

CITY OF SANTA BARBARA CITY COUNCIL

Helene Schneider
Mayor
Gregg Hart
Mayor Pro Tempore
Randy Rowse
Ordinance Committee Chair
Dale Francisco
Finance Committee Chair
Frank Hotchkiss
Cathy Murillo
Bendy White



Paul Casey
City Administrator

Ariel Pierre Calonne
City Attorney

City Hall
735 Anacapa Street
<http://www.SantaBarbaraCA.gov>

NOVEMBER 24, 2015 AGENDA

ORDER OF BUSINESS: Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council meeting begins at 2:00 p.m. in the Council Chamber at City Hall.

REPORTS: Copies of the reports relating to agenda items are available for review in the City Clerk's Office, at the Central Library, and <http://www.SantaBarbaraCA.gov>. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") for that item from either the Clerk's Office, the Reference Desk at the City's Main Library, or online at the City's website (<http://www.SantaBarbaraCA.gov>). Materials related to an item on this agenda submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office located at City Hall, 735 Anacapa Street, Santa Barbara, CA 93101, during normal business hours.

PUBLIC COMMENT: At the beginning of the 2:00 p.m. session of each regular City Council meeting, and at the beginning of each special City Council meeting, any member of the public may address the City Council concerning any item not on the Council's agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the City Council. Should City Council business continue into the evening session of a regular City Council meeting at 6:00 p.m., the City Council will allow any member of the public who did not address them during the 2:00 p.m. session to do so. The total amount of time for public comments will be 15 minutes, and no individual speaker may speak for more than 1 minute. The City Council, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

REQUEST TO SPEAK: A member of the public may address the Finance or Ordinance Committee or City Council regarding any scheduled agenda item. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that the item is taken up by the Finance or Ordinance Committee or City Council.

CONSENT CALENDAR: The Consent Calendar is comprised of items that will not usually require discussion by the City Council. A Consent Calendar item is open for discussion by the City Council upon request of a Councilmember, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak at the time the Council considers the Consent Calendar.

AMERICANS WITH DISABILITIES ACT: If you need auxiliary aids or services or staff assistance to attend or participate in this meeting, please contact the City Administrator's Office at 564-5305. If possible, notification at least 48 hours prior to the meeting will usually enable the City to make reasonable arrangements. Specialized services, such as sign language interpretation or documents in Braille, may require additional lead time to arrange.

TELEVISION COVERAGE: Each regular City Council meeting is broadcast live in English and Spanish on City TV Channel 18 and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 9:00 a.m., and in Spanish on Sundays at 4:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at www.citytv18.com for rebroadcasts of Finance and Ordinance Committee meetings, and for any changes to the replay schedule.

ORDER OF BUSINESS

- 12:30 p.m. - Finance Committee Meeting, David Gebhard Public Meeting Room,
630 Garden Street
2:00 p.m. - City Council Meeting

FINANCE COMMITTEE MEETING - 12:30 P.M. IN THE DAVID GEBHARD PUBLIC MEETING ROOM, 630 GARDEN STREET (120.03)

Subject: Fiscal Year 2016 First Quarter Review (120.03)

Recommendation: That Finance Committee recommend that Council:

- A. Accept the Fiscal Year 2016 interim financial statements for the three months ended September 30, 2015; and
- B. Approve the proposed first quarter adjustments to Fiscal Year 2016 appropriations and estimated revenues as detailed in the attached Schedule of Proposed First Quarter Budget Adjustments.
(See Council Agenda Item No. 2)

REGULAR CITY COUNCIL MEETING – 2:00 P.M.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL

CHANGES TO THE AGENDA

PUBLIC COMMENT

CONSENT CALENDAR

1. **Subject: Minutes**

Recommendation: That Council waive further reading and approve the minutes of the regular meeting of November 10, 2015.

2. **Subject: Fiscal Year 2016 First Quarter Review (250.02)**

Recommendation: That Council:

- A. Accept the Fiscal Year 2016 interim financial statements for the three months ended September 30, 2015; and
- B. Approve the proposed first quarter adjustments to Fiscal Year 2016 appropriations and estimated revenues as detailed in the Schedule of Proposed First Quarter Budget Adjustments.

3. **Subject: October 2015 Investment Report (260.02)**

Recommendation: That Council accept the October 2015 Investment Report.

CONSENT CALENDAR (CONT'D)

4. Subject: Approval Of Final Map And Execution Of Agreements For 210 Meigs Road And Acceptance Of Adjacent Easement At Washington School (330.03)

Recommendation: That Council:

- A. Approve and authorize the City Administrator to execute and record Final Map Number 20,815 and standard agreements relating to the approved subdivision at 210 Meigs Road, and authorize the City Engineer to record, upon completion of any required public improvements, a recital document stating that the public improvements have been completed and that the previously recorded Land Development Agreement may be removed from the title document; and
- B. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Accepting a New Water and Sewer Easement on Santa Barbara Unified School District Property, known as Washington Elementary School, Located at 290 Lighthouse Road.

5. Subject: Purchase Order For The Maintenance And Repair Of The El Estero Wastewater Treatment Plant Digester No. 1 Lining (540.13)

Recommendation: That Council:

- A. Find that it is in the best interest of the City to waive formal bidding requirements section 4.52.070(L) of the Santa Barbara Municipal Code; and
- B. Authorize the Public Works Director to execute a Purchase Order with Olympos Painting, Inc., in the amount of \$191,000 for maintenance and repair of the El Estero Wastewater Treatment Plant Digester No. 1, and authorize the Public Works Director to approve expenditures up to \$19,100 to cover any cost increases that may result from necessary changes in the scope of work.

6. Subject: Increase In Construction Change Order Authority For Cabrillo Boulevard Bridge Replacement/Anacapa Street Crosswalk Enhancement (530.04)

Recommendation: That Council:

- A. Accept Highway Safety Improvement Program grant funding increase in the amount of \$270,000 for a revised total amount of \$763,500 for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project;
- B. Authorize an increase in appropriations and estimated revenues related to the Highway Safety Improvement Program grant funding by \$270,000 in the Fiscal Year 2016 Streets Grant Fund to cover a portion of the cost of construction for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project;

(Cont'd)

CONSENT CALENDAR (CONT'D)

6. (Cont'd)

- C. Approve the transfer of \$180,000 in available appropriations from the Streets Capital Fund to the Streets Grant Fund for the City's share of the construction cost for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project;
- D. Authorize an increase in the Public Works Director's Change Order Authority to approve expenditures for extra work for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project, Contract No. 24,977, in the amount of \$430,512.50, for a total contract expenditure authority of \$15,818,578.00; and
- E. Authorize an increase in the extra services amount with Bengal Engineering, Incorporated, for design support services during construction for the Cabrillo Boulevard Bridge Replacement Project, Contract No. 24,979, in the amount of \$43,122.50, for a total contract expenditure authority of \$292,624.50.

7. **Subject: Contract For Fiscal Year 2017 Water Rate Modeling Services (540.01)**

Recommendation: That Council authorize the Public Works Director to execute a City Professional Services contract with Raftelis Financial Consultants, Inc., in the amount of \$50,221 for development of proposed water rates for Fiscal Year 2017, and authorize the Public Works Director to approve expenditures of up to \$12,555 for extra services that may result from necessary changes in the scope of work, for a total not-to-exceed amount of \$62,776.

8. **Subject: Cancellation Of Certain Council Meetings In 2016 (120.09)**

Recommendation: That Council cancel the regular Council Meetings on the following dates: January 5, January 19, February 16, April 5, May 31, July 5, August 16, August 23, August 30, November 29, December 20, and December 27, 2016.

CONSENT CALENDAR (CONT'D)

9. Subject: Collection Of High Resolution 3D Elevation/Terrain Data (LiDAR) (170.04)

Recommendation: That Council:

- A. Approve the expenditure of \$75,000 and authorize the Administrative Services Director to negotiate, subject to approval by the City Attorney, an agreement with Channel Islands Regional Geographic Information Systems Collaborative for the acquisition and processing of High Resolution 3D Elevation/Terrain Data for the City's Centralized Geographic Information System; and approve an additional \$7,500 for contingency costs that may be necessary; and
- B. Appropriate \$82,500 from Information Systems Fund Reserves to the Fiscal Year 2016 Information Systems Budget for this purpose.

10. Subject: Adoption Of Ordinance Amending Chapter 2.04 Of The Santa Barbara Municipal Code Pertaining To Council Meetings (120.02)

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 2.04 of the Santa Barbara Municipal Code Pertaining to Council Meetings.

NOTICES

- 11. The City Clerk has on Thursday, November 19, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.

This concludes the Consent Calendar.

REPORT FROM THE FINANCE COMMITTEE

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

ADMINISTRATIVE SERVICES DEPARTMENT

12. Subject: Certification Of The Election Results Of The Official Canvass For The General Municipal Election Of November 3, 2015 (110.03)

Recommendation: That Council:

- A. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Reciting the Fact of the Vote-By-Mail General Municipal Election Held on November 3, 2015, Declaring the Results and Such Other Matters as Required by the State Elections Code; and
- B. Receive a final presentation on the November 3, 2015 Election.

PUBLIC WORKS DEPARTMENT

13. Subject: Approval Of The Citywide Wayfinding Directional Signage Program Including Project Funding And Installation Plan (530.04)

Recommendation: That Council approve the Citywide Wayfinding Directional Signage Program and authorize the Public Works Director to implement the installation of the signage as outlined in the recommended phasing plan and provide staff direction on funding future phases of the Wayfinding program.

MAYOR AND COUNCIL REPORTS

14. Subject: Request From Councilmembers Rowse And Francisco Regarding Recreational Vehicle Restrictions (550.01)

Recommendation: That Council consider the request from Councilmembers Rowse and Francisco regarding Municipal Code 10.44.205(b), to forward to Ordinance Committee the addition of Item No. 10, any other land deemed sensitive by the Chief of Police and the Public Works Director to the list of land uses that may have restricted recreational vehicle parking.

COUNCIL AND STAFF COMMUNICATIONS

COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

PUBLIC COMMENT (IF NECESSARY)

ADJOURNMENT

CITY OF SANTA BARBARA

FINANCE COMMITTEE

MEETING AGENDA

DATE: November 24, 2015

TIME: 12:30 P.M.

PLACE: David Gebhard Public Meeting Room
630 Garden Street

Dale Francisco, Chair

Bendy White

Gregg Hart

Paul Casey
City Administrator

Robert Samario
Finance Director

ITEMS TO BE CONSIDERED:

Subject: Fiscal Year 2016 First Quarter Review

Recommendation: That the Finance Committee recommend that Council:

- A. Accept the Fiscal Year 2016 interim financial statements for the three months ended September 30, 2015; and
- B. Approve the proposed first quarter adjustments to Fiscal Year 2016 appropriations and estimated revenues as detailed in the Schedule of Proposed First Quarter Budget Adjustments.

(See Council Agenda Item No. 2)



CITY OF SANTA BARBARA CITY COUNCIL MINUTES

REGULAR MEETING November 10, 2015 COUNCIL CHAMBER, 735 ANACAPA STREET

CALL TO ORDER

Mayor Helene Schneider called the meeting to order at 2:00 p.m. (The Finance Committee and Ordinance Committee, which ordinarily meet at 12:30 p.m. did not meet on this date.)

PLEDGE OF ALLEGIANCE

Mayor Schneider.

ROLL CALL

Councilmembers present: Gregg Hart, Frank Hotchkiss, Cathy Murillo, Randy Rowse, Bendy White, Mayor Schneider.

Councilmembers absent: Dale Francisco.

Staff present: City Administrator Paul Casey, City Attorney Ariel Pierre Calonne, Deputy City Clerk Deborah L. Applegate.

CEREMONIAL ITEMS

1. **Subject: Employee Recognition - Service Award Pins (410.01)**

Recommendation: That Council authorize the City Administrator to express the City's appreciation to employees who are eligible to receive service award pins for their years of service through November 30, 2015.

Documents:

November 10, 2015, report from the Administrative Services Director.

Speakers:

Staff: City Administrator Paul Casey.

(Cont'd)

1. (Cont'd)

By consensus, the Council approved the recommendation, and the following employees were recognized:

5-Year Pin

Kyle Crooks, Police Officer, Police Department
Sarah Fox, Records Technician, Administrative Services Department
Julio Gonzalez-Vasquez, Water District Operator II, Public Works Department
Jesus Jaimes, Senior Water District Operator, Public Works Department
David Rowell, Project Planner, Community Development Department
Andrew Ruiz, Wastewater Treatment Plant Operator II, Public Works Department
Ramon Urrutia, Wastewater Collection Systems Operator II, Public Works Department

10-Year Pin

Heather Clark, Police Officer, Police Department
Kasi Corbett, Police Officer, Police Department
Brian Miller, Police Officer, Police Department
Moises Tinajera, Senior Water District Operator, Public Works Department
Matthew Tonello, Police Officer, Police Department

15-Year Pin

Martin Golszewski, Waterfront Maintenance Worker II, Waterfront Department
Camerino Sanchez, Police Chief, Police Department
Ignacio Valdez, Grounds Maintenance Crew Leader, Parks and Recreation Department

25-Year Pin

Mirna Dubon, Administrative Specialist, Police Department

PUBLIC COMMENT

Speakers: Andrea Roselinsky; Phil Walker; Fred Sweeney; Scott Wenz, Cars Are Basic; Dave Hopkins; Bob Stout, Downtown Santa Barbara; Richard Robinson; Stephen Cruver, Common Ground Santa Barbara; Sharon Byrne, Milpas Community Association.

Councilmember Francisco arrived at 2:16 p.m.

CONSENT CALENDAR

4. Subject: A Resolution Denying The Appeal Of The Montecito Association And Upholding The Decision Of The Parks And Recreation Commission Regarding Tree Removal At 1187 Coast Village Road. (570.08)

Recommendation: That Council adopt, by reading of title only, A Resolution Of The Council Of The City Of Santa Barbara Denying The Appeal Of The Montecito Association And Upholding The Decision Of The Parks and Recreation Commission To Approve The Removal of Two Canary Island Pine Trees Located In The Front Setback at 1187 Coast Village Road, Pursuant to Council's Direction of October, 2015.

Councilmember Hotchkiss stated he would abstain from voting on the proposed resolution due to his absence from the site visit and Council meeting where the appeal was heard.

Documents:

- November 10, 2015, report from the City Attorney.
- Proposed resolution.

Motion:

Councilmembers Murillo/Hotchkiss to approve the Consent Calendar as recommended; Resolution No. 15-087.

Vote:

Majority roll call vote. (Abstentions: Councilmember Hotchkiss)

CONSENT CALENDAR (Item Nos. 2 - 3, 5 - 18)

The titles of the resolutions and ordinances related to Consent Calendar items were read.

Motion:

Councilmembers Murillo/Hotchkiss to approve the Consent Calendar as recommended.

Vote:

Unanimous roll call vote.

2. Subject: Minutes

Recommendation: That Council waive further reading and approve the minutes of the adjourned regular meeting of October 19, 2015, the regular meetings of October 20 and 27, 2015, and the regular meeting (cancelled) of November 3, 2015.

Action: Approved the recommendation.

3. Subject: Reclassification Of Maintenance Worker Positions In The Facilities Management Division Of The Public Works Department (530.01)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Amending Resolution No. 15-056, the Position and Salary Control Resolution for Fiscal Year 2016, Affecting the Public Works Department, Effective November 14, 2015.

Action: Approved the recommendation; Resolution No. 15-086 (November 10, 2015, report from the Administrative Services Director; proposed resolution).

5. Subject: Adoption Of Ordinance To Grant Easements To The County Flood Control District (150.04)

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Approving the Granting of Easements on City-Owned Airport Properties to the County of Santa Barbara Flood Control and Water Conservation District for Flood Control and All Related Purposes, and Authorizing the City Administrator to Execute as Necessary the Grant Deeds in a Form Approved by the City Attorney.

Action: Approved the recommendation; Ordinance No. 5726.

6. Subject: Contract For Construction Of Westside Sidewalk Access Ramp Project (530.04)

Recommendation: That Council award a contract with Brough Construction, Inc., in their low bid amount of \$191,703 for construction of the Community Development Block Grant 2015-2016 Westside Sidewalk Access Ramp Project, Bid No. 3788, and authorize the Public Works Director to execute the contract and approve expenditures up to \$28,755 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment.

Action: Approved the recommendation; Agreement No. 25,358 (November 10, 2015, report from the Public Works Director).

7. Subject: Contract For Design For The Zone 3 (Westside, Hidden Valley, Campanil, And West Mesa Neighborhoods) Pavement Maintenance Project (530.04)

Recommendation: That Council:

- A. Approve the selection of Flowers & Associates, Inc., to provide professional engineering design and construction management services for the annual Pavement Maintenance Projects scheduled for Fiscal Years 2016 through 2018; and

(Cont'd)

7. (Cont'd)

- B. Authorize the Public Works Director to execute a City Professional Services contract with Flowers & Associates, Inc., in the amount of \$106,544 for design services of the Fiscal Year 2016 Pavement Maintenance Project, and authorize the Public Works Director to approve expenditures of up to \$10,656 for extra services that may result from necessary changes in the scope of work.

Action: Approved the recommendations; Agreement No. 25,359 (November 10, 2015, report from the Public Works Director).

8. Subject: Emergency Increase In Purchase Order Authority For Repairs To The Laguna Channel (540.06)

Recommendation: That Council authorize the General Services Manager to increase an existing maintenance and repair purchase order with Granite Construction Company, Inc., by \$84,000 for emergency repairs to the Laguna Channel for a new not-to-exceed total of \$157,985.

Action: Approved the recommendation (November 10, 2015, report from the Public Works Director).

9. Subject: Contract Amendment With USGS For Groundwater Modeling In Support Of Studying The Feasibility Of Alternatives To Screened Ocean Intake For Desalination (540.10)

Recommendation: That Council authorize the Public Works Director to execute an Amendment to the Joint Funding Agreement with the United States Geological Survey (USGS) for groundwater modeling services in the amount of \$66,400, and approve expenditures of up to \$15,000 for extra services that may result from unforeseen changes in the scope of work, raising the City's portion of cost in the Joint Funding Agreement to \$488,325.

Action: Approved the recommendation; Agreement No. 23,253.2 (November 10, 2015, report from the Public Works Director).

10. Subject: Aircraft Noise Monitoring And Flight Tracking Services License And Maintenance / Technical Support Agreement (560.14)

Recommendation: That Council:

- A. Authorize the City's General Services Manager to execute a 12-month agreement with Harris Inc. in the amount of \$71,000 pursuant to the Sole Source provisions of the Santa Barbara Municipal Code Section 4.52.070(K) for flight tracking services and maintenance and technical support for noise monitoring equipment at the Airport; and

(Cont'd)

10. (Cont'd)

- B. Authorize the City's General Services Manager to execute any agreements or change orders for a subsequent 12-months in the amount of \$78,000, for a two-year contract total not to exceed \$149,000.

Action: Approved the recommendations; Agreement No. 25,360 (November 10, 2015, report from the Airport Director).

11. Subject: Donation For Police K9 Program (520.04)

Recommendation: That Council accept a donation of \$20,000 from the Santa Barbara Police Foundation for the Police K9 Program Trust Fund.

Action: Approved the recommendation (November 10, 2015, report from the Chief of Police).

12. Subject: Donations For Animal Control Program Equipment (520.05)

Recommendation: That Council:

- A. Accept a donation of \$4,500 from the Deborah K. Oldham Trust of 2001;
- B. Approve an increase in appropriations and estimated revenues in the amount of \$4,500 in Fiscal Year 2016 in the Police Department Miscellaneous Grants Fund for Animal Control equipment that will help enhance public safety and service;
- C. Accept a donation of \$8,310 from Stephen and Joy Frank; and
- D. Approve an increase in appropriations and estimated revenues in the amount of \$8,310 in Fiscal Year 2016 in the Police Department Miscellaneous Grants Fund for an all-terrain vehicle (ATV) which will enhance public safety and service.

Action: Approved the recommendations (November 10, 2015, report from the Chief of Police).

13. Subject: Appropriation Of Human Services Grant Funds For Youth Apprenticeship And Santa Barbara Arts Alliance Programs (570.05)

Recommendation: That Council increase appropriations and estimated revenues in the Fiscal Year (FY) 2016 Parks and Recreation Department Miscellaneous Grant Fund, in the amount of \$15,000, for two Human Services Grant awards.

Action: Approved the recommendation (November 10, 2015, report from the Acting Parks and Recreation Director).

14. Subject: Contributions From Parks And Recreation Community Foundation (570.05)

Recommendation: That Council:

- A. Accept a contribution from the Parks and Recreation Community (PARC) Foundation in the amount of \$45,000 for Parks and Recreation Department programs; and
- B. Increase appropriations and estimated revenues in the Fiscal Year (FY) 2016 Parks and Recreation Department Miscellaneous Grants Fund in the amount of \$45,000.

Action: Approved the recommendations (November 10, 2015, report from the Acting Parks and Recreation Director).

