
| | Garrett Woodward – County (Bishop Diego H.S.) | | | |
| Member at Large (1) | **Any of the above referenced students | | | |

SISTER CITIES BOARD

- One vacancy.
- Term Expiration:
 - One term expires December 31, 2016 (City).
- Qualifications/Categories:
 - One representative must be a resident of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|---------------------------------------|----------------|---|--|-------|
| <i>Representative of the City (1)</i> | Denise Lu | | | |
| | Beatriz Molina | | | |

TRANSPORTATION AND CIRCULATION COMMITTEE

- One vacancy.
- Term Expiration:
 - One term expires December 31, 2018
- Qualifications/Categories:
 - Member must be qualified electors of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|---|-----------------|--|---|-------|
| <i>Residents of the City or Qualified Elector (1)</i> | Peter Glatz | | | |
| | E. Howard Green | | | |

WATER COMMISSION

- Two vacancies.
- Term Expirations:
 - One term expires June 30, 2015.
 - One term expires December 31, 2016.
- Qualifications/Categories:
 - Qualified elector of the City.
- Appointees may not hold any full-time paid office or employment in City government.

| CATEGORY (Number of Vacancies) | APPLICANT | Incumbent Appt. Dates (Years Served) | Applicant's Preference (1 st , 2 nd , 3 rd) | Notes |
|--------------------------------------|-----------------|--|---|-------|
| <i>Qualified Elector (2)</i> | Dave Davis | | | |
| | Ken Goodenough | | | |
| | Mike Jordan | | | |
| | John C. Jostes | | | |
| | David Landecker | | | |
| | Robert Mercado | | 1) Water Commission 2) Fire and Police Commission | |
| | Jordan Sager | | | |
| | John Ummel | | | |



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: Fire Prevention Division, Fire Department

SUBJECT: Renewal Of Levy For Fiscal Year 2016 For The Wildland Fire Suppression Assessment District

RECOMMENDATION:

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Declaring Its Intention to Continue Vegetation Road Clearance, Implementation of a Defensible Space Inspection and Assistance Program, and Implementation of a Vegetation Management Program Within the Foothill and Extreme Foothill Zones; Declaring the Work to be of More Than General or Ordinary Benefit and Describing the District to be Assessed to Pay the Costs and Expenses Thereof; Approving the Engineer's Report; Confirming Diagram and Assessment; and Ordering Continuation of the Wildland Fire Suppression Assessment District for Fiscal Year 2016.

DISCUSSION:

On July 11, 2006, the City Council adopted Resolution 06-064 which declared the Council's intention to order expansion of vegetation road clearance, implementation of a defensible space inspection and assistance program, and implementation of a vegetation management program within the Foothill and Extreme Foothill Zones. The Resolution described the special benefit to be assessed and approved an Engineer's Report, confirmed the diagram and assessment, and ordered levy of the Wildland Fire Suppression Assessment District for Fiscal Year 2007. As required by the Resolution, the Assessment must be renewed annually by the Council. The City has renewed the Wildland Fire Suppression Assessment for the past eight years.

Assessment funds continue to reduce the risk and severity of wildland fires through the reduction of flammable vegetation. The assessment provides three primary services:

Vegetation Road Clearance: Each year the assessment provides approximately 14 miles of road clearance in the Foothill and Extreme Foothill Zones. The frequency is such that most roads in the District are cleared of impeding vegetation every three years. Clearing vegetation from the roadways is required of property owners by law and allows for safer egress of residents and ingress of first responders during an emergency. Last year we

stated the Fire Department intention to clear the majority of roadways in the Foothill and Extreme Foothill zones, in part due to acute drought conditions. We accomplished that goal in Fiscal Year 2015, enhancing evacuation routes throughout the district. In Fiscal Year 2016 the Fire Department will return to the three year cycle by conducting road clearance on 14 miles of the District's roads.

Defensible Space Inspection and Assistance: This element of the assessment provides assistance to property owners in creating defensible space around their homes. Defensible space is a key element in preventing the ignition of homes during a wildfire by reducing the exposure of the home to burning vegetation. Defensible space assistance will again involve scores of site visits to assist homeowners. In addition, the assessment provides chipping services to residents of the District after the vegetation has been cut. Chipping services provides a cost effective way for homeowners to dispose of cut material. The chipped vegetation may be reused as a ground cover in landscaping. As of this report the Fire Department has chipped 58 tons of material and by the end of the chipping season in mid-June, the Fire Department will have chipped approximately 250 tons of material for district properties.

Vegetation Management: Vegetation management is the selective removal of flammable vegetation in open land outside of property owner's defensible space. The goal is to lessen the severity of a fire, in the event that one occurs, by depriving the fire of a large amount of fuel. This is accomplished by preferentially removing exotic plants, thinning, pruning and limbing vegetation to remove fire ladders, limbing up the canopy and pruning out dead material. Vegetation management retains the overall look of wildland areas and minimizes impacts to natural resources while reducing the amount of flammable vegetation. Vegetation management was successfully completed on 13 acres in Fiscal Year 2015. These projects require staff to strengthen the public-private relationship by working with multiple, individual property owners and contract crews to link individual parcels across larger areas of adjacent land. Working in cooperation with multiple property owners, there is a greater impact on reducing the community threat from wildfire. In addition to vegetation removal, this project also accomplished education, protection of natural resources unique to the area and outlined individual maintenance programs. The project areas are identified in the Wildland Fire Plan.

ANNUAL LEVY:

The Wildland Fire Assessment may be annually increased by the Consumer Price Index (CPI) in an amount not to exceed 4% per year. In adjusting for the Consumer Price Index, the allowable increase is calculated using the CPI from the past year plus any deferred increases from previous years. For Fiscal Year 2016, staff and the Assessment Engineer propose a CPI increase of 0.72%. The rate for Fiscal Year 2016 as suggested in the Engineer's Report will therefore be set at \$76.27 per single family home in the Foothill Zone and \$94.57 per single family home in the Extreme Foothill Zone. The total revenues from the assessment will be \$252,046.

The Fiscal Year 2015 rates were \$75.72 and \$93.89 respectively, for a total assessment of \$248,907. The increase for Fiscal Year 2016 will allow us to continue to provide the same level of service in all three areas

As required in Resolution 06-064, an updated Engineer's Report has been prepared and includes the proposed budget and assessment rate. The updated Engineer's Report must be considered by the City Council at a noticed public hearing and serves as the basis for the continuation of the assessments. The updated Engineer's Report is available for review at Fire Department Administration, 925 Chapala Street and the City Clerk's Office at City Hall at 735 Anacapa Street.

Hearing

On May 5, 2015, the Council adopted a resolution to declare its intent to renew the Wildland Fire Suppression Assessment District within the Foothill and Extreme Foothill Zones and to set a time of 2:00 p.m. on Tuesday, May 19, 2015, in the City Council Chambers for a public hearing on the Wildland Fire Suppression Assessment District. Staff recommends that the Wildland Fire Suppression Assessment District be continued for Fiscal Year 2016 to fund and deliver these successful mitigation programs.

BUDGET/FINANCIAL INFORMATION:

The estimated \$252,046 cost of providing services in Fiscal Year 2016 is recovered through the resident-approved Wildland Fire Suppression Assessment levied on the annual property tax bills of property owners within the Assessment district boundaries. Both the cost of providing the services and the assessment district revenue have been included in the recommended Wildland Fire Assessment District Fund budget for Fiscal Year 2016. No additional budget appropriations are necessary.

SUSTAINABILITY IMPACT:

Vegetation removed through vegetation road clearance and the defensible space chipping assistance program is chipped and spread back on to the ground or in areas of local parks where feasible. The goal is reuse at least 80% of all chipped material locally avoiding the cost of disposal fees, extra vehicle trips and landfill use. Non-native pest plants are not chipped, but rather hauled off-site to be disposed of properly. In Fiscal Year 2015 we exceeded that goal, achieving 99% reuse.

PREPARED BY: Joseph Poiré, Fire Marshal

SUBMITTED BY: Patrick McElroy, Fire Chief

APPROVED BY: City Administrator's Office

RESOLUTION NO. 15_____

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA DECLARING ITS INTENTION TO CONTINUE VEGETATION ROAD CLEARANCE, IMPLEMENTATION OF A DEFENSIBLE SPACE INSPECTION AND ASSISTANCE PROGRAM, AND IMPLEMENTATION OF A VEGETATION MANAGEMENT PROGRAM WITHIN THE FOOTHILL AND EXTREME FOOTHILL ZONES; DECLARING THE WORK TO BE OF MORE THAN GENERAL OR ORDINARY BENEFIT AND DESCRIBING THE DISTRICT TO BE ASSESSED TO PAY THE COSTS AND EXPENSES THEREOF; APPROVING THE ENGINEER'S REPORT, CONFIRMING DIAGRAM AND ASSESSMENT, AND ORDERING CONTINUATION OF THE WILDLAND FIRE SUPPRESSION ASSESSMENT DISTRICT; FOR FISCAL YEAR 2015-16

WHEREAS, on July 11, 2006, by its Resolution No. 06-064, after receiving a weighted majority of ballots in support of the proposed assessment, this Council ordered the formation of and levied the first assessment within the City of Santa Barbara Wildland Fire Suppression Assessment, pursuant to the authority provided in California Government Code Section 50078 et seq. and Article XIID of the California Constitution,

WHEREAS, although the methodology by which the assessments are applied to properties in the District does not change from year to year, a new Engineer's Report is prepared each year in order to establish the CPI adjustment for that year; the new maximum authorized assessment rate for that year; the budget for that year; and the amount to be charged to each parcel in the District that year, subject to that year's assessment rate and any changes in the attributes of the properties in the District, including but not limited to use changes, parcel subdivisions, and/or parcel consolidations;

WHEREAS, it is the intention of this Council to continue to levy and collect assessments for the Wildland Fire Suppression Assessment for Fiscal Year 2015-16. Within the Assessment District, the proposed services to be funded by the assessments ("Services") are generally described as including but not limited to, the following: (1) continuation of the vegetation road clearance program to cover all public roads within the Foothill and Extreme Foothill Zones, continuing this program will reduce fuel, enhance evacuation routes, and decrease fire response times; (2) enhancing the defensible space fire prevention inspection and assistance program for all properties in the Foothill and Extreme Foothill Zones; and (3) implementation of a vegetation management program in the Foothill and Extreme Foothill Zones. As applied herein, "vegetation road clearance" means the treatment, clearing, reducing, or changing of vegetation near roadways in the Foothill and Extreme Foothill Zones where vegetation poses a fire hazard and does not meet Fire Department Vegetation Road Clearance Standards within the high fire hazard area (As provided in Santa Barbara Municipal Code Section 8.04.020.M). "Defensible space" is a perimeter created around a structure where vegetation is treated,

cleared or reduced to slow the spread of wildfire towards a structure, reduce the chance of a structure fire burning to the surrounding area, and provides a safe perimeter for firefighters to protect a structure (As provided in Chapter 49 of the California Fire Code, as adopted by the City of Santa Barbara pursuant to Santa Barbara Municipal Code Section 8.04). "Vegetation management" means the reduction of fire hazard through public education, vegetation hazard reduction, and other methods as needed to manage vegetation in areas with unique hazards such as heavy, flammable vegetation, lack of access due to topography and roads, and/or firefighter safety;

WHEREAS, by Resolution No. 15-031 the City Council preliminarily approved the Engineer's Report for said District and set a date for a Public Hearing;

WHEREAS, the Public Hearing was held on May 19, 2015;

WHEREAS, said report was duly made and filed with the City Clerk and duly considered by this Council and found to be sufficient in every particular, whereupon it was determined that the report should stand as the Engineer's Report for all subsequent proceedings under and pursuant to the aforesaid resolution, and that May 19, 2015, at the hour of 2:00 p.m. in the Council Chambers, City Hall, 735 Anacapa Street, Santa Barbara, were appointed as the time and place for a hearing by this Council on the question of the levy of the proposed assessment, notice of which hearing was given as required by law; and

WHEREAS, at the appointed time and place the hearing was duly and regularly held, and all persons interested and desiring to be heard were given an opportunity to be heard, and all matters and things pertaining to the levy were fully heard and considered by the Council, and all oral statements and all written protests or communications were duly heard, considered and overruled, and this council thereby acquired jurisdiction to order the levy and the confirmation of the diagram and assessment prepared by and made a part of the Engineer's Report to pay the costs and expenses thereof.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES HEREBY RESOLVE AS FOLLOWS:

SECTION 1. The public interest, convenience and necessity require that the levy be made.

