

# CITY OF SANTA BARBARA CITY COUNCIL

**Helene Schneider**  
*Mayor*  
**Randy Rowse**  
*Mayor Pro Tempore*  
**Grant House**  
*Ordinance Committee Chair*  
**Dale Francisco**  
*Finance Committee Chair*  
**Frank Hotchkiss**  
**Cathy Murillo**  
**Bendy White**



**James L. Armstrong**  
*City Administrator*

**Stephen P. Wiley**  
*City Attorney*

**City Hall**  
735 Anacapa Street  
<http://www.SantaBarbaraCA.gov>

## DECEMBER 17, 2013 AGENDA

**ORDER OF BUSINESS:** Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council meeting begins at 2:00 p.m. in the Council Chamber at City Hall.

**REPORTS:** Copies of the reports relating to agenda items are available for review in the City Clerk's Office, at the Central Library, and <http://www.SantaBarbaraCA.gov>. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") for that item from either the Clerk's Office, the Reference Desk at the City's Main Library, or online at the City's website (<http://www.SantaBarbaraCA.gov>). Materials related to an item on this agenda submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office located at City Hall, 735 Anacapa Street, Santa Barbara, CA 93101, during normal business hours.

**PUBLIC COMMENT:** At the beginning of the 2:00 p.m. session of each regular City Council meeting, and at the beginning of each special City Council meeting, any member of the public may address the City Council concerning any item not on the Council's agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the City Council. Should City Council business continue into the evening session of a regular City Council meeting at 6:00 p.m., the City Council will allow any member of the public who did not address them during the 2:00 p.m. session to do so. The total amount of time for public comments will be 15 minutes, and no individual speaker may speak for more than 1 minute. The City Council, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

**REQUEST TO SPEAK:** A member of the public may address the Finance or Ordinance Committee or City Council regarding any scheduled agenda item. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that the item is taken up by the Finance or Ordinance Committee or City Council.

**CONSENT CALENDAR:** The Consent Calendar is comprised of items that will not usually require discussion by the City Council. A Consent Calendar item is open for discussion by the City Council upon request of a Councilmember, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak at the time the Council considers the Consent Calendar.

**AMERICANS WITH DISABILITIES ACT:** In compliance with the Americans with Disabilities Act, if you need special assistance to gain access to, comment at, or participate in this meeting, please contact the City Administrator's Office at 564-5305 or inquire at the City Clerk's Office on the day of the meeting. If possible, notification at least 48 hours prior to the meeting will enable the City to make reasonable arrangements in most cases.

**TELEVISION COVERAGE:** Each regular City Council meeting is broadcast live in English and Spanish on City TV Channel 18 and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 9:00 a.m., and in Spanish on Sundays at 4:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at [www.citytv18.com](http://www.citytv18.com) for rebroadcasts of Finance and Ordinance Committee meetings, and for any changes to the replay schedule.

## **ORDER OF BUSINESS**

- 12:30 p.m. - Finance Committee Meeting, David Gebhard Public Meeting Room, 630 Garden Street
- 12:30 p.m. - Ordinance Committee Meeting, Council Chamber
- 2:00 p.m. - City Council Meeting
- 2:00 p.m. - Successor Agency Meeting

### **FINANCE COMMITTEE MEETING - 12:30 P.M. IN THE DAVID GEBHARD PUBLIC MEETING ROOM, 630 GARDEN STREET (120.03)**

#### **Subject: Self Insured Workers' Compensation Program Annual Report (120.03)**

Recommendation: That the Finance Committee recommend that Council receive the Self Insured Workers' Compensation Program Annual Report for the year ended June 30, 2013.

(See Council Agenda Item No. 4)

### **ORDINANCE COMMITTEE MEETING - 12:30 P.M. IN THE COUNCIL CHAMBER (120.03)**

#### **Subject: Single Family Design Board Quorum Amendment To Santa Barbara Municipal Code Section 22.69.010.C (120.03)**

Recommendation: That the Ordinance Committee consider amending the Single Family Design Board conduct of meeting requirements to change the quorum standard to allow one licensed architect instead of two in establishing the minimum required four member quorum.

**REGULAR CITY COUNCIL MEETING – 2:00 P.M.  
SUCCESSOR AGENCY MEETING – 2:00 P.M.**

**CALL TO ORDER**

**PLEDGE OF ALLEGIANCE**

**ROLL CALL**

**CHANGES TO THE AGENDA**

**PUBLIC COMMENT**

**CONSENT CALENDAR**

**CITY COUNCIL**

**1. Subject: Minutes**

Recommendation: That Council waive further reading and approve the minutes of the regular meeting of November 26, 2013 and the cancelled meeting of December 3, 2013.

**2. Subject: November 2013 Investment Report (260.02)**

Recommendation: That Council accept the November 2013 Investment Report.

**3. Subject: Fiscal Year 2014 Interim Financial Statements For The Four Months Ended October 31, 2013 (250.02)**

Recommendation: That Council accept the Fiscal Year 2014 Interim Financial Statements for the four months ended October 31, 2013.

**4. Subject: Self Insured Workers' Compensation Program Annual Report (350.08)**

Recommendation: That Council receive the Self Insured Workers' Compensation Program Annual Report for the year ended June 30, 2013.

## CONSENT CALENDAR (CONT'D)

### CITY COUNCIL (CONT'D)

**5. Subject: Professional Services Agreements For Cable And Natural Gas Franchise Fee And Utility Users Tax (UUT) Audit Services (220.03)**

Recommendation: That Council authorize the Finance Director to execute professional services agreements with MuniServices, LLC, in a form acceptable to the City Attorney, to conduct audits of Franchise Fee and Utility Users Tax collections of Cox Communications and the Southern California Gas Company.

**6. Subject: Contract For Construction For Community Development Block Grant 2013-14 Sidewalk Access Ramp Project (610.05)**

Recommendation: That Council:

- A. Award a contract with DPM Construction Company in their low bid amount of \$94,960 for construction of the Community Development Block Grant 2013-14 Sidewalk Access Ramp Project, Bid No. 3697; and
- B. Authorize the Public Works Director to execute the contract and approve expenditures of up to \$14,250 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment.

**7. Subject: Professional Services Agreement For Biosolids System Assessment (540.13)**

Recommendation: That Council authorize the Public Works Director to execute a standard City Professional Services Agreement with Camp, Dresser, McKee, & Smith, Inc., in the amount of \$372,592 for engineering assessment services for the Biosolids Handling System, and authorize the Public Works Director to approve expenditures of up to \$37,259 for extra services that may result from necessary changes in the scope of work.

**8. Subject: Renewal Of Electric Vehicle Charging Network Services Agreement (330.05)**

Recommendation: That Council authorize the Public Works Director to negotiate and execute a five-year contract with ChargePoint, Inc., subject to approval of the City Attorney, for continued enrollment in the ChargePoint Network.

## CONSENT CALENDAR (CONT'D)

### CITY COUNCIL (CONT'D)

**9. Subject: Contract With InterVISTAS Consulting LLC For Air Service Development (560.01)**

Recommendation: That Council authorize the Airport Director to execute a contract, subject to approval as to form by the City Attorney, with InterVISTAS Consulting LLC, for specialized air service development support for the Santa Barbara Airport, in an amount not to exceed \$40,000.

**10. Subject: Creeks Fund Transfer to Golf Fund For Obligations Related To Restoration Project (570.02)**

Recommendation: That Council:

- A. Approve a transfer of \$27,400 from the Creeks Capital Fund to the Golf Capital Fund for obligations incurred related to the Upper Las Positas Creek Restoration and Storm Water Management Project; and
- B. Increase appropriations and estimated revenues in the Fiscal Year 2014 Golf Capital Fund, Golf Club Infrastructure Renewal Project, in the amount of \$27,400, bringing the project funding total to \$97,400.

**11. Subject: One-Year Rental Agreement For Skofield House (570.05)**

Recommendation: That Council authorize the Parks and Recreation Director to enter into a one-year rental agreement with Troy Scott, for the Skofield Park House at Skofield Park, with a monthly rent of \$2,400, commencing January 1, 2014, and ending December 31, 2014.

**12. Subject: Parks And Recreation Community Foundation Contributions For Neighborhood And Outreach Services Programs (570.05)**

Recommendation: That Council:

- A. Accept a contribution from the Parks and Recreation Community (PARC) Foundation in the amount of \$16,500 for Parks and Recreation Department programs;
- B. Increase appropriations and estimated revenues in the Fiscal Year 2014 Parks and Recreation Department General Fund in the amount of \$8,500; and
- C. Increase appropriations and estimated revenues in the Fiscal Year 2014 Parks and Recreation Department Miscellaneous Grants Fund in the amount of \$8,000.

## **CONSENT CALENDAR (CONT'D)**

### CITY COUNCIL (CONT'D)

**13. Subject: Records Destruction For City Attorney's Office (160.06)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the City Attorney's Office.

**14. Subject: Amendment To The Position And Salary Control Resolution (410.01)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Amending Resolution No. 13-050, the Position and Salary Control Resolution for Fiscal Year 2014, Affecting the City Attorney's Office, Effective December 28, 2013.

### SUCCESSOR AGENCY

**15. Subject: Appropriation Of Unencumbered Redevelopment Agency Bond Proceeds (620.01)**

Recommendation: That the Successor Agency:

- A. Approve an increase in appropriations in the total amount of \$2,530,000 and an increase in estimated revenues by \$411,129 in the 2001 RDA Bond Fund for the Bath Street Pocket Park Project (\$250,000) and the Police Department 911 Call Center Project (\$2,280,000), of which \$2,118,871 will be funded from unspent 2001 bond proceeds and \$411,129 will be funded from a transfer from the 2003 RDA Bond Fund; and
- B. Approve an increase in appropriations by \$11,778,155 in the 2003 RDA Bond Fund to fund the Cabrillo Bath House and Pavilion Renovation Project (\$9,117,026), the West Downtown Lighting Project - Phases II and III (\$1,300,000), the Ensemble Theater/Victoria Hall Project (\$950,000); and to partially fund the Police Department 911 Call Center Project through a transfer of \$411,129 to the 2001 RDA Bond Fund, with all \$11,778,155 to be funded from unspent 2003 bond proceeds.

### NOTICES

- 16. The City Clerk has on Thursday, December 12, 2013, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
- 17. Cancellation of the regular City Council meetings of December 24, and December 31, 2013.

**This concludes the Consent Calendar.**

## REPORT FROM THE FINANCE COMMITTEE

## REPORT FROM THE ORDINANCE COMMITTEE

## CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

### ADMINISTRATIVE SERVICES DEPARTMENT

#### **18. Subject: Receipt of CalGRIP 2014/2015 Grant Funds (520.04)**

Recommendation: That Council:

- A. Authorize the receipt of a \$500,000 CalGRIP grant from the Board of State and Community Corrections;
- B. Increase Fiscal Year 2014 estimated revenues and appropriations in the Miscellaneous Grants Fund by \$500,000; and
- C. Authorize the City Administrator to enter into agreements to accept and distribute the CalGRIP 2014/2015 grant funds.

### CITY ADMINISTRATOR

#### **19. Subject: Grant Agreement With Legal Aid Regarding Dario Pini (610.05)**

Recommendation: That Council:

- A. Authorize the City Administrator to execute a grant agreement, in a form of agreement acceptable to the City Attorney, with the Legal Aid Foundation of Santa Barbara County ("Legal Aid") in an amount not to exceed Twenty-Five Thousand Dollars (\$25,000) in order to reimburse Legal Aid for providing legal services to the tenants of city landlord Dario Pini; and
- B. Allocate \$25,000 from appropriated reserves to the City Attorney's Office to fund the proposed grant to Legal Aid.

### POLICE DEPARTMENT

#### **20. Subject: Police Department Update (520.04)**

Recommendation: That Council receive an oral presentation from the Police Chief regarding the Santa Barbara Police Department.

## MAYOR AND COUNCIL REPORTS

#### **21. Subject: Appointments To City Advisory Groups (140.05)**

Recommendation: That Council make appointments to the City's advisory groups.

## COUNCIL AND STAFF COMMUNICATIONS

### COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

#### CLOSED SESSIONS

**22. Subject: Conference with Legal Counsel - Pending Litigation (160.03)**

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed. The pending litigation is *Edith Martinez vs. City of Santa Barbara, et al.*, SBSC No. 1402992.

Scheduling: Duration, 15 minutes; anytime

Report: None anticipated

**23. Subject: Conference With Labor Negotiator (440.05)**

Recommendation: That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the General Bargaining Unit, Treatment and Patrol Bargaining Units, Hourly Bargaining Unit, Police Management Association, and regarding salaries and fringe benefits for certain unrepresented management and confidential employees.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

**24. Subject: Public Employment/Public Employee Appointment (160.01)**

Recommendation: That Council hold a closed session pursuant to Section 54957 of the Government Code regarding the City Attorney selection process.

Scheduling: Duration, 60 minutes; anytime

Report: None anticipated

#### ADJOURNMENT

CITY OF SANTA BARBARA

**FINANCE COMMITTEE**

MEETING AGENDA

DATE: December 17, 2013

Dale Francisco, Chair

TIME: 12:30 P.M.

Bendy White

PLACE: David Gebhard Public Meeting Room  
630 Garden Street

Cathy Murillo

James L. Armstrong  
City Administrator

Robert Samario  
Finance Director

**ITEM TO BE CONSIDERED:**

**Subject: Self Insured Workers' Compensation Program Annual Report**

Recommendation: That the Finance Committee recommend that Council receive the Self Insured Workers' Compensation Program Annual Report for the year ended June 30, 2013.

(See Council Agenda Item No. 4)

CITY OF SANTA BARBARA

**ORDINANCE COMMITTEE MEETING**

MEETING AGENDA

DATE: December 17, 2013  
TIME: 12:30 p.m.  
PLACE: Council Chambers

Grant House, Chair  
Frank Hotchkiss  
Randy Rowse

Office of the City  
Administrator

Office of the City  
Attorney

Nina Johnson  
Assistant To City Administrator

Stephen P. Wiley  
City Attorney

Kate Whan  
Administrative Analyst

**ITEM FOR CONSIDERATION**

**Subject: Single Family Design Board Quorum Amendment To Santa Barbara Municipal Code Section 22.69.010.C (120.03)**

Recommendation: That the Ordinance Committee consider amending the Single Family Design Board conduct of meeting requirements to change the quorum standard to allow one licensed architect instead of two in establishing the minimum required four member quorum.



# CITY OF SANTA BARBARA

## ORDINANCE COMMITTEE AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Ordinance Committee

**FROM:** Planning Division, Community Development Department

**SUBJECT:** Single Family Design Board Quorum Amendment To Santa Barbara Municipal Code Section 22.69.010.C

### RECOMMENDATION:

That the Ordinance Committee consider amending the Single Family Design Board conduct of meeting requirements to change the quorum standard to allow one licensed architect instead of two in establishing the minimum required four member quorum.

### DISCUSSION:

Since being formed in 2007, the Single Family Design Board (SFDB) has been composed of seven members appointed by City Council. The composition of the Board requires two members to be licensed architects, one member to be a licensed landscape architect, three members who possess professional qualifications in fields related to architecture, landscaping or building design, and one member appointed from the public at large. The SFDB was created by City Council with its Board membership and composition originally intended to mimic that of the Architectural Board of Review (ABR). Due to a possible oversight, the ordinance quorum provisions for the number of licensed architects do not match. The SFDB quorum requirement outlined in SBMC 22.69.010.C is that two members be either a licensed architect or landscape architect. SBMC Section 22.68.010.C of the ABR ordinance only requires one member of the 4 member quorum to be a licensed architect.

Over the past two years, there have been several instances where SFDB meetings were cancelled due to the loss of a required quorum. The minimum two licensed architect quorum requirement was not achieved due a combination of the following reasons:

1. The number of licensed architects currently appointed on the SFDB ( four of the seven members are currently unlicensed)
2. The possibility that two of the three licensed members would not be able to attend a meeting due to scheduled vacations or absences
3. The possibility that some of the current practicing licensed architects would need to step down on items where there is a conflict of interest.

Staff is recommending that the SFDB quorum standard be amended to match that of the ABR (see Ordinance Draft, Attachment 1). The SFDB Meeting Procedures would also be updated to reflect this minor quorum change.

The SFDB reviews projects in an excellent manner partly due to the wide range of experience and professional qualifications of the all the board members. The two week cycle between meetings, however, makes it more critical that meetings not be cancelled. Rescheduling of special SFDB meetings is not desirable and sometimes is not possible given conflicts with other meetings or commitments by advisory board members.

Planning staff and the SFDB support the ordinance amendment and believe that the change will prevent the possibility of future SFDB meeting cancellations due to a loss of quorum (see Attachment 2). The minimum four member quorum remains in effect which still allows for good decisions to be made by the remaining majority of board members. The change is expected to provide for better customer service for applicants and prevent delays in review of projects.

**ATTACHMENTS:** 1. Ordinance Draft  
2. SFDB Minutes dated 11/4/2013

**PREPARED BY:** Jaime Limón, Design Review Supervisor

**SUBMITTED BY:** Paul Casey, Community Development Director

**APPROVED BY:** City Administrator's Office

ORDINANCE NO. \_\_\_\_\_

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING SECTION 22.69.010 OF THE SANTA BARBARA MUNICIPAL CODE RELATING TO THE CONDUCT OF MEETINGS OF THE SINGLE FAMILY DESIGN BOARD.

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

**SECTION 1.** Section 22.69.010 of Chapter 22.69 of Title 22 of the Santa Barbara Municipal Code is amended to read as follows:

**22.69.010 Single Family Design Board.**

A. **PURPOSE.** A Single Family Design Board is hereby created and established by the City to promote the general public welfare, protect and preserve the City's natural and historical charm, and enhance the City's aesthetic appeal and beauty. The goal of the Single Family Design Board shall be to ensure that single family residential unit projects are compatible with the surrounding neighborhood in size and design. The Single Family Design Board is also charged with the task of protecting public visual resources and promoting the ecological sustainability of the City's built environment through the design review process.

B. **MEMBERSHIP.** The Single Family Design Board shall be composed of seven (7) members appointed by the City Council. Two (2) members shall be licensed architects, one (1) member shall be a licensed landscape architect, (3) members shall possess professional qualifications in fields related to architecture, including, but not limited to, building design, structural engineering, industrial design, or landscape contracting, and one (1) member shall be appointed from the public at large. All members of the Board shall reside within Santa Barbara County and shall hold office at the pleasure of the City Council. A person may serve on the Architectural Board of Review or the Historic Landmarks Commission and the Single Family Design Board at the same time.

C. **CONDUCT OF MEETINGS.** The members of the Single Family Design Board shall elect from their own members a chair and vice-chair. The Community Development Director or his or her designee shall act as secretary and record Board actions and render written reports thereof for the Board as required by this Chapter. The rules of procedure for the Board shall be established and approved by resolution of the City Council. Four (4) members shall constitute a quorum, one (1) of whom shall be a licensed architect.

**SINGLE FAMILY DESIGN BOARD MINUTES**

**November 4, 2013**

**DISCUSSION/ACTION ITEM**

**1. PROPOSED AMENDMENTS TO SBMC 22.69.110C REGARDING SFDB QUORUM REQUIREMENTS**

**(3:10)** Staff: Jaime Limón, Senior Planner/Design Review Supervisor

(Presentation and discussion of Santa Barbara Municipal Code §22.69.010C)

Actual time: 3:08 p.m.

Presentation and discussion held.

**Motion: Recommendation to accept the proposed amendment to Santa Barbara Municipal Code §22.69.010C regarding the quorum requirement of having one licensed professional for quorum.**

**Action: Sweeney/Bernstein, 6/0/0. Motion carried. (Zimmerman absent).**



# CITY OF SANTA BARBARA CITY COUNCIL MINUTES

## REGULAR MEETING November 26, 2013 COUNCIL CHAMBER, 735 ANACAPA STREET

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### CALL TO ORDER

Mayor Helene Schneider called the meeting to order at 2:01 p.m. (The Finance Committee met at 12:30 p.m. The Ordinance Committee, which ordinarily meets at 12:30 p.m., did not meet on this date.)

### PLEDGE OF ALLEGIANCE

Mayor Schneider.

### ROLL CALL

Councilmembers present: Dale Francisco, Frank Hotchkiss, Grant House, Cathy Murillo, Randy Rowse, Bendy White, Mayor Schneider.

Councilmembers absent: None.

Staff present: City Administrator James L. Armstrong, City Attorney Stephen P. Wiley, Deputy City Clerk Susan Tschech.

### CHANGES TO THE AGENDA

#### Item Removed from Agenda

City Administrator Armstrong advised that the following item was being removed from the agenda since the Council had adopted the ordinance in July, and this item is therefore unnecessary.

#### **3. Subject: Adoption Of Ordinance Authorizing An Alternative Power Public Water And Wastewater Agency Agreement (540.13)**

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Authorizing the Execution and Delivery of a Renewable and Alternative Power Public Water and Wastewater Agency Agreement With Southern California Edison, Inc., for the Purpose of Selling Electricity Generated at the City's Conduit Hydroelectric Plant, and Authorizing Related Actions.

## **PUBLIC COMMENT**

Speakers: Kathy Swift; Dr. Matter Margulies; Scott Wenz, Cars Are Basic; Mickey Flacks; Arthur Stevens, PODER; Rasta Abolita; Cruzito Herrera Cruz; Brandon Morse; Francisca Lerdo, PODER and CAUSE Action Fund; Michael Warnken.

## **ITEM REMOVED FROM AGENDA**

### **2. Subject: Resolution Of Council Findings Regarding Arlington Village (640.07)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Denying the Appeal and Upholding the Decision of the Historic Landmarks Commission to Approve the Application of Metropolitan Theatres Corporation for a Project Located at 1330 Chapala Street (MST2013-00169) and Known As the "Arlington Village" Project.

Documents:

Proposed Resolution.

The title of the resolution was read.

Speakers:

- Members of the Public: Scott Wenz, Cars Are Basic; Kellam de Forest.
- Staff: City Attorney Stephen Wiley.

Motion:

Councilmembers White/House to continue this item to December 10, 2013, as recommended by City Attorney Wiley.

Vote:

Unanimous voice vote.

## **CONSENT CALENDAR (Item Nos. 1 and 4 – 12)**

The titles of ordinances related to Consent Calendar items were read.

