

**CITY OF SANTA BARBARA  
CITY COUNCIL  
REDEVELOPMENT AGENCY**

**Helene Schneider**  
*Mayor/Chair*  
**Bendy White**  
*Mayor Pro Tempore/Vice Chair*  
**Grant House**  
*Ordinance Committee Chair*  
**Dale Francisco**  
*Finance Committee Chair*  
**Frank Hotchkiss**  
**Randy Rowse**  
**Michael Self**



**James L. Armstrong**  
*City Administrator/  
Executive Director*

**Stephen P. Wiley**  
*City Attorney/Agency Counsel*

**City Hall**  
735 Anacapa Street  
<http://www.SantaBarbaraCA.gov>

**JANUARY 10, 2012  
AGENDA**

**ORDER OF BUSINESS:** Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council and Redevelopment Agency meetings begin at 2:00 p.m. in the Council Chamber at City Hall.

**REPORTS:** Copies of the reports relating to agenda items are available for review in the City Clerk's Office, at the Central Library, and <http://www.SantaBarbaraCA.gov>. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") for that item from either the Clerk's Office, the Reference Desk at the City's Main Library, or online at the City's website (<http://www.SantaBarbaraCA.gov>). Materials related to an item on this agenda submitted to the Council/Redevelopment Agency after distribution of the agenda packet are available for public inspection in the City Clerk's Office located at City Hall, 735 Anacapa Street, Santa Barbara, CA 93101, during normal business hours.

**PUBLIC COMMENT:** At the beginning of the 2:00 p.m. session of each regular Council/Redevelopment Agency meeting, and at the beginning of each special Council/Redevelopment Agency meeting, any member of the public may address them concerning any item not on the Council/Redevelopment Agency agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the Council/Redevelopment Agency. Should Council/Redevelopment Agency business continue into the evening session of a regular Council/Redevelopment Agency meeting at 6:00 p.m., the Council/Redevelopment Agency will allow any member of the public who did not address them during the 2:00 p.m. session to do so. The total amount of time for public comments will be 15 minutes, and no individual speaker may speak for more than 1 minute. The Council/Redevelopment Agency, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

**REQUEST TO SPEAK:** A member of the public may address the Finance or Ordinance Committee or Council/Redevelopment Agency regarding any scheduled agenda item. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that the item is taken up by the Finance or Ordinance Committee or Council/Redevelopment Agency.

**CONSENT CALENDAR:** The Consent Calendar is comprised of items that will not usually require discussion by the Council/ Redevelopment Agency. A Consent Calendar item is open for discussion by the Council/Redevelopment Agency upon request of a Council/Agency Member, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak at the time the Council/Redevelopment Agency considers the Consent Calendar.

**AMERICANS WITH DISABILITIES ACT:** In compliance with the Americans with Disabilities Act, if you need special assistance to gain access to, comment at, or participate in this meeting, please contact the City Administrator's Office at 564-5305 or inquire at the City Clerk's Office on the day of the meeting. If possible, notification at least 48 hours prior to the meeting will enable the City to make reasonable arrangements in most cases.

**TELEVISION COVERAGE:** Each regular Council meeting is broadcast live in English and Spanish on City TV Channel 18, and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 9:00 a.m., and in Spanish on Sundays at 4:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at [www.citytv18.com](http://www.citytv18.com) for rebroadcasts of Finance and Ordinance Committee meetings, and for any changes to the replay schedule.

## **ORDER OF BUSINESS**

2:00 p.m. - City Council Meeting

2:00 p.m. - Redevelopment Agency Meeting

### **REGULAR CITY COUNCIL MEETING – 2:00 P.M. REGULAR REDEVELOPMENT AGENCY MEETING – 2:00 P.M.**

#### **CALL TO ORDER**

#### **PLEDGE OF ALLEGIANCE**

#### **ROLL CALL**

#### **CEREMONIAL ITEMS**

- 1. Subject: Comments By Outgoing Councilmember Self**
- 2. Subject: Recognition Of Outgoing Councilmember Self**
- 3. Subject: Comments By The Public**
- 4. Subject: Affirmation Of Allegiance By Councilmembers Dale Francisco And Randy Rowse, And Councilmember-Elect Cathy Murillo**
- 5. Subject: Presentation Of Certificates Of Election**
- 6. Subject: Seating Of Newly-Installed Councilmembers**
- 7. Subject: Comments By Councilmembers Francisco, Murillo, And Rowse**
- 8. Subject: Presentation Of Poem By City Of Santa Barbara Poet Laureate**

## **CEREMONIAL ITEMS (CONT'D)**

### **9. Subject: Comments By The Public**

## **RECESS**

## **ROLL CALL OF NEW COUNCIL**

## **CEREMONIAL ITEMS (CONT'D)**

### **10. Subject: Employee Recognition - Service Award Pins (410.01)**

Recommendation: That Council authorize the City Administrator to express the City's appreciation to employees who are eligible to receive service award pins for their years of service through January 31, 2012.