15. Subject: Police Officer And Public Safety Dispatcher Recruitment Incentive Program (520.04)

Recommendation: That Council:

- A. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Establishing a Police Officer Recruitment Incentive Program Providing Referral Incentives for City Employees and Hiring Incentives for New Police Officers; and
- B. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Establishing a Public Safety Dispatcher Recruitment Incentive Program Providing Referral Incentives for City Employees and Hiring Incentives for New Public Safety Dispatchers.

Action: Approved the recommendations; Resolution No. 15-088 and 15-089 (November 10, 2015, report from the Chief of Police; proposed resolutions).

CONSENT PUBLIC HEARING

16. Subject: TEFRA (Tax Equity And Fiscal Responsibility Act) Hearing For 510 N. Salsipuedes (Jardin De Las Rosas Apartments) Debt Issuance (660.01)

Recommendation: That Council hold a public hearing and adopt, by reading of title only, A Resolution of the City Council of the City of Santa Barbara Approving the Issuance of Revenue Bonds by the California Municipal Finance Authority in an Aggregate Principal Amount not to exceed \$15,000,000 for the Purpose of Financing the Acquisition, Construction, Improvement, and Equipping of a 40-unit Multifamily Rental Housing Facility and Certain Other Matters Relating Thereto.

Action: Approved the recommendation; Resolution No. 15-090 (November 10, 2015, report from the Finance Director).

NOTICES

17. The City Clerk has on Thursday, November 5, 2015, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
18. A City Council site visit is scheduled for Monday, November 16, 2015, at 1:30 p.m. to the property located at 806 Alberta Avenue, which is the subject of an appeal hearing set for November 17, 2015, at 2:00 p.m.

This concluded the Consent Calendar.

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

CITY ATTORNEY

19. Subject: Updated City Council Rules Of Procedure (120.02)

Recommendation: That Council:

- A. Introduce and subsequently adopt, by reading of title only, An Ordinance Of The Council Of The City Of Santa Barbara Amending Chapter 2.04 Of The Santa Barbara Municipal Code Pertaining To Council Meetings; and
- B. Adopt, by reading of title only, A Resolution Of The Council Of The City Of Santa Barbara Adopting Rules Of Procedure Governing The Conduct Of City Council Meetings And Rescinding Resolution No. 09-097.

Documents:

- November 10, 2015, report from the City Attorney.
- Proposed ordinance.
- Proposed resolution.
- PowerPoint presentation prepared and made by Staff.

The title of the ordinance and resolution were read.

Speakers:

Staff: City Attorney Ariel Calonne.

Motion:

Councilmembers Francisco/Rowse to approve the recommendations with a modification to 4.11 of the revised procedures giving Speakers until the end of the staff report to submit a "Request to Speak" form for that item.

Amended Motion:

Councilmembers Francisco/Rowse to approve the recommendations with a modification to 4.11 striking the words, "prior" to the time that the item on the agenda is initially read by the Deputy City Clerk.

Vote:

Unanimous roll call vote.

20. Subject: Newly Adopted Medical Marijuana Legislation (160.02)

Recommendation: That Council:

- A. Receive an update on the potential impacts to the City of Santa Barbara resulting from new legislation regulating cultivation, sale, and licensing of medical marijuana;
- B. Provide direction to the Community Development Department and the City Attorney's Office related to mobile marijuana delivery services and the cultivation of marijuana for medicinal purposes within the City.

Documents:

- November 10, 2015, report from the City Attorney.
- PowerPoint presentation prepared and made by Staff.

Speakers:

- Staff: City Attorney Callone; Assistant City Attorney Tava Ostrenger;
- Members of the Public: Erie Bjorklund; David Bearman, M.D.; Phil Walker.

Motion:

Councilmember Murillo moved for Council to direct staff to develop an ordinance allowing medical marijuana cultivation in residential zones for people with a medical prescription and to allow commercial cultivation in manufacturing zones.

Motion died for lack of a second.

Motion:

Councilmembers Hotchkiss/Francisco to direct staff to develop an ordinance allowing for personal cultivation of medical marijuana of up to 100 square feet for residential zones and no commercial cultivation.

Amended Motion:

Councilmembers Hotchkiss/Francisco to direct staff to develop an ordinance allowing for personal cultivation of medical marijuana of up to 100 square feet for residential zones and residential occupancy within commercial zones and no commercial cultivation.

Vote:

Unanimous roll call vote.

21. Subject: Short Term Residential Rental Subpoenas (350.05)

Recommendation: That Council receive certified copies of subpoenaed records related to unlawful vacation rentals that are subject to the City's Ordinance prohibiting their operation.

(Cont'd)

21. (Cont'd)

Documents:

- November 10, 2015, report from the City Attorney.
- PowerPoint presentation prepared and made by Staff.

Speakers:

Staff: Deputy City Attorney John Doimas.

Councilmembers heard the report and their questions were answered.

COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

Information:

- Councilmember Rowse commented on his visit to the County of Santa Barbara Emergency Command Center during the Gibraltar Fire and commented on the excellent coordination of the many agencies involved.
- Councilmember White reported on his attendance at the recent Water Commission Meeting where they reviewed current drought conditions.
- Councilmember Hart commented on his attendance at the National League of Cities Conference where the topics of Technical Platforms and Vacation Rentals were discussed.
- Councilmember Murillo reported on her attendance at the Community Action Commission Board Meeting where they approved a new budget and a Women In Leadership Conference put on by the Santa Barbara County Commission of Women and Women's Economic Ventures.

CLOSED SESSIONS

22. Subject: Conference With City Attorney - Existing Litigation (160.03)

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed. The pending litigation is *Karen Fox, et al. v. City of Santa Barbara, et al.*, SBSC Case No. 1469026.

Scheduling: Duration, 15 minutes; anytime

Report: None anticipated

Documents:

November 10, 2015, report from the City Attorney.

Time:

5:15 p.m. – 5:20 p.m.

No report was made.

ADJOURNMENT

Mayor Schneider adjourned the meeting at 5:15 p.m. to Monday, November 16, 2015, at 1:30 p.m. at 806 Alberta Avenue.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA
CITY CLERK'S OFFICE

HELENE SCHNEIDER
MAYOR

ATTEST: _____
DEBORAH L. APPLGATE
DEPUTY CITY CLERK



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015
TO: Mayor and Councilmembers
FROM: Accounting Division, Finance Department
SUBJECT: Fiscal Year 2016 First Quarter Review

RECOMMENDATION: That Council:

- A. Accept the Fiscal Year 2016 interim financial statements for the three months ended September 30, 2015; and
- B. Approve the proposed first quarter adjustments to Fiscal Year 2016 appropriations and estimated revenues as detailed in the Schedule of Proposed First Quarter Budget Adjustments.

DISCUSSION:

Each month, staff presents the interim financial statements (Attachment 1) showing the status of revenues and expenditures in relation to budget for each of the City's Funds. The interim financial statements cover the first three months of the fiscal year. As such, it is premature to make any meaningful projections for the fiscal year. However, it appears that General Fund revenues and expenditures are currently in line with expectations at September 30, and tracking similar to previous years.

In addition to the interim financial statements, staff brings forward recommended adjustments for City Council approval. These adjustments are the result of new information and/or unanticipated events that occurred since the adoption of the budget in June 2015. A listing and description of each proposed adjustment to the current year budget is provided in Attachment 2.

ATTACHMENTS:

1. Interim Financial Statements for the Three Months Ended September 30, 2015
2. Schedule of Proposed First Quarter Budget Adjustments

PREPARED BY: Jennifer Tomaszewski, Accounting Manager

SUBMITTED BY: Robert Samario, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
GENERAL FUND					
Revenue	124,702,409	23,670,167	-	101,032,242	19.0%
Expenditures	<u>125,843,441</u>	<u>29,440,854</u>	<u>3,445,248</u>	92,957,339	26.1%
<i>Addition to / (use of) reserves</i>	<u>(1,141,032)</u>	<u>(5,770,687)</u>	<u>(3,445,248)</u>		
SOLID WASTE FUND					
Revenue	20,952,792	5,093,442	-	15,859,350	24.3%
Expenditures	<u>20,999,104</u>	<u>4,974,110</u>	<u>205,164</u>	15,819,830	24.7%
<i>Addition to / (use of) reserves</i>	<u>(46,312)</u>	<u>119,332</u>	<u>(205,164)</u>		
WATER OPERATING FUND					
Revenue	45,367,662	10,318,149	-	35,049,513	22.7%
Expenditures	<u>52,528,564</u>	<u>12,162,649</u>	<u>2,568,343</u>	37,797,572	28.0%
<i>Addition to / (use of) reserves</i>	<u>(7,160,902)</u>	<u>(1,844,500)</u>	<u>(2,568,343)</u>		
WASTEWATER OPERATING FUND					
Revenue	18,580,927	4,819,372	-	13,761,555	25.9%
Expenditures	<u>20,286,482</u>	<u>3,997,275</u>	<u>1,743,999</u>	14,545,208	28.3%
<i>Addition to / (use of) reserves</i>	<u>(1,705,555)</u>	<u>822,097</u>	<u>(1,743,999)</u>		
DOWNTOWN PARKING					
Revenue	8,383,944	2,065,231	-	6,318,713	24.6%
Expenditures	<u>8,894,872</u>	<u>1,930,388</u>	<u>670,171</u>	6,294,313	29.2%
<i>Addition to / (use of) reserves</i>	<u>(510,928)</u>	<u>134,844</u>	<u>(670,171)</u>		
AIRPORT OPERATING FUND					
Revenue	16,233,611	4,032,928	-	12,200,683	24.8%
Expenditures	<u>17,626,517</u>	<u>3,640,087</u>	<u>1,556,413</u>	12,430,017	29.5%
<i>Addition to / (use of) reserves</i>	<u>(1,392,906)</u>	<u>392,841</u>	<u>(1,556,413)</u>		
GOLF COURSE FUND					
Revenue	2,266,957	570,229	-	1,696,728	25.2%
Expenditures	<u>2,329,493</u>	<u>586,643</u>	<u>33,861</u>	1,708,989	26.6%
<i>Addition to / (use of) reserves</i>	<u>(62,536)</u>	<u>(16,415)</u>	<u>(33,861)</u>		
INTRA-CITY SERVICE FUND					
Revenue	7,284,170	1,835,457	-	5,448,713	25.2%
Expenditures	<u>7,291,791</u>	<u>1,437,566</u>	<u>208,271</u>	5,645,954	22.6%
<i>Addition to / (use of) reserves</i>	<u>(7,621)</u>	<u>397,891</u>	<u>(208,271)</u>		

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
FLEET REPLACEMENT FUND					
Revenue	3,245,667	837,112	-	2,408,555	25.8%
Expenditures	6,423,649	960,246	1,253,527	4,209,876	34.5%
<i>Addition to / (use of) reserves</i>	<u>(3,177,982)</u>	<u>(123,134)</u>	<u>(1,253,527)</u>		
FLEET MAINTENANCE FUND					
Revenue	2,722,761	687,695	-	2,035,066	25.3%
Expenditures	2,850,287	689,494	282,772	1,878,021	34.1%
<i>Addition to / (use of) reserves</i>	<u>(127,526)</u>	<u>(1,800)</u>	<u>(282,772)</u>		
SELF INSURANCE TRUST FUND					
Revenue	7,068,083	1,713,101	-	5,354,982	24.2%
Expenditures	6,956,494	1,367,769	268,705	5,320,020	23.5%
<i>Addition to / (use of) reserves</i>	<u>111,589</u>	<u>345,332</u>	<u>(268,705)</u>		
INFORMATION SYSTEMS ICS FUND					
Revenue	3,204,557	801,255	-	2,403,302	25.0%
Expenditures	3,599,636	886,295	54,762	2,658,578	26.1%
<i>Addition to / (use of) reserves</i>	<u>(395,079)</u>	<u>(85,041)</u>	<u>(54,762)</u>		
WATERFRONT FUND					
Revenue	13,458,598	4,143,020	-	9,315,578	30.8%
Expenditures	14,233,529	3,227,377	942,193	10,063,959	29.3%
<i>Addition to / (use of) reserves</i>	<u>(774,931)</u>	<u>915,643</u>	<u>(942,193)</u>		
TOTAL FOR ALL FUNDS					
Revenue	273,472,138	60,587,156	-	212,884,981	22.2%
Expenditures	289,863,860	65,300,753	13,233,429	211,329,678	27.1%
<i>Addition to / (use of) reserves</i>	<u>(16,391,723)</u>	<u>(4,713,596)</u>	<u>(13,233,429)</u>		

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Remaining Balance</u>	<u>Percent Received</u>	<u>Previous YTD</u>
TAXES					
Sales and Use	23,367,961	4,208,507	19,159,454	18.0%	4,153,737
Property Taxes	28,742,300	-	28,742,300	0.0%	-
Utility Users Tax	7,219,700	1,753,599	5,466,101	24.3%	1,802,847
Transient Occupancy Tax	19,707,100	6,377,876	13,329,224	32.4%	6,285,752
Business License	2,624,400	494,258	2,130,142	18.8%	494,475
Real Property Transfer Tax	659,100	245,769	413,331	37.3%	170,631
<i>Total</i>	<u>82,320,561</u>	<u>13,080,009</u>	<u>69,240,552</u>	15.9%	<u>12,907,442</u>
LICENSES & PERMITS					
Licenses & Permits	219,700	36,642	183,058	16.7%	59,642
<i>Total</i>	<u>219,700</u>	<u>36,642</u>	<u>183,058</u>	16.7%	<u>59,642</u>
FINES & FORFEITURES					
Parking Violations	2,701,987	731,662	1,970,325	27.1%	741,278
Library Fines	89,500	17,380	72,120	19.4%	26,405
Municipal Court Fines	100,000	16,709	83,291	16.7%	9,674
Other Fines & Forfeitures	310,000	85,301	224,699	27.5%	91,036
<i>Total</i>	<u>3,201,487</u>	<u>851,052</u>	<u>2,350,435</u>	26.6%	<u>868,393</u>
USE OF MONEY & PROPERTY					
Investment Income	633,743	158,541	475,202	25.0%	142,331
Rents & Concessions	419,316	90,831	328,485	21.7%	91,851
<i>Total</i>	<u>1,053,059</u>	<u>249,371</u>	<u>803,688</u>	23.7%	<u>234,182</u>
INTERGOVERNMENTAL					
Grants	260,568	44,948	215,620	17.3%	61,000
Vehicle License Fees	35,000	-	35,000	0.0%	-
Reimbursements	437,900	53,838	384,062	12.3%	-
<i>Total</i>	<u>733,468</u>	<u>98,786</u>	<u>634,682</u>	13.5%	<u>61,000</u>
FEES & SERVICE CHARGES					
Finance	961,454	243,874	717,580	25.4%	239,186
Community Development	4,817,843	1,513,899	3,303,944	31.4%	1,128,088
Recreation	3,179,480	1,309,553	1,869,927	41.2%	807,444
Public Safety	611,342	123,901	487,441	20.3%	146,597
Public Works	6,357,295	1,615,741	4,741,554	25.4%	1,517,962
Library	873,320	1,433	871,887	0.2%	2,792
Reimbursements	4,710,907	1,048,069	3,662,839	22.2%	1,119,048
<i>Total</i>	<u>21,511,641</u>	<u>5,856,470</u>	<u>15,655,171</u>	27.2%	<u>4,961,117</u>
OTHER REVENUES					
Miscellaneous	1,750,068	456,435	1,293,633	26.1%	637,700
Franchise Fees	3,219,400	891,991	2,327,409	27.7%	922,694
Indirect Allocations	7,180,832	1,797,722	5,383,110	25.0%	1,602,788
Operating Transfers-In	1,512,193	351,687	1,160,506	23.3%	234,584
Anticipated Year-End Variance	2,000,000	-	2,000,000	0.0%	-
<i>Total</i>	<u>15,662,493</u>	<u>3,497,836</u>	<u>12,164,657</u>	22.3%	<u>3,397,766</u>
TOTAL REVENUES	<u>124,702,409</u>	<u>23,670,167</u>	<u>101,032,242</u>	19.0%	<u>22,489,542</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
<u>Mayor & City Council</u>						
MAYOR & CITY COUNCIL	803,842	189,429	7,873	606,540	24.5%	
ARTS AND COMMUNITY PROMOTIONS	2,663,967	998,024	1,144,020	521,923	80.4%	
<i>Total</i>	<u>3,467,809</u>	<u>1,187,452</u>	<u>1,151,893</u>	<u>1,128,464</u>	67.5%	<u>890,321</u>
<u>City Attorney</u>						
CITY ATTORNEY-ADMINISTRATION	566,513	152,023.25	75,086	339,404	40.1%	
CITY ATTORNEY-ADVISORY	1,023,883	211,527	-	812,356	20.7%	
CITY ATTORNEY-CIVIL LITIGATION	738,668	151,755	-	586,913	20.5%	
CITY ATTORNEY-CODE ENFORCEMENT	228,540	31,671	-	196,869	13.9%	
<i>Total</i>	<u>2,557,604</u>	<u>546,976</u>	<u>75,086</u>	<u>1,935,542</u>	24.3%	<u>584,588</u>
<u>Administration</u>						
CITY ADMINISTRATOR	1,606,155	292,217	21,678	1,292,260	19.5%	
CITY TV	590,939	143,832	39,900	407,207	31.1%	
<i>Total</i>	<u>2,197,094</u>	<u>436,049</u>	<u>61,578</u>	<u>1,699,467</u>	22.6%	<u>521,837</u>
<u>Administrative Services</u>						
ADMINISTRATION	384,471	62,669	8,954	312,848	18.6%	
CITY CLERK	545,235	137,758	15,274	392,203	28.1%	
ELECTIONS	301,479	21,429	192,126	87,924	70.8%	
HUMAN RESOURCES	1,537,040	282,592	57,828	1,196,620	22.1%	
EMPLOYEE DEVELOPMENT	52,897	3,778	3,450	45,669	13.7%	
<i>Total</i>	<u>2,821,122</u>	<u>508,226</u>	<u>277,632</u>	<u>2,035,264</u>	27.9%	<u>471,321</u>
<u>Finance</u>						
ADMINISTRATION	257,828	61,397	9,995	186,436	27.7%	
REVENUE & CASH MANAGEMENT	512,956	105,795	19,695	387,467	24.5%	
CASHIERING & COLLECTION	513,575	116,044	-	397,531	22.6%	
LICENSES & PERMITS	528,331	118,225	15,722	394,384	25.4%	
BUDGET MANAGEMENT	489,858	113,629	-	376,229	23.2%	
ACCOUNTING	830,996	147,864	17,730	665,402	19.9%	
PAYROLL	372,151	80,747	-	291,404	21.7%	
ACCOUNTS PAYABLE	260,145	58,348	-	201,797	22.4%	
CITY BILLING & CUSTOMER SERVICE	729,395	135,856	164,610	428,929	41.2%	
PURCHASING	727,260	162,931	2,576	561,753	22.8%	
CENTRAL WAREHOUSE	203,235	51,024	610	151,601	25.4%	
MAIL SERVICES	120,721	27,798	328	92,596	23.3%	
<i>Total</i>	<u>5,546,451</u>	<u>1,179,659</u>	<u>231,265</u>	<u>4,135,527</u>	25.4%	<u>1,229,606</u>
TOTAL GENERAL GOVERNMENT	<u>16,590,080</u>	<u>3,858,362</u>	<u>1,797,453</u>	<u>10,934,265</u>	34.1%	<u>3,697,673</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
PUBLIC SAFETY						
<u>Police</u>						
CHIEF'S STAFF	1,151,521	264,357	3,511	883,654	23.3%	
SUPPORT SERVICES	723,816	151,599	83,026	489,191	32.4%	
RECORDS BUREAU	1,497,490	347,995	20,976	1,128,519	24.6%	
ADMIN SERVICES	1,154,526	282,713	41,689	830,123	28.1%	
PROPERTY ROOM	229,172	46,411	368	182,392	20.4%	
TRAINING/RECRUITMENT	517,615	119,394	37,097	361,125	30.2%	
RANGE	1,477,273	365,571	46,986	1,064,716	27.9%	
COMMUNITY & MEDIA RELATIONS	854,936	165,847	-	689,089	19.4%	
INFORMATION TECHNOLOGY	1,337,136	432,825	19,143	885,168	33.8%	
INVESTIGATIVE DIVISION	5,119,083	974,453	7,521	4,137,109	19.2%	
CRIME LAB	169,633	37,471	-	132,162	22.1%	
PATROL DIVISION	16,185,160	3,565,146	164,537	12,455,477	23.0%	
TRAFFIC	1,429,012	223,547	550	1,204,915	15.7%	
SPECIAL EVENTS	884,414	567,240	200	316,974	64.2%	
TACTICAL PATROL FORCE	1,683,590	416,646	-	1,266,944	24.7%	
STREET SWEEPING ENFORCEMENT	360,574	83,830	-	276,744	23.2%	
NIGHT LIFE ENFORCEMENT	315,189	71,010	-	244,179	22.5%	
PARKING ENFORCEMENT	1,016,030	202,721	-	813,309	20.0%	
COMBINED COMMAND CENTER	2,741,873	530,751	-	2,211,122	19.4%	
ANIMAL CONTROL	694,588	158,153	6,782	529,653	23.7%	
<i>Total</i>	<u>39,542,631</u>	<u>9,007,680</u>	<u>432,386</u>	<u>30,102,565</u>	23.9%	<u>10,075,020</u>
<u>Fire</u>						
ADMINISTRATION	946,445	240,994	2,153	703,298	25.7%	
EMERGENCY SERVICES AND PUBLIC ED	317,117	74,075	-	243,042	23.4%	
PREVENTION	1,287,740	314,888	-	972,852	24.5%	
WILDLAND FIRE MITIGATION PROGRAM	209,358	44,411	7,136	157,811	24.6%	
OPERATIONS	19,394,663	5,341,350	15,537	14,037,776	27.6%	
TRAINING AND RECRUITMENT	722,633	151,251	-	571,382	20.9%	
ARFF	2,013,700	500,930	-	1,512,770	24.9%	
<i>Total</i>	<u>24,891,656</u>	<u>6,667,899</u>	<u>24,826</u>	<u>18,198,932</u>	26.9%	<u>6,540,449</u>
TOTAL PUBLIC SAFETY	<u>64,434,287</u>	<u>15,675,578</u>	<u>457,212</u>	<u>48,301,497</u>	25.0%	<u>16,615,469</u>
PUBLIC WORKS						
<u>Public Works</u>						
ADMINISTRATION	1,137,623	225,646	6,642	905,335	20.4%	
ENGINEERING SVCS	5,639,233	1,276,547	40,595	4,322,091	23.4%	
PUBLIC RT OF WAY MGMT	1,196,363	266,970	7,811	921,583	23.0%	
ENVIRONMENTAL PROGRAMS	571,383	58,836	188,413	324,134	43.3%	
<i>Total</i>	<u>8,544,602</u>	<u>1,827,999</u>	<u>243,461</u>	<u>6,473,142</u>	24.2%	<u>1,863,047</u>
TOTAL PUBLIC WORKS	<u>8,544,602</u>	<u>1,827,999</u>	<u>243,461</u>	<u>6,473,142</u>	24.2%	<u>1,863,047</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
REC PROGRAM MGMT	808,564	177,611	6,539	624,414	22.8%	
FACILITIES & SPECIAL EVENTS	799,181	192,960	-	606,221	24.1%	
YOUTH ACTIVITIES	1,141,433	477,798	6,216	657,418	42.4%	
ACTIVE ADULTS	790,184	181,254	2,673	606,258	23.3%	
AQUATICS	1,397,010	545,960	41,222	809,828	42.0%	
SPORTS	586,269	127,830	14,768	443,670	24.3%	
TENNIS	268,345	58,594	-	209,751	21.8%	
NEIGHBORHOOD & OUTREACH SERV	1,328,751	329,498	26,835	972,419	26.8%	
ADMINISTRATION	821,741	178,381	1,720	641,640	21.9%	
PROJECT MANAGEMENT TEAM	556,412	90,787	-	465,625	16.3%	
PARK OPERATIONS MANAGEMENT	692,576	151,878	4,480	536,217	22.6%	
GROUND & FACILITIES MAINTENANCE	4,835,187	1,034,794	70,576	3,729,818	22.9%	
FORESTRY	1,327,068	328,294	29,686	969,088	27.0%	
BEACH MAINTENANCE	162,124	30,112	21,643	110,368	31.9%	
MEDIANS PARKWAYS & CONTRACTS	1,272,162	309,517	95,264	867,381	31.8%	
<i>Total</i>	<u>16,787,006</u>	<u>4,215,269</u>	<u>321,621</u>	<u>12,250,116</u>	27.0%	<u>4,212,880</u>
<u>Library</u>						
ADMINISTRATION	557,882	130,315	-	427,567	23.4%	
PUBLIC SERVICES	2,989,203	632,741	-	2,356,462	21.2%	
SUPPORT SERVICES	1,738,471	402,607	22,017	1,313,847	24.4%	
<i>Total</i>	<u>5,285,555</u>	<u>1,165,663</u>	<u>22,017</u>	<u>4,097,876</u>	22.5%	<u>1,254,859</u>
TOTAL COMMUNITY SERVICES	<u>22,072,562</u>	<u>5,380,932</u>	<u>343,638</u>	<u>16,347,992</u>	25.9%	<u>5,467,739</u>
COMMUNITY DEVELOPMENT						
<u>Community Development</u>						
ADMINISTRATION	940,815	183,014	1,007	756,795	19.6%	
RENTAL HOUSING MEDIATION	220,324	51,177	-	169,147	23.2%	
HUMAN SERVICES	1,043,760	9,977	507,006	526,777	49.5%	
HOUSING PRESERVATION AND DEV	25,152	1,080	24,072	-	100.0%	
LONG RANGE PLAN & SPEC STUDY	879,089	186,986	5,203	686,899	21.9%	
DEVEL & ENVIRONMENTAL REVIEW	1,429,691	305,067	7,301	1,117,323	21.8%	
ZONING INFO & ENFORCEMENT	1,531,979	315,769	4,410	1,211,801	20.9%	
DESIGN REV & HIST PRESERVATION	1,177,785	256,934	22,626	898,225	23.7%	
BLDG INSP & CODE ENFORCEMENT	1,218,282	277,616	5,643	935,023	23.3%	
RECORDS ARCHIVES & CLER SVCS	588,810	128,511	3,945	456,354	22.5%	
BLDG COUNTER & PLAN REV SVCS	1,677,579	400,081	22,271	1,255,227	25.2%	
<i>Total</i>	<u>10,733,266</u>	<u>2,116,210</u>	<u>603,485</u>	<u>8,013,571</u>	25.3%	<u>2,378,898</u>
TOTAL COMMUNITY DEVELOPMENT	<u>10,733,266</u>	<u>2,116,210</u>	<u>603,485</u>	<u>8,013,571</u>	25.3%	<u>2,378,898</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
OTHER	-	1,749	-	(1,749)	100.0%	
TRANSFERS OUT	223,500	55,875	-	167,625	25.0%	
DEBT SERVICE TRANSFERS	344,402	310,398	-	34,004	90.1%	
CAPITAL OUTLAY TRANSFER	855,000	213,750	-	641,250	25.0%	
APPROP.RESERVE	2,045,742	-	-	2,045,742	0.0%	
<i>Total</i>	3,468,644	581,772	-	2,886,872	16.8%	797,323
TOTAL NON-DEPARTMENTAL	3,468,644	581,772	-	2,886,872	16.8%	797,323
TOTAL EXPENDITURES	125,843,441	29,440,854	3,445,248	92,957,339	26.1%	30,820,149