Motion:

Councilmembers Hotchkiss/House to approve the Consent Calendar as recommended.

Vote:

Unanimous roll call vote.

### **1. Subject: Minutes**

Recommendation: That Council waive the reading and approve the minutes of the regular meeting of November 19, 2013.

Action: Approved the recommendation.

**4. Subject: Adoption Of Ordinance To Establish Brinkerhoff Avenue As A One-Way Street (530.05)**

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 10.60 of the Municipal Code by Revising Section 10.60.030, Establishing Brinkerhoff Avenue as a One-Way Street.

Action: Approved the recommendation; Ordinance No. 5641.

**5. Subject: Adoption Of Ordinance To Amend Citywide Franchise With MarBorg Industries, Inc. (510.04)**

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the Exclusive Ten-Year Franchise for Citywide Solid Waste Collection and Disposal Services Granted by the City to MarBorg Industries, Inc., a California Corporation, on February 12, 2013, by City Ordinance No. 5608.

Action: Approved the recommendation; Ordinance No. 5642; Agreement No. 24,364.1.

**6. Subject: October 2013 Investment Report (260.02)**

Recommendation: That Council accept the October 2013 Investment Report.

Action: Approved the recommendation (November 26, 2013, report from the Finance Director).

**7. Subject: Contract For Drafting Services For The Fiscal Year 2014 Water Main Replacement Project (540.06)**

Recommendation: That Council authorize the Public Works Director to execute a standard City Professional Services contract with O'Brien & Wall in the amount of \$47,970 for drafting services for the Fiscal Year 2014 Water Main Replacement Project, in a form of agreement acceptable to the City Attorney, and authorize the Public Works Director to approve expenditures of up to \$5,000 for extra services of O'Brien & Wall that may result from necessary changes in the scope of work.

Action: Approved the recommendation; Contract No. 24,660 (November 26, 2013, report from the Acting Public Works Director).

**8. Subject: Golf Professional Concession Agreement (570.02)**

Recommendation: That Council authorize the Parks and Recreation Director to execute a two-year and seven-month Golf Professional Concession Agreement between the City of Santa Barbara and Channel Islands Golf Enterprises, Inc., at the Santa Barbara Golf Club.

Action: Approved the recommendation; Agreement No. 24,661 (November 26, 2013, report from the Parks and Recreation Director).

**9. Subject: Professional Services Agreement To Analyze Aircraft Rescue and Fire Fighting Services (560.01)**

Recommendation: That Council authorize the Airport Director and Fire Chief to execute a contract with Citygate Associates, LLC, to conduct an independent analysis of the current Aircraft Rescue and Fire Fighting service levels at the Santa Barbara Airport, in an amount not to exceed \$34,337.

Action: Approved the recommendation; Contract No. 24,662 (November 26, 2013, report from the Airport Director and Fire Chief).

NOTICES

10. The City Clerk has on Thursday, November 21, 2013, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
11. Cancellation of the regular City Council meeting of December 3, 2013.
12. Recruitment for City Advisory Groups: Appointments to fill the vacancies on various City Advisory Groups, originally scheduled for December 10, 2013, has been continued to December 17, 2013.

This concluded the Consent Calendar.

**REPORT FROM THE FINANCE COMMITTEE**

Finance Committee Chair Dale Francisco reported that the Committee met to hear a Staff report regarding the proposed refinancing of Waterfront Department Certificates of Participation. This matter will be submitted to the full Council for its consideration on December 10, 2013.

## **PUBLIC HEARINGS**

### **13. Subject: Appeal Of Architectural Board Of Review Approval For 510 N. Salsipuedes Street (640.07)**

Recommendation: That Council deny the appeal of Trevor Martinson of the application of People's Self Help Housing, and uphold the Architectural Board of Review's Project Design Approval of the proposed three-story, 40-unit apartment project.

#### Documents:

- November 26, 2013, report from the Assistant City Administrator/ Community Development Director.
- PowerPoint presentation prepared and made by Staff.
- Copies of project elevations, submitted by the Appellant.
- November 26, 2013, letter from the Appellant.
- November 25, 2013, letter from the Peikert + RRM Design Group, representing the Applicant.
- Written public comments regarding the project, submitted to the Architectural Board of Review.
- November 18, 2013, letter from the Housing Authority of the City of Santa Barbara.
- November 25, 2013, letter from the Central Coast Collaborative on Homelessness.

#### Public Comment Opened:

2:43 p.m.

#### Speakers:

- Staff: Planning Technician Tony Boughman, Fire Prevention Division Chief Joe Poiré, City Planner Bettie Weiss, Principal Transportation Planner Rob Dayton, City Attorney Stephen Wiley.
- Architectural Board of Review: Member Kirk Gradin, Chair Paul Zink, Members Gary Mosel, Howard Wittausch.
- Appellant: Trevor Martinson, Arthur Posch.
- Applicant: Ken Trigueiro, People's Self-Help Housing; Detlev Peikert and Lisa Plowman, Peikert + RRM Design Group; Craig Steward, Penfield & Smith Engineers.
- Members of the Public: Angela Antenore, Central Coast Collaborative on Homelessness; Robert Burke; Rolf Geyling, Santa Barbara Rescue Mission; Rob Fredericks, Housing Authority of City of Santa Barbara; Kathleen Baushke, Transition House; Elizabeth Anne Middleton, People's Self-Help Housing; Ed France.

#### Public Comment Closed:

4:27 p.m.

(Cont'd)

**13. (Cont'd)**

Motion:

Councilmembers House/Murillo to deny the appeal, thereby upholding the Architectural Board of Review's decision.

Vote:

Majority voice vote (Noes: Councilmember Francisco).

**RECESS**

5:29 p.m. – 5:37 p.m.

**CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS**

ADMINISTRATIVE SERVICES DEPARTMENT

**14. Subject: Certification Of The Election Results Of The Official Canvass For The General Municipal Election Of November 5, 2013 (110.03)**

Recommendation: That Council:

- A. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Reciting the Fact of the Vote-By-Mail General Municipal Election Held on November 5, 2013, Declaring the Results and Such Other Matters as Required by the State Elections Code; and
- B. Receive a final presentation on the November 5, 2013, Election.

Documents:

- November 26, 2013, report from the Assistant City Administrator/ Administrative Services Director.
- Proposed Resolution.
- PowerPoint presentation prepared and made by Staff.

The title of the resolution was read.

Speakers:

Staff: City Clerk Services Manager Gwen Peirce.

Motion:

Councilmembers House/Rowse to approve the recommendation; Resolution No. 13-090.

Vote:

Unanimous roll call vote.

Councilmember Francisco left the meeting at 5:47 p.m.

## COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

### Information:

- Councilmember Hotchkiss mentioned his attendance at the most recent meeting of the Arts Advisory Committee, where the Airport “Wings” project was discussed; he also spoke about his participation in a Police Department ride-along.
- Councilmember Rowse remarked upon the arrival of the State Street Christmas Tree.
- Councilmember Murillo reported on the recent meeting of the Neighborhood Advisory Council, during which traffic and neighborhood improvements, community organizing in the lower westside, and neighborhood “meet and greets” were discussed.
- Councilmember House reported that the Beach Erosion Authority for Clean Oceans and Nourishment had discussed the opportunity to maintain/enhance beach access as it relates to the current Highway 101 Improvements Project. He also commented that the Community Action Commission continues to deal with the impacts of the federal sequester.
- Councilmember White spoke about the Sustainability Committee’s review of three “green” energy projects being undertaken at City facilities, as well as the City’s marketing effort pertaining to solid waste management.
- Mayor Schneider reported that the Santa Barbara County Association of Governments will meet in December to receive an update on a variety of issues related to the Highway 101 High Occupancy Vehicle Project.

## RECESS

The Mayor recessed the meeting at 5:54 p.m. in order for the Council to reconvene in closed session for Agenda Item Nos. 15 – 17. She stated that no reportable action is anticipated.

## CLOSED SESSIONS

### 17. Subject: Conference with Legal Counsel - Pending Litigation (160.03)

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed. The pending litigation is *People of the State of California, City of Santa Barbara v. Eastside, Westside, et al.*, SBSC Case No. 1379826.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

### Documents:

November 26, 2013, report from the City Attorney.

(Cont’d)

**17. (Cont'd)**

Time:

5:55 p.m. – 6:55 p.m. All Councilmembers were present.

No report made.

**15. Subject: Conference With Legal Counsel - Pending Litigation (160.03)**

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed. The pending litigation is *Jeannetta Ann Purdue Rizkalla and Tarek Ramzi Rizkalla v. City Of Santa Barbara, et al.*, SBSC Case No.1383789.

Scheduling: Duration, 15 minutes; anytime

Report: None anticipated

Documents:

November 26, 2013, report from the City Attorney.

Time:

6:58 p.m. – 7:07 p.m. All Councilmembers were present.

No report made.

**16. Subject: Conference With Labor Negotiator (440.05)**

Recommendation: That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the General Bargaining Unit, Treatment and Patrol Bargaining Units, Hourly Bargaining Unit, Police Management Association, and regarding salaries and fringe benefits for certain unrepresented management and confidential employees.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

Documents:

November 26, 2013, report from the Assistant City Administrator.

Time:

7:07 p.m. – 7:21 p.m. All Councilmembers were present.

No report made.

**ADJOURNMENT**

Mayor Schneider adjourned the meeting at 7:21 p.m.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA  
CITY CLERK'S OFFICE

\_\_\_\_\_  
HELENE SCHNEIDER  
MAYOR

ATTEST:

\_\_\_\_\_  
SUSAN TSCHECH, CMC  
DEPUTY CITY CLERK



**CITY OF SANTA BARBARA  
CITY COUNCIL MINUTES**

**REGULAR MEETING  
December 3, 2013  
COUNCIL CHAMBER, 735 ANACAPA STREET**

---

The regular meeting of the City Council, scheduled for 2:00 p.m. on December 3, 2013, was cancelled by the Council on November 6, 2012.

The next regular meeting of the City Council is scheduled for December 10, 2013, at 2:00 p.m. in the Council Chamber.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA  
CITY CLERK'S OFFICE

\_\_\_\_\_  
HELENE SCHNEIDER  
MAYOR

ATTEST: \_\_\_\_\_  
GWEN PEIRCE, CMC  
CITY CLERK SERVICES MANAGER



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013  
**TO:** Mayor and Councilmembers  
**FROM:** Treasury Division, Finance Department  
**SUBJECT:** November 2013 Investment Report

**RECOMMENDATION:**

That Council accept the November 2013 Investment Report.

**DISCUSSION:**

The attached investment report includes Investment Activity, Interest Revenue, a Summary of Cash and Investments, and Investment Portfolio detail as of November 30, 2013.

**ATTACHMENT:** November 2013 Investment Report  
**PREPARED BY:** Genie Wilson, Treasury Manager  
**SUBMITTED BY:** Robert Samario, Finance Director  
**APPROVED BY:** City Administrator's Office

**CITY OF SANTA BARBARA**  
**Activity and Interest Report**  
November 30, 2013

INVESTMENT ACTIVITY	INVESTMENT INCOME
<b>PURCHASES OR DEPOSITS</b>	<b>POOLED INVESTMENTS</b>
11/13 Federal National Mortgage Association (FNMA)	Interest Earned on Investments
11/15 Federal National Mortgage Association (FNMA)	Amortization
11/18 Montecito Bank & Trust CD (MBTCD)	<b>Total</b>
11/20 Federal National Mortgage Association (FNMA)	
11/20 Federal Home Loan Mortgage Corp (FHLMC)	
11/26 Federal Home Loan Mortgage Corp (FHLMC)	
11/29 Berkshire Hathaway Inc. (BRK)	
<b>Total</b>	
<b>\$ 11,000,000</b>	<b>\$ 174,555</b>
	<b>(18,106)</b>
	<b>\$ 156,449</b>
<b>SALES, MATURITIES, CALLS OR WITHDRAWALS</b>	
11/12 LAIF Withdrawal - City	
11/18 Montecito Bank & Trust CD (MBTCD) - Maturity	
11/20 LAIF Withdrawal - City	
11/29 Federal Home Loan Bank (FHLB) - Maturity	
<b>Total</b>	
<b>\$ (10,000,000)</b>	
 <b>ACTIVITY TOTAL</b>	 <b>INCOME TOTAL</b>
<b>\$ 1,000,000</b>	<b>\$ 156,449</b>

**CITY OF SANTA BARBARA**  
**Summary of Cash and Investments**  
**November 30, 2013**

**ENDING BALANCE AS OF OCTOBER 31, 2013**

Description	Book Value	Yield to Maturity (365 days)	Percent of Portfolio	Average Days to Maturity
State of California LAIF	\$ 34,000,000	0.266%	21.80%	1
Certificates of Deposit	8,000,000	1.267%	5.13%	871
Treasury Securities - Coupon	10,315,793	0.458%	6.61%	882
Federal Agency Issues - Coupon	88,017,918	1.413%	56.43%	1,146
Corporate/Medium Term Notes	10,036,179	1.950%	6.43%	675
	150,369,890	1.116%	96.40%	823
SB Airport Promissory Note	5,603,520	4.195%	3.59%	5,720
Totals and Averages	\$ 155,973,410	1.227%	100.00%	999
UB Money Market Account	3,993,827			
<b>Total Cash and Investments</b>	<b>\$ 159,967,237</b>			

**NET CASH AND INVESTMENT ACTIVITY FOR NOVEMBER 2013**

**\$ 1,449,097**

**ENDING BALANCE AS OF NOVEMBER 30, 2013**

Description	Book Value	Yield to Maturity (365 days)	Percent of Portfolio	Average Days to Maturity
State of California LAIF	\$ 28,000,000	0.265%	17.84%	1 (1)
Certificates of Deposit	8,000,000	1.217%	5.10%	1,023
Treasury Securities - Coupon	10,305,471	0.458%	6.57%	852
Federal Agency Issues - Coupon	92,980,928	1.390%	59.25%	1,171
Corporate/Medium Term Notes	12,034,825	1.884%	7.67%	792
	151,321,224	1.149%	96.43%	895
SB Airport Promissory Note	5,603,520	4.195%	3.57%	5,690
Totals and Averages	\$ 156,924,744	1.257%	100.00%	1,066
UB Money Market Account	4,491,590			
UB Trust Money Market Account	6,000			
<b>Total Cash and Investments</b>	<b>\$ 161,416,334</b>			

(1) The average life of the LAIF portfolio as of November 30, 2013 is 212 days.

**CITY OF SANTA BARBARA**  
**Investment Portfolio**  
**November 30, 2013**

DESCRIPTION	PURCHASE DATE	MATURITY DATE	QUALITY MOODY'S	QUALITY S & P	STATED RATE	YIELD AT 365	FACE VALUE	BOOK VALUE	MARKET VALUE	BOOK GAIN/(LOSS)	COMMENTS
<b>LOCAL AGENCY INVESTMENT FUNDS</b>											
LOCAL AGENCY INVESTMENT FUND	-	-	-	-	0.265	0.265	28,000,000.00	28,000,000.00	28,000,000.00	0.00	
Subtotal, LAIF							28,000,000.00	28,000,000.00	28,000,000.00	0.00	
<b>CERTIFICATES OF DEPOSIT</b>											
MONTECITO BANK & TRUST	11/18/13	11/18/15	-	-	0.600	0.600	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
UNION BANK	08/31/12	08/31/15	-	-	1.230	1.247	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
UNION BANK	08/31/12	08/31/17	-	-	1.490	1.511	4,000,000.00	4,000,000.00	4,000,000.00	0.00	
Subtotal, Certificates of deposit							8,000,000.00	8,000,000.00	8,000,000.00	0.00	
<b>TREASURY SECURITIES - COUPON</b>											
U S TREASURY NOTE	10/25/12	03/15/15	Aaa	AA+	0.375	0.342	2,000,000.00	2,000,841.35	2,004,540.00	3,698.65	
U S TREASURY NOTE	10/25/12	10/31/15	Aaa	AA+	1.250	0.397	2,000,000.00	2,032,438.27	2,037,260.00	4,821.73	
U S TREASURY NOTE	02/22/13	05/15/16	Aaa	AA+	5.125	0.442	2,000,000.00	2,227,945.67	2,231,260.00	3,314.33	
U S TREASURY NOTE	02/22/13	08/31/16	Aaa	AA+	1.000	0.502	2,000,000.00	2,027,081.07	2,027,960.00	878.93	
U S TREASURY NOTE	02/22/13	02/28/17	Aaa	AA+	0.875	0.607	2,000,000.00	2,017,165.13	2,012,500.00	(4,665.13)	
Subtotal, Treasury Securities							10,000,000.00	10,305,471.49	10,313,520.00	8,048.51	
<b>FEDERAL AGENCY ISSUES - COUPON</b>											
FED AGRICULTURAL MTG CORP	10/03/13	10/03/18	-	-	1.720	1.720	2,000,000.00	2,000,000.00	2,006,080.00	6,080.00	
FEDERAL FARM CREDIT BANK	02/10/11	02/10/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,004,780.00	4,780.00	
FEDERAL FARM CREDIT BANK	08/15/12	08/15/17	Aaa	AA+	0.980	0.980	2,000,000.00	2,000,000.00	1,997,120.00	(2,880.00)	Callable, Continuous
FEDERAL FARM CREDIT BANK	09/18/13	09/18/17	Aaa	AA+	1.550	1.550	2,000,000.00	2,000,000.00	2,035,600.00	35,600.00	
FEDERAL FARM CREDIT BANK	02/16/11	02/16/16	Aaa	AA+	2.570	2.570	2,000,000.00	2,000,000.00	2,094,940.00	94,940.00	
FEDERAL FARM CREDIT BANK	07/17/13	07/17/17	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,024,440.00	24,440.00	
FEDERAL HOME LOAN BANK	08/05/10	09/12/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,018,660.00	18,660.00	
FEDERAL HOME LOAN BANK	09/13/13	09/14/18	Aaa	AA+	2.000	1.910	2,000,000.00	2,008,170.14	2,040,940.00	32,769.86	
FEDERAL HOME LOAN BANK	09/17/09	12/13/13	Aaa	AA+	3.125	2.440	2,000,000.00	2,000,430.98	2,001,840.00	1,409.02	
FEDERAL HOME LOAN BANK	01/16/13	01/16/18	Aaa	AA+	1.000	1.000	4,000,000.00	4,000,000.00	3,954,880.00	(45,120.00)	Callable 01/16/14, then qtrly
FEDERAL HOME LOAN BANK	07/17/13	07/17/18	Aaa	AA+	1.750	1.750	2,000,000.00	2,000,000.00	2,004,160.00	4,160.00	Callable 01/17/14, then qtrly
FEDERAL HOME LOAN BANK	06/27/13	06/27/18	Aaa	AA+	1.250	1.493	2,000,000.00	2,000,000.00	1,993,260.00	(6,740.00)	SU 1.125%-2.5% Call 12/27/13, then qtrly
FEDERAL HOME LOAN BANK	02/22/10	12/13/13	Aaa	AA+	3.125	2.130	2,000,000.00	2,000,633.52	2,001,840.00	1,206.48	
FEDERAL HOME LOAN BANK	02/09/11	01/29/15	Aaa	AA+	1.750	1.750	2,000,000.00	2,000,000.00	2,035,700.00	35,700.00	
FEDERAL HOME LOAN BANK	04/15/11	05/27/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,051,640.00	51,640.00	
FEDERAL HOME LOAN MTG CORP	01/06/11	02/25/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,005,840.00	5,840.00	
FEDERAL HOME LOAN MTG CORP	03/28/12	03/28/17	Aaa	AA+	1.350	1.350	2,000,000.00	2,000,000.00	2,007,560.00	7,560.00	Callable 03/28/14, once
FEDERAL HOME LOAN MTG CORP	06/26/13	06/26/18	Aaa	AA+	1.400	1.400	2,000,000.00	2,000,000.00	1,981,320.00	(18,680.00)	Callable 12/26/13, then qtrly
FEDERAL HOME LOAN MTG CORP	07/25/13	07/25/18	Aaa	AA+	1.800	1.800	2,000,000.00	2,000,000.00	1,999,680.00	(320.00)	Callable 07/25/14, once
FEDERAL HOME LOAN MTG CORP	02/21/12	02/21/17	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,004,680.00	4,680.00	Callable 02/21/14, once
FEDERAL HOME LOAN MTG CORP	04/23/12	04/17/15	Aaa	AA+	0.500	0.534	2,000,000.00	1,999,067.11	2,007,660.00	8,592.89	
FEDERAL HOME LOAN MTG CORP	06/12/12	06/12/17	Aaa	AA+	1.250	1.250	2,000,000.00	2,000,000.00	2,007,760.00	7,760.00	Callable 06/12/14, then qtrly
FEDERAL HOME LOAN MTG CORP	07/24/12	07/24/17	Aaa	AA+	1.125	1.125	2,000,000.00	2,000,000.00	2,002,240.00	2,240.00	Callable 01/24/14, then qtrly

