

**CITY OF SANTA BARBARA
Fiscal Agent Investments
September 30, 2016**

	CASH & CASH EQUIVALENTS Book & Market	Guaranteed Investment Contracts (GIC) Book & Market	STOCKS		BONDS		US GOVT & AGENCIES		TOTALS		
			Book	Market	Book	Market	Book	Market	Book	Market	
BOND FUNDS											
<i>RESERVE FUNDS</i>											
2004 RDA - Housing Bonds	1.34	-	-	-	-	-	-	-	-	1.34	1.34
2002 Municipal Improvement - Refunding COPs	35,426.21	547,530.00	-	-	-	-	-	-	-	582,956.21	582,956.21
2011 Water - Safe Drinking Water State Loan	826,730.91	-	-	-	-	-	-	-	-	826,730.91	826,730.91
2013 Water - Refunding COPS	1,799,874.94	428,069.44	-	-	-	-	636,099.18	645,216.00	2,864,043.56	2,873,160.38	
2004 Sewer - Revenue Bonds	64,919.98	1,357,140.00	-	-	-	-	-	-	1,422,059.98	1,422,059.98	
2009 Airport - Revenue Bonds	34,643.38	-	-	-	-	-	3,139,457.55	3,179,999.80	3,174,100.93	3,214,643.18	
2014 Waterfront - Refunding Bonds	18,437.63	581,455.74	-	-	-	-	-	-	599,893.37	599,893.37	
Subtotal, Reserve Funds	<u>2,780,034.39</u>	<u>2,914,195.18</u>	-	-	-	-	<u>3,775,556.73</u>	<u>3,825,215.80</u>	<u>9,469,786.30</u>	<u>9,519,445.37</u>	
<i>PROJECT FUNDS</i>											
2001 RDA Bonds	2,368,901.16	-	-	-	-	-	-	-	2,368,901.16	2,368,901.16	
2003 RDA Bonds	8,515,969.46	-	-	-	-	-	-	-	8,515,969.46	8,515,969.46	
Subtotal, Project Funds	<u>10,884,870.62</u>	-	-	-	-	-	-	-	<u>10,884,870.62</u>	<u>10,884,870.62</u>	
SUBTOTAL BOND FUNDS	<u>13,664,905.01</u>	<u>2,914,195.18</u>	-	-	-	-	<u>3,775,556.73</u>	<u>3,825,215.80</u>	<u>20,354,656.92</u>	<u>20,404,315.99</u>	
POLICE/FIRE - SVC RETIREMENT FUND											
Police/Fire Funds	18,050.22	-	102,695.93	182,984.24	217,307.33	234,285.30	-	-	338,053.48	435,319.76	
	<u>18,050.22</u>	-	<u>102,695.93</u>	<u>182,984.24</u>	<u>217,307.33</u>	<u>234,285.30</u>	-	-	<u>338,053.48</u>	<u>435,319.76</u>	
TOTAL FISCAL AGENT INVESTMENTS	<u>13,682,955.23</u>	<u>2,914,195.18</u>	<u>102,695.93</u>	<u>182,984.24</u>	<u>217,307.33</u>	<u>234,285.30</u>	<u>3,775,556.73</u>	<u>3,825,215.80</u>	<u>20,692,710.40</u>	<u>20,839,635.75</u>	

Notes:

- (1) Cash & cash equivalents include money market funds.
- (2) Market values have been obtained from the following trustees: US Bank and MUFG Union Bank, N.A. - The Private Bank