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Special Revenue Funds
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
TRAFFIC SAFETY FUND					
Revenue	525,000	96,139	-	428,861	18.3%
Expenditures	<u>525,000</u>	<u>96,139</u>	-	<u>428,861</u>	18.3%
<i>Revenue Less Expenditures</i>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
CREEK RESTORATION/WATER QUALITY IMPRVMT					
Revenue	4,070,672	1,311,683	-	2,758,989	32.2%
Expenditures	<u>6,513,439</u>	<u>719,911</u>	<u>381,450</u>	<u>5,412,077</u>	16.9%
<i>Revenue Less Expenditures</i>	<u>(2,442,767)</u>	<u>591,772</u>	<u>(381,450)</u>	<u>(2,653,088)</u>	
COMMUNITY DEVELOPMENT BLOCK GRANT					
Revenue	1,833,936	97,015	-	1,736,921	5.3%
Expenditures	<u>2,109,112</u>	<u>102,878</u>	<u>122,394</u>	<u>1,883,841</u>	10.7%
<i>Revenue Less Expenditures</i>	<u>(275,176)</u>	<u>(5,863)</u>	<u>(122,394)</u>	<u>(146,920)</u>	
COUNTY LIBRARY					
Revenue	2,074,550	34,257	-	2,040,293	1.7%
Expenditures	<u>2,270,884</u>	<u>506,659</u>	<u>4,549</u>	<u>1,759,676</u>	22.5%
<i>Revenue Less Expenditures</i>	<u>(196,334)</u>	<u>(472,402)</u>	<u>(4,549)</u>	<u>280,617</u>	
STREETS FUND					
Revenue	9,717,290	2,436,213	-	7,281,077	25.1%
Expenditures	<u>10,603,626</u>	<u>2,503,351</u>	<u>323,780</u>	<u>7,776,495</u>	26.7%
<i>Revenue Less Expenditures</i>	<u>(886,336)</u>	<u>(67,138)</u>	<u>(323,780)</u>	<u>(495,417)</u>	
MEASURE A					
Revenue	3,669,665	933,651	-	2,736,014	25.4%
Expenditures	<u>3,938,441</u>	<u>771,902</u>	<u>850,045</u>	<u>2,316,494</u>	41.2%
<i>Revenue Less Expenditures</i>	<u>(268,776)</u>	<u>161,749</u>	<u>(850,045)</u>	<u>419,520</u>	

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

SOLID WASTE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service charges	20,341,706	5,086,966	-	15,254,740	25.0%	5,057,373
Other Fees & Charges	361,642	-	-	361,642	0.0%	-
Investment Income	12,200	5,482	-	6,718	44.9%	1,215
Miscellaneous	237,244	994	-	236,250	0.4%	1,067
TOTAL REVENUES	<u>20,952,792</u>	<u>5,093,442</u>	<u>-</u>	<u>15,859,350</u>	24.3%	<u>5,059,655</u>
EXPENSES						
Salaries & Benefits	998,573	203,051	-	795,522	20.3%	241,805
Materials, Supplies & Services	19,131,521	4,735,805	188,074	14,207,642	25.7%	4,611,029
Special Projects	597,261	8,653	17,090	571,518	4.3%	8,909
Transfers-Out	50,000	12,500	-	37,500	25.0%	12,500
Equipment	156,749	14,102	-	142,647	9.0%	1,065
Other	40,000	-	-	40,000	0.0%	-
Appropriated Reserve	25,000	-	-	25,000	0.0%	-
TOTAL EXPENSES	<u>20,999,104</u>	<u>4,974,110</u>	<u>205,164</u>	<u>15,819,830</u>	24.7%	<u>4,875,308</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

WATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Water Sales- Metered	41,800,000	10,032,717	-	31,767,283	24.0%	9,631,129
Service Charges	651,100	223,541	-	427,559	34.3%	232,254
Cater JPA Treatment Charges	1,680,000	-	-	1,680,000	0.0%	-
Investment Income	437,950	58,515	-	379,435	13.4%	122,889
Rents & Concessions	22,872	5,718	-	17,154	25.0%	5,718
Reimbursements	745,740	-	-	745,740	0.0%	10,460
Miscellaneous	30,000	(2,343)	-	32,343	-7.8%	14,070
TOTAL REVENUES	<u>45,367,662</u>	<u>10,318,149</u>	<u>-</u>	<u>35,049,513</u>	<u>22.7%</u>	<u>10,016,520</u>
EXPENSES						
Salaries & Benefits	9,311,184	1,946,839	-	7,364,345	20.9%	2,198,868
Materials, Supplies & Services	11,580,699	2,052,468	2,357,536	7,170,695	38.1%	1,727,413
Special Projects	1,080,948	66,848	108,509	905,590	16.2%	53,309
Water Purchases	8,644,749	1,650,294	35,260	6,959,195	19.5%	2,093,633
Debt Service	4,692,620	2,088,963	-	2,603,657	44.5%	1,714,917
Transfer-Out	9,586,101	2,396,525	-	7,189,576	25.0%	911,346
Capital Outlay Transfers	7,139,795	1,946,045	-	5,193,750	27.3%	2,617,307
Equipment	167,576	3,918	4,868	158,790	5.2%	25,288
Capitalized Fixed Assets	145,892	9,166	61,170	75,556	48.2%	7,795
Other	29,000	1,581	1,000	26,419	8.9%	550
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	<u>52,528,564</u>	<u>12,162,649</u>	<u>2,568,343</u>	<u>37,797,572</u>	<u>28.0%</u>	<u>11,350,426</u>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

WASTEWATER OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service Charges	17,844,201	4,439,665	-	13,404,536	24.9%	4,419,178
Fees	533,668	331,165	-	202,503	62.1%	355,106
Investment Income	162,700	39,638	-	123,062	24.4%	39,725
Rents & Concessions	34,358	8,751	-	25,607	25.5%	-
Miscellaneous	6,000	153	-	5,847	2.6%	8,816
TOTAL REVENUES	18,580,927	4,819,372	-	13,761,555	25.9%	4,822,825
EXPENSES						
Salaries & Benefits	5,917,398	1,277,231	-	4,640,167	21.6%	1,437,423
Materials, Supplies & Services	7,504,896	1,317,565	1,499,521	4,687,810	37.5%	1,462,028
Special Projects	635,271	15,026	227,484	392,761	38.2%	31,648
Debt Service	1,794,917	342,452	-	1,452,465	19.1%	342,452
Capital Outlay Transfers	4,150,000	1,037,500	-	3,112,500	25.0%	982,125
Equipment	95,000	4,326	15,494	75,180	20.9%	2,936
Capitalized Fixed Assets	36,000	455	1,500	34,045	5.4%	-
Other	3,000	2,720	-	280	90.7%	2,750
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	20,286,482	3,997,275	1,743,999	14,545,208	28.3%	4,261,362

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

DOWNTOWN PARKING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Improvement Tax	1,080,000	251,272	-	828,728	23.3%	266,021
Parking Fees	7,034,826	1,741,213	-	5,293,613	24.8%	1,717,240
Other Fees & Charges	6,918	2,141	-	4,777	30.9%	1,024
Investment Income	104,200	29,827	-	74,373	28.6%	24,854
Rents & Concessions	107,000	30,750	-	76,250	28.7%	27,348
Miscellaneous	7,500	(846)	-	8,346	-11.3%	3,526
Operating Transfers-In	43,500	10,875	-	32,625	25.0%	48,375
TOTAL REVENUES	<u>8,383,944</u>	<u>2,065,231</u>	<u>-</u>	<u>6,318,713</u>	<u>24.6%</u>	<u>2,088,388</u>
EXPENSES						
Salaries & Benefits	4,352,940	979,529	-	3,373,411	22.5%	1,106,521
Materials, Supplies & Services	2,371,221	537,680	214,914	1,618,626	31.7%	481,703
Special Projects	469,656	7,250	455,257	7,149	98.5%	103,354
Transfer-Out	318,399	79,600	-	238,799	25.0%	77,281
Capital Outlay Transfers	1,305,000	326,250	-	978,750	25.0%	242,500
Equipment	27,000	79	-	26,921	0.3%	1,388
Appropriated Reserve	50,657	-	-	50,657	0.0%	-
TOTAL EXPENSES	<u>8,894,872</u>	<u>1,930,388</u>	<u>670,171</u>	<u>6,294,313</u>	<u>29.2%</u>	<u>2,012,747</u>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

AIRPORT OPERATING FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Leases-Commercial/Industrial	4,488,390	1,089,030	-	3,399,360	24.3%	1,066,418
Leases-Terminal	4,884,637	1,259,826	-	3,624,811	25.8%	1,303,704
Leases-Non-Commercial Aviation	2,093,650	512,413	-	1,581,237	24.5%	486,237
Leases-Commercial Aviation	4,544,034	1,149,999	-	3,394,035	25.3%	1,171,185
Investment Income	106,600	28,302	-	78,298	26.5%	25,762
Miscellaneous	116,300	(6,642)	-	122,942	-5.7%	120,788
TOTAL REVENUES	<u>16,233,611</u>	<u>4,032,928</u>	<u>-</u>	<u>12,200,683</u>	24.8%	<u>4,174,094</u>
EXPENSES						
Salaries & Benefits	6,006,251	1,264,587	-	4,741,664	21.1%	1,417,388
Materials, Supplies & Services	8,205,343	1,657,691	1,553,629	4,994,023	39.1%	1,638,608
Special Projects	48,415	625	35	47,755	1.4%	-
Transfer-Out	12,662	3,166	-	9,496	25.0%	5,089
Debt Service	1,816,586	454,147	-	1,362,439	25.0%	453,930
Capital Outlay Transfers	1,313,733	250,484	-	1,063,249	19.1%	-
Equipment	138,902	9,388	2,750	126,764	8.7%	15,668
Appropriated Reserve	84,626	-	-	84,626	0.0%	-
TOTAL EXPENSES	<u>17,626,517</u>	<u>3,640,087</u>	<u>1,556,413</u>	<u>12,430,017</u>	29.5%	<u>3,530,683</u>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

GOLF COURSE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Fees & Card Sales	1,753,034	447,687	-	1,305,347	25.5%	459,201
Investment Income	7,900	2,375	-	5,525	30.1%	2,007
Rents & Concessions	325,523	74,580	-	250,943	22.9%	84,923
Miscellaneous	500	587	-	(87)	117.3%	313
Operating Transfers-In	180,000	45,000	-	135,000	25.0%	-
TOTAL REVENUES	<u>2,266,957</u>	<u>570,229</u>	<u>-</u>	<u>1,696,728</u>	25.2%	<u>546,444</u>
EXPENSES						
Salaries & Benefits	1,146,810	238,741	-	908,069	20.8%	296,553
Materials, Supplies & Services	654,604	111,201	33,852	509,551	22.2%	139,623
Special Projects	9	-	9	-	100.0%	-
Debt Service	262,122	169,538	-	92,584	64.7%	169,522
Capital Outlay Transfers	265,048	66,262	-	198,786	25.0%	20,182
Other	900	901	-	(1)	100.1%	901
TOTAL EXPENSES	<u>2,329,493</u>	<u>586,643</u>	<u>33,861</u>	<u>1,708,989</u>	26.6%	<u>626,781</u>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

INTRA-CITY SERVICE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service Charges	3,787,803	946,951	-	2,840,852	25.0%	659,592
Work Orders - Bldg Maint.	3,401,421	887,309	-	2,514,112	26.1%	629,273
Miscellaneous	94,946	1,197	-	93,749	1.3%	224,058
Operating Transfers-In	-	-	-	-	0.0%	1,250
TOTAL REVENUES	<u>7,284,170</u>	<u>1,835,457</u>	<u>-</u>	<u>5,448,713</u>	25.2%	<u>1,514,173</u>
EXPENSES						
Salaries & Benefits	3,801,207	865,353	-	2,935,854	22.8%	933,510
Materials, Supplies & Services	2,474,551	450,917	67,102	1,956,532	20.9%	319,819
Special Projects	545,379	120,595	139,523	285,261	47.7%	117,729
Capital Outlay Transfers	410,612	-	-	410,612	0.0%	-
Equipment	15,000	145	-	14,855	1.0%	1,235
Capitalized Fixed Assets	11,201	555	1,646	8,999	19.7%	2,286
Appropriated Reserve	33,841	-	-	33,841	0.0%	-
TOTAL EXPENSES	<u>7,291,791</u>	<u>1,437,566</u>	<u>208,271</u>	<u>5,645,954</u>	22.6%	<u>1,374,579</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

FLEET REPLACEMENT FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Vehicle Rental Charges	2,809,765	737,950	-	2,071,815	26.3%	561,034
Investment Income	116,700	32,024	-	84,676	27.4%	28,656
Rents & Concessions	146,084	36,521	-	109,563	25.0%	58,492
Miscellaneous	173,118	30,618	-	142,500	17.7%	31,649
TOTAL REVENUES	<u>3,245,667</u>	<u>837,112</u>	<u>-</u>	<u>2,408,555</u>	25.8%	<u>679,831</u>
EXPENSES						
Salaries & Benefits	207,466	44,753	-	162,713	21.6%	47,558
Materials, Supplies & Services	1,243	311	-	932	25.0%	456
Special Projects	698,567	12,605	61,307	624,655	10.6%	612
Capitalized Fixed Assets	5,516,373	902,578	1,192,220	3,421,576	38.0%	187,040
TOTAL EXPENSES	<u>6,423,649</u>	<u>960,246</u>	<u>1,253,527</u>	<u>4,209,876</u>	34.5%	<u>235,666</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

FLEET MAINTENANCE FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Vehicle Maintenance Charges	2,609,691	659,223	-	1,950,468	25.3%	631,907
Reimbursements	10,000	2,500	-	7,500	25.0%	2,500
Miscellaneous	103,070	25,972	-	77,098	25.2%	30,783
TOTAL REVENUES	<u>2,722,761</u>	<u>687,695</u>	<u>-</u>	<u>2,035,066</u>	25.3%	<u>665,190</u>
EXPENSES						
Salaries & Benefits	1,359,285	302,619	-	1,056,666	22.3%	299,862
Materials, Supplies & Services	1,227,978	301,366	271,941	654,672	46.7%	258,521
Special Projects	81,308	5,232	8,588	67,488	17.0%	2,169
Debt Service	43,070	10,768	-	32,302	25.0%	10,768
Equipment	89,307	40,053	-	49,254	44.8%	-
Capitalized Fixed Assets	35,338	29,457	2,243	3,639	89.7%	6,646
Appropriated Reserve	14,000	-	-	14,000	0.0%	-
TOTAL EXPENSES	<u>2,850,287</u>	<u>689,494</u>	<u>282,772</u>	<u>1,878,021</u>	34.1%	<u>577,966</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