SECTION 2. The Assessment District benefited by the fire suppression services and assessed to pay the costs and expenses thereof, and the exterior boundaries thereof, are as shown by a map thereof filed in the office of the City Clerk, which map is made a part hereof by reference thereto.

SECTION 3. The Engineer's Report as a whole and each part thereof, to wit:

- (a) the Engineer's estimate of the itemized and total costs and expenses of the fire suppression services and of the incidental expenses in connection therewith;

(b) the diagram showing the assessment district, plans and specifications for the fire suppression services and the boundaries and dimensions of the respective lots and parcels of land within the Assessment District; and

(c) the assessment of the total amount of the cost and expenses of the proposed fire suppression services upon the several lots and parcels of land in the Assessment District in proportion to the estimated special benefits to be received by such lots and parcels, respectively, from the maintenance, and of the expenses incidental thereto; are finally approved and confirmed.

SECTION 4. Final adoption and approval of the Engineer's Report as a whole, and of the plans and specifications, estimate of the costs and expenses, the diagram and the assessment, as contained in the report as hereinabove determined and ordered, is intended to and shall refer and apply to the report, or any portion thereof as amended, modified, or revised or corrected by, or pursuant to and in accordance with, any resolution or order, if any, heretofore duly adopted or made by this Council.

SECTION 5. The assessments for fiscal year 2015-16 shall be levied at the rate of SEVENTY SIX DOLLARS AND TWENTY-SEVEN CENTS (\$76.27) per single-family equivalent benefit unit in the Foothill Zone and NINETY FOUR DOLLARS AND FIFTY-SEVEN CENTS (\$94.57) in the Extreme Foothill Zone for fiscal year 2015-16 per single family equivalent benefit. The estimated fiscal year 2015-16 cost of providing the Services is \$252,046.

SECTION 6. The assessment to pay the costs and expenses of the fire suppression services for fiscal year 2015-16 is hereby levied.

SECTION 7. Based on the oral and documentary evidence, including the Engineer's Report, offered and received at the hearing, this Council expressly finds and determines (a) that each of the several lots and parcels of land will be specially benefited by the fire suppression services at least in the amount if not more than the amount, of the assessment apportioned against the lots and parcels of land, respectively, and (b) that there is substantial evidence to support, and the weight of the evidence preponderates in favor of, the aforesaid finding and determination as to special benefits.

SECTION 8. Immediately upon the adoption of this resolution, but in no event later than the third Monday in August following such adoption, the City Clerk shall file a certified copy of the diagram and assessment and a certified copy of this resolution with the Auditor of the County of Santa Barbara. Upon such filing, the County Auditor shall enter on the County assessment roll opposite each lot or parcel of land the amount of assessment thereupon as shown in the assessment. The assessments shall be collected at the same time and in the same manner as County taxes are collected and all laws providing for the collection and enforcement of County taxes shall apply to the collection and enforcement of the assessments, After collection by the County, the net amount of the assessments, after deduction of any compensation due the County for collection, shall be paid to the City of Santa Barbara Wildland Fire Suppression Assessment District.

SECTION 9. Upon receipt of the moneys representing assessments collected by the County,

the County shall deposit the moneys in the City Treasury to the credit of the improvement fund previously established under the distinctive designation of the Assessment District. Moneys in the improvement fund shall be expended only for the maintenance, servicing, construction or installation of the fire suppression services.

SECTION 10. The City Clerk shall certify to the adoption of this resolution and shall cause a certified resolution to be filed in the book of original resolutions.



CITY OF SANTA BARBARA
WILDLAND FIRE SUPPRESSION ASSESSMENT

PRELIMINARY ENGINEER'S REPORT

MAY 2015

PURSUANT TO CALIFORNIA GOVERNMENT CODE SECTION 50078 *ET SEQ.*, AND
ARTICLE XIII D OF THE CALIFORNIA CONSTITUTION

ENGINEER OF WORK:

SCI Consulting Group
4745 MANGELS BOULEVARD
FAIRFIELD, CALIFORNIA 94534
PHONE 707.430.4300
FAX 707.430.4319
WWW.SCI-CG.COM

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CITY OF SANTA BARBARA

CITY COUNCIL

Helene Schneider, Mayor
Gregg Hart, Mayor Pro Tempore
Dale Francisco, Councilmember
Frank Hotchkiss, Councilmember
Cathy Murillo, Councilmember
Randy Rowse, Councilmember
Harwood "Bendy" White, Councilmember

FIRE PREVENTION BUREAU

Patrick McElroy, Fire Chief
Joe Poire, Fire Marshal
Chris Braden, Fire Services Specialist

CITY ATTORNEY'S OFFICE

Ariel Pierre Calonne, City Attorney
Sarah Knecht, Assistant City Attorney

ENGINEER OF WORK

SCI Consulting Group

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INTRODUCTION

The City of Santa Barbara is located about 100 miles northwest of Los Angeles, largely on the slopes between the Pacific Ocean and the Santa Ynez Mountains. The City of Santa Barbara provides fire services throughout the City limits. Fire services include fire suppression, protection, prevention, evacuation planning, and education.

Due to topography, location, climate and infrastructure, the Santa Barbara community has a relatively high inherent risk of wildland fires. Listed below are some of the major wildland fires that have occurred in Santa Barbara County since 1970:

FIGURE 1 – WILDLAND FIRE HISTORY IN SANTA BARBARA COUNTY

| Year | Fire Name | Acres | Homes Lost |
|------|----------------------|---------|------------|
| 1971 | Romero Canyon Fire | 14,538 | 4 |
| 1977 | Sycamore Canyon Fire | 805 | 234 |
| 1977 | Hondo Canyon Fire | 10,000 | 0 |
| 1979 | Eagle Canyon Fire | 4,530 | 5 |
| 1990 | Painted Cave Fire | 4,900 | 524 |
| 1993 | Marre Fire | 43,864 | 0 |
| 2002 | Sudden Fire | 7,160 | 0 |
| 2004 | Gaviota Fire | 7,440 | 1 |
| 2007 | Zaca Fire | 240,207 | 0 |
| 2008 | Gap Fire | 9,443 | 0 |
| 2008 | Tea Fire | 1,940 | 210 |
| 2009 | Jesusita Fire | 8,733 | 80 |

In response to the considerable wildland fire risk in the area, the City of Santa Barbara Fire Department prepared a Wildland Fire Plan in January, 2004, in which it identified four High Fire Hazard Zones: The Coastal Zone, the Coastal Interior Zone, the Foothill Zone, and the Extreme Foothill Zone. The two Zones with the highest wildland fire risk are the Foothill and Extreme Foothill Zones (the “Zones”), and these are the Zones that are included in this assessment.

These Zones are at a high risk of wildland fires due to the following factors:

- **Climate.** The climate consists of cool, moist winters and hot, dry summers. The low humidity and high summer temperatures increase the likelihood that a spark will ignite a fire in the area, and that the fire will spread rapidly.
- **Topography.** Periodic wind conditions known as “Sundowner” and “Santa Ana” winds interact with the steep slopes in the Santa Ynez Mountains and the ocean influence, resulting in an increase in the speed of the wind to severe levels. These two types of wind conditions increase the likelihood that fires will advance

downslope towards the Foothill and Extreme Foothill Zones. In addition, these winds can greatly increase the rate at which a fire will spread.

- **Chaparral.** Much of the undeveloped landscape is covered with chaparral. Chaparral sheds woody, dead, and organic materials rich in flammable oils, which accumulate over time. Areas covered with chaparral typically experience wildland fires which burn the accumulated plant materials, and renew the chaparral for its next cycle of growth. Therefore, areas of chaparral which are not thinned, and from which the dead plant materials are not removed or burned off in prescribed fires, provide ample opportunities for wildland fires to occur and to spread.
- **Road Systems.** Many of the roads in the Foothill and Extreme Foothill Zones do not meet current Fire Department access and vegetation road clearance standards, and many are made even more narrow due to the encroachment of vegetation. A number of the bridges have weight requirements that are below Fire Department weight standards. In addition, many driveways are long and steep, posing a safety hazard. All of these factors make it more difficult and more hazardous for the Fire Department to provide fire suppression services in these areas.
- **Water Supply.** In the Extreme Foothill Zone, the City water supply is limited in some areas, and not available in others. These factors increase the risks associated with fires, due to the reduced availability of water to fight any fires that occur.
- **Fire Response Time.** Much of the Extreme Foothill Zone, and some of the Foothill Zone, is outside the City's 4 minute Fire Department response time. As a result, fires in these areas may have more time to spread and to increase in severity before fire suppression equipment can reach them.
- **Proximity to the Los Padres National Forest.** The Los Padres National Forest (LPNF) is a large forest to the north of the Foothill and Extreme Foothill zones. The LPNF provides a great deal of potential fuel for any wildland fire in the area. Wildland fires that start in the LPNF have the potential to move south toward the Foothill and Extreme Foothill zones.

This Engineer's Report (the "Report") was prepared to: 1) contain the information required by Government Code Section 50078.4, including a) a description of each lot or parcel of property to be subject to the assessment, b) the amount of the assessment for each lot or parcel for the initial fiscal year, c) the maximum amount of the assessment which may be levied for each lot or parcel during any fiscal year, d) the duration of the assessment, e) the basis of the assessment, f) the schedule of the assessment, and g) a description specifying the requirements for protest and hearing procedures for the assessment pursuant to Section 50078.6; 2) establish a budget to provide services to reduce the severity and damage from wildland fires (the "Services") that will be funded by the 2015-16

assessments; 3) determine the benefits received from the Services by property within the City of Santa Barbara Wildland Fire Suppression Assessment District (the "Assessment District") and; 4) assign a method of assessment apportionment to lots and parcels within the Assessment District. This Report and the assessments have been made pursuant to the California Government Code Section 50078 et. seq. (the "Code") and Article XIID of the California Constitution (the "Article").