**CITY OF SANTA BARBARA**  
**Investment Portfolio**  
**November 30, 2013**

DESCRIPTION	PURCHASE DATE	MATURITY DATE	QUALITY MOODY'S	QUALITY S & P	STATED RATE	YIELD AT 365	FACE VALUE	BOOK VALUE	MARKET VALUE	BOOK GAIN/(LOSS)	COMMENTS	
FEDERAL HOME LOAN MTG CORP	09/12/12	09/12/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,989,860.00	(10,140.00)	Callable 12/12/13, then qtrly	
FEDERAL HOME LOAN MTG CORP	01/16/13	01/16/18	Aaa	AA+	1.050	1.050	4,000,000.00	4,000,000.00	3,960,280.00	(39,720.00)	Callable 01/16/14, then qtrly	
FEDERAL HOME LOAN MTG CORP	11/26/13	11/26/18	Aaa	AA+	1.000	1.793	1,000,000.00	1,000,000.00	1,000,090.00	90.00	SU 1%-2% Callable 11/26/14, once	
FEDERAL HOME LOAN MTG CORP	02/11/11	04/02/14	Aaa	AA+	4.500	1.615	2,018,829.35	2,018,829.35	2,028,700.00	9,870.65		
FEDERAL HOME LOAN MTG CORP	06/26/13	06/26/18	Aaa	AA+	1.500	1.500	2,000,000.00	2,000,000.00	1,984,820.00	(15,180.00)	Callable 12/26/13, then qtrly	
FEDERAL HOME LOAN MTG CORP	11/20/13	09/29/17	Aaa	AA+	1.000	1.030	1,000,000.00	998,869.03	997,640.00	(1,229.03)		
FEDERAL NATL MORTGAGE ASSN	01/30/13	01/30/18	Aaa	AA+	1.030	1.030	3,000,000.00	3,000,000.00	2,964,570.00	(35,430.00)	Callable 01/30/14, then qtrly	
FEDERAL NATL MORTGAGE ASSN	11/17/10	11/17/14	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,021,440.00	21,440.00		
FEDERAL NATL MORTGAGE ASSN	08/28/12	08/28/17	Aaa	AA+	1.150	1.150	2,000,000.00	2,000,000.00	2,002,820.00	2,820.00	Callable 02/28/14, then qtrly	
FEDERAL NATL MORTGAGE ASSN	12/12/12	12/12/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,979,160.00	(20,840.00)	Callable 12/12/13, then qtrly	
FEDERAL NATL MORTGAGE ASSN	06/19/13	12/19/16	Aaa	AA+	0.750	0.750	2,000,000.00	2,000,000.00	2,000,560.00	560.00	Callable 12/19/13, then qtrly	
FEDERAL NATL MORTGAGE ASSN	07/30/13	07/30/18	Aaa	AA+	1.000	1.896	1,000,000.00	1,000,000.00	1,000,620.00	620.00	SU 1%-2% Callable 01/30/14, once	
FEDERAL NATL MORTGAGE ASSN	11/15/13	10/26/17	Aaa	AA+	0.875	1.062	2,000,000.00	1,985,742.36	1,991,520.00	5,777.64		
FEDERAL NATL MORTGAGE ASSN	11/08/12	11/08/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,982,480.00	(17,520.00)	Callable 02/08/14, then qtrly	
FEDERAL NATL MORTGAGE ASSN	11/08/12	11/08/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,982,480.00	(17,520.00)	Callable 02/08/14, then qtrly	
FEDERAL NATL MORTGAGE ASSN	12/26/12	12/26/17	Aaa	AA+	1.000	1.000	4,000,000.00	4,000,000.00	3,953,000.00	(47,000.00)	Callable 12/26/13, then qtrly	
FEDERAL NATL MORTGAGE ASSN	07/10/13	07/10/18	Aaa	AA+	1.700	1.700	2,000,000.00	2,000,000.00	2,007,580.00	7,580.00	Callable 07/10/14, then qtrly	
FEDERAL NATL MORTGAGE ASSN	09/21/10	09/21/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,060,720.00	60,720.00		
FEDERAL NATL MORTGAGE ASSN	12/10/10	10/26/15	Aaa	AA+	1.625	2.067	2,000,000.00	1,984,068.68	2,049,200.00	65,131.32		
FEDERAL NATL MORTGAGE ASSN	02/05/13	02/05/18	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,975,800.00	(24,200.00)	Callable 02/05/15, then qtrly	
FEDERAL NATL MORTGAGE ASSN	11/13/13	11/13/18	Aaa	AA+	0.625	1.857	1,000,000.00	1,000,000.00	1,000,430.00	430.00	SU 2% Callable 05/13/14, once	
FEDERAL NATL MORTGAGE ASSN	11/20/13	10/26/17	Aaa	AA+	0.875	1.070	2,000,000.00	1,985,116.53	1,991,520.00	6,403.47		
<b>Subtotal, Federal Agencies</b>							<b>93,000,000.00</b>	<b>92,980,927.70</b>	<b>93,207,910.00</b>	<b>226,982.30</b>		
<b>CORPORATE/MEDIUM TERM NOTES</b>												
BERKSHIRE HATHAWAY FIN	12/15/10	12/15/15	Aa2	AA	2.450	2.530	2,000,000.00	1,996,941.67	2,077,920.00	80,978.33		
BERKSHIRE HATHAWAY INC	11/29/13	02/09/18	Aa2	AA	1.550	1.550	2,000,000.00	2,000,000.00	1,994,560.00	(5,440.00)		
GENERAL ELECTRIC CAPITAL CORP	11/10/10	11/09/15	A1	AA+	2.250	2.250	2,000,000.00	2,000,000.00	2,061,360.00	61,360.00		
GENERAL ELECTRIC CAPITAL CORP	01/07/11	01/07/14	A1	AA+	2.100	2.100	2,000,000.00	2,000,000.00	2,003,380.00	3,380.00		
PROCTOR & GAMBLE	09/20/11	11/15/15	Aa3	AA-	1.800	1.085	2,000,000.00	2,027,265.28	2,051,560.00	24,294.72		
TOYOTA MOTOR CREDIT	09/26/11	09/15/16	Aa3	AA-	2.000	1.800	2,000,000.00	2,010,618.04	2,065,740.00	55,121.96		
<b>Subtotal, Corporate Securities</b>							<b>12,000,000.00</b>	<b>12,034,824.99</b>	<b>12,254,520.00</b>	<b>219,695.01</b>		
<b>SB AIRPORT PROMISSORY NOTE (LT)</b>												
SANTA BARBARA AIRPORT	07/14/09	06/30/29	-	-	3.500	4.195	5,603,519.96	5,603,519.96	5,603,519.96	0.00		
<b>Subtotal, SBA Note</b>							<b>5,603,519.96</b>	<b>5,603,519.96</b>	<b>5,603,519.96</b>	<b>0.00</b>		
<b>TOTALS</b>							<b>156,603,519.96</b>	<b>156,924,744.14</b>	<b>157,379,469.96</b>	<b>454,725.82</b>		

Market values have been obtained from the City's safekeeping agent, Union Bank The Private Bank (UBTPB). UBTPB uses Interactive Data Pricing Service, Bloomberg and DTC.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Accounting Division, Finance Department

**SUBJECT:** Fiscal Year 2014 Interim Financial Statements For The Four Months Ended October 31, 2013

**RECOMMENDATION:**

That Council accept the Fiscal Year 2014 Interim Financial Statements for the four months ended October 31, 2013.

**DISCUSSION:**

The interim financial statements for the four months ended October 31, 2013 (33.3% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

**ATTACHMENT:** Interim Financial Statements for the Four Months Ended October 31, 2013

**PREPARED BY:** Julie Nemes, Accounting Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenditures**  
**Summary by Fund**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
<b>GENERAL FUND</b>					
Revenue	111,418,890	29,448,355	-	81,970,535	26.4%
Expenditures	111,866,688	39,219,239	2,092,620	70,554,830	36.9%
<i>Addition to / (use of) reserves</i>	(447,799)	(9,770,884)	(2,092,620)		
<b>SOLID WASTE FUND</b>					
Revenue	19,927,443	6,827,286	-	13,100,157	34.3%
Expenditures	20,047,668	6,348,607	424,578	13,274,483	33.8%
<i>Addition to / (use of) reserves</i>	(120,225)	478,679	(424,578)		
<b>WATER OPERATING FUND</b>					
Revenue	36,524,435	13,990,335	-	22,534,100	38.3%
Expenditures	45,085,726	13,126,288	2,430,458	29,528,980	34.5%
<i>Addition to / (use of) reserves</i>	(8,561,291)	864,047	(2,430,458)		
<b>WASTEWATER OPERATING FUND</b>					
Revenue	17,907,479	6,310,337	-	11,597,142	35.2%
Expenditures	19,048,005	4,998,593	1,922,835	12,126,577	36.3%
<i>Addition to / (use of) reserves</i>	(1,140,526)	1,311,744	(1,922,835)		
<b>DOWNTOWN PARKING</b>					
Revenue	7,420,709	2,598,533	-	4,822,176	35.0%
Expenditures	8,575,235	2,726,433	571,347	5,277,455	38.5%
<i>Addition to / (use of) reserves</i>	(1,154,526)	(127,901)	(571,347)		
<b>AIRPORT OPERATING FUND</b>					
Revenue	15,751,093	5,504,259	-	10,246,834	34.9%
Expenditures	16,314,199	4,522,871	785,225	11,006,103	32.5%
<i>Addition to / (use of) reserves</i>	(563,106)	981,388	(785,225)		
<b>GOLF COURSE FUND</b>					
Revenue	2,081,059	722,927	-	1,358,132	34.7%
Expenditures	2,049,523	787,572	1,202	1,260,749	38.5%
<i>Addition to / (use of) reserves</i>	31,536	(64,645)	(1,202)		
<b>INTRA-CITY SERVICE FUND</b>					
Revenue	5,604,962	1,604,084	-	4,000,878	28.6%
Expenditures	5,911,688	1,749,137	842,048	3,320,504	43.8%
<i>Addition to / (use of) reserves</i>	(306,726)	(145,052)	(842,048)		

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenditures**  
**Summary by Fund**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>FLEET REPLACEMENT FUND</b>					
Revenue	2,758,201	892,201	-	1,866,000	32.3%
Expenditures	5,134,991	697,895	550,591	3,886,504	24.3%
<i>Addition to / (use of) reserves</i>	(2,376,790)	194,306	(550,591)		
<b>FLEET MAINTENANCE FUND</b>					
Revenue	2,576,502	880,175	-	1,696,327	34.2%
Expenditures	2,650,738	770,795	229,441	1,650,502	37.7%
<i>Addition to / (use of) reserves</i>	(74,236)	109,380	(229,441)		
<b>SELF INSURANCE TRUST FUND</b>					
Revenue	5,960,947	1,985,622	-	3,975,325	33.3%
Expenditures	6,022,747	2,041,288	329,438	3,652,022	39.4%
<i>Addition to / (use of) reserves</i>	(61,800)	(55,666)	(329,438)		
<b>INFORMATION SYSTEMS ICS FUND</b>					
Revenue	2,514,997	838,332	-	1,676,665	33.3%
Expenditures	2,965,594	1,003,716	130,227	1,831,651	38.2%
<i>Addition to / (use of) reserves</i>	(450,597)	(165,384)	(130,227)		
<b>WATERFRONT FUND</b>					
Revenue	12,445,067	4,945,308	-	7,499,759	39.7%
Expenditures	13,558,989	4,999,145	851,080	7,708,763	43.1%
<i>Addition to / (use of) reserves</i>	(1,113,922)	(53,837)	(851,080)		
<b>TOTAL FOR ALL FUNDS</b>					
Revenue	242,891,784	76,547,755	-	166,344,028	31.5%
Expenditures	259,231,792	82,991,579	11,161,091	165,079,122	36.3%
<i>Addition to / (use of) reserves</i>	(16,340,009)	(6,443,824)	(11,161,091)		

*\*\* It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Budgeted and Actual Revenues**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
<b>TAXES</b>					
Sales and Use	21,084,894	5,002,440	16,082,454	23.7%	5,021,775
Property Taxes	25,475,500	1,214,320	24,261,180	4.8%	1,269,182
Utility Users Tax	6,975,300	2,383,081	4,592,219	34.2%	2,323,078
Transient Occupancy Tax	16,202,000	7,198,588	9,003,412	44.4%	6,442,929
Business License	2,415,000	635,433	1,779,567	26.3%	617,778
Real Property Transfer Tax	537,900	243,703	294,197	45.3%	202,914
<i>Total</i>	<u>72,690,594</u>	<u>16,677,565</u>	<u>56,013,029</u>	22.9%	<u>15,877,655</u>
<b>LICENSES &amp; PERMITS</b>					
Licenses & Permits	219,180	66,359	152,821	30.3%	65,514
<i>Total</i>	<u>219,180</u>	<u>66,359</u>	<u>152,821</u>	30.3%	<u>65,514</u>
<b>FINES &amp; FORFEITURES</b>					
Parking Violations	2,628,967	889,544	1,739,423	33.8%	943,513
Library Fines	135,000	42,319	92,681	31.3%	36,874
Municipal Court Fines	120,000	28,117	91,883	23.4%	34,252
Other Fines & Forfeitures	250,000	120,512	129,489	48.2%	79,908
<i>Total</i>	<u>3,133,967</u>	<u>1,080,491</u>	<u>2,053,476</u>	34.5%	<u>1,094,547</u>
<b>USE OF MONEY &amp; PROPERTY</b>					
Investment Income	676,267	201,001	475,266	29.7%	330,666
Rents & Concessions	396,322	130,827	265,495	33.0%	110,540
<i>Total</i>	<u>1,072,589</u>	<u>331,828</u>	<u>740,761</u>	30.9%	<u>441,206</u>
<b>INTERGOVERNMENTAL</b>					
Grants	654,288	669,028	(14,739)	102.3%	459,946
Vehicle License Fees	-	39,945	(39,945)	100.0%	48,265
Reimbursements	14,320	1,511	12,809	10.5%	292
<i>Total</i>	<u>668,608</u>	<u>710,483</u>	<u>(41,875)</u>	106.3%	<u>508,502</u>
<b>FEES &amp; SERVICE CHARGES</b>					
Finance	926,598	309,142	617,456	33.4%	292,756
Community Development	4,632,942	1,465,512	3,167,430	31.6%	1,492,760
Recreation	2,890,383	1,035,314	1,855,069	35.8%	994,346
Public Safety	617,033	167,139	449,894	27.1%	196,986
Public Works	5,584,761	1,940,890	3,643,871	34.8%	1,928,229
Library	753,839	13,334	740,505	1.8%	313,146
Reimbursements	4,293,383	1,455,862	2,837,521	33.9%	1,454,583
<i>Total</i>	<u>19,698,939</u>	<u>6,387,193</u>	<u>13,311,746</u>	32.4%	<u>6,672,805</u>
<b>OTHER REVENUES</b>					
Miscellaneous	1,616,251	657,124	959,127	40.7%	1,367,999
Franchise Fees	3,660,300	1,036,322	2,623,978	28.3%	998,840
Indirect Allocations	6,292,740	2,097,580	4,195,160	33.3%	1,947,284
Operating Transfers-In	2,365,721	403,409	1,962,312	17.1%	581,828
<i>Total</i>	<u>13,935,012</u>	<u>4,194,435</u>	<u>9,740,577</u>	30.1%	<u>4,895,951</u>
<b>TOTAL REVENUES</b>	<u>111,418,890</u>	<u>29,448,355</u>	<u>81,970,535</u>	26.4%	<u>29,556,181</u>

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>GENERAL GOVERNMENT</b>						
<u>Mayor &amp; City Council</u>						
MAYOR	770,723	240,727	127	529,870	31.3%	
<i>Total</i>	770,723	240,727	127	529,870	31.3%	249,175
<u>City Attorney</u>						
CITY ATTORNEY	2,104,254	726,547	8,325	1,369,382	34.9%	
<i>Total</i>	2,104,254	726,547	8,325	1,369,382	34.9%	747,334
<u>Administration</u>						
CITY ADMINISTRATOR	1,587,391	599,676	127	987,588	37.8%	
CITY TV	535,137	166,465	43,991	324,681	39.3%	
<i>Total</i>	2,122,528	766,141	44,118	1,312,270	38.2%	638,501
<u>Administrative Services</u>						
CITY CLERK	475,090	163,055	17,994	294,041	38.1%	
ADMIN SVCS-ELECTIONS	300,000	59,408	152,040	88,551	70.5%	
HUMAN RESOURCES	1,412,691	402,123	21,846	988,723	30.0%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	14,447	2,555	-	11,892	17.7%	
<i>Total</i>	2,202,228	627,141	191,880	1,383,207	37.2%	539,765
<u>Finance</u>						
ADMINISTRATION	221,336	81,317	12,720	127,299	42.5%	
TREASURY	510,731	145,153	14,600	350,978	31.3%	
CASHIERING & COLLECTION	458,460	155,034	-	303,426	33.8%	
LICENSES & PERMITS	469,695	167,943	23,258	278,494	40.7%	
BUDGET MANAGEMENT	430,198	144,349	-	285,849	33.6%	
ACCOUNTING	621,961	182,754	22,110	417,097	32.9%	
PAYROLL	293,974	105,272	-	188,702	35.8%	
ACCOUNTS PAYABLE	226,149	79,364	-	146,785	35.1%	
CITY BILLING & CUSTOMER SERVICE	684,788	148,719	230,126	305,943	55.3%	
PURCHASING	639,289	196,435	2,217	440,637	31.1%	
CENTRAL STORES	170,978	55,633	403	114,942	32.8%	
MAIL SERVICES	109,740	38,470	404	70,866	35.4%	
<i>Total</i>	4,837,299	1,500,442	305,838	3,031,018	37.3%	1,508,709
<b>TOTAL GENERAL GOVERNMENT</b>	<b>12,037,032</b>	<b>3,860,999</b>	<b>550,288</b>	<b>7,625,746</b>	<b>36.6%</b>	<b>3,683,485</b>
<b>PUBLIC SAFETY</b>						
<u>Police</u>						
CHIEF'S STAFF	1,035,400	362,923	-	672,477	35.1%	
SUPPORT SERVICES	690,977	223,837	5,403	461,737	33.2%	
RECORDS	1,293,046	415,807	25,902	851,336	34.2%	
COMMUNITY SVCS	1,035,807	346,998	8,064	680,746	34.3%	
PROPERTY ROOM	213,001	64,836	594	147,571	30.7%	
TRNG/RECRUITMENT	483,113	176,654	18,413	288,046	40.4%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>PUBLIC SAFETY</b>						
<u>Police</u>						
RANGE	1,268,968	413,590	53,068	802,311	36.8%	
BEAT COORDINATORS	858,553	319,608	-	538,945	37.2%	
INFORMATION TECHNOLOGY	1,193,300	529,351	21,197	642,752	46.1%	
INVESTIGATIVE DIVISION	4,945,232	1,909,721	-	3,035,511	38.6%	
CRIME LAB	155,092	54,005	-	101,087	34.8%	
PATROL DIVISION	15,795,703	5,484,921	188,507	10,122,275	35.9%	
TRAFFIC	1,415,405	482,961	559	931,885	34.2%	
SPECIAL EVENTS	831,095	629,422	-	201,673	75.7%	
TACTICAL PATROL FORCE	1,497,838	456,618	-	1,041,220	30.5%	
STREET SWEEPING ENFORCEMENT	340,916	116,748	-	224,168	34.2%	
NIGHT LIFE ENFORCEMENT	301,944	117,439	-	184,505	38.9%	
PARKING ENFORCEMENT	989,866	332,727	27,800	629,339	36.4%	
CCC	2,462,970	776,848	2,319	1,683,803	31.6%	
ANIMAL CONTROL	661,248	111,812	-	549,436	16.9%	
<i>Total</i>	<u>37,469,473</u>	<u>13,326,824</u>	<u>351,825</u>	<u>23,790,824</u>	36.5%	<u>12,505,459</u>
<u>Fire</u>						
ADMINISTRATION	816,274	272,215	5,033	539,026	34.0%	
EMERGENCY SERVICES AND PUBLIC ED	294,891	103,505	-	191,386	35.1%	
PREVENTION	1,118,386	416,397	-	701,989	37.2%	
WILDLAND FIRE MITIGATION PROGRAM	199,013	61,443	4,467	133,103	33.1%	
OPERATIONS	18,064,121	6,574,117	72,929	11,417,075	36.8%	
ARFF	1,860,354	657,577	-	1,202,777	35.3%	
<i>Total</i>	<u>22,353,039</u>	<u>8,085,253</u>	<u>82,430</u>	<u>14,185,357</u>	36.5%	<u>7,892,171</u>
<b>TOTAL PUBLIC SAFETY</b>	<u>59,822,512</u>	<u>21,412,077</u>	<u>434,255</u>	<u>37,976,181</u>	36.5%	<u>20,397,630</u>
<b>PUBLIC WORKS</b>						
<u>Public Works</u>						
ADMINISTRATION	1,049,511	320,391	8,785	720,335	31.4%	
ENGINEERING SVCS	4,871,823	1,651,373	4,508	3,215,943	34.0%	
PUBLIC RT OF WAY MGMT	1,042,862	347,455	1,356	694,051	33.4%	
ENVIRONMENTAL PROGRAMS	507,253	62,687	277,437	167,129	67.1%	
<i>Total</i>	<u>7,471,449</u>	<u>2,381,905</u>	<u>292,086</u>	<u>4,797,458</u>	35.8%	<u>2,264,463</u>
<b>TOTAL PUBLIC WORKS</b>	<u>7,471,449</u>	<u>2,381,905</u>	<u>292,086</u>	<u>4,797,458</u>	35.8%	<u>2,264,463</u>
<b>COMMUNITY SERVICES</b>						
<u>Parks &amp; Recreation</u>						
PRGM MGMT & BUS SVCS	699,131	241,350	3,898	453,883	35.1%	
FACILITIES	778,579	258,871	9,724	509,984	34.5%	
YOUTH ACTIVITIES	954,403	495,775	3,269	455,359	52.3%	
SR CITIZENS	696,667	246,285	2,972	447,409	35.8%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
<b>COMMUNITY SERVICES</b>						
<u>Parks &amp; Recreation</u>						
AQUATICS	1,251,951	578,873	37,309	635,769	49.2%	
SPORTS	537,090	186,449	8,744	341,897	36.3%	
TENNIS	274,749	105,833	-	168,916	38.5%	
NEIGHBORHOOD & OUTREACH SERV	1,018,681	403,815	-	614,866	39.6%	
ADMINISTRATION	627,767	209,857	2,228	415,682	33.8%	
PROJECT MANAGEMENT TEAM	376,272	102,654	76	273,541	27.3%	
BUSINESS SERVICES	6,082	3,886	-	2,196	63.9%	
FACILITY & PROJECT MGT	968,835	402,562	2,192	564,081	41.8%	
GROUNDS MANAGEMENT	4,688,543	1,451,545	91,016	3,145,982	32.9%	
FORESTRY	1,284,267	343,790	94,262	846,215	34.1%	
BEACH MAINTENANCE	157,332	44,722	17,214	95,397	39.4%	
<i>Total</i>	<u>14,320,350</u>	<u>5,076,267</u>	<u>272,905</u>	<u>8,971,177</u>	37.4%	<u>4,849,492</u>
<u>Library</u>						
ADMINISTRATION	460,433	151,840	-	308,593	33.0%	
PUBLIC SERVICES	2,607,620	826,994	-	1,780,627	31.7%	
SUPPORT SERVICES	1,614,503	538,939	24,264	1,051,301	34.9%	
<i>Total</i>	<u>4,682,557</u>	<u>1,517,773</u>	<u>24,264</u>	<u>3,140,520</u>	32.9%	<u>1,352,620</u>
<b>TOTAL COMMUNITY SERVICES</b>	<u>19,002,906</u>	<u>6,594,040</u>	<u>297,169</u>	<u>12,111,697</u>	36.3%	<u>6,202,112</u>
<b>COMMUNITY DEVELOPMENT</b>						
<u>Community Development</u>						
ADMINISTRATION	551,107	199,195	966	350,946	36.3%	
ECON DEV	48,420	14,254	-	34,166	29.4%	
CITY ARTS ADVISORY PROGRAM	437,260	335,225	-	102,035	76.7%	
RENTAL HSG MEDIATION	184,566	66,933	-	117,633	36.3%	
HUMAN SVCS	821,025	139,925	467,817	213,283	74.0%	
RDA HSG DEV	25,386	6,102	19,284	-	100.0%	
LR PLANNING/STUDIES	905,914	295,128	540	610,246	32.6%	
DEV & DESIGN REVIEW	1,350,777	438,039	12,755	899,983	33.4%	
ZONING	1,264,867	409,545	1,808	853,514	32.5%	
DESIGN REV & HIST PRESERVATN	1,100,803	344,137	6,128	750,539	31.8%	
BLDG PERMITS	1,147,440	373,145	540	773,755	32.6%	
RECORDS & ARCHIVES	563,562	173,580	8,415	381,567	32.3%	
PLAN CK & COUNTER SRV	1,302,478	468,114	570	833,794	36.0%	
<i>Total</i>	<u>9,703,604</u>	<u>3,263,320</u>	<u>518,823</u>	<u>5,921,461</u>	39.0%	<u>3,186,419</u>
<b>TOTAL COMMUNITY DEVELOPMENT</b>	<u>9,703,604</u>	<u>3,263,320</u>	<u>518,823</u>	<u>5,921,461</u>	39.0%	<u>3,186,419</u>
<b>NON-DEPARTMENTAL</b>						
<u>Non-Departmental</u>						
DUES, MEMBERSHIPS, & LICENSES	22,272	1,676	-	20,596	7.5%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
<b>NON-DEPARTMENTAL</b>						
<u>Non-Departmental</u>						
TRANSFERS OUT	43,500	14,500	-	29,000	33.3%	
DEBT SERVICE TRANSFERS	350,746	307,680	-	43,066	87.7%	
CAPITAL OUTLAY TRANSFER	1,000,000	333,333	-	666,667	33.3%	
APPROP. RESERVE	384,658	-	-	384,658	0.0%	
NON-DEPT - COMM PROM	2,028,008	1,049,708	-	978,300	51.8%	
<i>Total</i>	<u>3,829,184</u>	<u>1,706,898</u>	<u>-</u>	<u>2,122,286</u>	<u>44.6%</u>	<u>1,475,134</u>
<b>TOTAL NON-DEPARTMENTAL</b>	<u>3,829,184</u>	<u>1,706,898</u>	<u>-</u>	<u>2,122,286</u>	<u>44.6%</u>	<u>1,475,134</u>
 <b>TOTAL EXPENDITURES</b>	 <u>111,866,688</u>	 <u>39,219,239</u>	 <u>2,092,620</u>	 <u>70,554,830</u>	 <u>36.9%</u>	 <u>37,209,242</u>