## **CHANGES TO THE AGENDA**

## **PUBLIC COMMENT**

## **CONSENT CALENDAR**

## **CITY COUNCIL**

### **11. Subject: Adoption Of An Ordinance For The Annexation Of 455 And 457 North Hope Avenue (680.04)**

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending Chapter 28.12 (Zone Map) of Title 28 of the Municipal Code Pertaining to the Zoning Upon Annexation of Assessor's Parcel Numbers 057-191-011 and 057-191-014 Located at 455 North Hope Avenue and Assessor's Parcel Number 057-170-012 Located at 457 North Hope Avenue in the Hope Neighborhood.

### **12. Subject: Records Destruction For The Community Development Department (160.06)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the Community Development Department in the Records Center Office of the Building and Safety Division.

## **CONSENT CALENDAR (CONT'D)**

### CITY COUNCIL (CONT'D)

**13. Subject: Records Destruction For Waterfront Department (160.06)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the Waterfront Department in the Administration Office.

**14. Subject: Fiscal Year 2012 Interim Financial Statements For The Five Months Ended November 30, 2011 (250.02)**

Recommendation: That Council accept the Fiscal Year 2012 Interim Financial Statements for the Five Months Ended November 30, 2011.

**15. Subject: Animal Control Donation (520.05)**

Recommendation: That Council:

- A. Authorize the acceptance of a \$6,400 donation from the Deborah K. Oldham Trust of 2001 to the Santa Barbara Police Department's Animal Control Program, of which \$3,500 would be used for assistance in maintenance of an Animal Control vehicle for one year, and the balance of \$2,900 would be used to purchase various items, including advanced dart rifles, animal crates, catch poles, and video monitor recorders; and
- B. Increase the estimated revenues in the Fiscal Year 2012 General Fund by \$6,400 and appropriate the funds to the Police Department's Animal Control Program.

### REDEVELOPMENT AGENCY

**16. Subject: Redevelopment Agency Fiscal Year 2012 Interim Financial Statements For The Five Months Ended November 30, 2011**

Recommendation: That the Redevelopment Agency Board accept the Redevelopment Agency Fiscal Year 2012 Interim Financial Statements for the Five Months Ended November 30, 2011.

### NOTICES

- 17. The City Clerk has on Thursday, January 5, 2012, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
- 18. Cancellation of the regular City Council and Redevelopment Agency meetings of January 17, 2012.

**This concludes the Consent Calendar.**

## **CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS**

### **COMMUNITY DEVELOPMENT DEPARTMENT**

- 19. Subject: Designation Of The City Of Santa Barbara As The Successor Entity To The Redevelopment Agency Of The City Of Santa Barbara And Election To Retain The Housing Assets And Functions (620.01)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Designating the City of Santa Barbara as the Successor Entity to the Redevelopment Agency of the City of Santa Barbara, and Electing to Have the City Retain the Housing Assets and Assume the Functions Previously Held and Performed by the Redevelopment Agency of the City of Santa Barbara.

### **FINANCE DEPARTMENT**

- 20. Subject: UCSB-TV Educational Access Channel Launch (150.02)**

Recommendation: That Council hear a presentation from University of California Santa Barbara (UCSB) staff on the new educational access channel, UCSB-TV, debuting January 10, 2012, on Channel 72 of the regional cable system operated by Cox Communications.

- 21. Subject: Update On Conversion Technology Project (630.01)**

Recommendation: That Council receive a report from staff regarding the status of the conversion technology project.

## **MAYOR AND COUNCIL REPORTS**

- 22. Subject: Appointment Of Mayor Pro Tempore, Ordinance Committee, And Finance Committee (130.01)**

Recommendation: That Council consider the appointment of a Mayor Pro Tempore, and Chairs and Members of the Ordinance and Finance Committees.