In Fiscal Year 2006-07, the City of Santa Barbara City Council (the "Council") by Resolution called for an assessment ballot proceeding and public hearing on the then-proposed establishment of a wildland fire suppression assessment.

On May 5, 2006 a notice of assessment and assessment ballot was mailed to property owners within the proposed Assessment District boundaries. Such notice included a description of the Services to be funded by the proposed assessments, a proposed assessment amount for each parcel owned, and an explanation of the method of voting on the assessments. Each notice also included a postage prepaid ballot on which the property owner could mark his or her approval or disapproval of the proposed assessments as well as affix his or her signature.

After the ballots were mailed to property owners in the Assessment District, the required minimum 45 day time period was provided for the return of the assessment ballots. Following this 45 day time period, a public hearing was held on June 20, 2006 for the purpose of allowing public testimony regarding the proposed assessments. At the public hearing, the public had the opportunity to speak on the issue. After the conclusion of the public input portion of the hearing, the hearing was continued to July 11, 2006 to allow time for the tabulation of ballots.

With the passage of Proposition 218 on November 6, 1996, The Right to Vote on Taxes Act, now Article XIIC and XIID of the California Constitution, the proposed assessments could be levied for fiscal year 2006-07, and continued in future years, only if the ballots submitted in favor of the assessments were greater than the ballots submitted in opposition to the assessments. (Each ballot is weighted by the amount of proposed assessment for the property that it represents).

After the conclusion of the public input portion of the Public Hearing held on June 20, 2006, all valid received ballots were tabulated by the City of Santa Barbara Clerk. At the continued public hearing on July 11, 2006, after the ballots were tabulated, it was determined that the assessment ballots submitted in opposition to the proposed assessments did not exceed the assessment ballots submitted in favor of the assessments (weighted by the proportional financial obligation of the property for which ballots are submitted).

As a result, the Council gained the authority to approve the levy of the assessments for fiscal year 2006-07 and to continue to levy them in future years. The Council took action,

by a Resolution passed on July 31, 2006, to approve the first year levy of the assessments for fiscal year 2006-07.

The authority granted by the ballot proceeding was for a maximum assessment rate of \$65.00 per single family home, increased each subsequent year by the Los Angeles Area Consumer Price Index (CPI) not to exceed 4% per year. In the event that the annual change in the CPI exceeds 4%, any percentage change in excess of 4% can be cumulatively reserved and can be added to the annual change in the CPI for years in which the CPI change is less than 4%.

In each subsequent year for which the assessments will be continued, the Council must preliminarily approve at a public meeting a budget for the upcoming fiscal year's costs and services, an updated annual Engineer's Report, and an updated assessment roll listing all parcels and their proposed assessments for the upcoming fiscal year. A new Engineer's Report is prepared each year in order to establish the CPI adjustment for that year; the new maximum authorized assessment rate for that year; the budget for that year; and the amount to be charged to each parcel in the District that year, subject to that year's assessment rate and any changes in the attributes of the properties in the District, including but not limited to use changes, parcel subdivisions, and/or parcel consolidations. At this meeting, the Council will also call for the publication in a local newspaper of a legal notice of the intent to continue the assessments for the next fiscal year and set the date for the noticed public hearing. At the annual public hearing, members of the public can provide input to the Council prior to the Council's decision on continuing the services and assessments for the next fiscal year.

If the assessments are so confirmed and approved, the levies will be submitted to the Santa Barbara County Auditor/Controller for inclusion on the property tax roll for Fiscal Year 2015-16. The levy and collection of the assessments will continue year-to-year until terminated by the City Council.

If the City Council approves this Engineer's Report for fiscal year 2015-16 and the assessments by Resolution, a notice of assessment levies must be published in a local paper at least 10 days prior to the date of the public hearing. Following the minimum 10-day time period after publishing the notice, a public hearing will be held for the purpose of allowing public testimony about the proposed continuation of the assessments for fiscal year 2015-16.

A Public Hearing is scheduled for May 19, 2015. At this hearing, the Council will consider approval of a resolution confirming the assessments for fiscal year 2015-16. If so confirmed and approved, the assessments will be submitted to the Santa Barbara County Auditor/Controller for inclusion on the property tax rolls for Fiscal Year 2015-16.

The Assessment District is narrowly drawn to include only properties that benefit from the additional fire protection services that are provided by the assessment funds. The

Assessment Diagram included in this report shows the boundaries of the Assessment District.

In 2008 per California Public Resource Code 4201-4204 and Government Code 51175 - 89, the Office of the State Fire Marshal (OSFM) completed an analysis to identify Local Responsibility Area areas of Very High Fire Hazard Severity Zones (VHFHSZ) within the City of Santa Barbara. Discussions between OSFM and the City of Santa Barbara Fire Department were concluded in 2010. As a result additional parcels have been added to the 2004 City of Santa Barbara high fire hazard area, Foothill Zone. These additional parcels are not included in the Wildland Fire Suppression Assessment District at this time, and Services provided to these parcels are not funded from this assessment.

PROPOSITION 218

This assessment was formed consistent with Proposition 218, The Right to Vote on Taxes Act, which was approved by the voters of California on November 6, 1996, and is now Article XIIC and XIID of the California Constitution. Proposition 218 provides for benefit assessments to be levied to fund the cost of providing services, improvements, as well as maintenance and operation expenses to a public improvement which benefits the assessed property.

Proposition 218 describes a number of important requirements, including a property-owner balloting, for the formation and continuation of assessments, and these requirements were satisfied by the process used to establish this assessment.

SILICON VALLEY TAXPAYERS ASSOCIATION, INC. v SANTA CLARA COUNTY OPEN SPACE AUTHORITY

In July of 2008, the California Supreme Court issued its ruling on the Silicon Valley Taxpayers Association, Inc. v. Santa Clara County Open Space Authority ("SVTA vs. SCCOSA") case. This ruling is the most significant legal decision clarifying Proposition 218. Several of the most important elements of the ruling included further emphasis that:

- Benefit assessments are for special, not general benefit
- The services and/or improvements funded by assessments must be clearly defined
- Special benefits are directly received by and provide a direct advantage to property in the Assessment District

This Engineer's Report is consistent with the SVTA vs. SCCOSA decision and with the requirements of Article XIIC and XIID of the California Constitution because the Services to be funded are clearly defined; the Services are available to all benefiting property in the Assessment District, the benefiting property in the Assessment District will directly and tangibly benefit from improved protection from fire damage, increased safety of property and other special benefits and such special benefits provide a direct advantage to property in the Assessment District that is not enjoyed by the public at large or other property.

There have been a number of clarifications made to the analysis, findings and supporting text in this Report to ensure that this consistency is well communicated.

DAHMS V. DOWNTOWN POMONA PROPERTY

On June 8, 2009, the Court of Appeal for the Second District of California amended its original opinion upholding a benefit assessment district for property in the downtown area of the City of Pomona. On July 22, 2009, the California Supreme Court denied review and the court's decision in Dahms became binding precedent for assessments. In Dahms, the court upheld an assessment that conferred a 100% special benefit to the assessed parcels on the rationale that the services and improvements funded by the assessments were provided directly and only to property in the assessment district over and above those services or improvements provided by the city generally.

BONANDER V. TOWN OF TIBURON

On December 31, 2009, the 1st District Court of Appeal overturned a benefit assessment approved by property owners to pay for placing overhead utility lines underground in an area of the Town of Tiburon. The Court invalidated the assessments on the ground that the assessments had been apportioned to assessed property based, in part, on relative costs within sub-areas of the assessment district instead of proportional special benefits.

BEUTZ V. COUNTY OF RIVERSIDE

On May 26, 2010 the 4th District Court of Appeals issued a decision on the Steven Beutz v. County of Riverside ("Beutz") appeal. This decision overturned an assessment for park maintenance in Wildomar, California, primarily because the general benefits associated with improvements and services were not explicitly calculated, quantified and separated from the special benefits.

GOLDEN HILL NEIGHBORHOOD ASSOCIATION V. CITY OF SAN DIEGO

On September 22, 2011, the San Diego Court of Appeal issued a decision on the Golden Hill Neighborhood Association v. City of San Diego appeal. This decision overturned an assessment for street and landscaping maintenance in the Greater Golden Hill neighborhood of San Diego, California. The court described two primary reasons for its decision. First, like in Beutz, the court found the general benefits associated with services were not explicitly calculated, quantified and separated from the special benefits. Second, the court found that the City had failed to record the basis for the assessment on its own parcels.

COMPLIANCE WITH CURRENT LAW

This Engineer's Report is consistent with the requirements of Article XIIIC and XIID of the California Constitution and with the SVTA decision because the Services to be funded are clearly defined; the Services are available to and will be directly provided to all benefiting property in the Assessment District; and the Services provide a direct advantage to property in the Assessment District that would not be received in absence of the Assessments.

This Engineer's Report is consistent with Dahms because, similar to the Downtown Pomona assessment validated in Dahms, the Services will be directly provided to property in the Assessment District. Moreover, while Dahms could be used as the basis for a finding of 0% general benefits, this Engineer's Report establishes a more conservative measure of general benefits.

The Engineer's Report is consistent with Bonander because the Assessments have been apportioned based on the overall cost of the Services and proportional special benefit to each property. Finally, the Assessments are consistent with Buetz because the general benefits have been explicitly calculated and quantified and excluded from the Assessments.

DESCRIPTION OF SERVICES

The City of Santa Barbara Fire Department provides a range of fire protection, prevention, and educational services to the City and its residents.

The following is a description of the wildland fire suppression Services that are provided for the benefit of property within the Assessment District. Prior to the passage of the assessment in 2006, the baseline level of service was below the standard described in the City's 2004 Wildland Fire Plan. Due to inadequate funding, the level of service continued to diminish and would have diminished further had this assessment not been instituted. With the passage of this assessment, the services were enhanced significantly. The formula below describes the relationship between the final level of improvements, the baseline level of service (pre 2006) had the assessment not been instituted, and the enhanced level of improvements funded by the assessment.

| | | | | |
|-----------------------------------|---|--------------------------------------|---|--------------------------------------|
| Final Level of Service | = | Baseline Level of Service | + | Enhanced Level of Service |
|-----------------------------------|---|--------------------------------------|---|--------------------------------------|

Baseline level of service is pre-2006.