SELF INSURANCE TRUST FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Insurance Premiums	3,156,625	789,156	-	2,367,469	25.0%	696,256
Workers' Compensation Premiums	3,342,571	836,028	-	2,506,543	25.0%	847,041
OSH Charges	231,057	57,764	-	173,293	25.0%	50,866
Unemployment Insurance Premium	221,805	-	-	221,805	0.0%	-
Investment Income	40,200	9,696	-	30,504	24.1%	9,782
Miscellaneous	-	1,500	-	(1,500)	100.0%	1,000
Operating Transfers-In	75,825	18,956	-	56,869	25.0%	18,956
TOTAL REVENUES	<u>7,068,083</u>	<u>1,713,101</u>	<u>-</u>	<u>5,354,982</u>	<u>24.2%</u>	<u>1,623,901</u>
EXPENSES						
Salaries & Benefits	597,085	127,682	-	469,403	21.4%	127,057
Materials, Supplies & Services	6,359,406	1,240,087	268,702	4,850,617	23.7%	1,655,621
Special Projects	3	-	3	-	100.0%	-
Equipment	-	-	-	-	0.0%	245
TOTAL EXPENSES	<u>6,956,494</u>	<u>1,367,769</u>	<u>268,705</u>	<u>5,320,020</u>	<u>23.5%</u>	<u>1,782,923</u>

The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

INFORMATION SYSTEMS ICS FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Service charges	3,204,557	801,255	-	2,403,302	25.0%	780,147
TOTAL REVENUES	<u>3,204,557</u>	<u>801,255</u>	<u>-</u>	<u>2,403,302</u>	25.0%	<u>780,147</u>
EXPENSES						
Salaries & Benefits	1,953,525	419,950	-	1,533,575	21.5%	453,660
Materials, Supplies & Services	1,004,657	309,431	54,181	641,045	36.2%	284,100
Special Projects	18,481	2,315	581	15,585	15.7%	-
Capital Outlay Transfers	604,000	151,000	-	453,000	25.0%	86,000
Equipment	2,750	3,600	-	(850)	130.9%	593
Appropriated Reserve	16,223	-	-	16,223	0.0%	-
TOTAL EXPENSES	<u>3,599,636</u>	<u>886,295</u>	<u>54,762</u>	<u>2,658,578</u>	26.1%	<u>824,353</u>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2015 (25% of Fiscal Year)

WATERFRONT FUND

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
REVENUES						
Leases - Commercial	1,428,787	533,913	-	894,874	37.4%	499,058
Leases - Food Service	2,844,333	1,042,214	-	1,802,119	36.6%	988,034
Slip Rental Fees	4,288,834	1,081,452	-	3,207,382	25.2%	1,063,938
Visitors Fees	500,000	148,968	-	351,032	29.8%	154,248
Slip Transfer Fees	575,000	128,375	-	446,625	22.3%	483,850
Parking Revenue	2,385,820	914,153	-	1,471,667	38.3%	857,422
Wharf Parking	262,258	51,069	-	211,190	19.5%	78,982
Grants	10,000	-	-	10,000	0.0%	-
Other Fees & Charges	242,304	72,832	-	169,472	30.1%	62,124
Investment Income	95,700	35,845	-	59,855	37.5%	22,074
Rents & Concessions	310,770	86,172	-	224,598	27.7%	77,879
Reimbursements	-	1,777	-	(1,777)	100.0%	-
Miscellaneous	514,792	46,250	-	468,542	9.0%	45,719
TOTAL REVENUES	<u>13,458,598</u>	<u>4,143,020</u>	<u>-</u>	<u>9,315,578</u>	<u>30.8%</u>	<u>4,333,328</u>
EXPENSES						
Salaries & Benefits	6,272,587	1,338,685	-	4,933,902	21.3%	1,601,844
Materials, Supplies & Services	4,171,182	952,944	845,057	2,373,181	43.1%	967,080
Special Projects	265,629	50,334	76,983	138,312	47.9%	24,317
Debt Service	1,841,620	512,529	-	1,329,091	27.8%	512,529
Capital Outlay Transfers	1,453,144	363,286	-	1,089,858	25.0%	346,250
Equipment	112,262	9,598	2,048	100,616	10.4%	11,729
Capital Fixed Assets	17,104	-	17,104	-	100.0%	-
Other	-	-	1,000	(1,000)	100.0%	-
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	<u>14,233,529</u>	<u>3,227,377</u>	<u>942,193</u>	<u>10,063,959</u>	<u>29.3%</u>	<u>3,463,749</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

City of Santa Barbara
Interim Financial Statements for the Year Ended June 30, 2016
Proposed Budget Adjustments

	<u>Increase (Decrease) in Appropriations</u>	<u>Increase (Decrease) in Estimated Revenues</u>	<u>Addition to (Use of) Reserves</u>
GENERAL FUND (1000)			
General Government			
Transfer to Successor Agency Operating Fund - ROPS cash adjustment	\$ 16,491		\$ (16,491)
<p>The Successor Agency ROPS Cash Balances have had several adjustments made since the RDA dissolution process since Fiscal Year 2012. There were several adjustments made during the dissolution process, charges thought to be allowed, were subsequently denied by the state. As a result of all these adjustments, the cash was out of balance by \$16,461. The state has asked that this be corrected. The recommended entries will correct this ongoing issue and align cash balance with the disallowed charges.</p>			
Community Development			
Decrease Appropriations for City Council - Arts & Community Promotion	(25,000)		25,000
Increase Appropriations for Community Development - Human Services	25,000		(25,000)
<p>During Fiscal Year 2016 budget process, Legal Aid Foundation was awarded a General Fund Grant for \$25,000 for the Common Ground Project. The funding was appropriated in the Arts & Community Promotion budget, however the funds are administered through the Community Development Department. These recommended entries align the appropriations with the expenditures.</p>			
Increase Estimated Revenues for Community Development - EIR Services		50,000	50,000
Increase Appropriations for Community Development - EIR Services	50,000		(50,000)
<p>Planning accepts deposits from developers to pay for planning services related to their projects. The expenditures associated with these services are paid from the Design Review budget. However revenues and appropriations were not budgeted for Fiscal Year 2016. The recommended entries will create the budget and appropriations that should have been created with the budget process.</p>			
Parks			
Increase Estimate Revenues for Parks Art and Craft Marketing		10,000	10,000
Increase Appropriations for Parks Art and Craft Marketing	10,000		(10,000)
<p>Parks Arts and Craft Show revenues have declined in relation to ongoing construction on Cabrillo Boulevard. The Arts and Crafts Advisory Committee approved a marketing fund funded by member fees, to be used for promotional activity. The recommended entries create the appropriation and the corresponding funding.</p>			
Total General Fund	<u>76,491</u>	<u>60,000</u>	<u>(16,491)</u>

	Increase (Decrease) in Appropriations	Increase (Decrease) in Estimated Revenues	Addition to (Use of) Reserves
SPECIAL REVENUE FUNDS			
Streets Operating Fund (2400)			
Decrease Appropriations for Maintenance and Replacement	(6,783)		6,783
<p>During the budget process, a vehicle was incorrectly allocated to the Streets Fund when it should have been allocated to the Facilities Operating Fund. The recommended entries align the appropriations with the actual costs for this vehicle.</p>			
Total Streets Operating Fund	\$ (6,783)	\$ -	\$ 6,783

SPECIAL REVENUE FUNDS cont'd

County Library Fund (2500)

Increase Appropriations for the Goleta Library	\$ 21,000		\$ (21,000)
Increase Donations Revenues for the Goleta Library		21,000	21,000

In Fiscal Year 2016, the Goleta Library received additional Donations of \$21,000 from the Friends of the Goleta Valley Library. These recommended entries increase the funding and appropriations allowing the department the ability to spend it.

Increase Appropriations for the Solvang Library	3,000		(3,000)
Increase Donations Revenues for the Solvang Library		3,000	3,000

In Fiscal Year 2016, the Solvang Library received additional Donations of \$3,000 from the Friends of the Santa Ynez Valley Library. These recommended entries increase the funding and appropriations allowing the department the ability to spend it.

Total County Library Fund

24,000	24,000	-
---------------	---------------	----------

Parks Grants Fund (2860)

Increase Estimated Donations Revenues to Summer Recreation Program		37,000	37,000
Increase Appropriations for the Summer Recreation Program	37,000		(37,000)

Youth Activities Program manages free drop ins for children over the summer vacation period. Staff has been successful in gaining additional grant funding of \$37,000 to extend the program by two weeks. The recommended entries will increase to appropriation and the corresponding funding to allow the department the ability to utilize the additional funding.

Total Parks Grants Fund

37,000	37,000	-
---------------	---------------	----------

	<u>Increase (Decrease) in Appropriations</u>	<u>Increase (Decrease) in Estimated Revenues</u>	<u>Addition to (Use of) Reserves</u>
CAPITAL OUTLAY FUND (3000)			
Increase Estimate Revenues - Cargo Container Live Burn Training System		17,227	17,227
Increase Appropriations - Cargo Container Live Burn Training System	17,227		(17,227)
<p>The Cargo Container Live-Burn Training System scope of work has been increased to include the installation of a motorized gate at the entrance. Increased Donation Revenue has been identified to fund this increase in scope. The recommended entries will increase to appropriation and the corresponding funding.</p>			
Increase Appropriations - Fire Station 7 Remodel	27,000		(27,000)
<p>Originally the Fire Station 7 Remodel Project was budgeted for \$60,000 for the architectural design costs. Proposals received back, at \$87,000, were higher than estimates. The recommended entries will provide the additional budget needed for the design costs for the project, from capital outlay reserves.</p>			
Total Capital Outlay Fund	<u>44,227</u>	<u>17,227</u>	<u>(27,000)</u>

ENTERPRISE FUNDS

Airport Operating Fund (5700)

Transfer from Airport Grants Fund for Reimbursement of FAA Grant fees		4,800	4,800
---	--	-------	-------

The Airport funds certain portions of FAA eligible projects up front. Airport Operating Fund funded the independent cost estimate which was required by the FAA prior to award of grant funds for the Wildlife Hazard Assessment and Management Plan. The recommended entries will reimburse the Airport Operating fund for the cost estimate paid before the grant was awarded.

Total Airport Operating Fund

\$ -	\$ 4,800	\$ 4,800
------	----------	----------

Airport Grants Fund (5720)

Transfer to Airport Operating Fund for Reimbursement of FAA Grant fees	\$ 4,800		\$ (4,800)
Decrease appropriations for Professional Services to increase "transfe	(4,800)		4,800

The Airport funds certain portions of FAA eligible projects up front. Airport Operating Fund funded the independent cost estimate which was required by the FAA prior to award of grant funds for the Wildlife Hazard Assessment and Management Plan. The recommended entries will reimburse the Airport Operating fund for the cost estimate paid before the grant was awarded.

Total Airport Grants Fund

-	-	-
---	---	---

	<u>Increase (Decrease) in Appropriations</u>	<u>Increase (Decrease) in Estimated Revenues</u>	<u>Addition to (Use of) Reserves</u>
ENTERPRISE FUNDS cont'd			
Water Operating Fund (5000)			
Increase Estimated Revenues for the Pump Station Rehab Project		81,000	81,000
Transfer to Water Capital Fund for the Pump Station Rehab Project	81,000		(81,000)
<p>The City water main was damaged in a landslide in the early 1960's, and all the affected property owners in the San Roque Canyon area signed an agreement to pay "their share" toward the cost of the permanent water project. In 1984, Water Resources made an agreement with certain property owners over a permanent water main project to serve their properties. Since then, one of the properties changed hands and the title company for the purchaser missed this agreement in the title search. The title company paid the City \$81,000, the estimated amount owed, which has been held as a deposit in the water fund. These recommended entries will provide the appropriation authority to use the funds and allocate the \$81,000 the Cater Cross-Tie pump improvements project to better supply water to the San Roque Canyon area.</p>			
Total Water Operating Fund	<u>81,000</u>	<u>81,000</u>	<u>-</u>
Water Capital Fund (5010)			
Transfer from Water Operating Fund		81,000	81,000
Increase Appropriations - The Pump Station Rehab Project	81,000		(81,000)
<p>The City water main was damaged in a landslide in the early 1960's, and all the affected property owners in the San Roque Canyon area signed an agreement to pay "their share" toward the cost of the permanent water project. In 1984, Water Resources made an agreement with certain property owners over a permanent water main project to serve their properties. Since then, one of the properties changed hands and the title company for the purchaser missed this agreement in the title search. The title company paid the City \$81,000, the estimated amount owed, which has been held as a deposit in the water fund. These recommended entries will provide the appropriation authority to use the funds and allocate the \$81,000 the Cater Cross-Tie pump improvements project to better supply water to the San Roque Canyon area.</p>			
Total Water Capital Fund	<u>81,000</u>	<u>81,000</u>	<u>-</u>
Wastewater Operating Fund (5100)			
Transfer to Wastewater Capital Fund for Sanitary Sewer Overflow Compliance Project	900,000		(900,000)
<p>A Project to line the large sewer mains along Cabrillo Blvd. is needed. Because it ties into the construction taking place on Cabrillo Boulevard / Cabrillo Bridge Project this project cannot be delayed. The recommended entries will transfer funding from Wastewater Operating Fund reserves to appropriate additional funds needed for the project.</p>			
Total Wastewater Operating Fund	<u>\$ 900,000</u>	<u>\$ -</u>	<u>\$ (900,000)</u>

	<u>Increase (Decrease) in Appropriations</u>	<u>Increase (Decrease) in Estimated Revenues</u>	<u>Addition to (Use of) Reserves</u>
ENTERPRISE FUNDS cont'd			
Wastewater Capital Fund (5110)			
Transfer from Wastewater Operating Fund		\$ 900,000	\$ 900,000
Increase Appropriations - Sanitary Sewer Overflow Compliance Project	900,000		(900,000)
<p>A Project to line the large sewer mains along Cabrillo Blvd. is needed. Because it ties into the construction taking place on Cabrillo Boulevard / Cabrillo Bridge Project this project cannot be delayed. The recommended entries will transfer funding from Wastewater Operating Fund reserves to appropriate additional funds needed for the project.</p>			
Total Wastewater Capital Fund	<u>900,000</u>	<u>900,000</u>	<u>-</u>
INTERNAL SERVICE FUNDS			
Facilities Operating Fund (6300)			
Increase Appropriations for Maintenance and Replacement	6,783		(6,783)
<p>During the budget process, a vehicle was incorrectly allocated to the Streets Fund when it should have been allocated to the Facilities Operating Fund. The recommended entries align the appropriations with the actual costs.</p>			
Total Facilities Operating Fund	<u>6,783</u>	<u>-</u>	<u>(6,783)</u>
Facilities Capital Fund (6310)			
Increase Appropriations for Police Department Locker Room Remodel	100,000		(100,000)
Decrease Appropriations for Public Works Fuel Island Concrete Project	(100,000)		100,000
<p>The Police Department Locker Room Remodel project is budgeted for Fiscal Year 2017, but due to various circumstances, this project is now moving forward more quickly. To accomplish this priority with budgeted revenues, the Public Works Fuel Island Concrete project will be delayed in order to fund the Locker Room Remodel. The recommended entries will allocate the funding between the two projects.</p>			
Total Facilities Capital Fund	<u>-</u>	<u>-</u>	<u>-</u>
Fleet Replacement Fund (6410)			
Increase Appropriations for Fleet Replacement-Fire Trucks	175,000		(175,000)
<p>During the Fiscal Year 2016 budget process, it was determined the Fire Department required a new Type 6 Fire Engine apparatus to be purchased and placed into service. The determination was too late to appropriate the funds, therefore it was decided to request the budget adjustment when the department was prepared to purchase the truck. There are sufficient reserves in the Fleet Replacement Fund for this request. The recommended entries increase the appropriations to allow for the purchase.</p>			
Total Fleet Replacement Fund	<u>175,000</u>	<u>-</u>	<u>(175,000)</u>

SUCCESSOR AGENCY FUND (7105)	Increase (Decrease) in Appropriations	Increase (Decrease) in Estimated Revenues	Addition to (Use of) Reserves
Successor Agency Operating Fund			
Transfer from General Fund Non Departmental to correct cash balance from ROPS adjustments		16,491	16,491
<p>The Successor Agency ROPS Cash Balances have had several adjustments made since th RDA dissolution process since Fiscal Year 2012. There were several adjustments made during the dissolution process, charges thought to be allowed, were subsequently denied by the state. As a result of all these adjustments, the cash was out of balance by \$16,461. The state has asked that this be corrected. The recommended entries will correct this ongoing issue and align cash balance with the disallowed charges.</p>			
Total Successor Agency Operating Fund	<u>\$ -</u>	<u>\$ 16,491</u>	<u>\$ 16,491</u>



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: Treasury Division, Finance Department

SUBJECT: October 2015 Investment Report

RECOMMENDATION:

That Council accept the October 2015 Investment Report.

DISCUSSION:

The attached investment report includes Investment Activity, Interest Revenue, a Summary of Cash and Investments, and Investment Portfolio detail as of October 31, 2015.

ATTACHMENT: October 2015 Investment Report

PREPARED BY: Julie Nemes, Treasury Manager

SUBMITTED BY: Robert Samario, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Activity and Interest Report
 October 31, 2015

INVESTMENT ACTIVITY

PURCHASES OR DEPOSITS

10/16 LAIF Deposit - City	\$ 5,000,000
10/29 Federal National Mortgage Association (FNMA)	2,000,000
10/31 Cash/Pending Redemption	2,000,000
Total	\$ 9,000,000

SALES, MATURITIES, CALLS OR WITHDRAWALS

10/16 Federal Home Loan Bank (FHLB) - Call	\$ (4,000,000)
10/16 Federal Home Loan Mortgage Corp (FHLMC) - Call	(4,000,000)
10/26 Federal National Mortgage Association (FNMA) - Maturity	(2,000,000)
10/31 United States Treasury Note (USTN) - Maturity	(2,000,000)
Total	\$ (12,000,000)

ACTIVITY TOTAL

\$ (3,000,000)