*\*\* The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

*For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.*

**CITY OF SANTA BARBARA**  
**Special Revenue Funds**  
**Interim Statement of Revenues and Expenditures**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>TRAFFIC SAFETY FUND</b>					
Revenue	506,204	179,148	-	327,056	35.4%
Expenditures	506,204	179,148	-	327,056	35.4%
<i>Revenue Less Expenditures</i>	-	-	-	-	
<b>CREEK RESTORATION/WATER QUALITY IMPRVMT</b>					
Revenue	3,367,572	1,474,108	-	1,893,464	43.8%
Expenditures	5,433,182	2,263,709	533,474	2,635,999	51.5%
<i>Revenue Less Expenditures</i>	(2,065,610)	(789,601)	(533,474)	(742,535)	
<b>COMM.DEVELOPMENT BLOCK GRANT</b>					
Revenue	1,853,369	108,723	-	1,744,646	5.9%
Expenditures	2,167,813	143,826	101,399	1,922,588	11.3%
<i>Revenue Less Expenditures</i>	(314,444)	(35,103)	(101,399)	(177,943)	
<b>COUNTY LIBRARY</b>					
Revenue	1,948,864	194,441	-	1,754,423	10.0%
Expenditures	2,145,695	594,551	4,505	1,546,639	27.9%
<i>Revenue Less Expenditures</i>	(196,831)	(400,111)	(4,505)	207,784	
<b>STREETS FUND</b>					
Revenue	10,451,852	3,460,005	-	6,991,848	33.1%
Expenditures	14,770,894	3,342,364	1,489,730	9,938,801	32.7%
<i>Revenue Less Expenditures</i>	(4,319,042)	117,641	(1,489,730)	(2,946,953)	
<b>MEASURE A</b>					
Revenue	3,411,416	1,101,861	-	2,309,555	32.3%
Expenditures	4,761,898	858,357	1,653,219	2,250,322	52.7%
<i>Revenue Less Expenditures</i>	(1,350,482)	243,504	(1,653,219)	59,233	

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**SOLID WASTE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	19,199,527	6,605,971	-	12,593,556	34.4%	6,031,984
Other Fees & Charges	474,816	-	-	474,816	0.0%	-
Grants	20,000	-	-	20,000	0.0%	-
Miscellaneous	233,100	221,315	-	11,785	94.9%	47,971
<b>TOTAL REVENUES</b>	<u>19,927,443</u>	<u>6,827,286</u>	<u>-</u>	<u>13,100,157</u>	<u>34.3%</u>	<u>6,079,955</u>
<b>EXPENSES</b>						
Salaries & Benefits	979,288	291,704	-	687,584	29.8%	279,814
Materials, Supplies & Services	18,258,021	6,024,088	422,383	11,811,550	35.3%	5,655,208
Special Projects	585,988	9,674	2,195	574,119	2.0%	-
Transfers-Out	50,000	16,667	-	33,333	33.3%	16,667
Capital Outlay Transfers	17,556	5,852	-	11,704	33.3%	3,890
Equipment	13,709	622	-	13,087	4.5%	641
Capitalized Fixed Assets	10,000	-	-	10,000	0.0%	-
Other	100,000	-	-	100,000	0.0%	-
Appropriated Reserve	33,106	-	-	33,106	0.0%	-
<b>TOTAL EXPENSES</b>	<u>20,047,668</u>	<u>6,348,607</u>	<u>424,578</u>	<u>13,274,483</u>	<u>33.8%</u>	<u>5,956,220</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**WATER OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Water Sales - Metered	32,300,000	12,939,516	-	19,360,484	40.1%	12,272,795
Service Charges	506,500	208,430	-	298,070	41.2%	288,438
Cater JPA Treatment Charges	2,467,510	633,680	-	1,833,830	25.7%	712,136
Investment Income	534,400	174,522	-	359,878	32.7%	207,332
Rents & Concessions	22,872	7,624	-	15,248	33.3%	-
Reimbursements	673,153	7,011	-	666,142	1.0%	480,704
Miscellaneous	20,000	19,552	-	448	97.8%	30,856
<b>TOTAL REVENUES</b>	<u>36,524,435</u>	<u>13,990,335</u>	<u>-</u>	<u>22,534,100</u>	<u>38.3%</u>	<u>13,992,261</u>
<b>EXPENSES</b>						
Salaries & Benefits	8,333,163	2,688,681	-	5,644,482	32.3%	2,587,835
Materials, Supplies & Services	10,412,848	2,423,288	2,002,458	5,987,101	42.5%	2,271,958
Special Projects	1,105,856	106,770	203,505	795,581	28.1%	150,824
Water Purchases	8,016,230	2,391,105	170,436	5,454,689	32.0%	2,514,667
Debt Service	5,566,589	1,763,300	-	3,803,289	31.7%	1,642,246
Capital Outlay Transfers	11,189,231	3,729,744	-	7,459,487	33.3%	1,142,216
Equipment	146,195	14,148	20,238	111,808	23.5%	10,018
Capitalized Fixed Assets	132,839	7,697	33,820	91,323	31.3%	7,541
Other	32,775	1,555	-	31,220	4.7%	6,118
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>45,085,726</u>	<u>13,126,288</u>	<u>2,430,458</u>	<u>29,528,980</u>	<u>34.5%</u>	<u>10,333,424</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**WASTEWATER OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service Charges	16,997,000	5,950,587	-	11,046,413	35.0%	5,646,497
Fees	692,579	298,729	-	393,850	43.1%	317,851
Investment Income	150,900	52,734	-	98,166	34.9%	59,900
Rents & Concessions	32,000	6,956	-	25,044	21.7%	-
Miscellaneous	35,000	1,331	-	33,669	3.8%	4,500
<b>TOTAL REVENUES</b>	<u>17,907,479</u>	<u>6,310,337</u>	<u>-</u>	<u>11,597,142</u>	<u>35.2%</u>	<u>6,028,748</u>
<b>EXPENSES</b>						
Salaries & Benefits	5,722,999	1,783,309	-	3,939,690	31.2%	1,833,042
Materials, Supplies & Services	6,760,640	1,789,939	1,895,288	3,075,412	54.5%	1,794,970
Special Projects	465,500	32,760	26,064	406,676	12.6%	4,020
Debt Service	1,668,096	628	-	1,667,468	0.0%	-
Capital Outlay Transfers	4,154,727	1,384,909	-	2,769,818	33.3%	1,000,040
Equipment	97,044	2,835	-	94,209	2.9%	2,798
Capitalized Fixed Assets	26,000	3,213	1,483	21,304	18.1%	-
Other	3,000	1,000	-	2,000	33.3%	1,000
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>19,048,005</u>	<u>4,998,593</u>	<u>1,922,835</u>	<u>12,126,577</u>	<u>36.3%</u>	<u>4,635,870</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**  
**DOWNTOWN PARKING**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Improvement Tax	875,000	403,104	-	471,896	46.1%	411,173
Parking Fees	6,313,084	2,106,650	-	4,206,434	33.4%	2,087,665
Other Fees & Charges	1,000	1,024	-	(24)	102.4%	225
Investment Income	98,200	34,499	-	63,701	35.1%	37,802
Rents & Concessions	88,925	33,642	-	55,283	37.8%	13,642
Miscellaneous	1,000	5,113	-	(4,113)	511.3%	(943)
Operating Transfers-In	43,500	14,500	-	29,000	33.3%	14,500
<b>TOTAL REVENUES</b>	<u>7,420,709</u>	<u>2,598,533</u>	<u>-</u>	<u>4,822,176</u>	<u>35.0%</u>	<u>2,564,064</u>
<b>EXPENSES</b>						
Salaries & Benefits	4,049,433	1,366,830	-	2,682,603	33.8%	1,316,917
Materials, Supplies & Services	2,108,134	655,570	162,549	1,290,015	38.8%	518,409
Special Projects	531,852	100,428	404,798	26,626	95.0%	101,852
Transfers-Out	303,064	101,021	-	202,043	33.3%	99,040
Capital Outlay Transfers	1,507,753	502,584	-	1,005,169	33.3%	370,648
Equipment	25,000	-	4,000	21,000	16.0%	1,486
Appropriated Reserve	50,000	-	-	50,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>8,575,235</u>	<u>2,726,433</u>	<u>571,347</u>	<u>5,277,455</u>	<u>38.5%</u>	<u>2,408,352</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**  
**AIRPORT OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Leases - Commercial / Industrial	4,472,500	1,709,120	-	2,763,380	38.2%	1,501,002
Leases - Terminal	5,189,964	1,677,656	-	3,512,308	32.3%	1,735,721
Leases - Non-Commerical Aviation	1,895,929	566,414	-	1,329,515	29.9%	535,617
Leases - Commerical Aviation	3,939,000	1,472,991	-	2,466,009	37.4%	1,291,621
Investment Income	111,100	41,035	-	70,065	36.9%	40,680
Miscellaneous	142,600	37,044	-	105,556	26.0%	37,170
<b>TOTAL REVENUES</b>	<u>15,751,093</u>	<u>5,504,259</u>	<u>-</u>	<u>10,246,834</u>	<u>34.9%</u>	<u>5,141,811</u>
<b>EXPENSES</b>						
Salaries & Benefits	5,522,998	1,717,262	-	3,805,736	31.1%	1,714,698
Materials, Supplies & Services	7,441,870	2,223,525	780,651	4,437,694	40.4%	1,973,236
Special Projects	863,000	154,127	-	708,873	17.9%	159,930
Transfers-Out	19,728	6,576	-	13,152	33.3%	6,098
Debt Service	1,817,106	-	-	1,817,106	0.0%	-
Capital Outlay Transfers	487,300	404,409	-	82,891	83.0%	44,894
Equipment	66,358	16,972	4,575	44,812	32.5%	5,720
Other	-	-	-	-	100.0%	1,161
Appropriated Reserve	95,839	-	-	95,839	0.0%	-
<b>TOTAL EXPENSES</b>	<u>16,314,199</u>	<u>4,522,871</u>	<u>785,225</u>	<u>11,006,103</u>	<u>32.5%</u>	<u>3,905,737</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**GOLF COURSE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Fees & Card Sales	1,739,239	612,680	-	1,126,559	35.2%	556,368
Investment Income	8,800	3,576	-	5,224	40.6%	3,498
Rents & Concessions	332,520	101,193	-	231,327	30.4%	64,853
Miscellaneous	500	5,479	-	(4,979)	1095.7%	(3)
<b>TOTAL REVENUES</b>	<u>2,081,059</u>	<u>722,927</u>	<u>-</u>	<u>1,358,132</u>	<u>34.7%</u>	<u>624,717</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,022,332	346,656	-	675,676	33.9%	356,865
Materials, Supplies & Services	562,907	202,625	1,202	359,080	36.2%	196,743
Debt Service	245,698	165,865	-	79,833	67.5%	161,887
Capital Outlay Transfers	214,686	71,562	-	143,124	33.3%	52,791
Equipment	3,000	-	-	3,000	0.0%	18,422
Other	900	864	-	36	96.0%	847
<b>TOTAL EXPENSES</b>	<u>2,049,523</u>	<u>787,572</u>	<u>1,202</u>	<u>1,260,749</u>	<u>38.5%</u>	<u>787,555</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**INTRA-CITY SERVICE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	242,253	80,751	-	161,502	33.3%	33,195
Work Orders - Bldg Maint.	2,592,140	718,802	-	1,873,338	27.7%	1,063,047
Grants	120,449	-	-	120,449	0.0%	128,095
Service Charges	2,288,520	762,840	-	1,525,680	33.3%	685,710
Miscellaneous	361,600	41,692	-	319,908	11.5%	45,100
<b>TOTAL REVENUES</b>	<u>5,604,962</u>	<u>1,604,084</u>	<u>-</u>	<u>4,000,878</u>	<u>28.6%</u>	<u>1,955,148</u>
<b>EXPENSES</b>						
Salaries & Benefits	3,462,955	1,128,310	-	2,334,645	32.6%	1,153,274
Materials, Supplies & Services	1,414,823	421,854	205,738	787,231	44.4%	353,855
Special Projects	940,978	195,100	625,038	120,840	87.2%	232,585
Equipment	15,000	-	-	15,000	0.0%	-
Capitalized Fixed Assets	51,882	3,873	11,271	36,738	29.2%	185,823
Appropriated Reserve	26,050	-	-	26,050	0.0%	-
<b>TOTAL EXPENSES</b>	<u>5,911,688</u>	<u>1,749,137</u>	<u>842,048</u>	<u>3,320,504</u>	<u>43.8%</u>	<u>1,925,536</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**FLEET REPLACEMENT FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Vehicle Rental Charges	2,228,805	742,935	-	1,485,870	33.3%	715,406
Investment Income	122,300	41,741	-	80,559	34.1%	46,593
Rents & Concessions	233,978	77,993	-	155,985	33.3%	74,800
Miscellaneous	173,118	29,532	-	143,586	17.1%	15,410
<b>TOTAL REVENUES</b>	<u>2,758,201</u>	<u>892,201</u>	<u>-</u>	<u>1,866,000</u>	<u>32.3%</u>	<u>852,209</u>
<b>EXPENSES</b>						
Salaries & Benefits	193,629	64,604	-	129,025	33.4%	65,063
Materials, Supplies & Services	3,061	727	-	2,334	23.8%	570
Special Projects	1,161,400	9,457	40,467	1,111,477	4.3%	-
Capitalized Fixed Assets	3,776,901	623,108	510,125	2,643,668	30.0%	48,168
<b>TOTAL EXPENSES</b>	<u>5,134,991</u>	<u>697,895</u>	<u>550,591</u>	<u>3,886,504</u>	<u>24.3%</u>	<u>113,800</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**FLEET MAINTENANCE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Vehicle Maintenance Charges	2,463,432	821,144	-	1,642,288	33.3%	790,639
Reimbursements	10,000	3,333	-	6,667	33.3%	3,333
Miscellaneous	103,070	55,697	-	47,373	54.0%	25,872
<b>TOTAL REVENUES</b>	<u>2,576,502</u>	<u>880,175</u>	<u>-</u>	<u>1,696,327</u>	<u>34.2%</u>	<u>819,845</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,280,067	422,012	-	858,055	33.0%	428,711
Materials, Supplies & Services	1,181,623	317,389	186,424	677,810	42.6%	324,380
Special Projects	64,663	7,048	43,017	14,598	77.4%	10,092
Debt Service	43,070	14,356	-	28,714	33.3%	-
Equipment	15,800	9,990	-	5,810	63.2%	190
Capitalized Fixed Assets	48,623	-	-	48,623	0.0%	-
Appropriated Reserve	16,893	-	-	16,893	0.0%	-
<b>TOTAL EXPENSES</b>	<u>2,650,738</u>	<u>770,795</u>	<u>229,441</u>	<u>1,650,502</u>	<u>37.7%</u>	<u>763,372</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**SELF INSURANCE TRUST FUND**

	<b>** Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Insurance Premiums	2,756,112	918,704	-	1,837,408	33.3%	866,008
Workers' Compensation Premiums	2,950,702	983,567	-	1,967,135	33.3%	866,667
OSH Charges	193,833	64,611	-	129,222	33.3%	-
Investment Income	60,300	15,167	-	45,133	25.2%	22,647
Miscellaneous	-	3,572	-	(3,572)	100.0%	322
Accel - Return of Premium	-	-	-	-	100.0%	600,000
<b>TOTAL REVENUES</b>	<b>5,960,947</b>	<b>1,985,622</b>	<b>-</b>	<b>3,975,325</b>	<b>33.3%</b>	<b>2,355,644</b>
<b>EXPENSES</b>						
Salaries & Benefits	538,662	172,666	-	365,996	32.1%	169,887
Materials, Supplies & Services	5,483,985	1,868,621	329,438	3,285,926	40.1%	1,574,337
Special Projects	100	-	-	100	0.0%	-
Transfers-Out	-	-	-	-	100.0%	118,669
<b>TOTAL EXPENSES</b>	<b>6,022,747</b>	<b>2,041,288</b>	<b>329,438</b>	<b>3,652,022</b>	<b>39.4%</b>	<b>1,862,893</b>

*\*\* The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**INFORMATION SYSTEMS ICS FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	2,514,997	838,332	-	1,676,665	33.3%	786,026
Miscellaneous	-	-	-	-	100.0%	1,138
<b>TOTAL REVENUES</b>	<u>2,514,997</u>	<u>838,332</u>	<u>-</u>	<u>1,676,665</u>	<u>33.3%</u>	<u>787,163</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,701,218	550,315	-	1,150,903	32.3%	545,529
Materials, Supplies & Services	874,806	242,700	119,547	512,559	41.4%	185,393
Special Projects	18,500	-	2,500	16,000	13.5%	1,024
Capital Outlay Transfers	212,000	70,667	-	141,333	33.3%	-
Equipment	23,484	18,689	8,180	(3,385)	114.4%	10,656
Capitalized Fixed Assets	123,000	121,345	-	1,655	98.7%	-
Appropriated Reserve	12,586	-	-	12,586	0.0%	-
<b>TOTAL EXPENSES</b>	<u>2,965,594</u>	<u>1,003,716</u>	<u>130,227</u>	<u>1,831,651</u>	<u>38.2%</u>	<u>742,602</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Four Months Ended October 31, 2013 (33.3% of Fiscal Year)**

**WATERFRONT FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Leases - Commercial	1,373,772	608,539	-	765,233	44.3%	640,648
Leases - Food Service	2,641,800	1,166,866	-	1,474,934	44.2%	1,115,245
Slip Rental Fees	4,122,293	1,378,674	-	2,743,619	33.4%	1,356,181
Visitors Fees	450,000	168,096	-	281,904	37.4%	178,231
Slip Transfer Fees	525,000	188,200	-	336,800	35.8%	178,300
Parking Revenue	2,009,800	1,000,035	-	1,009,765	49.8%	857,629
Wharf Parking	250,000	100,346	-	149,654	40.1%	100,712
Other Fees & Charges	236,435	91,345	-	145,090	38.6%	85,643
Investment Income	137,700	59,881	-	77,819	43.5%	65,995
Rents & Concessions	306,267	119,103	-	187,164	38.9%	118,516
Miscellaneous	392,000	64,223	-	327,777	16.4%	90,959
<b>TOTAL REVENUES</b>	<u>12,445,067</u>	<u>4,945,308</u>	<u>-</u>	<u>7,499,759</u>	<u>39.7%</u>	<u>4,788,058</u>
<b>EXPENSES</b>						
Salaries & Benefits	5,866,308	1,978,776	-	3,887,532	33.7%	2,002,420
Materials, Supplies & Services	3,906,708	1,160,185	811,652	1,934,872	50.5%	1,103,359
Special Projects	150,438	29,148	2,995	118,295	21.4%	66,328
Debt Service	1,843,880	1,300,999	-	542,881	70.6%	1,226,865
Capital Outlay Transfers	1,544,155	514,718	-	1,029,437	33.3%	513,659
Equipment	107,500	7,347	3,407	96,746	10.0%	53,907
Capitalized Fixed Assets	40,000	6,973	33,027	-	100.0%	-
Other	-	1,000	-	(1,000)	100.0%	1,000
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>13,558,989</u>	<u>4,999,145</u>	<u>851,080</u>	<u>7,708,763</u>	<u>43.1%</u>	<u>4,967,539</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013  
**TO:** Mayor and Council members  
**FROM:** Risk Management Division, Finance Department  
**SUBJECT:** Self Insured Workers' Compensation Program Annual Report

### RECOMMENDATION:

That Council receive the Self Insured Workers' Compensation Program Annual Report for the year ended June 30, 2013.