The services (the "Services") undertaken by the City of Santa Barbara Fire Department and the cost thereof paid from the levy of the annual assessment provide special benefit to Assessor Parcels within the Assessment District as defined in the Method of Assessment herein. In addition to the definitions provided by the California Government Code Section 50078 et. seq., (the "Code") the Services are generally described as follows:

- Expansion of the vegetation road clearance program to cover all public roads within the Foothill and Extreme Foothill Zones. This program reduces fuel, enhance evacuation routes, and decrease fire response times
- Implementation of a defensible space and fire prevention inspection and chipping assistance program for all properties in the Foothill and Extreme Foothill Zones
- Implementation of a vegetation management program in the Foothill and Extreme Foothill Zones

As applied herein, "vegetation road clearance" means the treatment, clearing, reducing, or changing of vegetation near roadways in the Foothill and Extreme Foothill Zones where vegetation poses a fire hazard and does not meet Fire Department Vegetation Road Clearance Standards within the high fire hazard area (As provided in Santa Barbara Municipal Code Section 8.04).

"Defensible space" is a perimeter created around a structure where vegetation is treated, cleared or reduced to slow the spread of wildfire towards a structure, reduce the chance of

a structure fire burning to the surrounding area, and provides a safe perimeter for firefighters to protect a structure (As provided in Chapter 49 of the California Fire Code, as adopted by the City of Santa Barbara pursuant to Santa Barbara Municipal Code Section 8.04).

“Vegetation management” means the reduction of fire hazard through public education, vegetation hazard reduction, and other methods as needed to manage vegetation in areas with unique hazards such as heavy, flammable vegetation, lack of access due to topography and roads, and/or firefighter safety.

COST AND BUDGET

FIGURE 2 - COST AND BUDGET FY 2015-16

| CITY OF SANTA BARBARA Wildland Fire Suppression Assessment Estimate of Costs | | <i>Total Budget</i> |
|--|--|-------------------------|
| Services Costs | | |
| Evacuation Planning - Evacuation Roadway Clearing | | |
| Staffing | | \$46,352 |
| Materials | | \$4,000 |
| Project Costs | | \$45,000 |
| Defensible Space | | |
| Staff | | \$36,000 |
| Materials | | \$6,000 |
| Chipping Program | | \$36,000 |
| Vegetation Management | | |
| Staffing | | \$40,534 |
| Project | | \$48,733 |
| Totals for Installation, Maintenance and Servicing | | \$262,619 |
| Less: District Contribution for General Benefits | | (\$20,221) |
| Net Cost of Installation, Maintenance and Servicing to Assessment District | | \$242,398 |
| Incidental Costs: | | |
| District Administration and Project Management | | \$6,150 |
| Allowance for County Collection | | \$3,498 |
| Subtotals - Incidentals | | \$9,648 |
| Total Wildland Fire Suppression District Budget (Net Amount to be Assessed) | | \$252,046 |
| Assessment District Budget Allocation to Parcels | | |
| Total Assessment Budget | | \$252,046 |
| Single Family Equivalent Benefit Units in District | | 3,305 |
| Assessment per Single Family Equivalent Unit (SFE) | | \$ 76.27 |

METHOD OF APPORTIONMENT

METHOD OF APPORTIONMENT

This section includes an explanation of the special benefits derived from the Services, the criteria for the expenditure of assessment funds and the methodology used to apportion the total assessments to properties within the Assessment District.

The Assessment District area consists of all Assessor Parcels within the Foothill and Extreme Foothill zones of the High Fire Hazard Area as defined by the 2004 Wildland Fire Plan. The method used for apportioning the assessment is based upon the proportional special benefits from the Services derived by the properties in the assessment area over and above general benefits conferred on real property or to the public at large. Special benefit is calculated for each parcel in the Assessment District using the following process:

1. Identification of all benefit factors derived from the Improvements
2. Calculation of the proportion of these benefits that are general
3. Determination of the relative special benefit within different areas within the Assessment District
4. Determination of the relative special benefit per property type
5. Calculation of the specific assessment for each individual parcel based upon special vs. general benefit; location, property type, property characteristics, improvements on property and other supporting attributes

DISCUSSION OF BENEFIT

California Government Code Section 50078 et. seq. allows agencies which provide fire suppression services, such as the City of Santa Barbara Fire Department, to levy assessments for fire suppression services. Section 50078 states the following:

“Any local agency which provides fire suppression services directly or by contract with the state or a local agency may, by ordinance or by resolution adopted after notice and hearing, determine and levy an assessment for fire suppression services pursuant to this article.”

In addition, California Government Code Section 50078.1 defines the term “fire suppression” as follows:

“(c) “Fire suppression” includes firefighting and fire prevention, including, but not limited to, vegetation removal or management undertaken, in whole or in part, for the reduction of a fire hazard.”

Therefore, the Services provided by the Assessment District fall within the scope of services that may be funded by assessments under the Code.

The assessments can only be levied based on the special benefit to property. This benefit is received by property over and above any general benefits. Moreover, such benefit is not based on any one property owner's specific use of the Services or a property owner's specific demographic status. With reference to the requirements for assessments, Section 50078.5 of the California Government Code states:

"(b) The benefit assessment shall be levied on a parcel, class of improvement to property, or use of property basis, or a combination thereof, within the boundaries of the local agency, zone, or area of benefit."

"The assessment may be levied against any parcel, improvement, or use of property to which such services may be made available whether or not the service is actually used."

Proposition 218, as codified in Article XIID of the California Constitution, has confirmed that assessments must be based on the special benefit to property:

"No assessment shall be imposed on any parcel which exceeds the reasonable cost of the proportional special benefit conferred on that parcel."

Since assessments are levied on the basis of special benefit, they are not a tax and are not governed by Article XIII A of the California Constitution.

The following section describes how and why the Services specially benefit properties. This benefit is particular and distinct from its effect on property in general or the public at large.

BENEFIT FACTORS

In order to allocate the assessments, the Engineer identified the types of special benefit arising from the Services that are provided to property in the Assessment District. These benefit factors confer a direct advantage to the assessed properties; otherwise they would be general benefit.

The following benefit categories have been established that represent the types of special benefit conferred to residential, commercial, industrial, institutional and other lots and parcels resulting from the services to reduce the severity and damage from wildland fires that are provided in the Assessment District. These categories of special benefit are derived from the statutes passed by the California Legislature and other studies, which describe the types of special benefit received by property from the Services of the Assessment District. These types of special benefit are summarized as follows:

INCREASED SAFETY AND PROTECTION OF REAL PROPERTY ASSETS FOR ALL PROPERTY OWNERS WITHIN THE ASSESSMENT DISTRICT.

As summarized previously, properties in the Assessment District are currently at higher risk for wildland fires. Uncontrolled fires would have a devastating impact on all properties within the Assessment District. The assessments fund an increase in services to mitigate the wildland fire threat, and thereby can significantly reduce the risk of property damage associated with fires. Clearly, fire mitigation helps to protect and specifically benefits both improved properties and vacant properties in the Assessment District.

*"Fire is the largest single cause of property loss in the United States. In the last decade, fires have caused direct losses of more than \$120 billion and countless billions more in related cost."*¹

*"Over 140,000 wildfires occurred on average each year, burning a total of almost 14.5 million acres. And since 1990, over 900 homes have been destroyed each year by wildfires."*²

*"A wildfire sees your home as just another fuel source. The survivable space you construct around your home will keep all but the most ferocious wildfires at bay."*³

*"A reasonably disaster-resistant America will not be achieved until there is greater acknowledgment of the importance of the fire service and a willingness at all levels of government to adequately fund the needs and responsibilities of the fire service."*⁴

*"The strategies and techniques to address fire risks in structures are known. When implemented, these means have proven effective in the reduction of losses."*⁵

*"Statistical data on insurance losses bears out the relationship between excellent fire protection...and low fire losses."*⁶

PROTECTION OF VIEWS, SCENERY AND OTHER RESOURCE VALUES, FOR PROPERTY IN THE ASSESSMENT DISTRICT

The Assessment District provides funding for the mitigation of the wildland fire threat to protect public and private resources in the Assessment District. This benefits even those properties that are not directly damaged by fire by maintaining and improving the aesthetics and attractiveness of public and private resources in the community, as well as ensuring that such resources remain safe and well maintained.

*"Intensely burned forests are rarely considered scenic."*⁷

*"Smoke affects people...for example; in producing haze that degrades the visual quality of a sunny day...The other visual quality effect is that of the fire on the landscape. To many people, burned landscapes are not attractive and detract from the aesthetic values of an area."*⁸

*"A visually preferred landscape can be the natural outcome of fuels treatments."*⁹

ENHANCED UTILITY AND DESIRABILITY OF THE PROPERTIES IN THE ASSESSMENT DISTRICT.

The assessments fund Services to reduce the severity and damage from wildland fires in the Assessment District. Such Services enhance the overall utility and desirability of the properties in the Assessment District.

“Residential satisfaction surveys have found that having nature near one’s home is extremely important in where people choose to live...This is especially true at the wildland-urban interface where some of the most serious fuels management must occur.”¹⁰

“People are coming to the [Bitterroot] valley in part because of its natural beauty which contributes to the quality of life that so many newcomers are seeking.”¹¹

BENEFIT FINDING

In summary, real property located within the boundaries of the Assessment District distinctly and directly benefits from increased safety and protection of real property, increased protection of scenery and views, and enhanced utility of properties in the Assessment District. These are special benefits to property in much the same way that sewer and water facilities, sidewalks and paved streets enhance the utility and desirability of property and make them more functional to use, safer and easier to access.

GENERAL VERSUS SPECIAL BENEFIT

Article XIII C of the California Constitution requires any local agency proposing to increase or impose a benefit assessment to “separate the general benefits from the special benefits conferred on a parcel.” The rationale for separating special and general benefits is to ensure that property owners subject to the benefit assessment are not paying for general benefits. The assessment can fund special benefits but cannot fund general benefits. Accordingly, a separate estimate of the special and general benefit is given in this section.

In other words:

| | | | | |
|--------------------------|----------|----------------------------|----------|----------------------------|
| Total Benefit | = | General Benefit | + | Special Benefit |
|--------------------------|----------|----------------------------|----------|----------------------------|

There is no widely-accepted or statutory formula for general benefit. General benefits are benefits from improvements or services that are not special in nature, are not “particular and distinct” and are not “over and above” benefits received by other properties. SVTA vs. SCCOSA provides some clarification by indicating that general benefits provide “an indirect, derivative advantage” and are not necessarily proximate to the improvements.

The starting point for evaluating general and special benefits is the pre 2006 baseline level of service, had the assessment not been approved by the community. The assessment

will fund Services “over and above” this general, baseline level and the special benefits estimated in this section are over and above the baseline.

A formula to estimate the general benefit is listed below:

| | | | | | | |
|----------------------------|---|---|---|--|---|---|
| General Benefit | = | Benefit to real property outside of improvement district | + | Benefit to real property inside of improvement district | + | Benefit to public at large |
|----------------------------|---|---|---|--|---|---|

Special benefit, on the other hand, is defined in the state constitution as “a particular and distinct benefit over and above general benefits conferred on real property located in the district or to the public at large.” The *SVTA v. SCCOSA* decision indicates that a special benefit is conferred to a property if it “receives a direct advantage from the improvement (e.g., proximity to a park).” In this assessment, as noted, the improved Services are available when needed to all properties in the Assessment District, so the overwhelming proportion of the benefits conferred to property is special, and are only minimally received by property outside the Assessment District or the public at large.