INVESTMENT INCOME

POOLED INVESTMENTS

Interest Earned on Investments	\$ 145,315
Amortization	(11,508)
Total	\$ 133,808

INCOME TOTAL

\$ 133,808

CITY OF SANTA BARBARA

Investment Portfolio

October 31, 2015

DESCRIPTION	PURCHASE DATE	MATURITY DATE	QUALITY RATING		STATED RATE	YIELD AT 365	FACE VALUE	BOOK VALUE	MARKET VALUE	BOOK GAIN/(LOSS)	COMMENTS
			MOODY'S	S & P							
LOCAL AGENCY INVESTMENT FUNDS											
LOCAL AGENCY INVESTMENT FUND	-	-	-	-	0.357	0.357	39,000,000.00	39,000,000.00	39,000,000.00	0.00	
Subtotal, LAIF							39,000,000.00	39,000,000.00	39,000,000.00	0.00	
CERTIFICATES OF DEPOSIT											
ALLY BANK	09/24/15	09/25/17	-	-	1.250	1.250	250,000.00	250,000.00	250,262.50	262.50	FDIC Certificate 57803
AMERICAN EXPRESS BANK FSB	10/23/14	10/23/19	-	-	2.200	2.200	250,000.00	250,000.00	250,905.00	905.00	FDIC Certificate 35328
AMERICAN EXPRESS CENTURION BK	09/30/15	09/30/20	-	-	2.250	2.250	250,000.00	250,000.00	250,230.00	230.00	FDIC Certificate 27471
BMO HARRIS BANK NA	09/30/15	09/29/17	-	-	1.100	1.100	250,000.00	250,000.00	250,187.50	187.50	FDIC Certificate 16571
BMW BK NORTH AMERICA	09/30/15	09/30/20	-	-	2.200	2.200	250,000.00	250,000.00	250,230.00	230.00	FDIC Certificate 35141
CAPITAL ONE BANK USA NA	10/29/14	10/29/19	-	-	1.900	1.900	250,000.00	250,000.00	250,870.00	870.00	FDIC Certificate 33954
CAPITAL ONE NA	09/30/15	09/30/20	-	-	2.250	2.250	250,000.00	250,000.00	250,230.00	230.00	FDIC Certificate 4297
DISCOVER BANK	09/30/15	09/30/20	-	-	2.300	2.300	250,000.00	250,000.00	250,227.50	227.50	FDIC Certificate 5649
EVERBANK	09/30/15	09/29/17	-	-	1.100	1.100	250,000.00	250,000.00	250,187.50	187.50	FDIC Certificate 34775
GE CAPITAL BANK	10/17/14	10/17/19	-	-	2.000	2.000	250,000.00	250,000.00	250,857.50	857.50	FDIC Certificate 33778
GOLDMAN SACHS BANK USA	10/29/14	10/29/19	-	-	2.150	2.150	250,000.00	250,000.00	250,865.00	865.00	FDIC Certificate 33124
KEY BANK NA	09/30/15	10/02/17	-	-	1.150	1.150	250,000.00	250,000.00	250,212.50	212.50	FDIC Certificate 17534
MONTECITO BANK & TRUST	11/18/13	11/18/15	-	-	0.600	0.600	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
UNION BANK	08/31/12	08/31/17	-	-	1.490	1.511	4,000,000.00	4,000,000.00	4,000,000.00	0.00	
Subtotal, Certificates of deposit							9,000,000.00	9,000,000.00	9,005,265.00	5,265.00	
TREASURY SECURITIES - COUPON											
U S TREASURY NOTE	02/22/13	05/15/16	Aaa	AA+	5.125	0.442	2,000,000.00	2,049,863.12	2,051,060.00	1,196.88	
U S TREASURY NOTE	02/22/13	08/31/16	Aaa	AA+	1.000	0.502	2,000,000.00	2,008,199.84	2,009,400.00	1,200.16	
U S TREASURY NOTE	02/22/13	02/28/17	Aaa	AA+	0.875	0.607	2,000,000.00	2,007,025.39	2,008,460.00	1,434.61	
Subtotal, Treasury Securities							6,000,000.00	6,065,088.35	6,068,920.00	3,831.65	
FEDERAL AGENCY ISSUES - COUPON											
FED AGRICULTURAL MTG CORP	10/03/13	10/03/18	-	-	1.720	1.720	2,000,000.00	2,000,000.00	2,019,860.00	19,860.00	
FED AGRICULTURAL MTG CORP	12/12/13	12/12/18	-	-	1.705	1.705	2,000,000.00	2,000,000.00	2,031,860.00	31,860.00	
FEDERAL FARM CREDIT BANK	01/22/15	01/22/19	Aaa	AA+	1.480	1.480	2,000,000.00	2,000,000.00	2,003,800.00	3,800.00	Callable 01/22/16, then continuous
FEDERAL FARM CREDIT BANK	09/18/13	09/18/17	Aaa	AA+	1.550	1.550	2,000,000.00	2,000,000.00	2,024,760.00	24,760.00	
FEDERAL FARM CREDIT BANK	12/16/14	12/16/19	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,001,660.00	1,660.00	Callable 12/16/15, then continuous
FEDERAL FARM CREDIT BANK	02/11/15	02/11/19	Aaa	AA+	1.520	1.520	2,000,000.00	2,000,000.00	2,003,480.00	3,480.00	Callable 02/11/16, then continuous
FEDERAL FARM CREDIT BANK	02/16/11	02/16/16	Aaa	AA+	2.570	2.570	2,000,000.00	2,000,000.00	2,013,640.00	13,640.00	
FEDERAL FARM CREDIT BANK	07/17/13	07/17/17	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,017,040.00	17,040.00	
FEDERAL FARM CREDIT BANK	06/24/15	06/24/19	Aaa	AA+	1.520	1.520	2,000,000.00	2,000,000.00	2,011,840.00	11,840.00	
FEDERAL HOME LOAN BANK	09/13/13	09/14/18	Aaa	AA+	2.000	1.910	2,000,000.00	2,004,898.29	2,051,860.00	46,961.71	
FEDERAL HOME LOAN BANK	01/17/14	04/17/18	Aaa	AA+	1.480	1.480	2,000,000.00	2,000,000.00	2,020,280.00	20,280.00	
FEDERAL HOME LOAN BANK	06/29/15	06/29/18	Aaa	AA+	1.170	1.170	2,000,000.00	2,000,000.00	2,004,760.00	4,760.00	Callable 06/29/16, once
FEDERAL HOME LOAN BANK	12/16/13	12/14/18	Aaa	AA+	1.750	1.650	2,000,000.00	2,005,958.52	2,036,000.00	30,041.48	
FEDERAL HOME LOAN BANK	06/18/14	06/09/17	Aaa	AA+	1.000	1.003	2,000,000.00	1,999,892.06	2,009,020.00	9,127.94	
FEDERAL HOME LOAN BANK	10/22/14	11/18/16	Aaa	AA+	0.750	0.500	2,000,000.00	2,005,195.12	2,003,380.00	(1,815.12)	

CITY OF SANTA BARBARA

Investment Portfolio

October 31, 2015

DESCRIPTION	PURCHASE DATE	MATURITY DATE	QUALITY RATING MOODY'S	QUALITY RATING S & P	STATED RATE	YIELD AT 365	FACE VALUE	BOOK VALUE	MARKET VALUE	BOOK GAIN/(LOSS)	COMMENTS
FEDERAL HOME LOAN MTG CORP	08/24/15	08/24/20	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,012,140.00	12,140.00	Callable 08/24/16, then qtrly
FEDERAL HOME LOAN MTG CORP	11/20/13	09/29/17	Aaa	AA+	1.000	1.030	1,000,000.00	999,435.33	1,003,260.00	3,824.67	
FEDERAL NATL MORTGAGE ASSN	01/30/13	01/30/18	Aaa	AA+	1.030	1.030	3,000,000.00	3,000,000.00	3,006,090.00	6,090.00	Callable 01/30/16, then qtrly
FEDERAL NATL MORTGAGE ASSN	12/12/12	12/12/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	2,001,620.00	1,620.00	Callable 12/12/15, then qtrly
FEDERAL NATL MORTGAGE ASSN	11/15/13	10/26/17	Aaa	AA+	0.875	1.062	2,000,000.00	1,992,744.33	2,002,920.00	10,175.67	
FEDERAL NATL MORTGAGE ASSN	12/11/13	11/27/18	Aaa	AA+	1.625	1.606	2,000,000.00	2,001,114.67	2,031,980.00	30,865.33	
FEDERAL NATL MORTGAGE ASSN	11/08/12	11/08/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	2,000,200.00	200.00	Callable 11/08/15, then qtrly
FEDERAL NATL MORTGAGE ASSN	11/08/12	11/08/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	2,000,200.00	200.00	Callable 11/08/15, then qtrly
FEDERAL NATL MORTGAGE ASSN	12/26/12	12/26/17	Aaa	AA+	1.000	1.000	4,000,000.00	4,000,000.00	4,003,520.00	3,520.00	Callable 12/26/15, then qtrly
FEDERAL NATL MORTGAGE ASSN	10/29/15	10/29/20	Aaa	AA+	1.500	1.766	2,000,000.00	2,000,000.00	1,994,700.00	(5,300.00)	SU 1.5%-3% Call 04/29/16, then qtrly
FEDERAL NATL MORTGAGE ASSN	02/05/13	02/05/18	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,993,940.00	(6,060.00)	Callable 11/05/15, then qtrly
FEDERAL NATL MORTGAGE ASSN	11/20/13	10/26/17	Aaa	AA+	0.875	1.070	2,000,000.00	1,992,425.85	2,002,920.00	10,494.15	
FEDERAL NATL MORTGAGE ASSN	06/30/15	06/30/20	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,012,360.00	12,360.00	Callable 06/30/16, then qtrly
Subtotal, Federal Agencies							<u>58,000,000.00</u>	<u>58,001,664.17</u>	<u>58,319,090.00</u>	<u>317,425.83</u>	
CORPORATE/MEDIUM TERM NOTES											
BERKSHIRE HATHAWAY FIN	12/15/10	12/15/15	Aa2	AA	2.450	2.530	2,000,000.00	1,999,816.67	2,005,160.00	5,343.33	
BERKSHIRE HATHAWAY INC	11/29/13	02/09/18	Aa2	AA	1.550	1.550	2,000,000.00	2,000,000.00	2,016,600.00	16,600.00	
GENERAL ELECTRIC CAPITAL CORP	11/10/10	11/09/15	A1	AA+	2.250	2.250	2,000,000.00	2,000,000.00	2,000,580.00	580.00	
GENERAL ELECTRIC CAPITAL CORP	01/14/14	01/14/19	A1	AA+	2.300	2.250	2,000,000.00	2,003,010.61	2,033,880.00	30,869.39	
PROCTOR & GAMBLE	09/20/11	11/15/15	Aa3	AA-	1.800	1.085	2,000,000.00	2,000,542.21	2,000,960.00	417.79	
TOYOTA MOTOR CREDIT	09/26/11	09/15/16	Aa3	AA-	2.000	1.800	2,000,000.00	2,003,320.78	2,024,080.00	20,759.22	
Subtotal, Corporate Securities							<u>12,000,000.00</u>	<u>12,006,690.27</u>	<u>12,081,260.00</u>	<u>74,569.73</u>	
SB AIRPORT PROMISSORY NOTE (LT)											
SANTA BARBARA AIRPORT	07/14/09	06/30/29	-	-	3.500	4.195	5,061,002.86	5,061,002.86	5,061,002.86	0.00	
Subtotal, SBA Note							<u>5,061,002.86</u>	<u>5,061,002.86</u>	<u>5,061,002.86</u>	<u>0.00</u>	
CHECKING ACCOUNT											
MUFG UNION BANK NA CHKNG ACCNT	-	-	-	-	0.400	0.400	14,232,925.32	14,232,925.32	14,232,925.32	0.00	
Subtotal, Checking Account							<u>14,232,925.32</u>	<u>14,232,925.32</u>	<u>14,232,925.32</u>	<u>0.00</u>	
CASH/PENDING REDEMPTION											
U S TREASURY NOTE	10/25/12	10/31/15	Aaa	AA+	1.250	0.397	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
Subtotal, Cash/Pending Redemption							<u>2,000,000.00</u>	<u>2,000,000.00</u>	<u>2,000,000.00</u>	<u>0.00</u>	
TOTALS							<u>145,293,928.18</u>	<u>145,367,370.97</u>	<u>145,768,463.18</u>	<u>401,092.21</u>	

Market values have been obtained from the City's safekeeping agent, MUFG Union Bank NA - The Private Bank (UBTPB). UBTPB uses Interactive Data Pricing Service, Bloomberg and DTC.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Approval Of Final Map And Execution Of Agreements For 210 Meigs Road And Acceptance Of Adjacent Easement At Washington School

RECOMMENDATION: That Council:

- A. Approve and authorize the City Administrator to execute and record Final Map Number 20,815 and standard agreements relating to the approved subdivision at 210 Meigs Road, and authorize the City Engineer to record, upon completion of any required public improvements, a recital document stating that the public improvements have been completed and that the previously recorded Land Development Agreement may be removed from the title document; and
- B. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Accepting a New Water and Sewer Easement on Santa Barbara Unified School District Property, known as Washington Elementary School, Located at 290 Lighthouse Road.

DISCUSSION:

A Tentative Map for the subdivision located at 210 Meigs Road (Attachment 1), was conditionally approved on March 5, 2009, by adoption of the Planning Commission (PC) Conditions of Approval, Resolution Number 007-09, (Attachment 2). The project involves the subdivision of Parcel One into five single-family residential lots and a new City street to be name Lighthouse Way. Staff has reviewed the Final Map and has found it to be in substantial compliance with the previously approved Tentative Map, the Conditions of Approval, the State Subdivision Map Act, and the City's Subdivision Ordinance.

In accordance with the PC approval, the Owner(s) (Attachment 3) have signed and submitted the Map and the required Agreements to the City, tracked under Public Works Permit Number PBW2015-00553. Council approval is required if Council agrees with the staff determination that the Map conforms to all the requirements of the Subdivision Map Act and the Municipal Code applicable at the time of the approval of the Tentative Map (Municipal Code, Chapter 27.09.060, City Council Action).

Staff recommends that Council authorize the City Administrator to execute the required *Agreement Relating to Subdivision Map Conditions Imposed on Real Property* and the *Agreement for Land Development Improvements*.

The *Agreement Assigning Water Extraction Rights* does not require Council approval, and will be signed by the Public Works Director in accordance with City Council Resolution Number 02-131.

In accordance with the Planning Commission conditions of approval, the public improvements include curb extensions on the southeast and southwest corners of the Meigs Road and Elise Way intersection. The curb extensions are to shorten the crossing distance and improve pedestrian visibility at the Washington Elementary School crosswalk across Meigs Road, and will be buffered from the vehicle travel lanes by approximately 6 feet.

THE FINAL MAP, AGREEMENTS, AND EASEMENT DEED ARE AVAILABLE FOR REVIEW IN THE CITY CLERK'S OFFICE.

- ATTACHMENT(S):**
1. Vicinity Map
 2. Conditions required to be recorded concurrent with Final Map Number 20,815 by the Planning Commission Conditions of Approval Resolution Number 007-09
 3. List of Owners

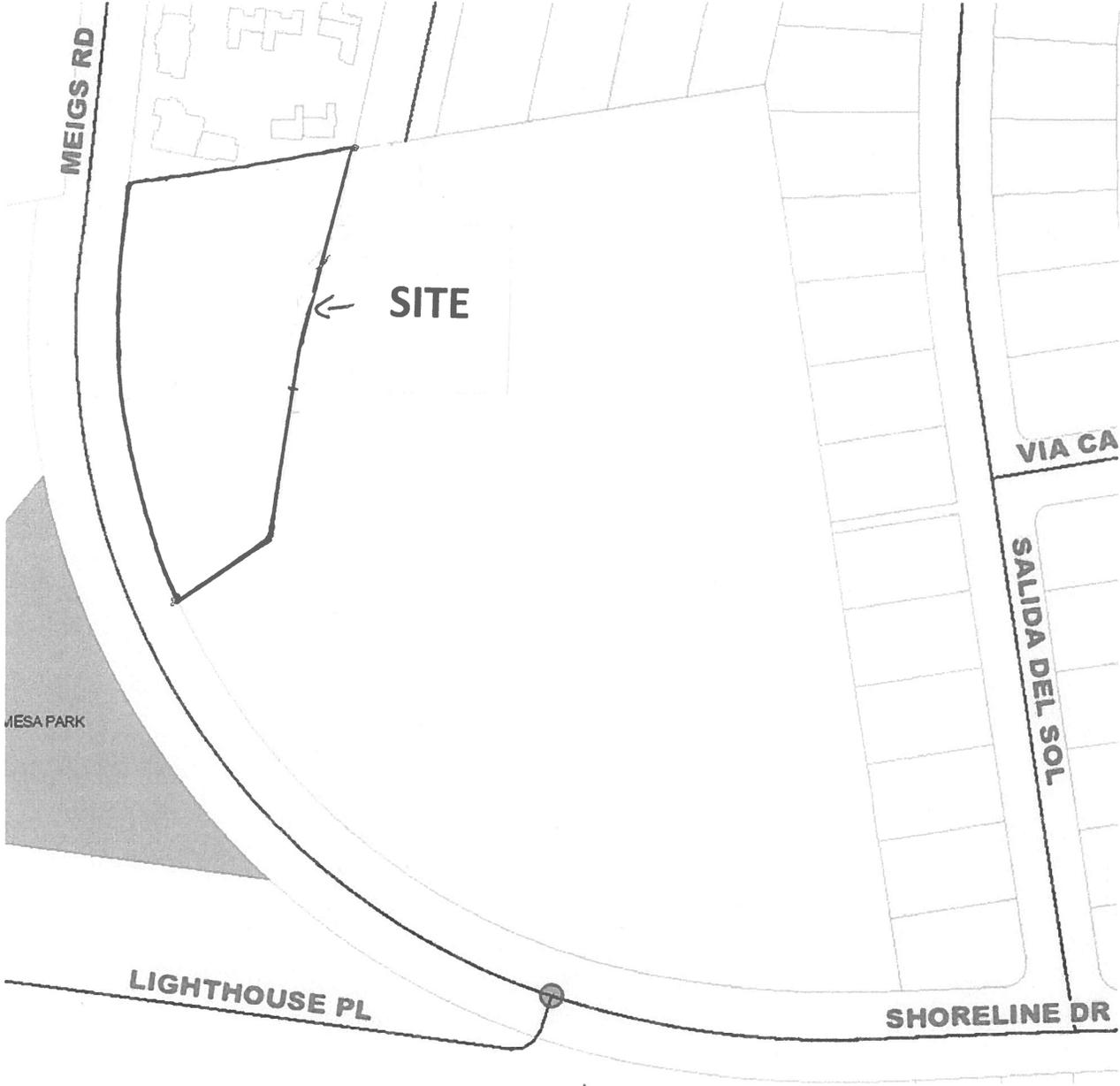
PREPARED BY: John Ewasiuk, Principal Civil Engineer/MW/kts

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

ATTACHMENT 1

**Vicinity Map
210 Meigs**



Not to Scale

CONDITIONS REQUIRED TO BE RECORDED CONCURRENT WITH FINAL MAP NUMBER 20,815 BY PLANNING COMMISSION CONDITIONS OF APPROVAL, RESOLUTION NO. 007-09

210 Meigs Road

Said approval is subject to the following conditions:

1. **Approved Development.** The development of the Real Property approved by the Planning Commission on March 5, 2009, is limited to the lot line adjustment and the five lot residential subdivision and associated improvements, including a new public street with sidewalk and parkway, shown on the tentative map and approved project plans signed by the chairman of the Planning Commission on said date and on file at the City of Santa Barbara.
2. **Future Residential Units.** Any subdivision or other residential development of Adjusted Parcel 1 shall be subject to the review and approval of the Single-Family Design Board (SFDB) and shall incorporate the design review criteria specified in the Design Review section of the conditions of approval contained within the Resolution approving the subject project (MST2006-00476) in order to reduce nuisance noise to future residents and direct views away from the school.
3. **Passive Drainage Techniques - Residential Subdivision.** On each lot of the subdivision, passive/natural water treatment design techniques such as bioswales, infiltration basins, etc, shall be incorporated into open space areas, groundcover, and courtyards to treat the small, frequent storm events that impact water quality in Santa Barbara (a 1 inch storm event, over a 24-hour period). These types of passive/natural capture and filtration design options shall be implemented as opposed to mechanical/underground options, which pose maintenance problems and often times, do not treat runoff as efficiently. These measures shall be incorporated into the drainage plan and shall be subject to review and approval by City Building Division and Public Works Department per City regulations prior to issuance of any building or public works permits (Mitigation Measure W-6).
4. **Uninterrupted Water Flow.** The Owner shall provide for the uninterrupted flow of water onto the Real Property including, but not limited to, swales, natural watercourses, conduits and any access road, as appropriate.
5. **Recreational Vehicle Storage Limitation.** No recreational vehicles, boats, or trailers shall be stored on the Real Property unless enclosed or concealed from view as approved by the Single Family Design Board (SFDB).
6. **Landscape Plan Compliance.** The Owner(s) shall comply with the Landscape Plan approved by the Single Family Design Board (SFDB). Such plan shall not be modified unless prior written approval is obtained from the SFDB. The landscaping on the Real Property shall be provided and maintained in accordance with said landscape plan. If said landscaping is removed for any reason without approval by the SFDB, the owner is responsible for its immediate replacement. The following tree protection measures shall be incorporated:

Tree Protection. The existing tree(s) shown on the Tree Removal Plan as to remain shall be preserved, protected, and maintained in accordance with the recommendations contained in the arborist's report prepared by Bill Spiewak, dated August 21, 2007. A copy of this report shall be attached to the recorded conditions as an exhibit. Additionally, the following provisions shall apply to any oak trees to remain on the property:

- a. No irrigation systems shall be installed within three feet of the drip line of any oak tree.
- b. The use of herbicides or fertilizer shall be prohibited within the drip line of any oak tree.

7. **Storm Water Pollution Control and Drainage Systems Maintenance.** Owner(s) shall maintain any drainage system and storm water pollution control devices intended to intercept siltation and other potential pollutants (including, but not limited to, hydrocarbons, fecal bacteria, herbicides, fertilizers, etc.) in a functioning state (and, if applicable, in accordance with the Operations and Maintenance Procedure Plan prepared in accordance with the Storm Water Management Plan BMP Guidance Manual). Should any of the project's surface or subsurface drainage structures or storm water pollution control methods fail to capture, infiltrate, and/or treat water, or result in increased erosion, the Owner shall be responsible for any necessary repairs to the system and restoration of the eroded area. Should repairs or restoration become necessary, prior to the commencement of such repair or restoration work, the applicant shall submit a repair and restoration plan to the Community Development Director to determine if an amendment or a new Building Permit and Coastal Development Permit is required to authorize such work. The Owner is responsible for the adequacy of any project-related drainage facilities and for the continued maintenance thereof in a manner that will preclude any hazard to life, health, or damage to the Real Property or any adjoining property.

8. **Disclosure of School Activities.** Future owners of each residential lot shall be made aware of the fact that Washington Elementary School is on the adjacent property and that various indoor and outdoor activities occur on said property, including, but not limited to outdoor play, organized games, and special events. Future school expansion projects should also be disclosed. The language of the disclosure shall be provided to the Washington School Principal and shall be approved by the School District prior to recordation of the final map for the subdivision. Future owners and tenants shall be required to review and acknowledge acceptance of such disclosure. Acknowledgement shall be notarized prior to close of escrow for future owners and as part of rental agreements for tenants.

ATTACHMENT 3

210 Meigs Road

Lighthouse Builders, a California limited liability company

**By the managing member, RJ Builders, LLC, a California limited liability
company**

LIST OF OWNERS

Richard Ridgeway, Managing Member

RESOLUTION NO. _____

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA ACCEPTING A NEW WATER AND SEWER EASEMENT ON SANTA BARBARA UNIFIED SCHOOL DISTRICT PROPERTY, KNOWN AS WASHINGTON ELEMENTARY SCHOOL, LOCATED AT 290 LIGHTHOUSE ROAD.