## **COUNCIL AND STAFF COMMUNICATIONS**

## **COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS**

## **CLOSED SESSIONS**

### **23. Subject: Conference With Legal Counsel - Pending Litigation (160.03)**

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (a) of section 54956.9 of the Government Code and take appropriate action as needed. The pending litigation is Santa Barbara Channelkeeper v. City of Santa Barbara, USDC Case No. CV-1103624 JHN (AGRx).

Scheduling: Duration, 15 minutes; anytime

Report: None anticipated

### **24. Subject: Conference With Legal Counsel - Anticipated Litigation (160.03)**

Recommendation: That Council hold a closed session to consider significant exposure to litigation (one potential case) pursuant to subsection (b)(1) of section 54956.9 of the Government Code and take appropriate action as needed.

Scheduling: Duration, 15 minutes; anytime

Report: None anticipated

## **ADJOURNMENT**



Agenda Item No. \_\_\_\_\_

File Code No. **410.01**

# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** City Administrator's Office

**SUBJECT:** Employee Recognition – Service Award Pins

### **RECOMMENDATION:**

That Council authorize the City Administrator to express the City's appreciation to employees who are eligible to receive service award pins for their years of service through January 31, 2012.

### **DISCUSSION:**

Since 1980, the City Employees' Recognition Program has recognized length of City Service. Service award pins are presented to employees for every five years of service. Those employees achieving 25 years of service or more are eligible to receive their pins in front of the City Council.

Attached is a list of those employees who will be awarded pins for their service through January 31, 2012.

**ATTACHMENT:** January 2012 Awards

**SUBMITTED BY:** Marcelo López, Assistant City Administrator

**APPROVED BY:** City Administrator's Office

**JANUARY 2012 SERVICE AWARDS**

January 10, 2012 Council Meeting

**5 YEARS**

Hong Lieu, Library Systems Tech II - Library  
Elizabeth Smith, Creeks Outreach Coordinator - Parks & Recreation  
Jared Hall, Police Officer - Police  
Lea Salcedo-Dunihue, Police Records Specialist - Police  
Heidi Braunger, Project Engineer I - Public Works  
Joshua Canning, Sr Wastewater Treatment Plant - Public Works  
Gaylen Fair, Laboratory Analyst II - Public Works

**10 YEARS**

Robert Castro, Police Officer - Police  
Craig Rullman, Police Officer - Police  
Browning Allen, Transportation Manager - Public Works

**15 YEARS**

Kevin Corbett, Firefighter - Fire  
Darin Biamonte, Police Officer - Police  
Andre Feller, Police Officer - Police  
Warren Holtke, Police Sergeant - Police  
Gregory Hons, Police Officer - Police  
Gary Siegel, Jr., Police Officer - Police

**25 YEARS**

Margarita Sanchez, Accounting Assistant - Finance  
Lee Waldron, Fire Battalion Chief - Fire  
Stephen Palacio, Sr Grounds Maintenance Worker - Parks & Recreation  
Damian Gadal, Accounting Coordinator - Waterfront

**30 YEARS**

Joseph Poire, Fire Prevention Division Chief - Fire  
David Straede, Network Administrator - Police