Proposition 218 twice uses the phrase “over and above” general benefits in describing special benefit. (Art. XIID, sections 2(i) & 4(f).) Arguably, all of the Services being funded by the assessment would be a special benefit because the Services particularly and distinctly benefit the properties in the Assessment District over and above the baseline benefits.

Nevertheless, arguably some of the Services benefit the public at large and properties outside the Assessment District. In this report, the general benefit is conservatively estimated and described, and then budgeted so that it is funded by sources other than the assessment.

(In the 2009 *Dahms* case, the court upheld an assessment that conferred a 100% special benefit to the assessed parcels on the rationale that the services and improvements funded by the assessments were provided directly and only to property in the assessment district over and above those services or improvements provided by the city generally. Similarly, the Assessments described in this Engineer’s Report fund wildland fire services directly and only to the assessed parcels located within the assessment area. Moreover, every property within the Assessment District will receive the Services. While the *Dahms* decision would permit an assessment based on 100% special benefit and zero or minimal general benefits, in this report, the general benefit is estimated and described and budgeted so that it is funded by sources other than the Assessment.)

CALCULATING GENERAL BENEFIT

This section provides a measure of the general benefits from the assessments

BENEFIT TO PROPERTY OUTSIDE THE ASSESSMENT DISTRICT

Properties within the Assessment District receive almost all of the special benefits from the Services because the Services will be provided solely in the Assessment District boundaries. Properties proximate to, but outside of, the boundaries of the Assessment District receive some benefit from the Services due to some degree of indirectly reduced fire risk to their property. These parcels that are proximate to the boundaries of the Assessment District are estimated to receive less than 50% of the benefits relative to parcels within the Assessment District because they do not directly receive the improved fire protection resulting from the Services funded by the Assessments.

At the time the Assessment District was formed, there were approximately 550 of these “proximate” properties.

Assumptions:

550 parcels outside the district but proximate to the District Boundaries

3,550 parcels in the Assessment District.

50% relative benefit compared to property within the Assessment District.

Calculation:

General Benefit to property outside the Assessment District

$$= (550/(550+3,550))*0.5=6.7\%$$

Although it can reasonably be argued that properties protected inside, but near the Assessment District boundaries are offset by similar fire protection provided outside, but near the Assessment District’s boundaries, we use the more conservative approach of finding that 6.7% of the Services may be of general benefit to property outside the Assessment District.

BENEFIT TO PROPERTY *INSIDE* THE DISTRICT THAT IS *INDIRECT AND DERIVATIVE*

The “indirect and derivative” benefit to property within the Assessment District is particularly difficult to calculate. A solid argument can be presented that all benefit within the Assessment District is special, because the Services are clearly “over and above” and “particular and distinct” when compared with the pre-2006 baseline level of Services, had the assessment district not passed.

In determining the Assessment District boundaries, the District has been careful to limit it to an area of parcels that will directly receive the benefit of the improved Services. All

parcels will directly benefit from the use of the improved Services throughout the Assessment District in order to achieve the desired level of wildland fire suppression and protection throughout the Assessment District. Fire protection and suppression will be provided as needed throughout the area.

The SVTA vs. SCCOSA decision indicates that the fact that a benefit is conferred throughout the Assessment District area does not make the benefit general rather than special, so long as the Assessment District is narrowly drawn and limited to the parcels directly receiving shared special benefits from the service. This concept is particularly applicable in situations involving a landowner-approved assessment-funded extension of a local government service to benefit lands previously not receiving that particular service. The Fire Department therefore concludes that, other than the small general benefit to properties outside the Assessment District (discussed above) and to the public at large (discussed below), all of the benefits of the Services to the parcels within the Assessment District are special benefits and it is not possible or appropriate to separate any general benefits from the benefits conferred on parcels in the Assessment District.

BENEFIT TO THE PUBLIC AT LARGE

With the type and scope of Services provided to the Assessment District, it is very difficult to calculate and quantify the scope of the general benefit conferred on the public at large. Because the Services directly serve and benefit all of the property in the Assessment District, any general benefit conferred on the public at large would be small. Nevertheless, there may be some indirect general benefit to the public at large.

The public at large uses the public highways and other regional facilities when traveling in and through the Assessment District and they may benefit from the services without contributing to the assessment. Although the protection of this critical infrastructure is certainly a benefit to all the property within the Assessment District, it is arguably “indirect and derivative” and possibly benefits people rather than property. A fair and appropriate measure of the general benefit to the public at large therefore is the amount of highway, and regional facilities within the Assessment District relative to the overall land area. An analysis of maps of the Assessment District shows that less than 1.0% of the land area in the Assessment District is covered by highways and regional facilities. This 1.0% therefore is a fair and appropriate measure of the general benefit to the public at large within the Assessment District

SUMMARY OF GENERAL BENEFITS

Using a sum of the measures of general benefit for the public at large and land outside the Assessment District, we find that approximately 7.7% of the benefits conferred by the Assessment District may be general in nature and should be funded by sources other than the assessment.

General Benefit Calculation

| | |
|---------------|--|
| 6.7% | (Outside the Assessment District) |
| + 0.0% | (Inside the district – indirect and derivative) |
| + 1.0% | (Public at Large) |
| = 7.7% | (Total General Benefit) |

The Assessment District's total budget for 2015-16 is \$262,619. The Assessment District must obtain funding from sources other than the assessment in the amount of at least \$20,221 ($\$262,619 \times 7.7\%$) to pay for the cost of the general benefits. This is because the assessments levied by the Fire Department may not exceed the special benefits provided by the Services, and the Assessment Engineer concluded that a combined total of 7.7% of the cost of Services provide a general benefit to properties outside the Assessment District and a benefit to the public at large. For Fiscal Year 2015-16, the City will contribute at least \$20,221, or 7.7% of the total Assessment District budget, to the Assessment District from sources other than this assessment. This contribution constitutes more than the 7.7% general benefits estimated by the Assessment Engineer.

ZONES OF BENEFIT

Initially, the Fire Department evaluated the geographic area within and around the City limits (including the City of Santa Barbara, Santa Barbara County, Montecito and National Forest lands) based upon three fire hazard risk variables: vegetation (fuel), topography and weather. This analysis was used to narrowly determine the boundaries of the "high fire hazard area." Further, zones were narrowly drawn within the high fire hazard area and graded "extreme," "high," "moderate" or "low". Next, the Fire Department evaluated the roof type, proximity of structures, road systems, water supply, fire response times and historic fire starts within the high fire hazard area and developed 4 specific zones:

- Extreme Foothill Zone
- Foothill Zone
- Coastal Zone
- Coastal Interior Zone

These zones were used to apply appropriate policies and actions based upon hazard and risk. The results of this analysis were tabulated and presented in Tables 2 through 4 in the 2004 Wildland Fire Plan.

Accordingly, "Zones of Benefit" corresponding to the fire risk zones are used to equitably assign special benefit, and are used for the basis of the "Fire Risk Factors" discussed below. Each zone was narrowly drawn, and has been given a score, based upon the evaluated risk criteria, as shown in Figure 3. (The assessment provides Services in the Extreme Foothill Zone and the Foothill Zone only.)

FIGURE 3 - RELATIVE HAZARD/RISK SCORING FOR HIGH FIRE HAZARD AREA ZONES

| Hazard/Risk Attribute | Extreme Foothill Zone | Foothill Zone | Coastal Zone | Coastal Interior Zone |
|--|-----------------------|---------------|--------------|-----------------------|
| Combined Hazard Assessment - vegetation (fuel), topography, weather* | 40 | 30 | 20 | 10 |
| Roof Type** | 1 | 2 | 2 | 3 |
| Proximity | 1 | 3 | 1 | 3 |
| Road | 3 | 3 | 1 | 1 |
| Water | 3 | 1 | 1 | 1 |
| Response | 3 | 2 | 2 | 2 |
| Ignitions | 1 | 1 | 1 | 1 |
| Total Score | 52 | 42 | 28 | 21 |

* The Hazard Assessment element of this analysis is the most significant. Scores have been "weighted" by a factor of 10.

** In the Extreme Foothill Zone fire retardant roofing materials are more prevalent, resulting in lower risk in this area.

Figure 4 shows the numeric scoring system used to develop the relative total scores.

FIGURE 4 - SCORING SYSTEM

| Qualitative Score | Numeric Score |
|-------------------|---------------|
| Very High | 4 |
| High | 3 |
| Moderate | 2 |
| Low | 1 |

The total relative scores for each zone are tabulated and normalized, based up the Foothill Zone, and shown in Figure 5.

FIGURE 5 - WILDLAND FIRE RISK FACTORS

| Zone | Raw Score | Wildland Fire Risk Factor |
|-------------------------|------------------|----------------------------------|
| Extreme Foothill Zone | 52 | 1.24 |
| Foothill Zone | 42 | 1.00 |
| Coastal Zone** | 28 | .67 |
| Coastal Interior Zone** | 21 | .50 |

**Coastal Zone and Coastal Interior Zone are included in this analysis for clarity; however these zones are not included in the Assessment District.

ASSESSMENT APPORTIONMENT

In the process of determining the appropriate method of assessment, the Assessment Engineer considered various alternatives. For example, an assessment only for all residential improved property was considered but was determined to be inappropriate because vacant, commercial, industrial and other properties also receive special benefits from the assessments.

Moreover, a fixed or flat assessment for all properties of similar type was deemed to be inappropriate because larger commercial/industrial properties and residential properties with multiple dwelling units receive a higher degree of benefit than other similarly used properties that are significantly smaller. For two properties used for commercial purposes, there clearly is a higher benefit provided to the larger property in comparison to a smaller commercial property because the larger property generally supports a larger building and has higher numbers of employees, customers and guests that benefit from reduced wildland fire risk. This benefit ultimately flows to the property. Larger parcels, therefore, receive an increased benefit from the assessments.

The Assessment Engineer determined that the appropriate method of assessment should be based on the type of property, the relative size of the property and the potential use of property by residents and employees. This method is further described below.

METHOD OF ASSESSMENT

The next step in apportioning assessments is to determine the relative special benefit for each property. This process involves determining the relative benefit received by each property in relation to a "benchmark" property, a single family detached dwelling on one parcel of one acre or less in the Foothill Zone (one "Single Family Equivalent Benefit Unit" or "SFE"). This SFE methodology is commonly used to distribute assessments in proportion to estimated special benefits and is generally recognized as providing the basis for a fair and appropriate distribution of assessments. In this Engineer's Report, all

properties are assigned an SFE value, which is each property's relative benefit in relation to a single family home on one parcel.

The relative benefit to properties from fire related Services is:

EQUATION 1 – RELATIVE BENEFIT TO PROPERTIES

| |
|--|
| $\text{Benefit} \approx \sum (\text{Fire Risk Factors}) * \sum (\text{Structure Value Factors})$ |
|--|

That is, the benefit conferred to property is the “sum” the risk factors multiplied by the “sum” of the structure values factors.