WHEREAS, The Owner of the real property, located at 290 Lighthouse Road, has offered to the City of Santa Barbara a portion of the land to be accepted as a water and sewer easement;

WHEREAS, The Council of the City of Santa Barbara, by this resolution, hereby declares its intention to accept the offer of an easement on the property known as 290 Lighthouse Road;

WHEREAS, the City of Santa Barbara claims no interest in the underlying fee ownership of the subject property.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

Section 1: The Council of the City of Santa Barbara adopts this Resolution accepting the offer of a water and sewer easement on the Real Property described in the Easement Deed dated July 10, 2015, from The Santa Barbara Unified School District to the City of Santa Barbara;

Section 2: The Council of the City of Santa Barbara authorizes the Public Works Director to record the offered Easement Deed.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Council members

FROM: Engineering Division, Public Works Department

SUBJECT: Purchase Order For The Maintenance And Repair Of The El Estero Wastewater Treatment Plant Digester No. 1 Lining

RECOMMENDATION: That Council:

- A. Find that it is in the best interest of the City to waive formal bidding requirements section 4.52.070(L) of the Santa Barbara Municipal Code; and
- B. Authorize the Public Works Director to execute a Purchase Order with Olympos Painting, Inc., in the amount of \$191,000 for maintenance and repair of the El Estero Wastewater Treatment Plant Digester No. 1, and authorize the Public Works Director to approve expenditures up to \$19,100 to cover any cost increases that may result from necessary changes in the scope of work.

DISCUSSION:

Background

On June 10, 2014, Council approved a Construction Contract to clean two digester tanks and replace related appurtenances at the El Estero Wastewater Treatment Plant.

The cleaning of Digester No. 2 started in September 2014, and included the replacement of the valves and piping. When the Digester No. 2 tank was emptied in November 2014, an inspection revealed that the lining was compromised and would need to be replaced. This was not a part of the original scope of work for this tank as the lining was projected to last another 10 years.

Therefore, since the cleaning contractor did not perform lining work, the City bid the Digester No. 2 lining work separately, and entered into a contract with Olympos Painting, Inc., (Olympos) in January 2015. The lining of Digester No. 2 was completed in May 2015, and the tank was placed back into service.

The cleaning contract continued with work on Digester No. 1, and in August 2015, the tank was taken out of service and emptied. An inspection of the empty Digester No. 1

tank revealed the need for lining repairs on this digester as well, similar to the work performed on Digester No. 2.

Project Description

The maintenance and repair work now proposed for Digester No. 1 includes repairing the existing joint seals located inside the tank between the roof and the walls, restoring the de-laminated concrete on the roof and on the existing roof access manway, sealing exposed bolt holes, and lining the tank.

Due to the fact that Olympos is familiar with the City's digesters' intricate operational and maintenance requirements because of their prior lining work on Digester No. 2, which was completed on-time and under budget, staff is recommending that it is in the best interest of the City to waive formal bidding requirement and enter into a purchase order with Olympos. They are a locally certified painting services contractor who can provide the City with a quick response time for maintenance (see attached quote). Their proposed quote is comparable to their former Digester No. 2 lining contract, which was competitively bid.

Additionally, because of the lengthy process and expense of taking a digester out of service and preparing it for repairs, staff is recommending that the repairs and lining work on Digester No. 1 be completed immediately, while the tank is empty, and before the winter season begins.

BUDGET/FINANCIAL INFORMATION:

There are sufficient appropriated funds in the Wastewater Capital Fund to cover the cost of this project. The following summarizes project costs:

ESTIMATED TOTAL PROJECT COST	
Olympos Agreement	\$191,000
Extra Services	\$19,100
Project Management (by City Staff)	\$25,000
TOTAL PROJECT COST	\$235,100

At its meeting on November 9, 2015, the Board of Water Commissioners voted in support of Staff's recommendation.

PREPARED BY: Linda Sumansky, Principal Civil Engineer/AF/kts

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Increase In Construction Change Order Authority For Cabrillo Boulevard Bridge Replacement/Anacapa Street Crosswalk Enhancement

RECOMMENDATION: That Council:

- A. Accept Highway Safety Improvement Program grant funding increase in the amount of \$270,000 for a revised total amount of \$763,500 for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project;
- B. Authorize an increase in appropriations and estimated revenues related to the Highway Safety Improvement Program grant funding by \$270,000 in the Fiscal Year 2016 Streets Grant Fund to cover a portion of the cost of construction for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project;
- C. Approve the transfer of \$180,000 in available appropriations from the Streets Capital Fund to the Streets Grant Fund for the City's share of the construction cost for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project; and
- D. Authorize an increase in the Public Works Director's Change Order Authority to approve expenditures for extra work for the Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project, Contract No. 24,977, in the amount of \$430,512.50, for a total contract expenditure authority of \$15,818,578.00;
- E. Authorize an increase in the extra services amount with Bengal Engineering, Incorporated, for design support services during construction for the Cabrillo Boulevard Bridge Replacement Project, Contract No. 24,979, in the amount of \$43,122.50, for a total contract expenditure authority of \$292,624.50.

DISCUSSION:

Project Background And Description

The City of Santa Barbara was awarded a \$493,500 grant from the Highway Safety Improvement Program (HSIP) for pedestrian crosswalk enhancements at five intersections in Santa Barbara for the HSIP Pedestrian Crosswalk Enhancements Project. The intersections include 1) Cabrillo Boulevard at Corona Del Mar, 2) State

Council Agenda Report

Increase In Construction Change Order Authority For Cabrillo Boulevard Bridge Replacement/Anacapa Street Crosswalk Enhancement

November 24, 2015

Page 2

Street at Calle Palo Colorado, 3) State Street at Islay Street, 4) State Street at Pedregosa Street, and 5) Cabrillo Boulevard at Anacapa Street Crosswalk Enhancement Project (Project), which are illustrated on Attachment 1. The need for the improvements was identified through routine traffic safety analyses.

On September 15, 2015, City Council awarded the construction contract for the first four locations. The fifth location, Cabrillo Boulevard at Anacapa Street, is recommended to be awarded separately so that construction can be better coordinated with the Cabrillo Boulevard Bridge Replacement Project (Bridge Project). The primary reason for this is to coordinate the traffic handling plan and detours for pedestrians during Stage 2 (mountain side) and Stage 3 (ocean side) of the Bridge Project. In addition, since the contractor is already mobilized, the overall construction cost should be less, and surface improvements can be coordinated such that it appears to be one project. Caltrans staff has approved this approach.

The Project will include curb extensions, pedestrian activated flashers, improved intersection lighting on south side of Cabrillo Boulevard, and a widened sidewalk area adjacent to the tour bus loading zone. See Attachment 2 for an illustration of the planned Project. A median refuge island was originally proposed for this Project, but was removed after concerns were raised by the Fire Department and the Historic Landmarks Commission.

The curb extension on the south side improves sight lines and allows for more on-street parking. Without the curb extension, parking would have to be kept further away from the crossing point to maintain good sight lines. The north side curb extension is planned due to the existing sidewalk width of only four-feet in front of the Fish House restaurant. The only way to provide sufficient sidewalk width is to eliminate the westbound right turn lane to Anacapa Street. The westbound right turn movement is generally a low volume movement.

Current Status

On October 30, 2015, a change order proposal in the amount of \$453,035 was received from Lash Construction, Inc., (Lash) for the subject work. Since the proposal was higher than the engineer's estimate, staff negotiated the price with the contractor. A revised proposal of \$391,375, submitted by Lash on November 9, 2015, is an acceptable bid that is responsive to and meets the requirements of the Project specifications. Staff recommends authorizing the execution of a change order to the Bridge Project contract since the proposed change order amount is reasonable and it is in the public's best interest to proceed with the work.

In addition, staff recommends a change order funding recommendation of \$39,137.50, or 10 percent, as is typical for this type of work and size of project.

The subject work is not anticipated to materially delay the Bridge Project, with the mountain sidewalk being completed during Stage 2 this winter, and the ocean sidewalk being completed during Stage 3 in winter 2016-2017.

In addition, Caltrans has notified City staff that an increase in the HSIP grant in the amount of \$270,000 has been approved for a total grant amount of \$763,500. This results in the total construction contract cost for the HSIP project being approximately 85 percent funded by the grant.

The recommended increase in design support services with Bengal Engineering, Incorporated, (Bengal) on the Bridge Project is needed in order to modify the engineering design of the Stage 3 (ocean side) part of the new bridge. The modification to the bridge includes accommodating a newly identified earthquake requirement, per Caltrans, for the high-pressure gasoline hanging from the bridge. The increase in extra services will cover the cost of updating the bridge plans and reimbursing Bengal for costs associated with modifications made to the potable water main plan so that the gas main could be accommodated.

BUDGET/FINANCIAL INFORMATION:

Funding

This Project is primarily funded by a HSIP grant, with the balance from the Streets Capital Fund. The grant share for construction of the overall HSIP project is \$763,500, of which \$270,000 is recommended to be appropriated with this Council Agenda Report. An additional \$180,000 for construction costs will come from a transfer of available appropriations in the Streets Capital Fund and from funds already appropriated for the HSIP project.

The following summarizes the additional expenditures recommended in this report:

CABRILLO BOULEVARD BRIDGE REPLACEMENT CONSTRUCTION CONTRACT FUNDING SUMMARY

	Base Contract	Change Order	Total
Initial Contract Amount	\$13,989,150.50	\$1,398,915.00	\$15,388,065.50
Proposed Increase	\$391,375.00	\$39,137.50	\$430,512.50
Totals	\$14,380,525.50	\$1,438,052.50	\$15,818,578.00

**CABRILLO BOULEVARD BRIDGE REPLACEMENT DESIGN SUPPORT SERVICES
 CONTRACT FUNDING SUMMARY**

	Base Contract	Change Order	Total
Initial Contract Amount	\$226,820.00	\$22,682.00	\$249,502.00
Proposed Increase	\$0.00	\$43,122.50	\$43,122.50
Total	\$226,820.00	\$65,804.50	\$292,624.50

The following summarizes all Project design costs, construction contract funding, and other Project costs.

**HSIP PEDESTRIAN CROSSWALK ENHANCEMENT
 ESTIMATED TOTAL PROJECT COST**

**Cents have been rounded to the nearest dollar in this table.*

	FHWA Share	City Share	Total Cost
Design (by City Staff)	\$0	\$125,970	\$125,970
City-Supplied Equipment	\$0	\$34,910	\$34,910
	\$0	\$160,880	\$160,880
Construction Contract (4 Locations)	\$398,220	\$44,247	\$442,467
Construction Change Order Allowance (4 Locations)	\$0	\$44,250	\$44,250
Construction Contract (Cabrillo / Anacapa)	\$352,237	39,138	\$391,375
Construction Change Order Allowance (Cabrillo / Anacapa)	\$13,043	26,094	\$39,137
Contract Subtotal	\$763,500	\$153,729	\$917,229
Construction Management/Inspection (by City Staff and Contract)	\$0	\$93,463	\$93,463
Other City Staff Costs (Survey, Record Drawings, etc.)	\$0	\$10,000	\$10,000
Subtotal	\$0	\$103,463	\$103,463
	\$763,500	\$257,192	\$1,020,692
Project Total	\$763,500	\$418,072	*\$1,181,572

There are sufficient appropriated funds in the Streets Capital Fund to cover the extra work items.

ATTACHMENTS: 1) Project Location Map
2) Project Layout

PREPARED BY: Brian D'Amour, Principal Civil Engineer/AH/sk

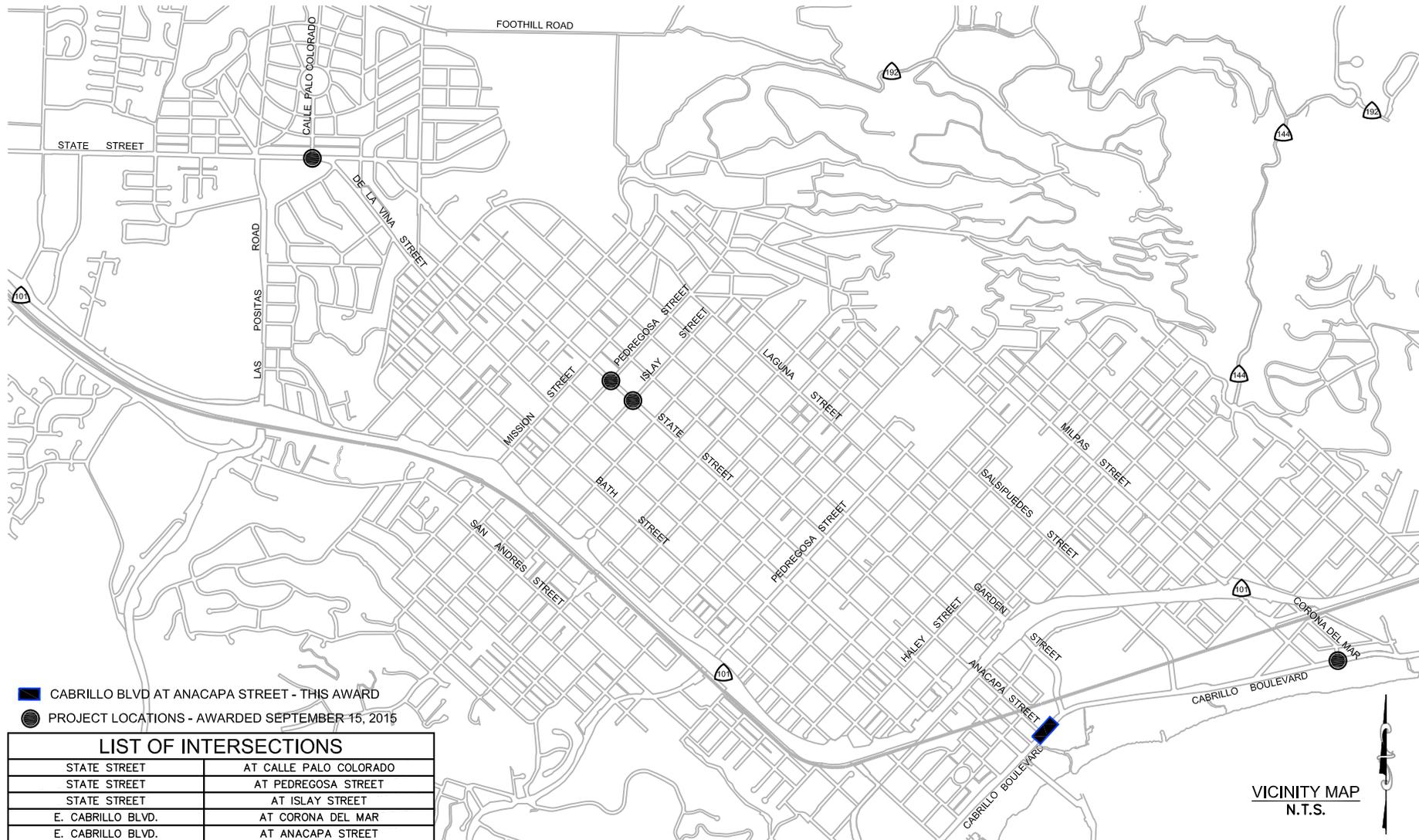
SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) PEDESTRIAN CROSSWALK ENHANCEMENTS PROJECT

FEDERAL AID PROJECT # HSIPL-5007(057) PROJECT NO. 47765, BID NO. 3681



- CABRILLO BLVD AT ANACAPA STREET - THIS AWARD
- PROJECT LOCATIONS - AWARDED SEPTEMBER 15, 2015

LIST OF INTERSECTIONS	
STATE STREET	AT CALLE PALO COLORADO
STATE STREET	AT PEDREGOSA STREET
STATE STREET	AT ISLAY STREET
E. CABRILLO BLVD.	AT CORONA DEL MAR
E. CABRILLO BLVD.	AT ANACAPA STREET

VICINITY MAP
N.T.S.



PUBLIC WORKS DEPARTMENT
ENGINEERING DIVISION

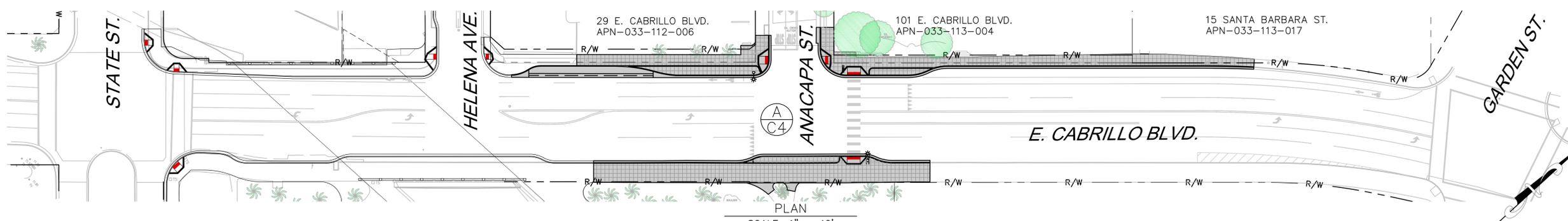
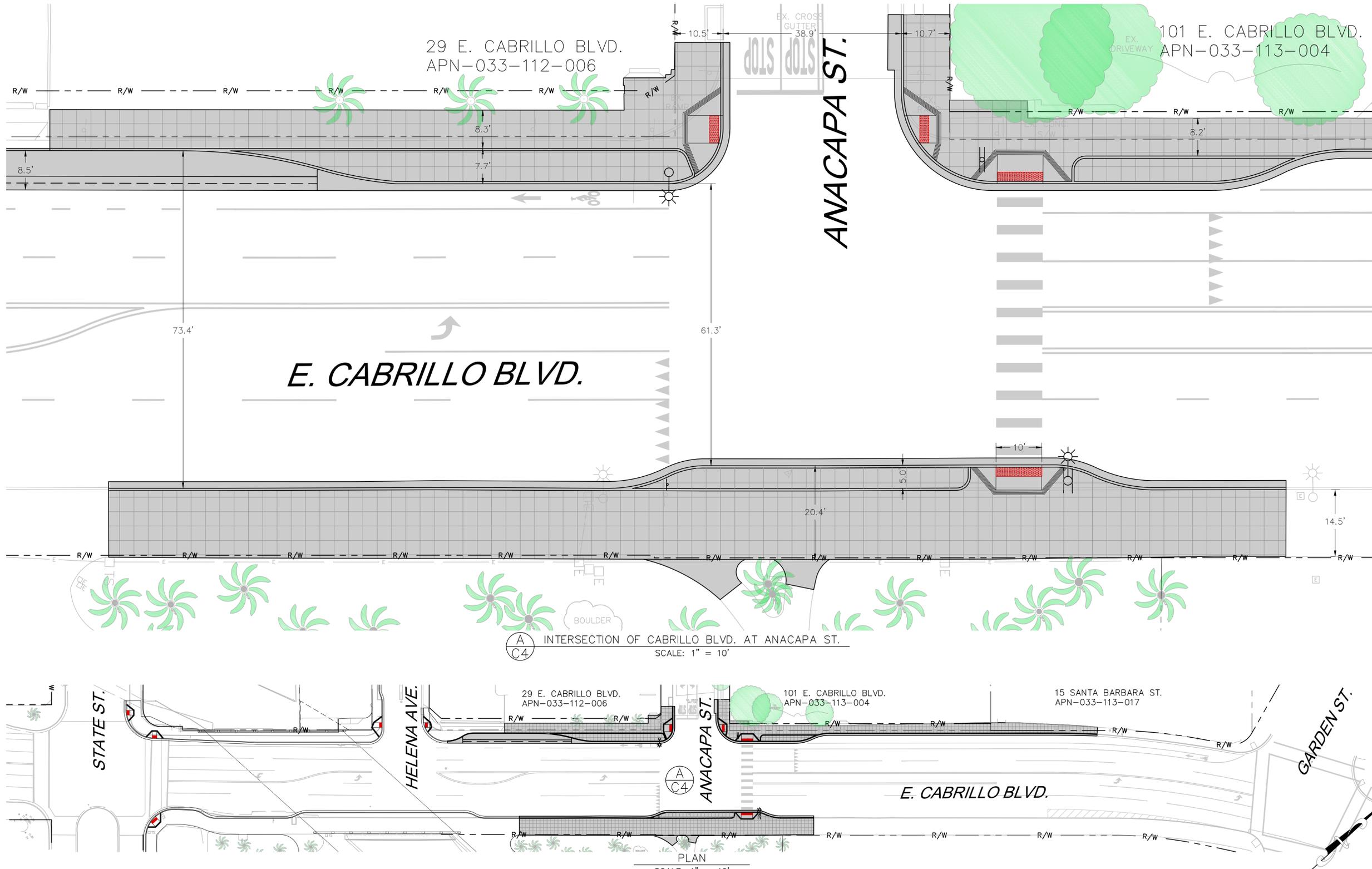
APPROVED: _____ DATE: _____
DESIGN: _____
DRAWN: _____
CHECKED: _____
CITY ENGINEER: _____
GENERAL SUPERVISOR: _____

NO.	DATE	APPROVED	DESIGN	DATE	CHECKED	DATE

TITLE SHEET

HSIP PEDESTRIAN CROSSWALK ENHANCEMENTS PROJECT

2014-00115
3681 G1
C-1-4713
SHT. 1 OF 1



A
C4 INTERSECTION OF CABRILLO BLVD. AT ANACAPA ST.
SCALE: 1" = 10'

CABRILLO BLVD. AT ANACAPA ST.
ALTERNATIVE #2 - CURB EXTENSIONS ONLY



PUBLIC WORKS
DEPARTMENT
ENGINEERING DIVISION

APPROVED: _____ DATE _____

CITY ENGINEER ORIGINAL SIGNED DATE _____

NO.	DATE	APPROVED	DESIGN	DB
			DRAWN	AS
			CHECKED	DB

30%
DRAFT

REVISIONS

HSIP CROSSWALK ENHANCEMENTS PROJECT

**E. CABRILLO BLVD.
AT ANACAPA ST.**

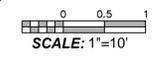
2014-0015
PBW. NO.