### DISCUSSION:

California Labor Code Section 3702.6(b) requires staff to advise Council annually about two items relating to the City's self-insured workers' compensation program: (1) the value of the total accrued claim liabilities reported by the City on the State's Self Insurers Annual Report; and (2) whether current accounting and financial reporting of those liabilities is in compliance with the requirements of Government Accounting Standards Board (GASB) Statement 10.

The California Department of Industrial Relations requires all self-insured public agencies to submit an annual report before October 1<sup>st</sup> that identifies the workers' compensation liabilities as of the prior fiscal year-end. Risk Management staff submitted the Fiscal Year 2013 annual report on September 12, 2013. The City's report listed 181 open indemnity claims with total liabilities of \$6,097,108, consisting of \$1,746,998 for indemnity (disability payments) and \$4,350,110 for medical payments.

The City accounts for its risk management operations in a separate Internal Service Fund. Every two years, the City contracts with a risk management actuarial firm to prepare an actuarial valuation of the accrued liabilities in the City's self-insured workers' compensation program. The City uses the results of this actuarial valuation as well as claims information from our third party administrator (claims adjuster) to report the workers' compensation accrued liabilities in both the City's Comprehensive Annual Financial Report ("CAFR") and the State's required annual report.

GASB Statement 10 established accounting and financial reporting standards for all City claims, including workers' compensation claims. GASB Statement 10 requires governments to recognize a claim as an expense and liability if both of the following conditions are met:

1. Information available indicates that it is probable that a liability has been incurred; and,
2. The amount of the loss can be reasonably estimated.

In addition, it requires certain disclosures in the footnotes to the financial statements. All of the City's workers' compensation claims have been accounted for and reported in accordance with GASB Statement 10.

### **Funded Status of Reserves**

Until recently, the reserve requirements and related accrued liabilities for the Worker's Compensation Program have been fully funded. In fact, the program was overfunded by as much as \$4 million, which allowed for rebates to the General Fund and Enterprise Funds over the last several years.

However, the actuarial report issued in November 2012 recommends increasing the workers' compensation program reserves by \$3,026,705 to a total of \$7.1 million. This correspondingly increases the accrued liabilities recorded in the Self-Insurance Fund and reported in the City's CAFR. As a result, the workers' compensation program reserves are currently under funded by approximately \$3 million.

The actuary identified the reasons for the reserve change as a combination of a cumulative increase in the individual claim reserves for two separate fiscal years (2009 and 2011) plus an increase in claim reserves since the previous study was completed.

Funding the increase in the workers' compensation reserves would require the City to increase workers' compensation premiums charged to all operating funds and departments, including the General Fund. Staff chose not to increase workers' compensation premiums in the recently adopted Fiscal Year 2014 and 2015 Financial Plan since this increase in required reserves may resolve itself over the next few years as the two bad years (2009 and 2011) become less of a factor and the City's actual claims results return to historical levels. The next actuarial study will be completed next summer, which will give staff a chance to re-evaluate premiums currently being charged to departments and funds in the context of the updated required reserves.

## **Summary**

The City has met its obligation to file the State's annually required report for public agencies that self-insure for workers' compensation. With this report, the City has also met the State law requirement to report the program liabilities to the City Council. A more complete description of the City's self-insured workers' compensation program can be found in the City's CAFR for the fiscal year ended June 30, 2013.

Staff will present the information contained in this report to the Finance Committee on December 17, 2013 at 12:30 p.m. in the David Gephardt Room.

**PREPARED BY:** Mark W. Howard, Risk Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Treasury Division, Finance Department

**SUBJECT:** Professional Services Agreements For Cable And Natural Gas Franchise Fee And Utility Users Tax (UUT) Audit Services

### **RECOMMENDATION:**

That Council authorize the Finance Director to execute professional services agreements with MuniServices, LLC, in a form acceptable to the City Attorney, to conduct audits of Franchise Fee and Utility Users Tax collections of Cox Communications and the Southern California Gas Company.

### **DISCUSSION:**

For many years, the City of Santa Barbara has contracted with MuniServices, LLC for a broad range of services including revenue recovery services for sales tax and business licenses, utility users tax (UUT) auditing services, including discovery and compliance services, legal guidance, legislative advocacy, and UUT revenue forecasts.

MuniServices is experienced in franchise fee and UUT audits of utility companies and has proposed a scope of work that would evaluate the accuracy of the reporting and remittance of payments to the City under the approved franchise fee agreements. This year, staff is recommending the audit of two utility companies, Cox Communications and Southern California Gas Company.

The audits of these companies will cover each provider's billing, tax calculation, and remittance process. It will include an extensive analysis of billing data at the individual customer level to determine that the proper amounts of franchise fees and utility users taxes have been levied, collected and remitted to the City for customers located within the City limits.

The fees associated with the proposed agreement with MuniServices will be on a contingency basis, which means that the City will only pay fees to the extent that MuniServices is successful in identifying and generating new revenues. The contingency fee under the proposed agreements is 25% of the additional revenue collected for deficiencies identified by MuniServices for current and prior periods. This

type of fee structure is similar to the existing agreement between the City and MuniServices for sales tax audits.

**BUDGET/FINANCIAL INFORMATION:**

No additional budget appropriations are necessary and the costs for these services will be offset by any revenues identified and collected as a result of the audits.

**PREPARED BY:** Genie Wilson, Treasury Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Engineering Division, Public Works Department

**SUBJECT:** Contract For Construction For Community Development Block Grant 2013-14 Sidewalk Access Ramp Project

**RECOMMENDATION:** That Council:

- A. Award a contract with DPM Construction Company in their low bid amount of \$94,960 for construction of the Community Development Block Grant 2013-14 Sidewalk Access Ramp Project, Bid No. 3697; and
- B. Authorize the Public Works Director to execute the contract and approve expenditures of up to \$14,250 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment.

### **DISCUSSION:**

#### PROJECT DESCRIPTION

The work consists of constructing fifteen new sidewalk access ramps at eight intersections throughout the City within the eligible Community Development Block Grant (CDBG) census tracts. These intersections include the following: Corona del Mar Drive at Orilla del Mar Drive; Ninos Drive at Por la Mar Drive; Canon Perdido Street at Nopal Street; Nopal Street between Canon Perdido Street and De la Guerra Street; Quarantina Street between Canon Perdido Street and De la Guerra Street; De la Guerra Street at Salsipuedes Street; Bath Street at Sola Street; and La Cadena Street at Quinientos Street (see Attachment).

The locations chosen for the CDBG 2013-14 Sidewalk Access Ramp Project (Project) were identified on the City's access ramp prioritization list and supported by the Access Advisory Committee (AAC). The City has applied for and received CDBG funding, allowing for the completion of these important pedestrian sidewalk features.

## CONTRACT BIDS

A total of eleven (11) bids were received for the subject work, ranging as follows:

	<b>BIDDER</b>	<b>BID AMOUNT</b>
1.	DPM Construction Company Camarillo, CA	\$94,960.00
2.	G. Sosa Construction, Inc. Orcutt, CA	\$137,230.00
3.	Granite Construction Company Santa Barbara, CA	\$152,056.00
4.	Santa Barbara Plumbing Co., Inc. Carpinteria, CA	\$159,830.00
5.	Mora's Equipment & Construction Pico Rivera, CA	\$161,586.00
6.	Lash Construction, Inc. Santa Barbara, CA	\$166,070.00
7.	Toro Enterprises, Inc. Oxnard, CA	\$166,914.50
8.	Berry General Engineering Ventura, CA	\$186,879.00
9.	V. Lopez Jr. & Sons, Inc. Santa Maria, CA	\$191,392.50*
10.	D. Kal Engineering, Inc. San Luis Obispo, CA	\$205,760.90
11.	Tierra Contracting, Inc. Goleta, CA	\$241,930.00

*\*corrected bid total*

The low bid of \$94,960, submitted by DPM Construction Company, is an acceptable bid that is responsive to and meets the requirements of the bid specifications.

The change order funding recommendation of \$14,250, or fifteen percent, is typical for this type of work and size of the project.

#### COMMUNITY OUTREACH

In November 2012, the AAC concurred with staff's recommendation to apply for CDBG funding for the high priority ramps identified that were eligible for this funding source. After meeting with the AAC, the CDBG ramp application was then presented to and approved for grant submittal by the Neighborhood Advisory Committee. The Community Development and Human Service Committee reviewed the grant application in February 2013, and the Committee recommended that Council approve funding for the Project's construction costs. On March 26, 2013, City Council approved the CDBG funding. The CDBG grant funding received by the City covers construction costs for all 15 recommended ramps.

In January 2014, staff will notify the property owners and residents located near the Project locations of the construction via mailers. Prior to construction, the contractor will be responsible for the final notice via door hangers 72 hours prior to construction.

#### FUNDING

This Project is funded by CDBG and Measure A funds. The CDBG amount of \$109,210 is for construction only. Measure A funding will be used to cover the remaining City staff time. There are sufficient appropriated funds in the CDBG Fund and Streets Fund to cover the cost of this Project.

The following summarizes the expenditures recommended in this report:

#### CONSTRUCTION CONTRACT FUNDING SUMMARY

	<b>Basic Contract</b>	<b>Change Funds</b>	<b>Total</b>
DPM Construction Co.	\$94,960	\$14,250	\$109,210
<b>TOTAL RECOMMENDED AUTHORIZATION</b>			<b>\$109,210</b>

The following summarizes all Project design costs, construction contract funding, and other Project costs:

**ESTIMATED TOTAL PROJECT COST**

*\*Cents have been rounded to the nearest dollar in this table.*

	<b>CDBG Share</b>	<b>City Share</b>	<b>Total</b>
City Staff Costs - Survey	\$0	\$9,045	\$9,045
City Staff Costs - Design	\$0	\$27,895	\$27,895
<b>Subtotal</b>	<b>\$0</b>	<b>\$36,940</b>	<b>\$36,940</b>
Construction Contract	\$94,960	\$0	\$94,960
Construction Change Order Allowance	\$14,250	\$0	\$14,250
<b>Subtotal</b>	<b>\$109,210</b>	<b>\$0</b>	<b>\$109,210</b>
Construction Management/Inspection (by City Staff)	\$0	\$40,000	\$40,000
<b>Subtotal</b>	<b>\$0</b>	<b>\$40,000</b>	<b>\$40,000</b>
<b>TOTAL PROJECT COST</b>	<b>\$109,210</b>	<b>\$76,940</b>	<b>\$186,150</b>

**SUSTAINABILITY IMPACT:**

The Project will improve safety and accessibility for pedestrians within the CDBG eligible census tracts and will contribute to the City's sustainability goals by encouraging more people to walk, reducing energy consumption and air pollution.

**ATTACHMENT(S):** CDBG 2013-14 Sidewalk Access Ramp Project Location Map (2-pages)

**PREPARED BY:** John Ewasiuk, Principal Civil Engineer/AG/sk

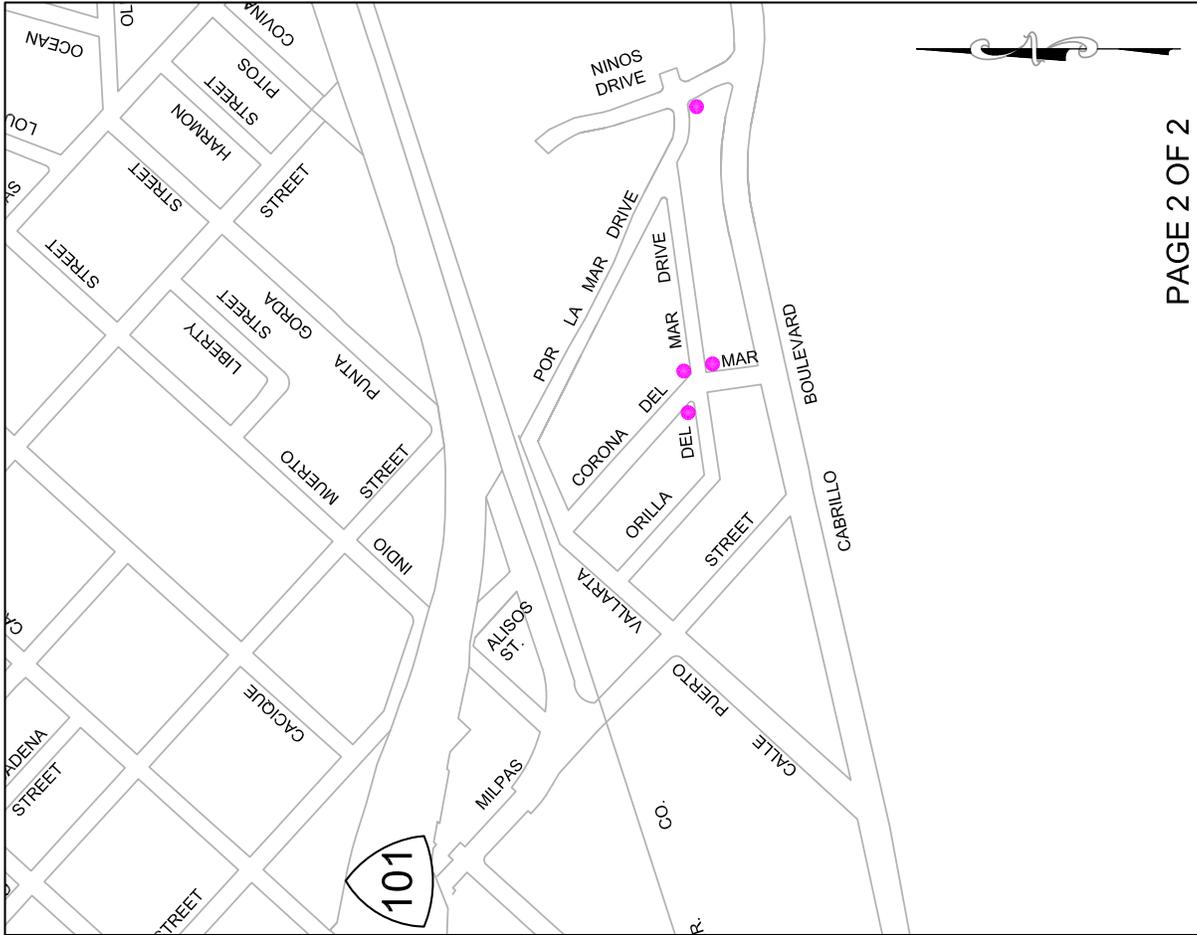
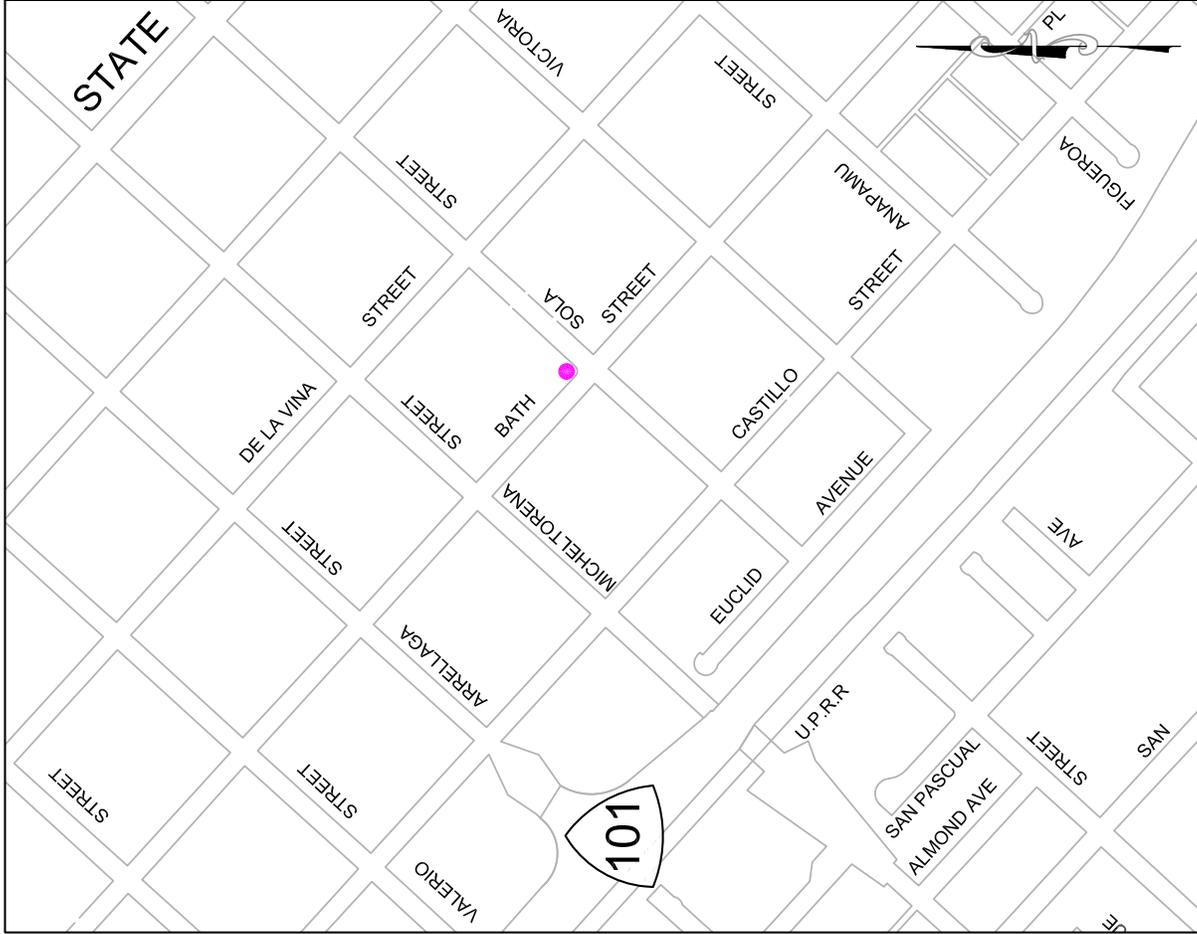
**SUBMITTED BY:** Rebecca Bjork, Acting Public Works Director

**APPROVED BY:** City Administrator's Office



# CDBG 2013-14 SIDEWALK ACCESS RAMP PROJECT PROJECT LOCATION MAP

DESIGN	AG
DRAWN	AG
CHECKED	BD
DATE	11/18/13
N.T.S.	



PAGE 2 OF 2

DESIGN	AG
DRAWN	AG
CHECKED	BD
DATE	11/18/13
N.T.S.	



# CDBG 2013-14 SIDEWALK ACCESS RAMP PROJECT

## PROJECT LOCATION MAP



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Water Resources Division, Public Works Department

**SUBJECT:** Professional Services Agreement For Biosolids System Assessment

### RECOMMENDATION:

That Council authorize the Public Works Director to execute a standard City Professional Services Agreement with Camp, Dresser, McKee, & Smith, Inc., in the amount of \$372,592 for engineering assessment services for the Biosolids Handling System, and authorize the Public Works Director to approve expenditures of up to \$37,259 for extra services that may result from necessary changes in the scope of work.

### DISCUSSION:

The El Estero Wastewater Treatment Plant (El Estero) uses both physical and biological processes to remove solids from the wastewater stream, which results in the generation of a residual commonly referred to as biosolids. Biosolids are comprised of nutrient-rich organic materials that can be recycled and used as fertilizer.

El Estero was constructed in the 1970's, and as a result, some biosolids process assets are coming to the end of their useful life. In addition, several biosolids-related capital improvement projects constructed in prior years have resulted in process changes which have impacted biosolids handling effectiveness. Therefore, the entire El Estero biosolids system has been identified for an engineering assessment, both to gauge its current state of equipment condition and process performance, and to make recommendations for biosolids process capital improvement projects needed in the coming decade.

The City submitted requests for proposals to qualified firms and received two proposals from engineering firms interested in performing this engineering assessment work. Staff interviewed both firms, and Camp, Dresser, McKee, & Smith, Inc., (CDM Smith) was recommended to perform this work.

CDM Smith will evaluate the existing biosolids handling process performance, accounting for process changes that have impacted biosolids handling over the past decades, and will make recommendations for process changes, accounting for desired

process performance. As a part of this assessment work, CDM Smith will coordinate with City staff to take the El Estero biosolids digesters out of service and will inspect the interiors of these large tanks. Recommendations from the assessment study will be used to identify and prioritize future capital improvement projects for future preliminary engineering design contract work.

**BUDGET/FINANCIAL INFORMATION:**

This project was anticipated, and there are adequate appropriated funds in the Wastewater Capital Fund for this professional engineering and scientific assessment work.

**SUSTAINABILITY IMPACT:**

Improvements to the biosolids system infrastructure may allow for future increase in biogas, thereby creating additional opportunities for beneficial reuse of biogas at El Estero. These improvements may also streamline operational activities, resulting in additional monetary savings over current practices.

At its meeting of December 9, 2013, the Board of Water Commissioners voted 5-0-0 to support staff's recommendation.

**PREPARED BY:** Christopher J. Toth, Wastewater System Manager/LA/mh

**SUBMITTED BY:** Rebecca Bjork, Acting Public Works Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Facilities Division, Public Works Department

**SUBJECT:** Renewal Of Electric Vehicle Charging Network Services Agreement

### RECOMMENDATION:

That Council authorize the Public Works Director to negotiate and execute a five-year contract with ChargePoint, Inc., subject to approval of the City Attorney, for continued enrollment in the ChargePoint Network.

### DISCUSSION:

In 2012, the City entered into an agreement with Coulomb Technologies (now ChargePoint, Inc.) to acquire and install eight Electric Vehicle (EV) charging stations. Two stations were installed at the Granada Garage, two at the Library Garage, two at the Helena Street Lot, and two at the Waterfront. ChargePoint, Inc. installed the EV charging stations and offered its network operating software known as "ChargePoint Network" at no cost to the City for one year. The original one year agreement will be terminating on December 31, 2013 and City staff desires to continue using ChargePoint, Inc. as its network service provider for operation of the EV charging stations.