FIRE RISK FACTORS

Typical fire assessments (non-wildland) are evaluated based upon the fire risk of a certain property type. These evaluations consider factors such as use of structure (e.g. used for cooking), type of structure (centralized heating), etc.

Wildland fires, on the other hand, are initiated largely from external ignitions and are far less affected by structural, mechanical and electrical systems inherent to the building (except roof type). The principle Wildland fire risk factors are:

- Vegetation (fuel)
- Topography
- Weather
- Roof type
- Proximity of Structure
- Road Systems
- Water Supply
- Response
- Ignitions

These factors were fully evaluated in the 2004 Wildland Fire Plan and are manifested in the relative zone scores as shown in Figures 3, 4 and 5, above. Hence, the Fire Risk Factor for all properties within the Foothill Zone is 1.00 and the Fire Risk Factor for all properties in the Extreme Foothill Zone is 1.24.

STRUCTURE VALUE FACTORS

The relative value of different property types was evaluated within the high fire hazard area to determine the Structure Value Factor according to the following formula:

EQUATION 2 - STRUCTURE VALUE FACTORS

$$\sum (\text{Structure Value Factors}) \approx (\text{Structure Weighting Factor}) * (\text{Average Improved Value}) * (\text{Land Weighting Factor}) * (\text{Average Total Value}) * (\text{Unit Density Factor})$$

Where:

“Structure Weight Factor” = 10 to “weight” relative importance of structure over land.

“Average Improved Value” is average of value of all improvements (e.g. structures), per property type, as provide by County Assessor records.

Land Weighting Factor = 1

“Average Total Value” is average of value of all land + improvements (e.g. structures), per property type, as provide by County Assessor records. County assessor land values were not used directly because experience has shown total values to be more comprehensive.

Unit Density Factor corresponds values with units (i.e. “per residential unit” or “per acre”) based upon effective density of structure on parcel.

Figure 6 below is a tabulation of the Structure values for each property type as defined by Equation 2, above.

FIGURE 6 – STRUCTURE VALUE FACTORS

| Property Type | Structure Value Factor | Unit |
|-----------------------|------------------------|---------------|
| Single Family | 1.0000 | per each* |
| Multi-Family | 0.3683 | per res. unit |
| Commercial/Industrial | 0.8187 | per acre |
| Office | 0.7058 | per acre |
| Institutional | 0.3841 | per each |
| Storage | 0.0952 | per acre |
| Agricultural | 0.0809 | per acre |
| RangeLand | 0.0181 | per acre |
| Vacant | 0.0324 | per each |

*for homes on an acre or less. For homes on more than one acre, the Structure Value Factor is increased by 0.0809 per acre

RESIDENTIAL PROPERTIES

All improved residential properties with a single residential dwelling unit on one acre or less are assigned one Single Family Equivalent or 1.0 SFE in the Foothill Zone. In the Extreme Foothill Zone, all improved residential properties on one acre or less are assessed 1.24 SFEs (See Table 5). Residential properties on parcels that are larger than 1 acre receive additional benefit and are assigned additional SFEs on a “per acre” basis. Detached or attached houses, zero-lot line houses and town homes are included in this category.

Properties with more than one residential unit are designated as multi-family residential properties. These properties benefit from the Services in proportion to the number of dwelling units that occupy each property. The relative benefit for multi-family properties was determined as per Equation 1 to be 0.3683 SFEs per residential unit in the Foothill Zone and 0.4567 per residential unit in the Extreme Foothill Zone. This rate applies to condominiums as well.

COMMERCIAL/INDUSTRIAL & OFFICE PROPERTIES

Commercial and industrial properties are assigned benefit units per acre, since there is a relationship between parcel size, structure size and relative benefits. The relative benefit for commercial and industrial properties was determined as per Equation 1 to be 0.8187 SFEs per acre in the Foothill Zone and 1.0151 per acre in the Extreme Foothill Zone. The relative benefit for office properties was determined as per Equation 1 to be 0.7058 SFEs per acre in the Foothill Zone and 0.8751 per acre in the Extreme Foothill Zone.

VACANT/UNDEVELOPED, OPEN SPACE AND AGRICULTURAL PROPERTIES

The relative benefit for vacant properties was determined as per Equation 1 to be 0.0324 SFEs per parcel in the Foothill Zone and 0.04012 per parcel in the Extreme Foothill Zone. Open space and agricultural land have minimal improvements and few, if any; structures that require defensible space, and are assigned benefit “per acre.” The relative benefit for open space properties was determined as per Equation 1 to be 0.0181 SFEs per acre in the Foothill Zone and 0.0224 per acre in the Extreme Foothill Zone. The relative benefit for agricultural properties was determined as per Equation 1 to be 0.0809 SFEs per acre in the Foothill Zone and 0.1002 per acre in the Extreme Foothill Zone.

OTHER PROPERTIES

Institutional properties, such as publicly owned properties (and are used as such), for example, churches, are assessed at 0.3841 per parcel in the Foothill zone and 0.4762 per Parcel in the Extreme Foothill zone. The relative benefit for storage properties was determined as per Equation 1 to be 0.0952 SFEs per acre in the Foothill Zone and 0.1180 per acre in the Extreme Foothill Zone.

Article XIID, Section 4 of the California Constitution states that publicly owned properties shall not be exempt from assessment unless there is clear and convincing evidence that those properties receive no special benefit.

All public properties that are specially benefited are assessed. Publicly owned property that is used for purposes similar to private residential, commercial, industrial or institutional uses is benefited and assessed at the same rate as such privately owned property.

SUMMARY OF BENEFITS FOR EACH PROPERTY TYPE

Figure 7 summarizes the relative benefit for each property type.

FIGURE 7 - RELATIVE BENEFIT FACTORS FOR FOOTHILL AND EXTREME FOOTHILL ZONES

| | Foothill Zone | | Extreme Foothill Zone | |
|-----------------------|-------------------------------|-------------|-------------------------------|-------------|
| Property Type | Benefit Factors (SFEs) | Unit | Benefit Factors (SFEs) | Unit |
| Single Family | 1.0000 | per each | 1.2400 | per each |
| Multi-Family | 0.3683 | per unit | 0.4567 | per unit |
| Commercial/Industrial | 0.8187 | per acre | 1.0152 | per acre |
| Office | 0.7058 | per acre | 0.8752 | per acre |
| Institutional | 0.3841 | per each | 0.4763 | per each |
| Storage | 0.0952 | per acre | 0.1181 | per acre |
| Agricultural | 0.0809 | per acre | 0.1003 | per acre |
| RangeLand | 0.0181 | per acre | 0.0225 | per acre |
| Vacant | 0.0324 | per each | 0.0402 | per each |

APPEALS OF ASSESSMENTS LEVIED TO PROPERTY

Any property owner who feels that the assessment levied on the subject property is in error as a result of incorrect information being used to apply the foregoing method of assessment may file a written appeal with the Fire Chief of the City of Santa Barbara Fire Department or his or her designee. Any such appeal is limited to correction of an assessment during the then current fiscal year. Upon the filing of any such appeal, the Chief or his or her designee will promptly review the appeal and any information provided by the property owner. If the Chief or his or her designee finds that the assessment should be modified, the appropriate changes shall be made to the assessment roll. If any such changes are approved after the assessment roll has been filed with the County for collection, the Chief or his or her designee is authorized to refund to the property owner the amount of any approved reduction. Any dispute over the decision of the Chief or his or her designee shall be referred to the City Council and the decision of the Council shall be final.

ADDITIONAL BACKGROUND ON RELATIVE BENEFIT

In essence, when property owners are deciding how to cast their ballot for a proposed assessment, each property owner must weigh the perceived value of the Services proposed to them and their property with the proposed cost of the assessment to their property. If property owners of a certain type of property are either opposed or in support of the assessment in much greater percentages than owners of other property types, this is an indication that, as a group, these property owners perceive that the proposed assessment has relatively higher or lower "utility" or value to their property relative to owners of other property types. One can also infer from these hypothetical ballot results, that the apportionment of benefit (and assessments) was too high or too low for that property type. In other words, property owners, by their balloting, ultimately indicate if they perceive the special benefits to their property to exceed the cost of the assessment, and,

as a group, whether the determined level of benefit and proposed assessment (the benefit apportionment made by the Assessment Engineer) is consistent with the level of benefits perceived by the owners of their type of property relative to the owners of other types of property.

DURATION OF THE ASSESSMENT

The duration of the assessment is one year, and may be continued each year by a vote of the City Council. The assessment cannot be increased in future years without approval from property owners in another assessment ballot proceeding, except for an annual adjustment tied to the change in the Los Angeles-Riverside-Orange County Area Consumer Price Index, not to exceed 4% per year.

CRITERIA AND POLICIES

This sub-section describes the criteria that shall govern the expenditure of assessment funds and ensures equal levels of benefit for properties of similar type. The criteria established in this Report, as finally confirmed, cannot be substantially modified; however, the Council may adopt additional criteria to further clarify certain criteria or policies established in this Report or to establish additional criteria or policies that do not conflict with this Report.

ASSESSMENT FUNDS MUST BE EXPENDED WITHIN THE FOOTHILL AND EXTREME FOOTHILL ZONES

The net available assessment funds, after incidental, administrative, financing and other costs, shall be expended exclusively for Services within the boundaries of the Assessment District, namely, the Foothill and Extreme Foothill Zones.

EXISTING GENERAL FUNDS

Prior to formation, Wildland Fire Services were funded with approximately \$200,000 from the City of Santa Barbara general fund. The intent of the program is that this general fund revenue will be maintained by the City to the extent feasible and the assessment will augment the current funding and services. Further, a portion of the general fund revenue is needed to pay for any and all general benefits from the wildland fire Services, as described above.

ASSESSMENT

WHEREAS, the City Council of the City of Santa Barbara is proceeding with the proposed levy of assessments under California Government Code sections 50078 et seq. (the "Code") and Article XIID of the California Constitution (the "Article");

WHEREAS, the undersigned Engineer of Work has prepared and filed a report presenting an estimate of costs, a diagram for the Assessment District and an assessment of the estimated costs of the Services upon all assessable parcels within the Assessment District;

NOW, THEREFORE, the undersigned, by virtue of the power vested in me under said Code and Article and the order of the Council of said City, hereby make the following assessment to cover the portion of the estimated cost of said Services, and the costs and expenses incidental thereto to be paid by the Assessment District.

The amount to be paid for said Services and the expense incidental thereto, to be paid by the Assessment District for the fiscal year 2015-16 is generally as follows:

SUMMARY COST ESTIMATE FY 2015-16

| Budget | |
|--|------------------|
| Evacuation Planning – Evacuation Roadway Clearing | \$95,352 |
| Defensible Space | \$78,000 |
| Vegetation Management | \$89,267 |
| Total for Installation, Maintenance and Servicing | \$262,619 |
| Less: Contribution for General Benefits | (\$20,221) |
| Incidental Costs: | |
| Administration and Project Management | \$6,150 |
| Allowance for County collection | \$3,498 |
| Subtotal – Incidentals | \$9,648 |
| Total Wildland Fire Suppression Assessment Budget | \$252,046 |

An Assessment Diagram is hereto attached and made a part hereof showing the exterior boundaries of said Assessment District. The distinctive number of each parcel or lot of land in said Assessment District is its Assessor Parcel Number appearing on the Assessment Roll.