3681 C4
BID NO. SHT. DES

C-1-4713
DWG. NO.

SHT. 3 OF 5

PROPERTY LINES
ARE APPROXIMATE



SUPERVISING TRANSPORTATION ENGINEER DATE _____

T:\Projects - Active\3681 - HSIP Crosswalk Enhancements\Design\Plans & Specs\Cabrillo@Anacapa\C03 - Cabrillo @ Anacapa.dwg: 12/10/2014 8:11 AM; Grubb, Andrew



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: Water Resources Division, Public Works Department

SUBJECT: Contract For Fiscal Year 2017 Water Rate Modeling Services

RECOMMENDATION:

That Council authorize the Public Works Director to execute a City Professional Services contract with Raftelis Financial Consultants, Inc., in the amount of \$50,221 for development of proposed water rates for Fiscal Year 2017, and authorize the Public Works Director to approve expenditures of up to \$12,555 for extra services that may result from necessary changes in the scope of work, for a total not-to-exceed amount of \$62,776.

DISCUSSION:

Staff has received a proposal from Raftelis Financial Consultants, Inc., (Raftelis) to complete the modeling and analysis necessary to develop proposed Fiscal Year 2017 water rates. Due to the uncertainty of continued drought conditions, several scenarios of water rates will be developed that account for various water supply and demand conditions. The actual water rate scenario to be recommended for implementation will be determined in the February-March 2016 time frame, when more information will be known about the impacts of this winter's rainfall on our water supplies.

The City of Santa Barbara (City) is currently in a Stage Three Drought Emergency Condition, requiring a 25 percent reduction in overall water demands. Current water rates, which went into effect on July 2015, account for increased costs associated with responding to the drought, as well as a 25 percent demand reduction. Since June 2015, the community has cumulatively reduced water usage by 35 percent, exceeding the 25 percent conservation goal. While this extraordinary conservation has a beneficial effect on water supply planning, it will result in a loss of revenue beyond what can be recovered under the current rate structure. The City's water fund reserves can temporarily absorb some of the losses but ultimately rates will need to be adjusted to stabilize the financial situation.

The City is a champion in water conservation and, in compliance with California Urban Water Conservation Council policies, has designed its water rates to incentivize

conservation, with 70 percent or more of revenue coming from volumetric rates that vary based on water use. This can be challenging to manage, given that the majority of the water system costs are fixed and are independent of water use. If water demands continue to be low and negatively impact revenues from volumetric rates, the City will be at risk of not meeting fixed financial obligations (e.g., debt service, required maintenance, etc.).

Given the uncertainty of whether the current level of water demands will continue, and the possibility of an increased conservation target for next year, the proposed water rate scenarios for Fiscal Year 2017 will consider a conservation range of 25 to 50 percent. A temporary fee will be considered in the water rate design that stabilizes revenue under various levels of demand reduction. This temporary fee is intended to address revenue loss that occurs when community conservation exceeds the conservation target and would be removed once water usage stabilized and the annually adopted rates were sufficient to cover the cost of service.

While the prospects of rain have improved this year, it is prudent to plan for a range of weather conditions. Therefore, the water rate scenarios will evaluate both 1) the size of the Charles E. Meyer Desalination Plant (Plant) as currently approved and under construction, and 2) a potential expansion, should drought conditions persist. A decision on a potential expansion of the Plant is not expected until spring 2016, after the majority of the rainy season is over. The water rate model will also anticipate potential revenue from the Montecito Water District (MWD), should the City and the MWD enter into a cost-sharing agreement in which the City would provide water to MWD. Negotiations for such an agreement between the City and the MWD are underway and anticipated to be complete in January 2016.

Any adjustment to the water rates must be made in compliance with Proposition 218 requirements, known as the *Right to Vote on Taxes Act*. It includes the requirement that the rates may not exceed the estimated cost of providing the service, and it must be reasonable, fair, equitable, and proportional. Raftelis is a well-known financial expert for water rate consulting services. Raftelis has developed the City's water rate model in support of the 2013 Water Rates Study, as well as our water rates in response to the drought for Fiscal Years 2015 and 2016, in compliance with the requirements of California's Proposition 218, approved by voters in 1996.

Staff has received a proposal from Raftelis to complete the modeling and analysis necessary to develop recommended rate structure scenarios for various water supply and demand conditions. This will build on the water rate model developed by Raftelis under previous contracts. The scope of services includes a revised Water Fund Financial Plan, with particular attention to water rates for Fiscal Years 2017 and 2018.

Two scenarios will be evaluated for Desalination Plant costs: 1) the current Plant capacity under construction, and 2) possible expansion of the Plant up to 7500 acre feet per year. For each cost scenario, various levels of water usage demand reductions will be evaluated to determine necessary fees for stabilizing the Water Fund reserves at

policy levels. The final work will be documented in a report that explains the approach and methodology behind the rates.

Initial work to develop proposed rates will be completed in time to allow rate noticing under Proposition 218 should the Council deem it necessary to move forward with financing an expansion of the Desalination Plant and to meet the timeline for the rates to become effective for Fiscal Year 2017. An extra services authorization equal to 25 percent of base costs is recommended in this case, due to the evolving nature of the water supply and demand conditions, and the need to promptly address unanticipated issues that may arise.

BUDGET/FINANCIAL INFORMATION:

There are sufficient appropriated funds in the Fiscal Year 2016 Water Fund budget for the proposed financing and rate model study services. The Water Commissioners heard this item at its November 9, 2015 meeting and the Board voted 5-0-0 to approve these services.

PREPARED BY: Joshua Haggmark, Water Resources Manager/KD/mh

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Cancellation Of Certain Council Meetings In 2016

RECOMMENDATION:

That Council cancel the regular Council Meetings on the following dates: January 5, January 19, February 16, April 5, May 31, July 5, August 16, August 23, August 30, November 29, December 20, and December 27, 2016.

DISCUSSION:

Each year we review the calendar for the regular City Council Meeting dates.

The Council meeting schedule has been established based on cancelling the Council meetings on the Tuesdays following a holiday and providing for a summer break. Council canceled these same Council meetings in 2015.

We are now proposing the cancellation of meetings for the next year.

Using this criteria, the following meetings would be cancelled:

- Tuesday, January 5, 2016 (Tuesday after New Year's Day)
- Tuesday, January 19, 2016 (Tuesday after Martin Luther King, Jr. Holiday);
- Tuesday, February 16, 2016 (Tuesday after Presidents Day Holiday);
- Tuesday, April 5, 2016 (Tuesday after Cesar Chavez Day Holiday);
- Tuesday, May 31, 2016 (Tuesday after Memorial Day Holiday);
- Tuesday, July 5, 2016 (Tuesday after 4th of July Holiday);
- Tuesday, August 16, 2016 (Summer Break);
- Tuesday, August 23, 2016 (Summer Break);
- Tuesday, August 30, 2016 (Summer Break);
- Tuesday, November 29, 2016 (Tuesday after Thanksgiving Holiday);
- Tuesday, December 20, 2016 (Winter Break); and
- Tuesday, December 27, 2016 (Christmas Day Holiday)

Council Agenda Report
Cancellation Of Certain Council Meetings In 2016
November 24, 2015
Page 2

PREPARED BY: Nicole Grisanti, Administrator's Office Supervisor

SUBMITTED BY: Paul Casey, City Administrator

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: Information Systems Division, Administrative Services Department

SUBJECT: Collection Of High Resolution 3D Elevation/Terrain Data (LiDAR)

RECOMMENDATION: That Council:

- A. Approve the expenditure of \$75,000 and authorize the Administrative Services Director to negotiate, subject to approval by the City Attorney, an agreement with Channel Islands Regional Geographic Information Systems Collaborative for the acquisition and processing of High Resolution 3D Elevation/Terrain Data for the City's Centralized Geographic Information System; and approve an additional \$7,500 for contingency costs that may be necessary; and
- B. Appropriate \$82,500 from Information Systems Fund Reserves to the Fiscal Year 2016 Information Systems Budget for this purpose.

DISCUSSION:

The City has been offered an advantageous opportunity to perform a significant update and upgrade to its existing elevation/topographical data set through a partnership with the Channel Islands Regional Geographic Information Systems Collaborative (CIRGIS).

Background

The City's Centralized Geographic Information System includes topographical data, which shows the various elevations and contours of the Earth's surface for the City and surrounding areas. The current topographical data set was acquired in 1995 using field survey technology and has not been updated since then. The data does not extend beyond City limits, although City Water and Fire services often do.

Topographical data is used by Police and Fire, Building and Safety, Planning, Public Works, Airport, and the Waterfront for many purposes, including:

- Evaluating slopes for development purposes
- Visualization of terrains and elevations
- Calculation of hydraulic pressures for sewer and storm water flows
- Emergency management, flood control, and planning

- Evaluation of wild land vegetation

The City is a member of CIRGIS, a non-profit organization that assists local agencies by coordinating the acquisition of geographical data for cost-sharing purposes. CIRGIS has coordinated bi-annual acquisitions of aerial photos for public agencies in Santa Barbara and Ventura counties since 2007. The City first participated in a CIRGIS aerial photo collaboration project in 2012 with significant financial savings.

Current Project

CIRGIS has contracted with vendor Harris Government Communications Systems (Harris) to collect high resolution 3D elevation/terrain data via aircraft with Light Detection and Ranging (LiDAR) technology, prior to the predicted El Niño storm season.

The data that will be collected through the project has many potential applications. The data is expected to be collected by Harris at very high resolution, but licensed to participating agencies at eight points per square meter. This is still a very high resolution, and is considered quality level 1 by the US Geological Society (USGS) and would be more than adequate for current City applications. Data collected by the USGS survey, for example, is only at two points per square meter, quality level 2. The resolution that will be obtained by participating agencies exceeds FEMA guidelines and can be used to provide evidence of damage sustained in declared emergencies. Additional higher resolution data will be available to participating agencies from Harris at an additional fee, if it is ever needed. For example, building outlines could be extracted, providing invaluable information about facilities and potential hazards to Police and Fire, Building and Safety, Planning, Public Works, Airport, and the Waterfront.

CIRGIS conducted an extensive review process prior to awarding this contract to Harris. A Request for Information was sent on April 18, 2014, to 15 vendors nationwide. CIRGIS then sent Request for Proposals on February 28, 2015, to eight vendors. Five vendors responded by the March 31, 2015 deadline. A subcommittee comprised of CIRGIS board members reviewed these proposals and all five vendors were invited to interview. Two CIRGIS board members and a representative from the City of Thousand Oaks comprised the interview panel. The five vendors were evaluated based on 20 weighted criteria. CIRGIS then ranked each company based on technical merit, expertise, past experience, references, and their fee proposal. Based on this detailed analysis, Harris was ranked as first choice and was selected as the vendor.

In order to complete the project, a sufficient number of CIRGIS agencies will need to commit to participation. So far, nine agencies are expected to make a binding commitment prior to the flight. The City is the only potential participant yet identified in Santa Barbara County, with the remainder of the agencies in Ventura County. The flight area will depend on the final participants, but is expected to encompass most of Ventura County and only the City-requested portions of Santa Barbara County.

City Participation

Harris will process the collected data and deliver the following products to the City, for the area flown:

- Access to the raw data collected, at an eight points per square meter resolution, from the fly-over area in a "Point Cloud." Additional data can be extracted from the cloud and processed for City use at a later date, for a fee.
- A Digital Elevation Model of the Bare Earth Surface.
- Contour lines to show changes in elevation (topography).

CIRGIS has provided the city with two cost options, based on the coverage area flown.

- A 45-square mile area that covers only the City limits and the area between the airport and the rest of the city, at a cost of \$35,000. (Attachment 1)
- A 108-square mile area that includes the 45-square mile area listed above, as well as the surrounding watershed and wild lands, at a cost of \$75,000. (Attachment 2)

Staff has committed to the 45-square mile area, but recommends the purchase of the 108-square mile data set. This area corresponds to the city's existing aerial imagery, and includes the Gibraltar dam. The smaller data set would not fully meet the needs of City departments, particularly the Fire Department, which provides mutual aid and wild land management in areas outside the City limits. This data will give them the ability to evaluate vegetation, topographic, infrastructure and structure location to evaluate wild land project locations, impacts and suppression response over a large visual area that currently can only be evaluated on one dimensional aerial photos and topographic maps.

The larger data set is also crucial to operations for the Water division of Public Works as it includes Gibraltar Dam and the surrounding watershed. This data would be critical if the dam or its supporting infrastructure sustained damage during winter storms.

BUDGET/FINANCIAL INFORMATION:

The opportunity to join the current CIRGIS project at \$75,000 for the full 108-mile square area is expected to be significantly more cost effective for the City than if the City were to conduct its own LiDAR flight project. The City did not budget for this expense in Fiscal Year 2016 and will fund the work from Information Systems reserves. Costs will be charged back to the departments who use the Centralized Geographic Information System database through allocated costs in Fiscal Year 2017. The General Fund portion of the cost is approximately \$44,565.

- ATTACHMENTS:**
1. Map of proposed 45-square mile area of coverage.
 2. Map of proposed 108-square mile area of coverage.

PREPARED BY: Maryanne Knight, I.S. Supervisor

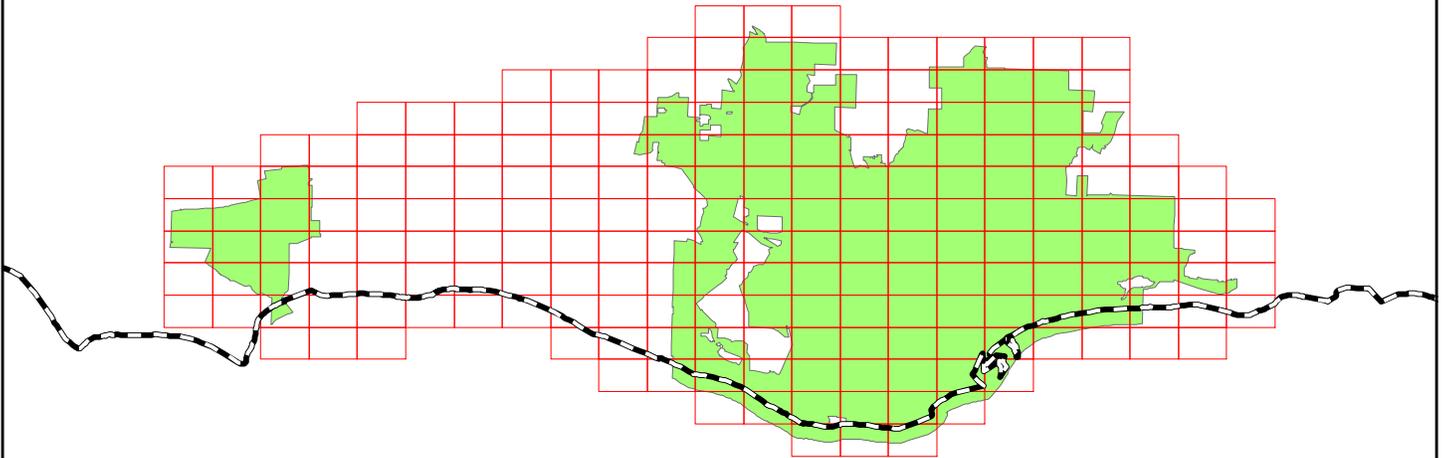
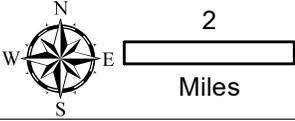
SUBMITTED BY: Kristy Schmidt, Administrative Services Director

APPROVED BY: City Administrator's Office

ATTACHMENT 1

Legend

-  LIDAR TILES
-  CITY BOUNDARY

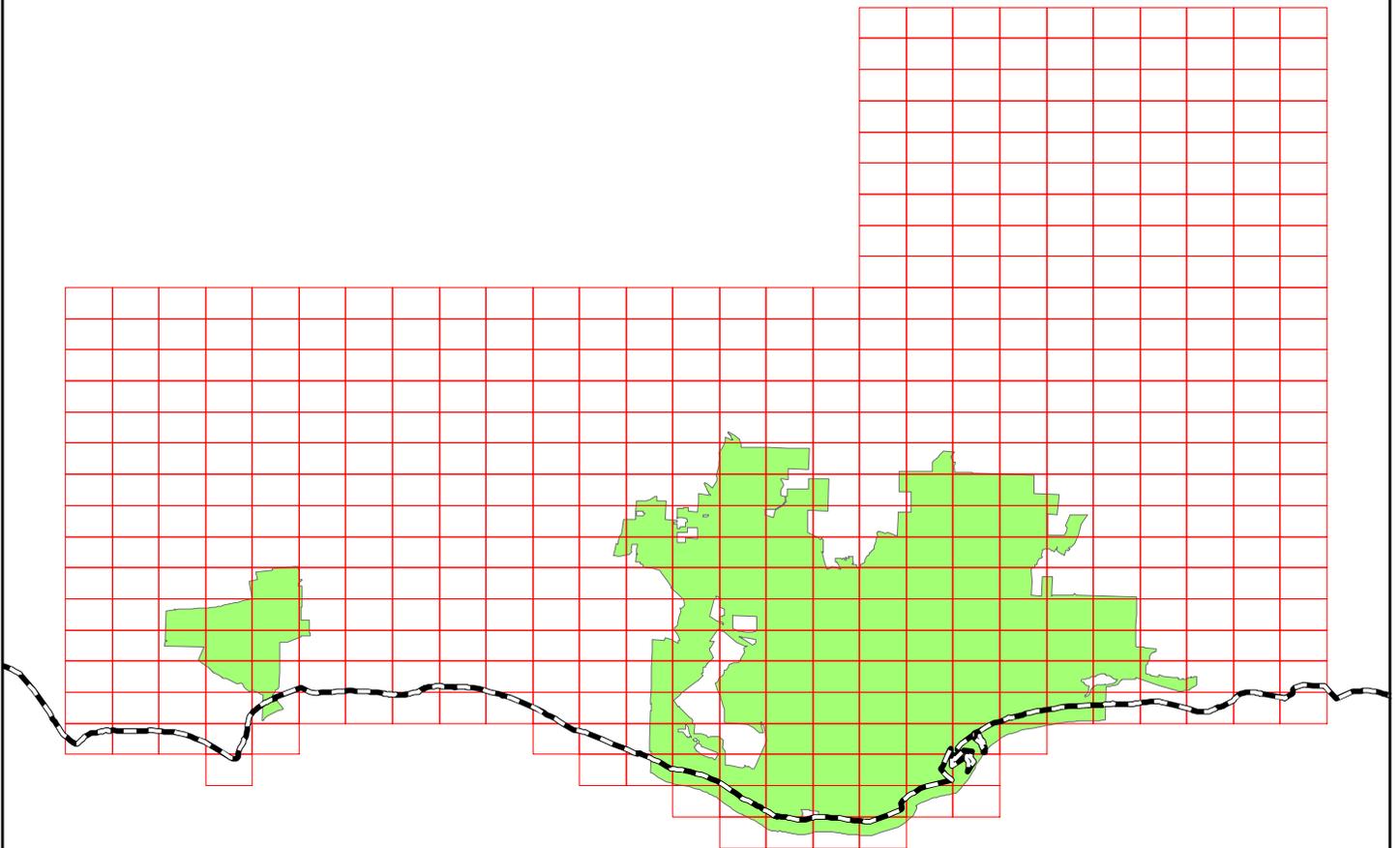
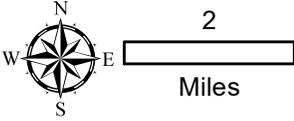


CIRGIS 2015 PROPOSED
LIDAR PROJECT
CITY OF SANTA BARBARA

ATTACHMENT 2

Legend

-  LIDAR TILES
-  CITY BOUNDARY



CIRGIS 2015 PROPOSED
LIDAR PROJECT
CITY OF SANTA BARBARA

ORDINANCE NO.

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING CHAPTER 2.04 OF THE SANTA BARBARA MUNICIPAL CODE PERTAINING TO COUNCIL MEETINGS

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Chapter 2.04 of Title 2 of the Santa Barbara Municipal Code is amended in its entirety to read as follows:

2.04.010 Regular Meeting Schedule.

Regular meetings of the City Council shall be held in the Council Chambers in the City Hall on each Tuesday of each week at a time set by resolution.

2.04.020 Rules of Procedure.

A. The City Council shall from time to time adopt by resolution rules of procedure governing the conduct of City Council meetings.