The ChargePoint Network is a financial and energy use information system. It allows users to find open EV charging stations, keep track of usage and, in some instances, it allows for advance reservation of a particular station. Because it is the largest network of its kind, it allows for greater accessibility to EV travelers. The network also allows the City to run reports on energy use and how often the stations are used. As part of this service, ChargePoint, Inc. charges an annual per station fee.

Additionally, the ChargePoint Network provides revenue processing services on the City's behalf through the collection of fees generated while a vehicle is utilizing the charging station. In exchange for providing these revenue processing services ChargePoint, Inc. assesses a per-session processing fee of 10% of the total session fee.

Currently, users pay a charging fee of \$1/hour. Under the terms of the contract, 10% of this fee will be retained by ChargePoint for revenue processing and the remaining 90% (\$0.90/hr of charging) will be remitted to the City. The revenue collected by the City is used to offset energy costs and ChargePoint Network fees. Users of the charging stations are subject to parking fees, where applicable.

Now that the initial subscription is expiring, staff recommends renewal of the City's subscription to the ChargePoint network. Due to a reduced rate for a multi-year subscription, staff recommends entering into a five-year agreement with ChargePoint. The charging stations acquired by the City are proprietary to the ChargePoint system so the City must subscribe to the ChargePoint network services in order to collect revenue. The City could opt not to subscribe to these services and provide electric vehicle charging for free. During this first year of operation, ChargePoint has provided customer service with minimal user complaints and has provided the City with reports, when asked in a timely manner.

**BUDGET/FINANCIAL INFORMATION:**

The annual fees levied by ChargePoint for all eight stations are as follows:

Station	1-year renewal	2-year renewal	3-year renewal	4-year renewal	5-year renewal
Cost per/year	\$1,840	\$1,640	\$1,547	\$1,500	\$1,456
Total	\$1,840	\$3,280	\$4,640	\$6,000	\$7,280

There will be a savings of \$1,920 over five years if the City commits to 5-year service contract with ChargePoint, as shown in the table above.

The City has received \$4,175.38 in revenue since the start of the charging program in August of 2012. Staff anticipates that the energy and services costs will be offset by the revenue received from station use, and expects to see increasing use of the stations.

Six of the charging stations are managed by the City's Downtown Parking Program and two charging stations are managed by the Waterfront Department. There are sufficient funds in each department's budget for the annual fees.

**SUSTAINABILITY IMPACT:**

Electric vehicles use electricity from California's electrical grid. As the percentage of renewable electrical energy increases in California, more of the transportation sector emissions will use renewable energy, reducing greenhouse gas emissions. There have been 2,901 charging sessions since the stations launched in April of 2012, which have resulted in an estimated savings of 7,366 kg of greenhouse gas emissions and 2,201 gallons of gasoline.

**PREPARED BY:** Jim Dewey, Facilities & Energy Manager/AP/mh

**SUBMITTED BY:** Rebecca Bjork, Acting Public Works Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Airport Administration, Airport Department

**SUBJECT:** Contract With InterVISTAS Consulting LLC For Air Service Development

### RECOMMENDATION:

That Council authorize the Airport Director to execute a contract, subject to approval as to form by the City Attorney, with InterVISTAS Consulting LLC for specialized air service development support for the Santa Barbara Airport in an amount not to exceed \$40,000.

### DISCUSSION:

#### Background

Since 1979 the Airport has contracted with three firms for specialized air carrier development services to assist the Airport in meeting its strategic goals of maintaining existing service and attracting new domestic service. The current contract with *InterVISTAS* has expired and based on interest from other companies to compete for this service, staff solicited Requests for Qualifications (RFQ) from experienced firms providing air service development consulting services.

#### Solicitation Process

The RFQ was distributed to known consultants and was also listed on the American Association of Airport Executives website. Due to the competitive nature of air service development, and as is typical in the industry when seeking this kind of service to airports, the successful proposer and its sub-consultants were prohibited from providing any directly related services to any airport located within 110 miles of Santa Barbara.

The scope of services outlined consultant support for the Airport to implement an effective, comprehensive passenger development program to maintain and improve domestic air service, including:

- Obtaining economic and transportation data;
- Evaluating specific passenger routes and services at SBA and recommending strategic action to address deficiencies and competitive opportunities;

- Preparing merger analyses and market analyses related to air carrier or market changes which impact SBA and identifying business strategies to respond to rapidly changing industry conditions;
- Providing in-depth analysis of the Santa Barbara market and preparing a detailed plan to reach local target markets to stimulate outbound travel from Santa Barbara;
- Assisting the Airport in scheduling and facilitating meetings at the highest levels with domestic air carriers regarding potential new and/or improved air service routes, including developing detailed written proposals and professional presentations; and
- Preparing additional market analyses and conducting research, as needed, related to airport business and operational issues.

Due to the restriction on competitive airport services, two RFQs were received and both companies, InterVISTAS Consulting, LLC and Campbell-Hill aviation Group, LLC, were selected for interviews by Airport Department staff.

The proposals were evaluated based on the experience and qualifications of the consultant's team, the approach to the project scope of services, the project manager's experience and references

As a result of the interviews and reference check, staff is recommending that InterVISTAS continue to provide air service consulting services for the Department. The project manager has 15 years of airline related experience in commercial and financial roles, including American Airlines, Continental Airlines, and Express Jet Airlines. He works closely with airline corporate executives and keeps pace with trends in the airline industry. InterVISTAS' 80 employees have experience in a wide range of applicable fields, including airports, airlines, government, academia, and tourism.

#### **BUDGET/FINANCIAL INFORMATION:**

The work under the contract will be at the direction of the Airport Director and will be charged on a time and materials basis, not to exceed \$40,000. Funding for the contract was established in the Airport Department's Fiscal Year 2014 Operating Fund.

**PREPARED BY:** Hazel Johns, Assistant Airport Director

**SUBMITTED BY:** Karen Ramsdell, Airport Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Administration Division, Parks and Recreation Department

**SUBJECT:** Creeks Fund Transfer To Golf Fund For Obligations Related To Restoration Project

**RECOMMENDATION:** That Council:

- A. Approve a transfer of \$27,400 from the Creeks Capital Fund to the Golf Capital Fund for obligations incurred related to the Upper Las Positas Creek Restoration and Storm Water Management Project; and
- B. Increase appropriations and estimated revenues in the Fiscal Year 2014 Golf Capital Fund, Golf Club Infrastructure Renewal Project, in the amount of \$27,400, bringing the project funding total to \$97,400.

### **DISCUSSION:**

The Creeks Division completed the Upper Las Positas Creek Restoration and Storm Water Management Project at the Santa Barbara Golf Club in 2010. The primary purpose of the project is to detain and treat storm water and incidental runoff at the Santa Barbara Golf Club in order to improve water quality downstream in Las Positas Creek, the Arroyo Burro Estuary, and Arroyo Burro Beach. The secondary purpose is to reduce peak storm flows in order to facilitate the installation of downstream creek restoration and/or water quality treatment projects.

Over the course of the project, heavy construction traffic damaged the golf course asphalt service roads. The Creeks Division agreed to fund \$25,000 towards the cost to repair the service roads. Additionally, the Golf Course agreed to store a quantity of rock from this and other projects undertaken by the Creeks Division at the rear of the driving range, at a rate of \$100 per month for four years. Half of the \$4,800 was paid to the Golf Course in Fiscal Year 2013. The remaining \$2,400 will complete the rental service fee for the four-year commitment which concludes in 2015. This brings the total transfer from the Creeks Fund to \$27,400.

The Golf Course will be repairing the asphalt service roads in January 2014 as part of the Fiscal Year 2014 Capital Program, Golf Club Infrastructure Renewal project. The Creeks funding will supplement existing Golf capital funds planned for repairs to the asphalt service roads.

**BUDGET/FINANCIAL INFORMATION:**

Currently, \$70,000 is budgeted in the Fiscal Year 2014 Golf Capital Fund for the Golf Club Infrastructure Renewal Project. The Creeks transfer of \$27,400 will bring that project total to \$97,400. The asphalt maintenance project will go out to bid in January 2014. The remaining project funds will be spent on roof repairs to golf course buildings. The \$27,400 is budgeted in the Creeks Division Capital Fund.

**PREPARED BY:** Mark Sewell, Parks and Recreation Business Analyst

**SUBMITTED BY:** Nancy L. Rapp, Parks and Recreation Director

**APPROVED BY:** City Administrator's Office



## CITY OF SANTA BARBARA

### COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013  
**TO:** Mayor and Councilmembers  
**FROM:** Administration Division, Parks and Recreation Department  
**SUBJECT:** One-Year Rental Agreement For Skofield House

#### **RECOMMENDATION:**

That Council authorize the Parks and Recreation Director to enter into a one-year rental agreement with Troy Scott, for the Skofield Park House at Skofield Park, with a monthly rent of \$2,400, commencing January 1, 2014, and ending December 31, 2014.

#### **DISCUSSION:**

When the Skofield Park property was donated to the City for a community park, a residence was included. Since then, Parks employees have lived in the residence in exchange for varying responsibilities related to care and maintenance of the park. With the retirement of long-time parks employee and most recent tenant of the house in December 2012, the Parks and Recreation Department determined that rental of the house to a member of the public would provide valuable income to support park maintenance and park safety needs. Duties performed by the former parks employee were transferred to other Parks personnel, and there was no reduction in service at the park.

With park safety an increasing challenge throughout the City's park system, and the highest priority for the Parks and Recreation Department in developing its Fiscal Year 2014-2015 budget, revenue from the rental of the house was proposed to offset a portion of the cost to add an additional Park Ranger position. The budget was unanimously supported by the Parks and Recreation Commission and later adopted by the City Council. Following an extensive recruitment process, the new Park Ranger will begin work later this month, bringing the total number of Park Rangers to three, to oversee 43 parks, 11 sports facilities and 15 recreation and community center facilities.

The Parks and Recreation Department recently completed extensive renovations to the house. In September, the Department advertised the house for rent, interviewed and selected a tenant, Mr. Troy Scott, for the house. Mr. Scott will be responsible to pay monthly rent of \$2,400 and maintain the yard area around the house. The lease agreement requires the tenant to abide by all park rules and operating hours. The terms of the agreement are a one-year lease with the option to terminate with 30 days' notice.

### Skofield Park Neighbor Concerns

In October, the Department was contacted by several Skofield Park neighbors expressing concerns about the residence no longer housing a Parks employee. Staff invited neighbors to a meeting where a number of concerns were discussed; including afterhours park use, park maintenance, fire safety, and illegal camping. Staff from Parks and Recreation, Fire, and Police departments was on hand to respond to concerns. From residents' comments, it was clear that there were many misperceptions about the role and responsibilities of the former parks employee who lived in the residence. While sensitive to neighbor concerns, staff noted that unfortunately, most of the problems cited occur on a routine and far more regular basis in most City parks. Overall, the meeting provided a valuable opportunity to address neighbor concerns, review park maintenance and safety issues, and discuss how the Department relies on park users and neighbors throughout the City to contact Police, Fire, or Parks, since City staff cannot be present full-time.

### Parks and Recreation Recommendation

A Special Meeting of the Parks and Recreation Commission was held on December 4, 2013, to hear and consider concerns related to Skofield Park. While sensitive to neighbors' concerns for how this change might impact the park, the Commission noted the difficult challenges faced by the Department related to safety in the City's park system, and voted unanimously to recommend that City Council move forward with approval of the lease.

### **BUDGET/FINANCIAL INFORMATION:**

The rent for the Skofield Park house is \$2,400 per month. The \$28,000 in annual revenue will pay for almost half of the cost to add another Park Ranger to the Department, providing extra patrols in parks. There is no fiscal impact to the Department budget for maintenance of this residence.

**PREPARED BY:** Jill E. Zachary, Assistant Parks and Recreation Director

**SUBMITTED BY:** Nancy L. Rapp, Parks and Recreation Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Recreation Division, Parks and Recreation Department

**SUBJECT:** Parks And Recreation Community Foundation Contributions For Neighborhood And Outreach Services Programs

**RECOMMENDATION:** That Council:

- A. Accept a contribution from the Parks and Recreation Community (PARC) Foundation in the amount of \$16,500 for Parks and Recreation Department programs;
- B. Increase appropriations and estimated revenues in the Fiscal Year 2014 Parks and Recreation Department General Fund in the amount of \$8,500; and
- C. Increase appropriations and estimated revenues in the Fiscal Year 2014 Parks and Recreation Department Miscellaneous Grants Fund in the amount of \$8,000.

### **DISCUSSION:**

The Parks and Recreation Department received \$16,500 in donations from the PARC Foundation in support of the Santa Barbara Health Fair and Family Day, Healthy Options for Teens Culinary Arts Program, and Volunteer Income Tax Assistance Program (VITA).

#### Santa Barbara Health Fair and Family Fun Day

This event, hosted on September 14, 2013, at Santa Barbara Junior High, was organized to provide low-income, underserved children and families on the Eastside with information about health, wellness, and recreation resources. Over 75 volunteers helped provide health screenings and information to over 250 individuals, who also received a free lunch. Raffle prizes were also provided to encourage event attendance.

Funds in the amount of \$8,500 spent out of the Parks and Recreation Department General Fund will be reimbursed through donations provided by Cottage Health System, Santa Barbara Fire Fighters Association, Hutton Parker Foundation, Orfalea Foundation, Santa Barbara Foundation, Union Bank, Cen-Cal, Santa Barbara County Fire, United Way of Santa Barbara County, and Santa Barbara Rotary.

### Culinary Arts Program

During a 16-week program, Healthy Options for Teens teaches participants a variety of cooking skills, healthy recipes, and culinary practices to begin exploring what it is like to work in a commercial kitchen. The program is held at the Westside and Franklin Neighborhood Centers, and taught by professional chefs and caterers. The \$7,000 will be used to fund instruction, supplies, and other program expenses to ensure successful program implementation.

### VITA/TCE Tax Program

The IRS Volunteer Income Tax Assistance (VITA) and Tax Counseling for the Elderly (TCE) Programs offer free tax help to people who make \$50,000 or less and need assistance preparing their tax returns. This program also provides priority assistance to those 60 years or older, who have questions and issues unique to seniors. The \$1,000 donation from the IRS will fund the site volunteer coordinator. Additionally, the IRS loans the City computers to implement the program.

The Department thanks the funders and PARC Foundation for their continued program support.

### **BUDGET/FINANCIAL INFORMATION:**

Contributions from the PARC Foundation will provide funds in the amount of \$8,500 to the Parks and Recreation Department General Fund to support Health Fair event expenses. Funds in the amount of \$8,000 will be provided to the Parks and Recreation Department Miscellaneous Grants Fund to support the Healthy Options for Teens and VITA programs.

**PREPARED BY:** Mark Alvarado, Neighborhood and Outreach Services Senior Supervisor

**SUBMITTED BY:** Nancy L. Rapp, Parks and Recreation Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** City Attorney's Office

**SUBJECT:** Records Destruction For City Attorney's Office

### **RECOMMENDATION:**

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the City Attorney's Office.

### **DISCUSSION:**

The City Council adopted Resolution No. 12-008 on February 14, 2012, approving the City of Santa Barbara Records Management Policies and Procedures Manual. The Manual contains the records retention and disposition schedules for all City departments. The schedules are a comprehensive listing of records created or maintained by the City, the length of time each record should be retained, and the legal retention authority. If no legal retention authority is cited, the retention period is based on standard records management practice.

The City Attorney's office has recently completed a comprehensive review of its old closed files particularly those relating to litigation which was resolved years ago. In addition, the Office has reviewed its closed and older departmental "subject" files which typically relate to requests for legal advice or assistance concerning various projects and contracts negotiated by each of the Departments over the years. For the most part, these departmental "subject" files only contain duplicate copies of original documents maintained within the City departmental file or by the City Clerk's office. Under the requirements of the state Government Code for the retention of public records, duplicate copies of original records are not required to be preserved for any minimum period of time. Otherwise, all other files to be destroyed have been retained for the required state law minimum two year period of time. The City Attorney has reviewed the detailed lists of files to be destroyed and concurs in the recommendation for destruction.

The City Attorney requests the City Council to approve the destruction of the City Attorney's office records listed on Exhibit A of the resolution without retaining a copy.

**SUSTAINABILITY IMPACT:**

Under the City's Sustainable Santa Barbara Program, one of the City's goals is to increase recycling efforts and divert waste from landfills. The Citywide Records Management Program outlines that records approved for destruction be recycled, reducing paper waste.

**SUBMITTED BY:** Stephen P. Wiley, City Attorney

**APPROVED BY:** City Administrator's Office

RESOLUTION NO.

A RESOLUTION OF THE COUNCIL OF THE CITY OF  
SANTA BARBARA RELATING TO THE DESTRUCTION OF  
RECORDS HELD BY THE CITY ATTORNEY'S OFFICE.

WHEREAS, the City Council adopted Resolution No. 12-008 on February 14, 2012, approving the City of Santa Barbara Records Management Policies and Procedures Manual;

WHEREAS, the City of Santa Barbara Records Management Policies and Procedures Manual contains the records retention and disposition schedules for all City departments. The records retention and disposition schedules are a comprehensive listing of records created or maintained by the City, the length of time each record should be retained, and the legal retention authority. If no legal retention authority is cited, the retention period is based on standard records management practice;

WHEREAS, Government Code section 34090 provides that, with the approval of the City Council and the written consent of the City Attorney, the head of a City department may destroy certain city records, documents, instruments, books or papers under the Department Head's charge, without making a copy, if the records are no longer needed;

WHEREAS, the Records do not include any records affecting title to City real property and water division records or liens upon real property, original court records, records required to be kept by statute, records less than two years old, video or audio recordings that are evidence in any pending claim or pending litigation, or the minutes, ordinances or resolutions of the City Council or any City board or commission;

WHEREAS, the City Clerk Services Manager agrees that the proposed destruction conforms to the City's retention and disposition schedules;

WHEREAS, the City Attorney has reviewed each of the files and consents to the destruction of the Records; and

WHEREAS, the City Council of the City of Santa Barbara finds and determines that the Records are no longer required and may be destroyed.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA that the City Attorney, or his designated representative, is authorized and directed to destroy the Records without retaining a copy.

## CITY ATTORNEY'S OFFICE

<u>Records Series</u>	<u>Date(s)</u>
Litigation	1985-2009
Pre-lit	
Tort Litigation	
Land Use Litigation	
Code Enforcement	1985-2009
Litigation	
Non-Litigation	
Departmental General Subject Files	1985-2009
Airport	
City Administrator	
City Attorney	
City Clerk	
Community Development	
Finance	
Fire	
Miscellaneous	
Redevelopment	
Risk Management	
Personnel	
Parks & Recreation	
Police	
Public Works	
Waterfront	



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Mayor and Council Ad Hoc Recruitment Committee

**SUBJECT:** Amendment To The Position And Salary Control Resolution

### **RECOMMENDATION:**

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Amending Resolution No. 13-050, the Position and Salary Control Resolution for Fiscal Year 2014, affecting the City Attorney's Office, effective December 28, 2013.

### **DISCUSSION:**

The City Attorney recruitment process is currently underway and is expected to be finished by March 2014 with the hiring of a new City Attorney. In the meantime, an Interim City Attorney is needed after Stephen Wiley retires at the end of December 2013. The Position and Salary Control Resolution does not include an Interim City Attorney position; therefore, this position is to be created at \$7,109.44 biweekly and is proposed to be deleted when vacant.

### **BUDGET/FINANCIAL INFORMATION:**

The cost of this position will be accommodated within the City Attorney Department budget without the need for an additional appropriation.

**PREPARED BY:** Helene Schneider, Mayor

**SUBMITTED BY:** Marcelo A. Lopez, Assistant City Administrator

**APPROVED BY:** City Administrator's Office

RESOLUTION NO. \_\_\_\_\_

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING RESOLUTION NO. 13-050, THE POSITION AND SALARY CONTROL RESOLUTION FOR FISCAL YEAR 2014, AFFECTING THE CITY ATTORNEY'S OFFICE EFFECTIVE DECEMBER 28, 2013.

BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA THAT Resolution No. 13-050, the Position and Salary Control Resolution for Fiscal Year 2014, is hereby amended as follows:

<u>Classification Title</u>	<u>FLSA</u>	<u>Service Status</u>	<u>Unit</u>	<u>Range</u>	<u>Biweekly Salary</u>
Interim City Attorney	E	U	M	A	\$7,109.44



# SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA

## SUCCESSOR AGENCY AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Successor Agency Members

**FROM:** Successor Agency Staff

**SUBJECT:** Appropriation Of Unencumbered Redevelopment Agency Bond Proceeds

**RECOMMENDATION:** That the Successor Agency:

- A. Approve an increase in appropriations in the total amount of \$2,530,000 and an increase in estimated revenues by \$411,129 in the 2001 RDA Bond Fund for the Bath Street Pocket Park Project (\$250,000) and the Police Department 911 Call Center Project (\$2,280,000), of which \$2,118,871 will be funded from unspent 2001 bond proceeds and \$411,129 will be funded from a transfer from the 2003 RDA Bond Fund; and
- B. Approve an increase appropriations by \$11,778,155 in the 2003 RDA Bond Fund to fund the Cabrillo Bath House and Pavilion Renovation Project (\$9,117,026), the West Downtown Lighting Project - Phases II and III (\$1,300,000), the Ensemble Theater/Victoria Hall Project (\$950,000); and to partially fund the Police Department 911 Call Center Project through a transfer of \$411,129 to the 2001 RDA Bond Fund, with all \$11,778,155 to be funded from unspent 2003 bond proceeds.

### DISCUSSION:

As part of the state mandated process to dissolve redevelopment agencies (RDAs), once a local successor agency receives a finding of completion from the State Department of Finance, the successor agency may utilize unencumbered proceeds derived from bonds issued prior to January 1, 2011 for purposes for which the bonds were sold and in a manner consistent with the bond covenants. The total amount of bond proceeds remaining for Santa Barbara's RDA is \$13.9 million.

On April 26, 2013, the Successor Agency to the former Redevelopment Agency of the City of Santa Barbara (Successor Agency) received its finding of completion after having complied with all of the requirements of the dissolution process.