I do hereby assess and apportion said net amount of the cost and expenses of said Services, including the costs and expenses incident thereto, upon the parcels and lots of land within said Assessment District, in accordance with the special benefits to be received by each parcel or lot, from the Services, and more particularly set forth in the Cost

Estimate and Method of Assessment hereto attached and by reference made a part hereof.

The assessment is subject to an annual adjustment tied to the annual change in the Consumer Price Index for the Los Angeles-Riverside-Orange County Area as of January of each succeeding year, with the maximum annual adjustment not to exceed 4%.

In the event that the actual assessment rate for any given year is not increased by an amount equal to the maximum of 4% or the yearly CPI change plus any CPI change in previous years that was in excess of 4%, the maximum authorized assessment shall increase by this amount. In such event, the maximum authorized assessment shall be equal to the base year assessment as adjusted by the increase to the CPI, plus any and all CPI adjustments deferred in any and all prior years. The CPI change above 4% can be used in a future year when the CPI adjustment is below 4%. For 2015-16, the allowable CPI increase is 0.72%.

Hence, the proposed rates for 2015-16 will increase by 0.72% from the 2014-15 rates – from \$75.72 to \$76.27 per single family home in the Foothill Zone and from \$93.89 to \$94.57 per single family home in the Extreme Foothill Zone. The total revenue derived from the assessment is \$252,046 for 2015-16.

Each parcel or lot of land is described in the Assessment Roll by reference to its parcel number as shown on the Assessor's Maps of the City of Santa Barbara for the fiscal year 2015-16. For a more particular description of said property, reference is hereby made to the deeds and maps on file and of record in the office of the County Recorder of Santa Barbara County.

I hereby place opposite the Assessor Parcel Number for each parcel or lot within the Assessment Roll, the amount of the assessment for the fiscal year 2015-16 for each parcel or lot of land within the said Assessment District.

Dated: May 5, 2015



Engineer of Work

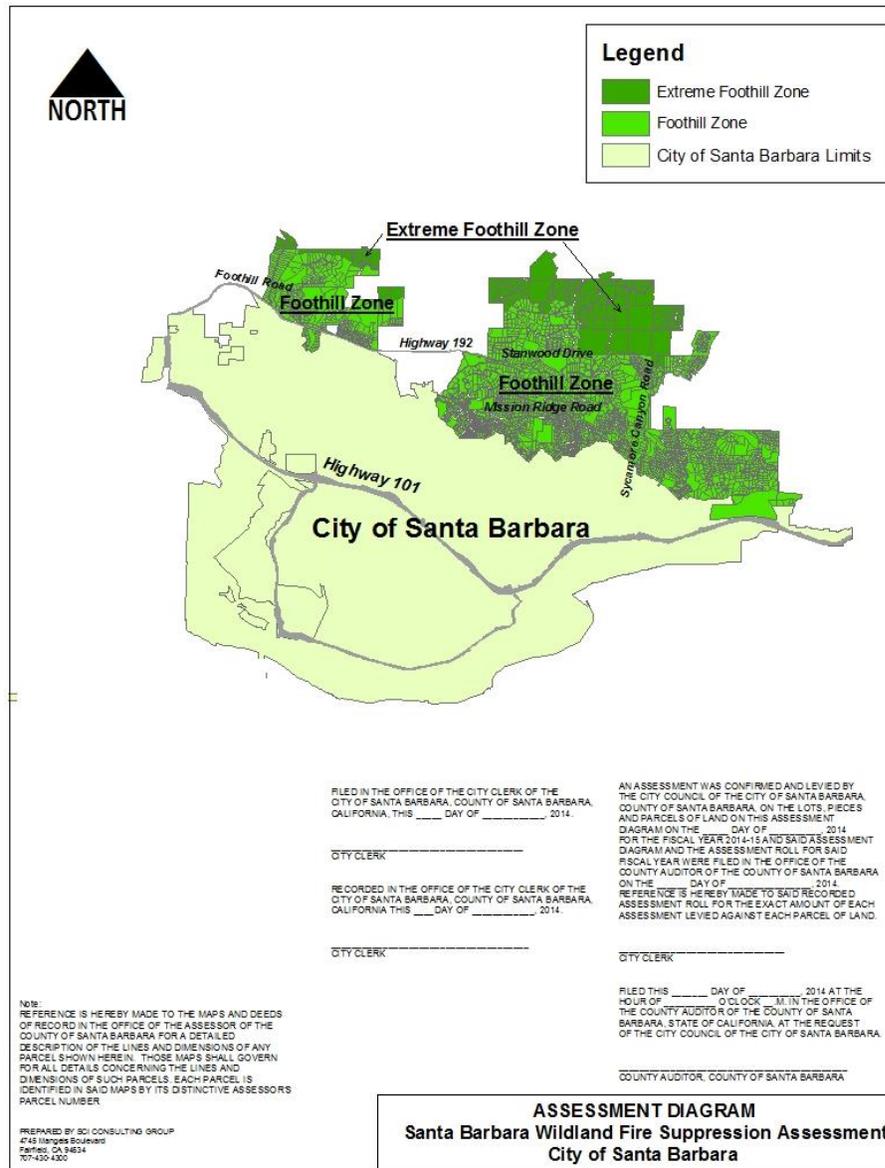
A handwritten signature in blue ink that reads "John W. Bliss". The signature is written over a horizontal line.

By

John W. Bliss, License No. C052091

ASSESSMENT DIAGRAM

The Assessment District includes all properties within the boundaries of the Wildland Fire Suppression District. The boundaries of the Assessment District are displayed on the following Assessment Diagram. The lines and dimensions of each lot or parcel within the Assessment District are those lines and dimensions as shown on the maps of the Assessor of the County of Santa Barbara, for fiscal year 2015-16, and are incorporated herein by reference, and made a part of this Diagram and this Report.



APPENDICES

APPENDIX A – ASSESSMENT ROLL, FY 2015-16

The Assessment Roll is made part of this report and is available for public inspection during normal office hours. Each lot or parcel listed on the Assessment Roll is shown and illustrated on the latest County Assessor records and these records are, by reference, made part of this report. These records shall govern for all details concerning the description of the lots of parcels.

APPENDIX B – CALIFORNIA GOVERNMENT CODE SECTION 50078 ET. SEQ.

50078. Any local agency which provides fire suppression services directly or by contract with the state or a local agency may, by ordinance or by resolution adopted after notice and hearing, determine and levy an assessment for fire suppression services pursuant to this article. The assessment may be made for the purpose of obtaining, furnishing, operating, and maintaining fire suppression equipment or apparatus or for the purpose of paying the salaries and benefits of firefighting personnel, or both, whether or not fire suppression services are actually used by or upon a parcel, improvement, or property.

50078.1. As used in this article:

(a) "Legislative body" means the board of directors, trustees, governors, or any other governing body of a local agency specified in subdivision (b).

(b) "Local agency" means any city, county, or city and county, whether general law or chartered, or special district, including a county service area created pursuant to the County Service Area Law, Chapter 2.2 (commencing with Section 25210.1) of Part 2 of Division 2 of Title 3.

(c) "Fire suppression" includes firefighting and fire prevention, including, but not limited to, vegetation removal or management undertaken, in whole or in part, for the reduction of a fire hazard.

50078.2. (a) The ordinance or resolution shall establish uniform schedules and rates based upon the type of use of property and the risk classification of the structures or other improvements on, or the use of, the property. The risk classification may include, but need not be limited to, the amount of water required for fire suppression on that property, the structure size, type of construction, structure use, and other factors relating to potential fire and panic hazards and the costs of providing the fire suppression by the district to that property. The assessment shall be related to the benefits to the property assessed.

(b) The benefit assessment levies on land devoted primarily to agricultural, timber, or livestock uses, and being used for the commercial production of agricultural, timber, or livestock products, shall be related to the relative risk to the land and its products. The amount of the assessment shall recognize normal husbandry practices that serve to mitigate risk, onsite or proximate water availability, response time, capability of the fire suppression service, and any other factors which reflect the benefit to the land resulting from the fire suppression service provided. A benefit assessment shall not be levied for wildland or watershed fire suppression on land located in a state responsibility area as defined in Section 4102 of the Public Resources Code. This subdivision is not applicable to any benefit assessment levied prior to January 1, 1984, on land devoted primarily to agricultural, timber, or livestock uses.

50078.3. Any ordinance or resolution adopted by a local agency pursuant to this article establishing uniform schedules and rates for assessments for fire suppression services

which substantially conforms with the model ordinance which the State Fire Marshal is authorized to adopt pursuant to Section 13111 of the Health and Safety Code shall be presumed to be in compliance with the requirements of Section 50078.2.

50078.4. The legislative body of the local agency shall cause to be prepared and filed with the clerk of the local agency a written report which shall contain all of the following:

- (a) A description of each lot or parcel of property proposed to be subject to the assessment.
- (b) The amount of the assessment for each lot or parcel for the initial fiscal year.
- (c) The maximum amount of the assessment which may be levied for each lot or parcel during any fiscal year.
- (d) The duration of the assessment.
- (e) The basis of the assessment.
- (f) The schedule of the assessment.
- (g) A description specifying the requirements for protest and hearing procedures for the proposed assessment pursuant to Section 50078.6.

50078.5. (a) The legislative body may establish zones or areas of benefit within the local agency and may restrict the imposition of assessments to areas lying within one or more of the zones or areas of benefit established within the local agency.

(b) The benefit assessment shall be levied on a parcel, class of improvement to property, or use of property basis, or a combination thereof, within the boundaries of the local agency, zone, or area of benefit. The assessment may be levied against any parcel, improvement, or use of property to which such services may be made available whether or not the service is actually used.

50078.6. The clerk of the local agency shall cause the notice, protest, and hearing procedures to comply with Section 53753. The mailed notice shall also contain the name and telephone number of the person designated by the legislative body to answer inquiries regarding the protest proceedings.

50078.13. The local agency shall pay the county for costs, if any, incurred by the county in conducting the election. An election called by a legislative body pursuant to this article is subject to all provisions of the Elections Code applicable to elections called by the local agency. The local agency may recover the costs of the election and any other costs of preparing and levying the assessment from the proceeds of the assessment.

50078.16. The legislative body may provide for the collection of the assessment in the same manner, and subject to the same penalties as, other fees, charges, and taxes fixed and collected by, or on behalf of the local agency. If the assessments are collected by the county, the county may deduct its reasonable costs incurred for that service before remittal of the balance to the local agency's treasury.