B. The rules of procedure adopted pursuant to this chapter are deemed to be procedural only and the failure to strictly observe such rules shall not affect the jurisdiction of the City Council or invalidate any action taken at a meeting that is otherwise held in conformity with law.

2.04.030 Decorum.

A. Councilmembers. While the City Council is in session, each Councilmember must preserve order and decorum, and a Councilmember shall neither by conversation or otherwise delay or interrupt the proceedings or the peace of the City Council nor disturb any Councilmember while speaking or refuse to obey the orders of the Mayor.

B. Persons addressing the City Council and persons in attendance at City Council meetings. All persons addressing the City Council or in attendance at a City Council meeting shall comply with the rules of procedure adopted by resolution pursuant to this chapter. Any person in the audience who engages in disorderly conduct such as hand clapping, stamping of feet, whistling, using profane language, yelling and similar demonstrations, which conduct disturbs the peace and actually disrupts the good order of the meeting, or who refuses to comply with the lawful orders of the Mayor, shall be, upon instructions from the Mayor, removed from the meeting by the sergeant-at-arms.

C. Enforcement of decorum. The Chief of Police, or such member or members of the Police Department as he or she may designate, shall be sergeant-at-arms of the City Council and shall carry out all orders given by the Mayor for the purpose of maintaining order and decorum at the Council meetings. Any Councilmember may

move to require the Mayor to enforce the rules and the affirmative vote of a majority of the Council shall require the Mayor to do so.

D. Authorized persons within rail. No person except City officials, their representatives and news media representatives, shall be permitted within the rail in front of the Council Chambers, without the express consent of the Mayor.

2.04.040 Ordinances, Resolutions and Contracts.

A. All ordinances shall be prepared for presentation to the City Council pursuant to the provisions of this chapter. All ordinances shall be prepared by the City Attorney.

B. All ordinances, resolutions, and contract documents shall, before presentation to the Council, have been approved as to form and legality by the City Attorney and shall have been examined and approved for administration by the City Administrator, subject to any time limit imposed by this Code.

C. At the time of introduction or adoption of an ordinance or a resolution, it shall be read in full, unless after the reading of the title thereof, the further reading thereof is waived by unanimous consent of the Councilmembers present. Such consent may be expressed by a statement by the Mayor to the effect that if there is no objection, the further reading of the ordinance or resolution shall be waived. All emergency ordinances must be read in full.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: City Clerk's Office, Administrative Services Department

SUBJECT: Certification Of The Election Results Of The Official Canvass For The General Municipal Election Of November 3, 2015

RECOMMENDATION: That Council:

- A. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Reciting the Fact of the Vote-By-Mail General Municipal Election Held on November 3, 2015, Declaring the Results and Such Other Matters as Required by the State Elections Code; and
- B. Receive a final presentation on the November 3, 2015 Election.

DISCUSSION:

The Vote-By-Mail General Municipal Election was held on Tuesday, November 3, 2015, for the purpose of electing three City Councilmembers who will represent City Council Districts 1, 2 and 3. The Certification of Election Results of the Official Canvass from the City Clerk's Office is an exhibit to the Resolution.

As provided in the City Charter, the terms of office for Councilmembers Cathy Murillo and Randy Rowse, and Councilmember-Elect Jason Dominguez will begin on January 12, 2016, the first scheduled meeting in January following the certification of election results. A swearing-in ceremony will be held at the City Council meeting on that date.

PREPARED BY: Gwen Peirce, CMC, City Clerk Services Manager

SUBMITTED BY: Kristine Schmidt, Administrative Services Director

APPROVED BY: City Administrator's Office

RESOLUTION NO. ____

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA RECITING THE FACT OF THE VOTE-BY-MAIL GENERAL MUNICIPAL ELECTION HELD ON NOVEMBER 3, 2015 DECLARING THE RESULTS AND SUCH OTHER MATTERS AS REQUIRED BY THE STATE ELECTIONS CODE

WHEREAS, a Vote-By-Mail General Municipal Election was held and conducted in the City of Santa Barbara on Tuesday, November 3, 2015, per the City Charter and as required by law;

WHEREAS, notice of the election was given in time, form and manner as provided by law; that voting precincts were properly established; that election officers were appointed and that in all respects the election was held and conducted and the votes were cast, received and canvassed and the returns made and declared in time, form and manner as required by the provisions of the Elections Code of the State of California for holding of elections in charter cities; and

WHEREAS, the City Clerk canvassed the returns of the election and has certified the results to this City Council, the results are received, attached and made a part hereof as "Exhibit A".

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

SECTION 1. Pursuant to the requirements of State Elections Code Sections 10264 and 9269, the results of the City of Santa Barbara's November 3, 2015, General Municipal Election are declared to be the following:

A. That the whole number of ballots cast in the vote-by-mail precincts was 6,693 and the whole number of provisional ballots cast in the vote-by-mail precincts was 78, making a total of 6,771 ballots cast in the City.

B. That the names of persons voted for at the City's General Municipal Election for Member of the City Council are as follows:

<u>District 1</u>	<u>District 2</u>	<u>District 3</u>
Cruzito Herrera Cruz	Robert Burke	Sharon Byrne
Jason Dominguez	Randy Rowse	Cathy Murillo
Michael Merenda	K. Missy McSweeney-Zeitsoff	Cristina Cardoso
Andria Martinez Cohen	Luis Esparza	
Jacqueline Inda		

C. That the number of votes given at each precinct and the number of votes given in the City to each of the persons above named for the respective offices for which the persons were candidates as listed in Exhibit "A" attached.

C. The City Council does declare and determine that Jason Dominguez was elected as Member of the City Council, District 1, for the full term of four years.

D. The City Council does declare and determine that Randy Rowse was elected as Member of the City Council, District 2, for the full term of four years.

E. The City Council does declare and determine that Cathy Murillo was elected as Member of the City Council, District 3, for the full term of four years.

SECTION 2. The City Clerk, by preserving a copy of this Resolution in the official records of the City, shall enter on the records of the City Council of the City, a statement of the results of the election, showing; (1) The whole number of ballots cast in the City; (2) The names of the persons voted for; (3) For what office each person was voted for; (4) The number of votes given at each precinct to each person; (5) The total number of votes given to each person, as required by State Elections Code Section 10264.

SECTION 3. That, as required by State Elections Code Section 10265, the City Clerk shall immediately make and deliver to each of the persons so elected a Certificate of Election signed by the City Clerk and authenticated; that the City Clerk shall also administer to each person elected the Oath of Office prescribed in the Constitution of the State of California and shall have them subscribe to it and file it in the office of the City Clerk. Each and all of the persons so elected shall then be inducted into the respective office to which they have been elected in the manner and at the time so provided in the City Charter.

SECTION 4. That the City Clerk shall certify to the passage and adoption of this resolution and enter it into the book of original resolutions.

**EXHIBIT A
CITY CLERK'S CERTIFICATE OF CANVASS**

I, Gwen Peirce, City Clerk Services Manager of the City of Santa Barbara, do certify that I have canvassed the returns of the General Municipal Election held on November 3, 2015, and find that the number of votes given at each precinct and the number of votes given in the City to persons voted for, the respective offices for which the persons were candidates, were as follows:

ELECTION TALLY

Council Candidates, District 1									
Precinct #	Herrera Cruz	Dominguez	Merenda	Martinez Cohen	Inda	Total	Reg. Voters	Ballots Cast	Turnout %
1120	28	293	13	114	82	530	1,525	542	35.54%
1310	20	283	4	107	72	486	1,219	494	40.53%
1320	30	288	1	124	96	539	1,276	552	43.26%
1340	13	239	11	151	109	523	1,325	529	39.92%
District Totals	91	1,103	29	496	359	2,078	5,345	2,117	39.61%

Council Candidates, District 2								
Precinct #	Burke	Rowse	McSweeney-Zeitsoff	Esparza	Total	Reg. Voters	Ballots Cast	Turnout %
1172	18	218	47	56	339	1,112	344	30.94%
2010	15	337	65	54	471	1,720	479	27.85%
2030	7	229	35	45	316	968	321	33.16%
2040	13	252	60	96	421	1,100	426	38.73%
2090	8	275	36	61	380	1,055	385	36.49%
2110	32	456	66	69	623	1,830	631	34.48%
2160	15	363	81	70	529	1,346	543	40.34%
District Totals	108	2,130	390	451	3,079	9,131	3,129	34.27%

Council Candidates, District 3							
Precinct #	Byrne	Murillo	Cardoso	Total	Reg. Voters	Ballots Cast	Turnout %
1110	112	171	13	296	975	299	30.67%
1130	102	279	50	431	1,254	438	34.93%
1170	163	347	46	556	1,460	563	38.56%
2122	68	144	11	223	534	225	42.13%
District Totals	445	941	120	1,506	4,223	1,525	36.11%

Citywide Turnout	18,699	6,771	36.21%
-------------------------	---------------	--------------	---------------

ELECTION TALLY

I have also conducted a manual tally of 1% of the precincts in accordance with Section 15360 of the Election Code. The results of the manual tally are as follows:

Precinct #	Office/Candidate	Machine Tally	Manual Tally	Differences	If discrepancy, how resolved
1320	City Council, District 1				
	Herrera Cruz	30	30	0	
	Dominguez	288	288	0	
	Merenda	1	1	0	
	Martinez Cohen	124	124	0	
	Inda	96	96	0	
2010	City Council, District 2				
	Burke	15	15	0	
	Rowse	337	337	0	
	McSweeney-Zeitsoff	65	65	0	
	Esparza	54	54	0	
1170	City Council, District 3				
	Byrne	163	163	0	
	Murillo	347	347	0	
	Cardoso	46	46	0	

 Gwen Peirce, CMC
 City Clerk Services Manager

Dated: _____



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: Transportation Division, Public Works Department

SUBJECT: Approval Of The Citywide Wayfinding Directional Signage Program Including Project Funding And Installation Plan

RECOMMENDATION:

That Council approve the Citywide Wayfinding Directional Signage Program and authorize the Public Works Director to implement the installation of the signage as outlined in the recommended phasing plan and provide staff direction on funding future phases of the Wayfinding program.

BACKGROUND:

On January 27, 2014, Council held a work session to review potential capital projects to be funded by approximately \$4.3 million in one-time monies from the General Fund. Among the many projects for consideration was the Wayfinding Design Signage Upgrade Project (Project). The Project involved hiring a consultant with expertise in branding, signage, and municipal wayfinding to prepare a unified signage design within, and leading into, the downtown area, as well as gateway signage at major City inroads. At that work session, Council approved the use of \$250,000 of General Fund monies toward the Project.

On January 27, 2015, Council approved a Professional Services Agreement with the Pasadena based firm, Hunt Design, in the amount of \$91,300 to review the City's existing directional signage, offer suggestions for elimination and/or retention of the current inventory, and design a comprehensive, attractive, and cohesive citywide Wayfinding Directional Signage Program to improve multi-modal access, identification, and connectivity around Santa Barbara.

Hunt Design developed the Citywide Wayfinding Directional Signage Program in close coordination with a comprehensive group of Community Stakeholders, City staff from multiple departments, and an ad-hoc design review sub-committee comprised of representatives from the Historic Landmarks Commission, Architectural Board of Review, and the Sign Committee. The Program was presented to the community stakeholder group in July 2015, the Visit Santa Barbara Board in September 2015, the

Downtown Parking Committee (DPC) in September 2015, and the Transportation and Circulation Committee (TCC) in October 2015. All of these groups support the Program. Hunt Design also presented the Program to the Historic Landmarks Commission on October 21, 2015, and the Architectural Board of Review on October 26, 2015. Both design review boards were in full support of the Program and forwarded it to the Sign Committee for approval. The Wayfinding Program is going before the Sign Committee on November 18, 2015, and staff will advise Council of the Committee's action at the Council meeting.

Hunt Design also considered other requests from stakeholders. Visit Santa Barbara requested the inclusion of the Red Tile Walking Tour and the Scenic Drive Tour while members of the TCC asked to address the retention of the regional Bike Route signs. To the satisfaction of Visit Santa Barbara, Hunt Design has incorporated a Historic Walking Tour on the pedestrian maps and staff has informed concerned TCC members that the existing regional bike signs will remain.

The DPC has expressed a concern regarding the color background for the parking signs. The ad-hoc subcommittee recommended a green background and the DPC strongly feels that a blue background will be more visible to drivers. The DPC requests that Council approve the blue background rather than the green background.

DISCUSSION:

The Citywide Wayfinding Directional Signage Program (Program) consists of 293 total directional sign panels, comprised of five (5) sign types – vehicular directional, parking directional, parking lot identification, pedestrian directional, and pedestrian maps. To minimize project costs, wherever possible, signage has been programmed to utilize existing posts. However, where a new pole is necessary, Hunt Design has quantified an estimated cost differential using standard posts versus decorative posts, customarily required in the El Pueblo Viejo district (EPV). The estimated Program cost using standard posts is \$629,500 plus a suggested 15 percent contingency totaling \$723,925 for any unforeseen constructed related complications. The estimated Program cost using decorative posts is \$871,000 plus a suggested 15 percent contingency totaling \$1,001,650. These costs include the fabrication and installation of all sign panels as well as the supply of any necessary new poles.

As an expert in municipal wayfinding, Hunt Design has prepared the following phasing plan (detailing cost estimates for the use of both standard posts and decorative posts). Although recommended by Hunt Design to include a 15 percent contingency as detailed above, the figures below represent a 10 percent contingency to reduce overall projected budgets, where possible.

PHASE I: Pedestrian and Downtown Parking Wayfinding Directional Signs

Downtown Santa Barbara will strongly benefit from the installation of pedestrian directional signs to start the project. The entire Program includes 42 Pedestrian Directional signs of which 32 are located in the downtown core and 10 on the waterfront. Sufficient funds remain in the original design budget to fabricate and install the pedestrian directional signs in Phase I entirely, with a substantially reduced contingency at six percent, if authorization to use standard posts painted Malaga Green (versus the decorative posts as required in the EPV) is granted.

Sign Type	Standard Post Cost Estimate	Decorative Post Cost Estimate
Pedestrian Directional	\$134,600	\$191,600
Phase 1 Total:	\$134,600	\$191,600
<i>Phase 1 (with a 10 percent contingency):</i>	<i>\$148,060</i>	<i>\$210,760</i>

Additionally, in Phase I, Hunt Design recommends removal and replacement of all downtown parking signage to address the concern of the DPC regarding the visibility of the parking lots. Sufficient funds exist in the Downtown Parking Capital budget to cover the cost of fabrication and installation of standard posts for all downtown parking directional and parking lot identification signs.

Sign Type	Standard Post Cost Estimate	Decorative Post Cost Estimate
Parking Directional	\$44,800	\$ 68,800
Parking Lot Identification Signs	\$32,000	\$ 56,000
Phase 1 Total:	\$76,800	\$124,800
<i>Phase 1 (with a 10 percent contingency):</i>	<i>\$84,480</i>	<i>\$137,280</i>

PHASE II: Vehicular Wayfinding Directional Signage

The Phase 2 Vehicular Signs are a network of wayfinding signs that are dependent on one another to get drivers to their destinations. Hunt Design strongly recommends that these signs be installed simultaneously as a network.

Sign Type	Standard Post Cost Estimate	Decorative Post Cost Estimate
Vehicular Large Directional	\$ 68,800	\$ 88,300
Vehicular Medium Directional	\$225,000	\$273,000
Vehicular Small Directional	\$ 44,500	\$ 71,500
Phase 2 Total:	\$338,300	\$432,800
<i>Phase 2 (with a 10 percent contingency):</i>	<i>\$372,130</i>	<i>\$476,080</i>

PHASE III: Pedestrian Maps

The Phase 3 Pedestrian Maps support the pedestrian sign program and can be added as funds become available. While these signs are important to the Program, they are independent of one another and can be added without being dependent on a sequence of wayfinding signs. In the downtown EPV district, these maps identify the historic walking tour, which addresses the request of Visit Santa Barbara to identify the Red Tile Walking Tour in the wayfinding program.

Sign Type	Standard Post Cost Estimate	Decorative Post Cost Estimate
Pedestrian Map Signs	\$65,000	\$ 95,000
Phase 3 Total:	\$65,000	\$ 95,000
<i>Phase 3 (with a 10 percent contingency):</i>	<i>\$71,000</i>	<i>\$104,500</i>

PHASE IV: Waterfront Parking Directional Signage

Revised parking signage in the waterfront will support the overall Program goal of creating a unified and cohesive family of signs for the entire City. While these signs are important to the comprehensive program, they are not critical and can be added as funding permits.

Sign Type	Standard Post Cost Estimate	Decorative Post Cost Estimate
Parking Directional	\$7,400	\$13,400
Parking Lot Identification Signs	\$7,400	\$13,400
Phase 4 Total:	\$14,800	\$26,800
<i>Phase 4 (with a 10 percent contingency):</i>	<i>\$16,280</i>	<i>\$29,480</i>

BUDGET AND FINANICAL INFORMATION

PHASING RECOMMENDATION:

\$143,705 remains from the \$250,000 that Council appropriated for this program. Utilization of these funds would cover the majority of fabrication and installation costs of standard posts in Phase 1 - Pedestrian Wayfinding Directional Signage. Downtown Parking has sufficient funds available in the Fiscal Year 2016 Capital budget to cover the cost of all downtown parking directional and parking lot identification signs.

Staff will include a Phases II – IV during future Council discussions of Capital Improvement Program funding priorities. Funding using standard sign posts would require an additional \$418,100, plus a ten percent contingency for a total of \$459,910 from the General Fund or, funding using decorative sign posts would require an additional \$554,600, plus a ten percent contingency for a total of \$610,060 from the General Fund. Council could direct staff to appropriate funding over the next three

fiscal years to fully implement the program or appropriate the funds needed to complete the program all at once.

Based on the complexity of the fabrication of the signs, Staff is recommending that Hunt Design provide Construction Administration. In this capacity, Hunt Design would be responsible for reviewing all shop drawings and material samples and overseeing the removal of existing signs and the installation of all new signs. There are sufficient funds remaining in the extra services portion of the original design contract executed between the City and Hunt Design to cover the Construction Administration costs. The benefit moving forward with the installation of all the signs at one time is having the expertise of Hunt Design for Contract Administration throughout the fabrication and installation of the entire Program. With a phased approach, Hunt Design would only provide Contract Administration for Phase 1, unless Council appropriates additional funds to keep them on retainer. Alternately, should Council opt to not use Hunt Design for the additional phases, Council could approve the use of the Phase 1 sign fabricator for all remaining signs in the Program, which will guarantee quality control. Staff assigned to assist with this project will also have to work closely with Hunt Design during Phase 1 and thus would be available to take over subsequent phasing.

PROJECT BENEFITS:

Installation of the complete Program will afford the City an attractive and unified wayfinding directional signage system throughout Santa Barbara which will positively reflect the City's identity and facilitate a visitor-friendly experience when driving, walking, or biking throughout the City.

PREPARED BY: Browning Allen, Transportation Manager/TG/mj

SUBMITTED BY: Rebecca J. Bjork, Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 24, 2015

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Request From Councilmembers Rowse And Francisco Regarding Recreational Vehicle Restrictions

RECOMMENDATION:

That Council consider the request from Councilmembers Rowse and Francisco regarding Municipal Code 10.44.205(b), to forward to Ordinance Committee the addition of Item No. 10, any other land deemed sensitive by the Chief of Police and the Public Works Director to the list of land uses that may have restricted recreational vehicle parking.

DISCUSSION:

Attached is a memorandum from Councilmembers Rowse and Francisco requesting that Council discuss the addition of "land uses deemed sensitive by the Chief of Police and the Public Works Director" to the public streets in the city where recreational vehicles may be restricted.

ATTACHMENT: Memorandum from Councilmembers Rowse and Francisco

PREPARED BY: Nicole Grisanti, Administrator's Office Supervisor

SUBMITTED BY: Paul Casey, City Administrator

APPROVED BY: City Administrator's Office



City of Santa Barbara
City Administrator's Office

Memorandum

DATE: 11/04/2105
TO: Paul Casey, City Administrator
FROM: Councilmember Randy Rowse
Councilmember Dale Francisco

[Handwritten signatures: Randy Rowse and Dale Francisco]

SUBJECT: Public Works Authority to Regulate Parking of Recreational Vehicles

- Pursuant to Municipal Code 10.44.205: Public Works Director Authority to Regulate Parking of Recreational Vehicles

We are requesting the City Council consider and forward on to the Ordinance Committee an amendment to the authority of the Public Works Director to Regulate Parking of Recreational Vehicles

- Statement of Specific Action: To add item #10 to the "land uses" designated for discretionary restriction for on-street parking of recreational vehicles, namely: "any other land use deemed sensitive by the Chief of Police and the Public Works Director".

Statement of the Reasons Why it is Appropriate and Within the Jurisdiction of the Council to Consider this Subject Matter and to Take the Requested Action:

It is appropriate that Council extend the discretionary authority to restrict excessive on-street recreational vehicle parking in neighborhoods that are negatively impacted to the Chief of Police and Public Works Director.

We are requesting that this be scheduled for the Tuesday, *****, 2015, agenda.

cc: Mayor and Council
City Attorney
Community Development Director