On July 23, 2013, the Successor Agency recommended that five capital projects be funded with the remaining unencumbered Redevelopment Agency Bond proceeds and requested that they be reviewed and approved by the Oversight Board. See the attached agenda report for descriptions of each project. The recommended projects are as follows:

• Bath Street Pocket Park	\$ 250,000
• Cabrillo Bathhouse and Pavilion	9,117,026
• Police Department 911 Call Center	2,280,000
• West Downtown Lighting Phases II and III	1,300,000
• Ensemble Theatre	<u>950,000</u>
	<u>\$13,897,026</u>

Anticipated expenditures on these five projects were included on the Successor Agency's Recognized Obligation Payment Schedule for the period of January 1, 2014 through June 30, 2014 (ROPS 13-14B). ROPS 13-14B was approved by the Oversight Board on September 11, 2013 and by the Department of Finance on November 13, 2013.

The ROPS-approved 6-month expenditures include the following amounts for each project:

• Bath Street Pocket Park:	\$247,760
• The Cabrillo Bathhouse and Pavilion:	\$1,087,971
• Police Department 911 Call Center:	\$2,280,000
• West Downtown Lighting Phases II and III:	\$1,300,000

The remaining project costs will be scheduled for expenditure on future ROPS as the projects progress and the costs are incurred.

#### **BUDGET/FINANCIAL INFORMATION:**

Proceeds from the 2003 RDA Bond Fund will fund the Cabrillo Bathhouse and Pavilion Project, West Downtown Lighting Phases II and III, Ensemble Theater/Victoria Hall, and a portion (\$411,129) of the 911 Call Center Project. Proceeds from the 2001 RDA Bond Fund will fund the Bath Street Pocket Park Project and the balance (\$1,868,871) of the 911 Call Center Project.

**ATTACHMENT:** July 23, 2013 Successor Agency Agenda Report

**SUBMITTED BY:** Sue Gray, Community Development Business  
Manager

**APPROVED BY:** City Administrator's Office



**CITY OF SANTA BARBARA**  
**SUCCESSOR AGENCY**  
**TO THE REDEVELOPMENT AGENCY**  
**OF THE CITY OF SANTA BARBARA**  
**AGENDA REPORT**

**AGENDA DATE:** July 23, 2013

**TO:** Successor Agency Members

**FROM:** City Administrators Office

**SUBJECT:** Proposed Capital Projects To Be Funded By Unencumbered Redevelopment Agency Bond Proceeds

**RECOMMENDATION:**

That the Successor Agency to the Redevelopment Agency of the City of Santa Barbara approve, for purposes of funding recommendations only, the proposed capital projects to be funded with remaining, unencumbered, Redevelopment Agency Bond proceeds and request review and approval by the Oversight Board.

**BACKGROUND:**

As part of the state mandated process to dissolve redevelopment agencies (RDAs), once a local successor agency receives a finding of completion from the State Department of Finance, the successor agency may utilize unencumbered proceeds derived from bonds issued prior to January 1, 2011 for purposes for which the bonds were sold and in a manner consistent with the bond covenants.

This language was added to the legislation as an incentive to encourage successor agencies to comply with the State law for the dissolution of redevelopment agencies, including the timely payment of monies made due to the State and taxing entities through Assembly Bill No. 1484.

On April 26, 2013, the Successor Agency to the former Redevelopment Agency of the City of Santa Barbara received its finding of completion after having complied with all of the requirements of the dissolution process including the transfer of all unencumbered and unobligated assets.

The RDA issued bonds in 2001 and 2003. There remain \$14,072,192 in bond proceeds unspent and unencumbered from those two issuances -- \$2,118,871 from the 2001 Bond, and \$11,953,321 from the 2003 Bond.

The successor Agency is now prepared to submit eligible capital projects to be funded with bond proceeds to the local Oversight Board for their approval. If approved by the Oversight Board, the projects would be separately listed on the next applicable Recognized Obligations Payment Schedule as projects to be funded from unencumbered bond proceeds and submitted to the State Department of Finance for approval.

## **PROPOSED CAPITAL PROJECTS**

To determine eligible capital projects for the remaining bond proceeds, Staff has looked towards the bond covenants at the time the bonds were issued in 2001 (see Attachment 1) and 2003 (see Attachment 2), as well as the existing Redevelopment Agency Five Year Implementation Plan 2010-2014 (see Attachment 3). Projects that were underway at the time of the RDA dissolution are also a priority.

The bond covenants identified existing projects at the time the bonds were issued that were to be completed with the bond proceeds and also stated that the bond proceeds would be used to continue the redevelopment activities within the Redevelopment Project area ("CCRP"). The Redevelopment Agency's Five Year Implementation Plan more clearly outlined priority projects that were to be completed in a five year period using both bond proceeds and tax increment revenue. The full list of projects was not completed due to the dissolution of the RDA.

Staff has determined that the most eligible projects include the following:

**Bath Street Pocket Park** – The proposed pocket park at the corner of Bath and Ortega Streets was identified as part of the Mission Creek Flood Control Project, and includes the installation of a playground for 2-5 year old children among other amenities. The property size is 2,688 square feet. The project was included in the 2001 Bond Measure's Official Statement and the RDA Five Year Implementation Plan. Design and installation are still required. Total project cost is \$250,000.

**Cabrillo Pavilion and Bathhouse Renovation** – The project includes renovation of the 24,500 square foot building which was first constructed in 1926. The scope of work includes renovation of all mechanical, plumbing and electrical systems; building structure stabilization; restoration of the exterior promenade and building facade; exterior accessibility to both levels; interior elevator access; interior renovation of shower/locker facilities, gym, restrooms and kitchen facilities; and renovation of and space planning for a new multi-purpose room; and second floor restroom, kitchen and main room renovation, among other things.

The project is included in the RDA's Five Year Implementation Plan, with an expected cost of \$10,300,000.

Work had begun on the project prior to the dissolution of the Redevelopment Agency, with over \$120,000 expended on building infrastructure technical studies analyzing the facility needs, as well as a business development plan for potential appropriate community oriented uses. The 2001 and 2003 Bonds were sold in order to provide funds to continue redevelopment activities within the Redevelopment Project area. The Cabrillo Pavilion and Bathhouse are contiguous to the CCRP and are in state of severe decline which, if left unattended, will result in a condition of blight.

Staff recommends bond proceeds in the amount of \$9,117,026 towards this project. The remaining amount will need to be developed from General Fund sources or fundraising to complete the project.

**Police Department 911 Call Center** – The project includes the temporary relocation of the 911 dispatch center to the Granada Garage second floor office space. The City was looking to do a complete replacement of the Police Department Headquarters using \$25 million of remaining RDA money to fund a substantial portion of the cost to do so. A seismic analysis called into question the ability to keep the 911 dispatch center fully operational during an earthquake due to its location in the basement of the Police building. Until a resolution for funding a new building can be developed, it became imperative to move the 911 dispatch center to a more seismically secure temporary facility. Planning and design was initiated for such a move prior to the dissolution of the Redevelopment Agency. Over \$1.1 million had been spent on the Police Building planning and design process.

The 2001 and 2003 Bonds were sold in order to provide funds to continue redevelopment activities within the Redevelopment Project area. The Granada Garage facility is within the CCRP and bond proceeds were used to originally construct the facility. This project is included in the five year RDA implementation Plan as part of the police building renovation project. The cost of the project is \$2,280,000. This does not include the \$277,942 in General Fund monies that the City Council authorized for final design in March, 2013.

**West Downtown Lighting Phases II and III** – The project is for installation of streetlights in the Lower West Downtown area. Phase I has been constructed. The remaining two phases of the project only require a small amount of work for final design and then will be ready to be bid out and installed. Over \$750,000 has been spent on designing the first three phases of the project and installation of Phase I prior to the dissolution of the Redevelopment Agency. This project was included in the 2003 bond, and is included in the RDA Five Year Implementation Plan. The cost for completing design and construction is \$1,300,000.

**Ensemble Theater/Victoria Hall** – The Successor Agency, Oversight Board and the California Department of Finance recently approved a modification to the Ensemble Theater grant agreement to assist in the permanent purchase of the property. The revised agreement decreased the amount of the previously approved enforceable

obligation from \$1,000,000 to \$950,000. Bond proceeds were identified as the source of funds for this obligation, as the project is included in the 2003 bond, and in the RDA Five Year Implementation Plan. If Ensemble does not move forward with the use of these monies, they would be available for other eligible projects.

**West Beach Pedestrian Improvement** – This project is completed. However, there is an outstanding retention payment of \$175,166 being held due to a protracted lawsuit with Elevation Engineering. Depending upon the outcome of that lawsuit, this amount will either need to be paid out, or could be used for other eligible bond projects. The project is included in the 2003 Bond, and in the Five Year Implementation Plan.

Funding for these six projects total \$14,072.192.

## **OTHER POTENTIAL PROJECTS**

Other projects available for consideration by the Successor Agency, if they are determined to be a higher priority than those outlined above, include:

*Library Plaza Construction* – The project is for final design and construction of a new to-be-designed Library Plaza. Design work had begun prior to the elimination of the Redevelopment Agency. The design contract was found to be an enforceable obligation by the Oversight Board, so the design firm of Campbell and Campbell will be completing the preliminary design concept in the next six months, and may incorporate ideas of joint use with the Santa Barbara Museum of Art. The funding amount would be to finalize a design and pay for construction. Other possible sources include a joint partnership with the Santa Barbara Museum of Art, and use of General Fund monies as they become available. The project is included in the RDA Five Year Implementation Plan. The total cost is approximately \$1,500,000 excluding improvements and ideas that may come out of the collaboration with the Santa Barbara Museum of Art.

*Plaza De La Guerra* – The project would be for the design and construction of a new Plaza De La Guerra. Some initial design work was completed prior to the elimination of the Redevelopment Agency, but no community consensus has been achieved. The total cost would be approximately \$2,500,000, although the actual amount would depend upon the final design.

*Side Street Sidewalks* – The project is the replacement of deteriorating sidewalks on the cross streets downtown (Haley, Cota, Ortega) from Chapala Street to Santa Barbara Street. Preliminary engineering for this project was completed prior to the dissolution of the Redevelopment Agency. The project is included in the Five Year RDA Implementation Plan. The cost is approximately \$2,025,000.

### **Review by Neighborhood Advisory Council**

On July 10, 2013, the Neighborhood Advisory Council (NAC) reviewed the projects proposed for funding with the bond monies. The NAC concurred with the proposed projects for funding.

### **STAFF RECOMMENDATION**

Staff recommends the following projects be forwarded to the Oversight Board for funding with the remaining bond proceeds:

- Bath Street Pocket Park
- Cabrillo Bathhouse and Pavilion
- Police Department 911 Call Center
- West Downtown Lighting Phases II and III
- Ensemble Theater/Victoria Hall
- West Beach Pedestrian Project Retention

All six projects are long standing priorities of the former Redevelopment Agency that would provide great community benefit and/or are existing obligations of the Successor Agency.

### **NEXT STEPS**

With Successor Agency concurrence, staff will submit these projects to the Oversight Board for consideration. With Oversight Board approval, the projects would then be listed on the next applicable Recognized Obligations Payment Schedule and submitted to the State Department of Finance for approval.

### **BUDGET/FINANCIAL INFORMATION:**

There is no current budget impact. If projects are approved, then the bond proceeds will be used to complete these capital projects. If projects are not approved, they will remain high priority capital projects but will need to be evaluated and funded as part of the City's General Fund capital program.

- ATTACHMENT(S):**
1. Excerpts of 2001 Bond Document
  2. Excerpts of 2003 Bond Document
  3. Redevelopment Agency Five Year Implementation Plan  
2010 - 2014

Successor Agency Agenda Report  
Proposed Capital Projects To Be Funded By Unencumbered Redevelopment Agency  
Bond Proceeds  
July 23, 2013  
Page 6

**PREPARED BY:** Paul Casey, Assistant City Administrator

**SUBMITTED BY:** Paul Casey, Assistant City Administrator

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** City Administrator's Office

**SUBJECT:** Receipt of CalGRIP 2014/2015 Grant Funds

**RECOMMENDATION:** That Council:

- A. Authorize the receipt of a \$500,000 CalGRIP grant from the Board of State and Community Corrections;
- B. Increase Fiscal Year 2014 estimated revenues and appropriations in the Miscellaneous Grants Fund by \$500,000; and
- C. Authorize the City Administrator to enter into agreements to accept and distribute the CalGRIP 2014/2015 grant funds.

**DISCUSSION:**

The City of Santa Barbara, in partnership with the Community Action Commission, Santa Barbara County Probation Department, Dr. Jill Sharkey/University of California Department of Counseling, Clinical and School Psychology, Council on Alcoholism & Drug Abuse, District Attorney Truancy Program, Santa Barbara School County Education Office, and the Parks and Recreation Apprenticeship Program, submitted a competitive grant application to the Board of State and Community Corrections for a 2014/2015 CalGRIP grant. The State approved the City of Santa Barbara's application and awarded \$500,000 for the grant period of January 1, 2014 – December 31, 2015.

This grant will allow south coast regional collaboration efforts to continue with a focus on intervention through case management. The South Coast CalGRIP program will provide a comprehensive strategy of intensive case management, education, paid job internships and substance abuse prevention and treatment. The project will serve 120 young men and women aged 14 to 18 who have been involved in the juvenile justice system or are at risk of such involvement.

The objective of this effort is to achieve an overall reduction in gang involvement while increasing educational goals and outcomes relating to youth involved in gangs or at risk of being involved in a gang. The intervention strategies include re-entry programs, establishing educational goals, providing life skills training, substance abuse counseling,

and family support. All youth will receive intensive case management services and an educational assessment. Some of the youth will be referred to specific programs focused on addressing substance abuse, family support, job skills, and experiential learning, depending on their individual needs.

This grant includes an evaluation component. Project objectives will be evaluated for process as well as program success by Dr. Jill Sharkey and Dr. Merith Cosden of the University of California at Santa Barbara. All process and program data collected by Case Managers and program staff will be transmitted to the evaluation team on a quarterly basis for data management. In addition, the evaluators will implement a quasi-experimental design to understand how rates of youth gang activity and violence for participants of the CalGRIP program compared to two historical comparison groups.

The State's \$500,000 grant is matched with \$500,000 in local non-cash in-kind contributions as shown below.

<b>Agency</b>	<b>State Funds</b>	<b>Match Value</b>
City of Santa Barbara	\$ 26,304	\$ 0
Community Action Commission	\$413,696	\$271,100
University of California Santa Barbara	\$ 50,000	\$50,000
Council on Alcoholism & Drug Abuse	\$ 10,000	\$36,300
CalGRIP Grant Advisory Board	\$ 0	\$77,000
Santa Barbara County Office of Education	\$ 0	\$13,000
Santa Barbara County Probation Department	\$ 0	\$26,300
Santa Barbara County District Attorney's Truancy program	\$ 0	\$26,300
<b>Totals</b>	<b>\$ 500,000</b>	<b>\$ 500,000</b>

**BUDGET/FINANCIAL INFORMATION:**

With Council's approval the City Administrator will enter into agreements with the Board of State and Community Corrections and agencies receiving a portion of the grant.

**ATTACHMENT:** CalGRIP Grant Summary Sheet

**PREPARED BY:** Marcelo A. Lopez, Assistant City Administrator

**SUBMITTED BY:** Marcelo A. Lopez, Assistant City Administrator

**APPROVED BY:** City Administrator's Office

# CalGRIP 2014 - 2015 Grant Summary

South Coast CalGRIP will provide a comprehensive strategy of intensive case management, education, paid job internships and substance abuse prevention and treatment. The project will serve 120 young men and women aged 14 to 18 who have been involved in the juvenile justice system or are at risk of such involvement.

## **Goal:**

The goal of South Coast CalGRIP is to see an improvement in juvenile justice outcomes for youth on probation and youth at risk of gang affiliation through a comprehensive strategy of intensive case management and enactment of prevention and intervention strategies for youth.

**Objective 1:** Intensive Case Management will be provided to all 120 participants.

Two new full-time Case Managers will be hired to serve 60 youth per year over the two-year project period.

**Objective 2:** An evidence-based substance abuse education program will be presented to all 120 participants: The evidence based curriculum “Project Toward No Drug Abuse” has been chosen as a strategy for youth with or at risk for substance abuse.

## **Objective 3:**

Support will be provided to all participants transitioning back into the community from incarceration, and all other participants, who are identified in their baseline assessments as needing assistance to complete their educations.

**Evaluation:**

Each of the project objectives will be evaluated for process as well as program success by Dr. Jill Sharkey and Dr. Merith Cosden of the University of California at Santa Barbara. All process and program data collected by Case Managers and program staff will be transmitted to the evaluation team on a quarterly basis for data management. In addition, the evaluators will implement a quasi-experimental design to understand how rates of youth gang activity and violence for participants of the CalGRIP program compared to two historical comparison groups.

<b>Agency</b>	<b>State Funds</b>	<b>Match Value</b>
City of Santa Barbara	\$ 26,304	\$ 0
Community Action Commission	\$413,696	\$271,100
UCSB	\$ 50,000	\$50,000
CADA	\$ 10,000	\$36,300
Advisory Board	\$ 0	\$77,000
SB County Office of Education	\$ 0	\$13,000
Santa Barbara County Probation Department	\$ 0	\$26,300
District Attorney's Truancy program	0\$	\$26,300
<b>Totals</b>	<b>\$ 500,000</b>	<b>\$ 500,000</b>



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** City Attorney's Office  
City Administrator's Office

**SUBJECT:** Grant Agreement With Legal Aid Regarding Dario Pini

**RECOMMENDATION:** That Council:

- A. Authorize the City Administrator to execute a grant agreement, in a form of agreement acceptable to the City Attorney, with the Legal Aid Foundation of Santa Barbara County ("Legal Aid") in an amount not to exceed Twenty-Five Thousand Dollars (\$25,000) in order to reimburse Legal Aid for providing legal services to the tenants of city landlord Dario Pini; and
- B. Allocate \$25,000 from appropriated reserves to the City Attorney's Office to fund the proposed grant to Legal Aid.

### **DISCUSSION:**

Last October, the City Attorney's office obtained a comprehensive Superior Court "code enforcement" judgment against local property owner Dario Pini with respect to every property owned by Mr. Pini within the City. A major component of this judgment provides for indirect Superior Court supervision of the Pini properties by a court-appointed attorney (called a "Special Master") for a period of five (5) years, expiring on December 31, 2018. The individual appointed by Superior Court Judge Colleen Sterne as the Special Master overseeing the Pini properties is local attorney, and former Santa Barbara County District Attorney, Stan Roden. As part of the Special Master oversight process, the judgment provides for regular quarterly hearings between City staff from code enforcement and the City Attorney's office, Mr. Pini and his property managers, and Mr. Roden. These regularly scheduled meetings will be in addition to any "emergency" hearings that may be convened by Mr. Roden at the City's request if newly discovered violations on Mr. Pini's properties involve serious tenant health or safety issues.

In effect, the City's judgment against Mr. Pini anticipates that the supervision of the Pini properties will be an ongoing work-intensive process for City Code Enforcement staff, the City Attorney's office, and the Special Master, particularly with respect to Mr. Pini's longstanding predilection for failing to properly maintain his larger multi-family apartment

properties within the City. The City received civil penalties in the amount of \$35,000 from Mr. Pini, and the imposition of additional civil penalties remains a potential, depending how appropriately Mr. Pini responds to the requirements of the Stipulated Judgment.

As part this ongoing enforcement process, Building & Safety and Zoning Code Enforcement staff are transitioning to what we consider “Phase II” – that of strictly enforcing the Pini judgment vis-à-vis his larger apartment complexes. This phase will involve prioritizing and inspecting each apartment building and, where warranted and with tenant consent, conducting interior inspections of each of the dwelling units within these buildings. Based on past experience, staff believes it is likely that the City will find it necessary to issue violation notices which will require Mr. Pini to do extensive work to bring the interiors of these units up to the “habitability” standards required by the City’s Uniform Housing Code. These sorts of “habitability” violations are likely to range from construction work done without permits (such as unpermitted work dividing one original unit into two illegal units) to extreme overcrowding. Staff also expects to find many cases of poor interior maintenance resulting in “uninhabitable” units. This would most likely involve such things as inadequate heating and ventilation, non-functioning plumbing, cooking, and electrical systems, and general dilapidation resulting from a lack of painting, proper floor coverings, and typical interior maintenance such as pest control.

Because these sorts of “habitability” violations are likely to necessarily impact many of Mr. Pini’s tenants and their families, the City Attorney’s Office and City Code Enforcement staff plan to closely coordinate our Phase II enforcement efforts with the Special Master and with a concurrent outreach program to the Pini tenants who may be negatively impacted by our efforts. While the goal of our Phase II enforcement efforts will be to improve the habitability of Mr. Pini’s dwelling units without causing a need for a tenant to vacate (even temporarily), there remains a distinct possibility that the remedial work will be so extensive that a unit must be vacated. Among other things, this outreach program will educate tenants about their rights as tenants under state law, particularly any rights they may have to monetary relocation assistance at Mr. Pini’s expense. We will also seek to use our meetings with the Special Master to address the potential relocation obligations Mr. Pini may have under the law.

Both City staff and the staff of Legal Aid Foundation of Santa Barbara County (Legal Aid) have been contacted on several occasions recently by tenants of Mr. Pini requesting assistance in protecting their legal rights as tenants, especially concerning their right to insist on a habitable dwelling unit and to be free of retaliation by Mr. Pini should they find it necessary to complain to the City or the Special Master about the condition of their dwelling unit. In staff’s experience, there is indeed a possibility of improper retaliation by Mr. Pini against his tenants for permitting these City inspections or for complaining to City Staff about the conditions of their units.

For these reasons, we believe it will be preferable for all concerned if Mr. Pini’s tenants have ready access to affordable legal services of the sort typically provided by a non-

profit legal aid law firm, such as Legal Aid. This situation is similar to that which occurred a few years ago when Code Enforcement staff found it necessary to force the owners of the Deluxe Trailer Park to shut down their mobile home park, which in turn forced all of Deluxe's tenants to relocate. In the case of Deluxe, the City agreed to make a grant to Legal Aid, which allowed all of the Deluxe tenants access to a lawyer; this access seemed to make the Deluxe relocation process very successful.