ORDINANCE NO. \_\_\_\_\_

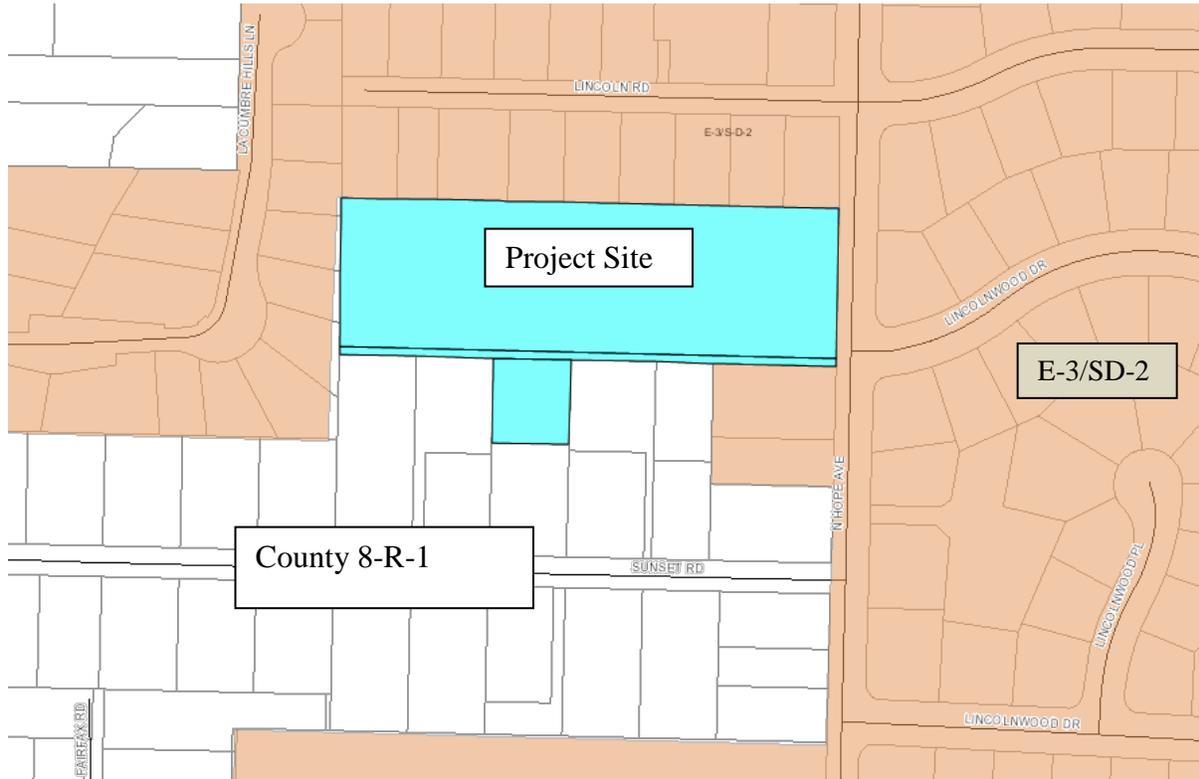
AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING CHAPTER 28.12 (ZONE MAP) OF TITLE 28 OF THE MUNICIPAL CODE PERTAINING TO THE ZONING UPON ANNEXATION OF ASSESSOR'S PARCEL NUMBERS 057-191-011 AND 057-191-014 LOCATED AT 455 NORTH HOPE AVENUE AND ASSESSOR'S PARCEL NUMBER 057-170-012 LOCATED AT 457 NORTH HOPE AVENUE IN THE HOPE NEIGHBORHOOD

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

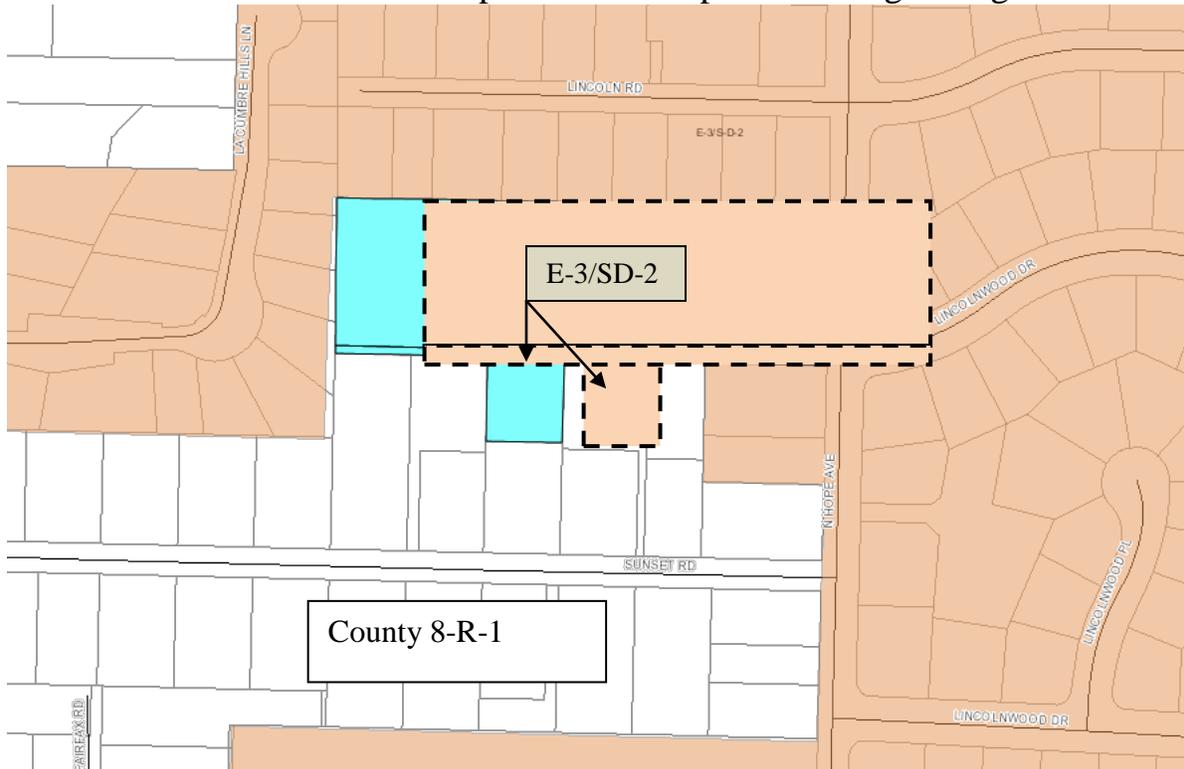
Upon annexation of the subject property, Sectional Zone Map SC01 of Chapter 28.12 (Zone Map) of the Santa Barbara Municipal Code is hereby amended to designate Assessor's Parcel Numbers 057-191-011, 057-191-014, and 057-171-01, totaling approximately 3.17 acres, located at 455 and 457 North Hope Avenue, and depicted in the attached Exhibit A, as E-3/S-D-2, Single Family Residence/Special District Two (Upper State Street Area) Zone.