50078.17. Chapter 9 (commencing with Section 860) of Title 10 of Part 2 of the Code of Civil Procedure applies to any judicial action or proceeding to validate, attack, review, set aside, void, or annul an ordinance or resolution levying an assessment or modifying or amending an existing ordinance or resolution. If an ordinance or resolution provides for an automatic adjustment in an assessment, and the automatic adjustment results in an increase in the amount of an assessment, any action or proceeding to attack, review, set aside, void, or annul the increase shall be commenced within 90 days of the effective date of the increase. Any appeal from a final judgment in the action or proceeding brought pursuant to this section shall be filed within 30 days after entry of the judgment.

50078.19. This article does not limit or prohibit the levy or collection of any other fee, charge, assessment, or tax for fire suppression services authorized by any other provisions of law.

50078.20. Any fire protection district may specifically allocate a portion of the revenue generated pursuant to this article to pay the interest and that portion of the principal as will become due on an annual basis on indebtedness incurred pursuant to Section 8589.13 of this code and Section 13906 of the Health and Safety Code.

APPENDIX C – ARTICLE XIID OF THE CALIFORNIA CONSTITUTION

Proposition 218 was approved by voters as a Constitutional Amendment on November 6, 1996. It became Article XIIC and Article XIID of the California State Constitution and has imposed additional requirements for assessment districts. Following is a summary of the Article.

SEC.1. Application. Notwithstanding any other provision of law, the provisions of this article shall apply to all assessments, fees and charges, whether imposed pursuant to state statute or local government charter authority. Nothing in this article or Article XIIC shall be construed to:

- (a) Provide any new authority to any agency to impose a tax, assessment, fee, or charge.
- (b) Affect existing laws relating to the imposition of fees or charges as a condition of property development.
- (c) Affect existing laws relating to the imposition of timber yield taxes.

SEC. 2. Definitions. As used in this article:

- (a) "Agency" means any local government as defined in subdivision (b) of Section 1 of Article XIIC.
- (b) "Assessment" means any levy or charge upon real property by an agency for a special benefit conferred upon the real property. "Assessment" includes, but is not limited to, "special assessment," "benefit assessment," "maintenance assessment" and "special assessment tax."
- (c) "Capital cost" means the cost of acquisition, installation, construction, reconstruction, or replacement of a permanent public improvement by an agency.
- (d) "District" means an area determined by an agency to contain all parcels which will receive a special benefit from a proposed public improvement or property-related service.
- (e) "Fee" or "charge" means any levy other than an ad valorem tax, a special tax, or an assessment, imposed by an agency upon a parcel or upon a person as an incident of property ownership, including a user fee or charge for a property related service.
- (f) "Maintenance and operation expenses" means the cost of rent, repair, replacement, rehabilitation, fuel, power, electrical current, care, and supervision necessary to properly operate and maintain a permanent public improvement.
- (g) "Property ownership" shall be deemed to include tenancies of real property where tenants are directly liable to pay the assessment, fee, or charge in question.

(h) "Property-related service" means a public service having a direct relationship to property ownership.

(i) "Special benefit" means a particular and distinct benefit over and above general benefits conferred on real property located in the district or to the public at large. General enhancement of property value does not constitute "special benefit."

SEC. 3. Property Taxes, Assessments, Fees and Charges Limited.

(a) No tax, assessment, fee, or charge shall be assessed by any agency upon any parcel of property or upon any person as an incident of property ownership except: (1) The ad valorem property tax imposed pursuant to Article XIII and Article XIII A. (2) Any special tax receiving a two-thirds vote pursuant to Section 4 of Article XIII A. (3) Assessments as provided by this article. (4) Fees or charges for property related services as provided by this article.

(b) For purposes of this article, fees for the provision of electrical or gas service shall not be deemed charges or fees imposed as an incident of property ownership.

SEC. 4. Procedures and Requirements for All Assessments.

(a) An agency which proposes to levy an assessment shall identify all parcels which will have a special benefit conferred upon them and upon which an assessment will be imposed. The proportionate special benefit derived by each identified parcel shall be determined in relationship to the entirety of the capital cost of a public improvement, the maintenance and operation expenses of a public improvement, or the cost of the property related service being provided. No assessment shall be imposed on any parcel which exceeds the reasonable cost of the proportional special benefit conferred on that parcel. Only special benefits are assessable, and an agency shall separate the general benefits from the special benefits conferred on a parcel. Parcels within a district that are owned or used by any agency, the State of California or the United States shall not be exempt from assessment unless the agency can demonstrate by clear and convincing evidence that those publicly owned parcels in fact receive no special benefit.

(b) All assessments shall be supported by a detailed engineer's report prepared by a registered professional engineer certified by the State of California.

(c) The amount of the proposed assessment for each identified parcel shall be calculated and the record owner of each parcel shall be given written notice by mail of the proposed assessment, the total amount thereof chargeable to the entire district, the amount chargeable to the owner's particular parcel, the duration of the payments, the reason for the assessment and the basis upon which the amount of the proposed assessment was calculated, together with the date, time, and location of a public hearing on the proposed assessment. Each notice shall also include, in a conspicuous place thereon, a summary of

the procedures applicable to the completion, return, and tabulation of the ballots required pursuant to subdivision (d), including a disclosure statement that the existence of a majority protest, as defined in subdivision (e), will result in the assessment not being imposed.

(d) Each notice mailed to owners of identified parcels within the district pursuant to subdivision (c) shall contain a ballot which includes the agency's address for receipt of the ballot once completed by any owner receiving the notice whereby the owner may indicate his or her name, reasonable identification of the parcel, and his or her support or opposition to the proposed assessment.

(e) The agency shall conduct a public hearing upon the proposed assessment not less than 45 days after mailing the notice of the proposed assessment to record owners of each identified parcel. At the public hearing, the agency shall consider all protests against the proposed assessment and tabulate the ballots. The agency shall not impose an assessment if there is a majority protest. A majority protest exists if, upon the conclusion of the hearing, ballots submitted in opposition to the assessment exceed the ballots submitted in favor of the assessment. In tabulating the ballots, the ballots shall be weighted according to the proportional financial obligation of the affected property.

(f) In any legal action contesting the validity of any assessment, the burden shall be on the agency to demonstrate that the property or properties in question receive a special benefit over and above the benefits conferred on the public at large and that the amount of any contested assessment is proportional to, and no greater than, the benefits conferred on the property or properties in question.

(g) Because only special benefits are assessable, electors residing within the district who do not own property within the district shall not be deemed under this Constitution to have been deprived of the right to vote for any assessment. If a court determines that the Constitution of the United States or other federal law requires otherwise, the assessment shall not be imposed unless approved by a two-thirds vote of the electorate in the district in addition to being approved by the property owners as required by subdivision (e).

SEC. 5. Effective Date.

Pursuant to subdivision (a) of Section 10 of Article II, the provisions of this article shall become effective the day after the election unless otherwise provided. Beginning July 1, 1997, all existing, new, or increased assessments shall comply with this article. Notwithstanding the foregoing, the following assessments existing on the effective date of this article shall be exempt from the procedures and approval process set forth in Section 4:

(a) Any assessment imposed exclusively to finance the capital costs or maintenance and operation expenses for sidewalks, streets, sewers, water, flood control, drainage systems or vector control. Subsequent increases in such assessments shall be subject to the procedures and approval process set forth in Section 4.

(b) Any assessment imposed pursuant to a petition signed by the persons owning all of the parcels subject to the assessment at the time the assessment is initially imposed. Subsequent increases in such assessments shall be subject to the procedures and approval process set forth in Section 4.

(c) Any assessment the proceeds of which are exclusively used to repay bonded indebtedness of which the failure to pay would violate the Contract Impairment Clause of the Constitution of the United States.

(d) Any assessment which previously received majority voter approval from the voters voting in an election on the issue of the assessment. Subsequent increases in those assessments shall be subject to the procedures and approval process set forth in Section 4.

END NOTES

- ¹ Insurance Services Offices Inc.
<http://www.rockwall.com/FireDepartment/Insurance%20Services%20Office%20Rating%20Information.pdf>
- ² Institute for Business & Home Safety, "Protect Your Home Against Wildfire Damage,"
<http://www.ibhs.org/publications/view.asp?id=125>
- ³ Institute for Business & Home Safety, "Is Your Home Protected from Wildfire Damage? A Homeowner's Guide to Retrofit," <http://www.ibhs.org/publications/view.asp?id=130>
- ⁴ U.S. Fire Administration, Department of Homeland Security, "America Burning, Recommissioned: Principal Findings and Recommendations," p.1,
<http://www.usfa.fema.gov/downloads/pdf/abr-rep.PDF>
- ⁵ U.S. Fire Administration, Department of Homeland Security, "America Burning, Recommissioned: Principal Findings and Recommendations," p.2,
<http://www.usfa.fema.gov/downloads/pdf/abr-rep.PDF>
- ⁶ Insurance Services Offices Inc., p. 1,
<http://www.rockwall.com/FireDepartment/Insurance%20Services%20Office%20Rating%20Information.pdf>
- ⁷ Renewable Natural Resources Foundation, "Workshop on National Parks Fire Policy: Goals, Perceptions, and Reality," Renewable Resources Journal, Volume 11, Number 1, Spring 1993, p. 6
- ⁸ Weldon, Leslie A. C., "Dealing with Public Concerns in Restoring Fire to the Forest," General Technical Report INT-GTR-341 The Use of Fire in Forest Restoration, U.S. Forest Service, June 1996, p. 3
- ⁹ U.S. Forest Service, Department of Agriculture, "Social Science to Improve Fuels Management: A Synthesis of Research on Aesthetics and Fuels Management," p. 1,
http://ncrs.fs.fed.us/pubs/gtr/gtr_nc261.pdf
- ¹⁰ U.S. Forest Service, Department of Agriculture, "Social Science to Improve Fuels Management: A Synthesis of Research on Aesthetics and Fuels Management," p. 25,
http://ncrs.fs.fed.us/pubs/gtr/gtr_nc261.pdf

¹¹ Weldon, Leslie A. C., "Dealing with Public Concerns in Restoring Fire to the Forest," General Technical Report INT-GTR-341 The Use of Fire in Forest Restoration, U.S. Forest Service, June 1996, p. 2



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Public Employee Performance Evaluation – Government Code Section 54957

RECOMMENDATION:

That Council hold a closed session for a Public Employee Performance Evaluation per Government Code Section 54957.

Title: City Attorney

Scheduling: Duration, 40 minutes; anytime

Report: None anticipated

PREPARED BY: Jennifer Jennings, Administrator's Office Supervisor

SUBMITTED BY: Helene Schneider, Mayor

APPROVED BY: City Administrator's Office



Agenda Item No. 27

File Code No. 440.05

CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: May 19, 2015

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Conference With Labor Negotiator

RECOMMENDATION:

That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristine Schmidt, Administrative Services Director, regarding negotiations with the Fire Management Association, Supervisors Association, and regarding salaries and fringe benefits for unrepresented management.

SCHEDULING: Duration, 30 minutes; anytime

REPORT: None anticipated

SUBMITTED BY: Kristine Schmidt, Administrative Services Director

APPROVED BY: City Administrator's Office