The Legal Aid Foundation has offered to allow City staff to refer Mr. Pini's tenants to Legal Aid in order to obtain their services should a tenant face retaliation or fail to receive appropriate relocation assistance. The terms of the grant agreement will provide that Legal Aid would be paid on a reimbursement basis – that is, Legal Aid will be reimbursed by an amount of up to \$1,250 for each tenant family they represent with the City grant providing for a hourly reimbursement rate for attorney services of \$125 per hour. The maximum amount of the grant will be \$25,000, at least initially.

As mentioned above, the City will make every effort, working through the Special Master process, to require Mr. Pini to provide the sort of relocation assistance required under these circumstances by state law, such as the provisions of the state Health & Safety Code relating to temporary evictions necessitated by an order of the Building Official. Thus, in seeking voluntary compliance by Mr. Pini with state law requirements, the City hopes to provide most of the tenants with the assistance they may need without necessarily having a tenant forced to seek the legal assistance from Legal Aid.

#### BUDGET/FINANCIAL IMPACT

Staff recommends an initial grant with Legal Aid in an amount not to exceed \$25,000. As part of the judgment against Mr. Pini, the City received civil penalties in the amount of \$35,000. These funds were received last fiscal year and deposited in the General Fund at that time. Staff recommends using \$25,000 of appropriated reserves to fund this request.

**SUBMITTED BY:** Paul Casey, Assistant City Administrator  
Steve Wiley, City Attorney

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Chief's Staff, Police Department

**SUBJECT:** Police Department Update

**RECOMMENDATION:**

That Council receive an oral presentation from the Police Chief regarding the Santa Barbara Police Department.

**DISCUSSION:**

As requested by the Mayor and City Council, beginning on December 6, 2011, Police Chief Cam Sanchez will give an oral presentation to Council regarding the status of the Police Department and its operations. This presentation will be part of a series of updates and will occur on a periodic basis.

The following will be Chief Sanchez' speaking points:

- Part 1 Crimes 2012/2013
- Gang Crime State 2012/2013
- Beat Coordinator/School Partnership
- PAL and Explorers
- Santa Barbara High School "Los Academicos Club"
- Restorative Policing

**PREPARED BY:** Chief Sanchez, Police Chief

**SUBMITTED BY:** Cam Sanchez, Police Chief

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013  
**TO:** Mayor and Councilmembers  
**FROM:** City Clerk's Office, Administrative Services Department  
**SUBJECT:** Appointments To City Advisory Groups

### **RECOMMENDATION:**

That Council make appointments to the City's advisory groups.

### **DISCUSSION:**

Currently, there are 68 positions available for appointment to various City advisory groups. On October 29, November 12 and November 19, 2013, the Council interviewed applicants for these positions.

Per Resolution No. 12-018, Santa Barbara Youth Council applicants are required to appear for an interview before the Youth Council and the City Council in order to be eligible for appointment. The Youth Council held interviews on October 21 and November 4, 2013. The Youth Council's recommendations are included as Attachment 1.

The Guidelines for the City of Santa Barbara Advisory Groups, Resolution No. 13-006, states that applicants are required to appear for an interview before the City Council. The names of applicants failing to appear for an interview are removed from the list of persons eligible for appointment. Attachment 2 is a list of applicants eligible for appointment. With the exception of the positions on the Metropolitan Transit District Board (MTD) and the Mosquito and Vector Management District Board (MVMD), appointments to the advisory groups will be effective January 1, 2014. The appointments to the MTD and MVMD will be effective March 7, 2014 and January 8, 2014, respectively.

**ATTACHMENTS:** 1) Recommendations from the Santa Barbara Youth Council, dated December 17, 2013  
2) List of Eligible Applicants

**PREPARED BY:** Deborah L. Applegate, Deputy City Clerk  
**SUBMITTED BY:** Marcelo A. López, Assistant City Administrator  
**APPROVED BY:** City Administrator's Office



**City of Santa Barbara  
Parks and Recreation Department**

**Memorandum**

DATE: December 17, 2013

TO: Mayor and Councilmembers

FROM: Santa Barbara Youth Council

SUBJECT: Recommendation for Appointment to the Santa Barbara Youth Council 2013  
- 2014

On March 27, 2012, the City Council approved a resolution formally establishing the Santa Barbara Youth Council as an advisory committee. The resolution stipulated that applicants for vacant positions on the Youth Council would be interviewed by both the Mayor and City Council and the sitting Youth Council board. After which, the Youth Council would submit to the City Council its recommendations for filling the vacant positions.

On November 18, 2013, at a regular meeting of the Santa Barbara Youth Council, the members voted to send the following recommendations to the City Council for appointments for vacant Youth Council positions.

Santa Barbara High School  
Rachaell Diaz

Dos Pueblos High School

Alternative School  
Caedon Hirrel, La Cuesta

Private High School  
Michael Reyes, Laguna Blanca School  
Emily Velez, Bishop Garcia Diego

**ACCESS ADVISORY COMMITTEE**

Eligible for Appointment – December 2013

<ul style="list-style-type: none"> <li>• Four vacancies.</li> <li>• Terms expire December 31, 2014 (Architectural/Engineering/Building Community) December 31, 2016 (Public at Large)</li> <li>• Residents of the City or a full-time employee of an entity doing business within the City who demonstrates an interest, experience, and commitment to issues pertaining to disability and access.             <ul style="list-style-type: none"> <li>➢ One representative from the Architectural/Engineering/Building Community.</li> <li>➢ Three representatives from the Public at Large.</li> </ul> </li> <li>• Appointees may not hold any full-time paid office or employment in City government.</li> </ul>
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<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<i>Architectural/ Engineering/Building Community (1)</i>	None			
<i>Public at Large (3)</i>	Karen L. Johnson	12/16/2008, 12/07/2010 (5 years)		
	Adelaida Ortega	12/16/2008, 12/07/2010 (5 years)		
	Barbara Silver			

## AIRPORT COMMISSION

- Two vacancies.
- Terms expire December 31, 2017.
- Appointee must be a qualified elector of the City and one City or County resident.
- Appointee may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<b>Qualified Elector of the City (1 or 2)</b>	Mark A. Rincon-Ibarra		1. Airport Commission 2. Harbor Commission 3. Civil Service Commission	
	James R. Wilson			
	Paul Bowen			
	Jeff Clark			
<b>County (0 or 1)</b>	Carl L. Hopkins			

## ARCHITECTURAL BOARD OF REVIEW

- One vacancy.
- Term expires December 31, 2017.
- Qualified Elector of the City or the County of Santa Barbara and have professional experience in related fields.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<b><i>Qualified Elector of the City or County (1)</i></b>	Courtney Jane Miller (Licensed Landscape Architect)			

**ARTS ADVISORY COMMITTEE**

- Four vacancies.
- Terms expire December 31, 2017.
- Two members must be residents and qualified electors of the City. Two members shall reside in the South Coast area of Santa Barbara County, bounded by the Gaviota tunnel on the north and the Santa Barbara County line on the south.
- Members should be persons with acknowledged accomplishments in the arts and persons who demonstrate an interest in and commitment to cultural and arts activities.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<b>Qualified Electors of the City (2)</b>	Robert F. Adams	12/07/2010 (3 years)		
	Tom Brooks Burgher II			
	Jim Laponis		1. Arts Advisory Committee 2. Fire & Police Commission	
	Sally Sheridan			
	Marylove Thralls			
	Margie Yahyavi			
	Katrina Carl			
	Nina L. Dunbar			
	Elizabeth Owen			
<b>South Coast Area (2)</b>				

**BUILDING AND FIRE CODE BOARD OF APPEALS**

- Two vacancies.
- Open terms.
- Residents of the City or adjoining unincorporated areas of Santa Barbara County.
- Appointees shall demonstrate knowledge and expertise in specialty areas governed by the construction and fire codes of the City.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Resident of the City or unincorporated area of Santa Barbara County (2)</i>	None			

**CIVIL SERVICE COMMISSION**

- Two vacancies.
- One term expires December 31, 2017.
- One term expires December 31, 2016.
- Must be a qualified elector of the City.
- May not hold any full-time paid office or employment in City government, and for one year after ceasing to be a member, shall not be eligible for any salaried office or employment with the City.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<b>Qualified Electors of the City (2)</b>	Richard C. Banks			
	Kathryn D. McKee	12/13/2005, 12/15/2009 (8 years) *Applying for third term		
	Bernard Melekian		1. Fire & Police Commission 2. Civil Service Commission	
	Desmond O'Neill			
	Mark A. Rincon-Ibarra		1. Airport Commission 2. Harbor Commission 3. Civil Service Commission	

**COMMUNITY DEVELOPMENT AND HUMAN SERVICES COMMITTEE**

- Four vacancies.
- Two terms expires December 31, 2017 (Housing Interests, Business, Community/Economic Development, and African American Community).
- One term expires December 31, 2016 (Housing Authority)
- Must be residents or employees of the designated organizations, but need not be qualified electors of the City, and must represent one of the specified categories or organizations. One representative from each:
  - Housing Authority of the City of Santa Barbara
  - Business Community/Economic Development
  - African American Community
  - Housing Interests
- Appointees may not hold any full-time paid office or employment in City government.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<i>Housing Authority of the City of Santa Barbara (1)</i>	Alice Villarreal Redit			
<i>Business Community/Economic Development (1)</i>	Laura Knight	7/11/2006, 12/15/2009 (7 years, 5 months)		
	Katherine Zeiss			
<i>African American Community (1)</i>	None			
<i>Housing Interests (1)</i>	Stephen Faulstich	6/26/2011 (1 year, 6 months)		

**COMMUNITY EVENTS & FESTIVALS COMMITTEE**

- One vacancy.
- Term expires December 31, 2015.
- Member must be a representative of the Business/Lodging/Retail Industry.
- Appointees may not hold any full-time paid office or employment in City government.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<i>Business/Lodging/ Retail Industry (1)</i>	Katrina Carl			

**CREEKS ADVISORY COMMITTEE**

- One vacancy.
- Term expires December 31, 2015.
- Member must be a resident of the City. Member shall have some experience in ocean use, business, environmental issues and/or provide community-at-large representation.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Qualified Elector of the City (1)</i>	James Hawkins			

## DOWNTOWN PARKING COMMITTEE

- Three vacancies.
- One term expires December 31, 2016. One term expires December 31, 2017.
- Appointees shall demonstrate an interest and knowledge of downtown parking issues.
- Two members must be residents of the City and one member may be a resident of the City or County.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Qualified Electors of the City ( 3)</i>	Dr. Michael Cooper			
	William E. Pinner III (Trey)	6/28/2011 (2 years, 6 months)		
	Sean Pratt			
	Kathryn A. Schwab			
	Ethan Shenkman			
<i>Resident of the County (0)</i>	None			

## FIRE AND POLICE COMMISSION

- Two vacancies.
- One term expires December 31, 2017. One term expires December 31, 2014.
- Two qualified electors of the City.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Qualified Electors of the City (2)</i>	Matthew Hunter Kramer			
	Jim Laponis		1. Arts Advisory Committee 2. Fire & Police Commission	
	Bernard Melekian		1. Fire & Police Commission 2. Civil Service Commission	
	Judith Parris Stevens			
	Eugene F. Zannon (Gene)			

**FIRE AND POLICE PENSION COMMISSION**

- Two vacancies.
- One term expires December 31, 2017 (Active/Retired Police Officer). One term expired December 31, 2016 (Qualified Elector).
- One active retired police officer who need not be a resident of the City.
- One Qualified Elector of the City who is not an active firefighter or police officer for the City of Santa Barbara.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<b>Active/Retired Police Officer (1)</b>	None			
<b>Qualified Electors (1)</b>	None			.

## HARBOR COMMISSION

- Three vacancies.
- Three terms expire December 31, 2017.
- Two or Three Qualified Electors of the City.
- One member of the Harbor Commission who need not be a Qualified Elector of the City so long as he or she is, at time of appointment, a Qualified Elector of Santa Barbara County and remains so qualified while a Commissioner.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<b>Qualified Elector of the City (2 or 3)</b>	Dennis M. Power			
	Mark A. Rincon-Ibarra		1. Airport Commission 2. Harbor Commission 3. Civil Service Commission	
	Virginia Rubsam			
<b>Qualified Elector of the County (0 or 1)</b>	Jim Sloan	12/15/2009 (4 years)		.

**HISTORIC LANDMARKS COMMISSION**

- Two vacancies.
- Two terms expire December 31, 2017.
- One Qualified Elector of the City – Public at Large.
- One Qualified Elector of the City and a Licensed Landscape Architect.
- Appointees must demonstrate knowledge of the history and architecture of the City.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<b>Qualified Elector of the City – Public at Large (1)</b>	William Mahan			
<b>Qualified Elector of the City – Landscape Architect (1)</b>	Philip Suding	12/15/2009 (4 years)  (Prior Service 2001-2006)		

**HOUSING AUTHORITY COMMISSION**

- One vacancy.
- Term expires February 15, 2016.
- Member must be a Qualified Elector of the City, a tenant who is receiving housing assistance from the City Housing Authority and must be 62 years of age or older.
- Member should have some interest and background in housing development, management or other comparable experience.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<b>Qualified Elector of the City / Senior Tenant (1)</b>	Victor Suhr	12/13/2011 (2 years)		

**LIBRARY BOARD**

- One vacancy.
- Term expires December 31, 2017.
- Qualified Electors of the City.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Qualified Elector (1)</i>	Milton Hess			

**LIVING WAGE ADVISORY COMMITTEE**

- Four vacancies.
- One term expires June 30, 2015 (Owner/Manager of a Service Contractor). Two terms expire June 30, 2016 (Nominee of the Santa Barbara Chamber of Commerce or Santa Barbara Downtown Organization and Employee of Local Non-Profit Entity). One term expires June 30, 2017 (Nominee of a Local Living Wage Advocacy Organization).
- One representative from each:
  - Employee of a local Santa Barbara area nonprofit entity;
  - Nominee of a Local Living Wage Advocacy Organization;
  - Owner/Manager of a service contractor subject to the City's Living Wage Ordinance; and
  - Nominee of the Santa Barbara Downtown Organization or Santa Barbara Chamber of Commerce
- Appointees may not hold any full-time paid office or employment in City government.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<i>Employee of Nonprofit Entity (1)</i>	None			
<i>Nominee of a Local Living Wage Advocacy Organization (1)</i>	None			
<i>Owner/Manager of a service contractor subject to the City's Living Wage Ordinance (1)</i>	None			
<i>Nominee of the Santa Barbara Downtown Organization or Santa Barbara Chamber of Commerce Nominee (1)</i>	None			

**MEASURE P COMMITTEE**

- Seven vacancies.
- Two terms expire December 31, 2017 (Medical Professional and Medical Marijuana Patient) Two terms expire December 31, 2016 (Criminal Defense Attorney and Civil Liberties Advocate). One term expires December 31, 2015 (Resident of the City). Two terms expire December 31, 2014 (Counselor, Resident of the City).
- Two residents of the City; and one representative from each:
  - Civil Liberties Advocate
  - Drug abuse, treatment & prevention counselor
  - Criminal Defense Attorney
  - Medical Professional
  - Medical Marijuana Patient
- Appointees may not hold any full-time paid office or employment in City government.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<i>Residents of the City (2)</i>	Robert Mercado		1. Neighborhood Advisory 2. Measure P	
<i>Civil Liberties Advocate (1)</i>	Stephen Pratt			
<i>Criminal Defense Attorney (1)</i>	None			
<i>Drug abuse, treatment &amp; prevention counselor (1)</i>	None			
<i>Medical Professional (1)</i>	None			
<i>Medical Marijuana Patient (1)</i>	Brandon Morse			

**MOSQUITO AND VECTOR MANAGEMENT DISTRICT BOARD**

- One vacancy.
- Term expires January 7, 2015.
- Registered voter of the City of Santa Barbara.
- Appointee may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Registered Voter of the City of Santa Barbara (1)</i>	None			

## NEIGHBORHOOD ADVISORY COUNCIL

- Five vacancies.
  - Three terms expire December 31, 2017 (Public at Large, Neighborhood Representatives). One term expires December 31, 2015 (Public at Large). One term expires December 31, 2016 (Public at Large).
  - Three residents of the City who represent the Public at Large.
  - Two neighborhood representatives from any of the following neighborhoods:
    - West Downtown
    - Eastside
    - Laguna\*
    - Westside
    - Lower Eastside
    - Lower Westside\*
- \*At this time only one position available in this neighborhood.
- Residents of the City need not be qualified electors of the City.
  - Appointees may not hold any full-time paid office or employment in City government.
- Note:** Of the thirteen members, the City Council may, but is not required to, appoint up to three (3) youth of age sixteen (16) years or older. Priority may be given to youth from the six specified neighborhoods.

**NOTE:** Applicants under the Neighborhood Representative category are also eligible for appointment to the Public at Large category.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<i>Public at Large (3)</i>	Gary Lytle			West Beach
	Robert Mercado		1. Neighborhood Advisory 2. Measure P	Milpas
	Ana D. Soto	3/01/2011 (2 years)		Eastside

(Cont'd)

**NEIGHBORHOOD ADVISORY COUNCIL (CONT'D)**

**NOTE:** Applicants under the Neighborhood Representative category are also eligible for appointment to the Public at Large category. Applicants under the Youth category are also eligible for appointment to the Neighborhood Representative or Public at Large category.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<b>Neighborhood Representative (2)</b>	Sebastian Aldana Jr.	3/01/2011 (2 years)		Eastside
	Abbey Fragosa			Lower Eastside
	Christy Haynes			Laguna
	Susan Lafond			Westside
	Gail-Jean Padilla			Lower Westside
	Alejandro Martinez			Student, Westside
<b>Youth Applicants</b>	Roberto Fuentes			Student, Lower Westside

**PARKS AND RECREATION COMMISSION**

- Five vacancies.
- Three terms expire December 31, 2017. One term expires December 31, 2016. One term expires December 31, 2015.
- Qualified electors of the City.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<b>Qualified Electors of the City (5)</b>	Margery Baragona		1. Rental Housing Mediation 2. Parks & Recreation Commission	
	Chris Casebeer	12/15/2009 (4 years)		
	Nichol Clark			
	Nicolas Ferrara	6/29/2010 (3 years)		
	Jim Heaton			
	David Victor Vasquez			
	Lesley Wiscomb	12/15/2009 (4 years)		
	Olivia Uribe			

**PLANNING COMMISSION**

- Two vacancies.
- Two terms expire December 31, 2017.
- Qualified Electors of the City
- Appointee may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<b>Qualified Electors of the City (2)</b>	Michael Jordan	12/15/2009 (4 years)		
	Deborah L. Schwartz	12/15/2009 (4 years)		

## RENTAL HOUSING MEDIATION TASK FORCE

- Five vacancies.
  - Three terms expire December 31, 2017. One term expires December 31, 2016. One term expires December 31, 2014.
  - Residents of the City or the County of Santa Barbara:
    - One homeowner (City)
    - One tenant (City)
    - One landlord (City)
    - Two tenants (City or County)
- Note:** Non-resident members must be owners of residential rental property within the City limits or affiliated with organizations concerned with landlord-tenant issues within the City limits.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 <sup>st</sup> , 2 <sup>nd</sup> , 3 <sup>rd</sup> )	Notes
<b>Homeowner -City (1)</b>	Margery Baragona		1. Rental Housing Mediation  2. Parks & Recreation	
	Jeana L. Dressel			*Both Homeowner/Landlord
	Daniel R. Herlinger	6/28/2005, 12/13/2005 12/15/2009 (8 years, 6 months) *Applying for third term		
<b>Landlord - City (1)</b>	Jeana L. Dressel			*Both Homeowner/Landlord
<b>Tenant – City (1)</b>	Lynn E. Goebel	6/30/2009 12/15/2009 (4 years, 9 months)		
<b>Tenants – City or County (2)</b>				

**SANTA BARBARA YOUTH COUNCIL**

- Six vacancies. Terms expire 6/30/2014. Members must be between the ages of 13 – 19 years
- Two members from local alternative, community, or continuation high school.
- One member from Santa Barbara High School.
- One member from Dos Pueblos High School.
- Two members from local private high school or independent studies and be a resident of the City.

<b>CATEGORY (Number of Vacancies)</b>	<b>APPLICANT</b>	<b>Incumbent Appt. Dates (Years Served)</b>	<b>Applicant's Preference (1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>)</b>	<b>Notes</b>
<i>Local Alternative, Community, or Continuation High School (2)</i>	Caedon (Don) Hirrel La Cuesta (County)			
<i>Local Private High School or Ind Studies (2)</i>	Michael Reyes (County)			
	Emily Velez			
<i>Santa Barbara High School (1)</i>	Rachael Diaz (City)			
<i>Dos Pueblos High School (1)</i>	None			



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** City Attorney's Office

**SUBJECT:** Conference with Legal Counsel – Pending Litigation

**RECOMMENDATION:**

That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed.

The pending litigation is *Edith Martinez vs. City of Santa Barbara, et al.*, SBSC No. 1402992.

**SCHEDULING:** Duration, 15 minutes; anytime

**REPORT:** None anticipated

**SUBMITTED BY:** Stephen P. Wiley, City Attorney

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** City Administrator's Office

**SUBJECT:** Conference With Labor Negotiator

**RECOMMENDATION:**

That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the General Bargaining Unit, Treatment and Patrol Bargaining Units, Hourly Bargaining Unit, Police Management Association, and regarding salaries and fringe benefits for certain unrepresented management and confidential employees.

**SCHEDULING:** Duration, 30 minutes; anytime

**REPORT:** None anticipated

**PREPARED BY:** Kristy Schmidt, Employee Relations Manager

**SUBMITTED BY:** Marcelo López, Assistant City Administrator

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** December 17, 2013

**TO:** Mayor and Councilmembers

**FROM:** Mayor and Council Ad Hoc Recruitment Committee

**SUBJECT:** Public Employment/Public Employee Appointment

**RECOMMENDATION:**

That Council hold a closed session pursuant to Section 54957 of the Government Code regarding the City Attorney selection process.

**SCHEDULING:** Duration, 60 minutes; anytime

**REPORT:** None anticipated

**PREPARED BY:** Helene Schneider, Mayor

**SUBMITTED BY:** Marcelo Lopez, Assistant City Administrator

**APPROVED BY:** City Administrator's Office