# EXHIBIT A

## 455 & 457 North Hope Current Zoning



## 455 & 457 North Hope Avenue Proposed Zoning Change





# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** Building and Safety Division, Community Development Department

**SUBJECT:** Records Destruction For The Community Development Department

### **RECOMMENDATION:**

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the Community Development Department in the Records Center Office of the Building and Safety Division.

### **DISCUSSION:**

The City Council adopted Resolution No. 09-098 on December 15, 2009, approving the City of Santa Barbara Records Management Policies and Procedures Manual. The Manual contains the records retention and disposition schedules for all City departments. The schedules are a comprehensive listing of records created or maintained by the City, the length of time each record should be retained, and the legal retention authority. If no legal retention authority is cited, the retention period is based on standard records management practice.

Pursuant to the Manual, the Community Development Director submitted a request for records destruction to the City Clerk Services Manager to obtain written consent from the City Attorney. The City Clerk Services Manager agreed that the list of records proposed for destruction conformed to the retention and disposition schedules. The City Attorney has consented in writing to the destruction of the proposed records.

The Community Development Director requests the City Council to approve the destruction of the Community Development Department records in the Records Center office of the Building and Safety Division listed on Exhibit A of the proposed Resolution without retaining a copy.

### **SUSTAINABILITY IMPACT:**

Under the City's Sustainable Santa Barbara Program, one of the City's goals is to increase recycling efforts and divert waste from landfills. The Citywide Records Management Program outlines that records approved for destruction will be recycled, reducing paper waste.

Council Agenda Report  
Records Destruction For The Community Development Department  
January 10, 2012  
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**PREPARED BY:** Brenda Nielsen, Administrative/Clerical Supervisor

**SUBMITTED BY:** Paul Casey, Assistant City Administrator/Community Development Director

**APPROVED BY:** City Administrator's Office

RESOLUTION NO.

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA RELATING TO THE DESTRUCTION OF RECORDS HELD BY THE COMMUNITY DEVELOPMENT DEPARTMENT IN THE RECORDS CENTER OFFICE OF THE BUILDING AND SAFETY DIVISION.

WHEREAS, the City Council adopted Resolution No. 09-098 on December 15, 2009, approving the City of Santa Barbara Records Management Policies and Procedures Manual;

WHEREAS, the City of Santa Barbara Records Management Policies and Procedures Manual contains the records retention and disposition schedules for all City departments. The records retention and disposition schedules are a comprehensive listing of records created or maintained by the City, the length of time each record should be retained, and the legal retention authority. If no legal retention authority is cited, the retention period is based on standard records management practice;

WHEREAS, Government Code section 34090 provides that, with the approval of the City Council and the written consent of the City Attorney, the head of a City department may destroy certain city records, documents, instruments, books or papers under the Department Head's charge, without making a copy, if the records are no longer needed;

WHEREAS, the Community Development Director submitted a request for the destruction of records held by the Community Development Department to the City Clerk Services Manager to obtain written consent from the City Attorney. A list of the records, documents, instruments, books or papers proposed for destruction is attached hereto as Exhibit A and shall hereafter be referred to collectively as the "Records";

WHEREAS, the Records do not include any records affecting title to real property or liens upon real property, court records, records required to be kept by statute, records less than two years old, video or audio recordings that are evidence in any claim or pending litigation, or the minutes, ordinances or resolutions of the City Council or any City board or commission;

WHEREAS, the City Clerk Services Manager agrees that the proposed destruction conforms to the City's retention and disposition schedules;

WHEREAS, the City Attorney consents to the destruction of the Records; and

WHEREAS, the City Council of the City of Santa Barbara finds and determines that the Records are no longer required and may be destroyed.

**EXHIBIT A**

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA that the Community Development Director, or his designated representative, is authorized and directed to destroy the Records without retaining a copy.

COMMUNITY DEVELOPMENT DEPARTMENT

BUILDING AND SAFETY DIVISION

RECORDS OFFICE

<u>Records Series</u>	<u>Date(s)</u>
Cashier Journal Summary Reports	2009
Administrative Subject/Correspondence Files	2009
Monthly Report of Building Statistics	2004



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012  
**TO:** Mayor and Councilmembers  
**FROM:** Administration, Waterfront Department  
**SUBJECT:** Records Destruction For Waterfront Department

### **RECOMMENDATION:**

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Relating to the Destruction of Records Held by the Waterfront Department in the Administration Office.

### **DISCUSSION:**

The City Council adopted Resolution No. 09-098 on December 15, 2009, approving the City of Santa Barbara Records Management Policies and Procedures Manual. The Manual contains the records retention and disposition schedules for all City departments. The schedules are a comprehensive listing of records created or maintained by the City, the length of time each record should be retained, and the legal retention authority. If no legal retention authority is cited, the retention period is based on standard records management practice.

Pursuant to the Manual, the Waterfront Director submitted a request for records destruction to the City Clerk Services Manager to obtain written consent from the City Attorney. The City Clerk Services Manager agreed that the list of records proposed for destruction conformed to the retention and disposition schedules. The City Attorney has consented in writing to the destruction of the proposed records.

The Waterfront Director requests the City Council to approve the destruction of the Waterfront Department records in the Administration Office listed on Exhibit A of the proposed Resolution without retaining a copy.

### **SUSTAINABILITY IMPACT:**

Under the City's Sustainable Santa Barbara Program, one of the City's goals is to increase recycling efforts and divert waste from landfills. The Citywide Records Management Program outlines that records approved for destruction will be recycled, reducing paper waste.

Council Agenda Report  
Records Destruction For Waterfront Department  
January 10, 2012  
Page 2

**PREPARED BY:** Mary Adams, Executive Assistant

**SUBMITTED BY:** Scott Riedman, Waterfront Director

**APPROVED BY:** City Administrator's Office

RESOLUTION NO.

A RESOLUTION OF THE COUNCIL OF THE CITY OF  
SANTA BARBARA RELATING TO THE DESTRUCTION OF  
RECORDS HELD BY THE WATERFRONT DEPARTMENT  
IN THE ADMINISTRATION OFFICE

WHEREAS, the City Council adopted Resolution No. 09-098 on December 15, 2009, approving the City of Santa Barbara Records Management Policies and Procedures Manual;

WHEREAS, the City of Santa Barbara Records Management Policies and Procedures Manual contains the records retention and disposition schedules for all City departments. The records retention and disposition schedules are a comprehensive listing of records created or maintained by the City, the length of time each record should be retained, and the legal retention authority. If no legal retention authority is cited, the retention period is based on standard records management practice;

WHEREAS, Government Code Section 34090 provides that, with the approval of the City Council and the written consent of the City Attorney, the head of a City department may destroy certain city records, documents, instruments, books or papers under the Department Head's charge, without making a copy, if the records are no longer needed;

WHEREAS, the Waterfront Director submitted a request for the destruction of records held by the Waterfront Department to the City Clerk Services Manager to obtain written consent from the City Attorney. A list of the records, documents, instruments, books or papers proposed for destruction is attached hereto as Exhibit A and shall hereafter be referred to collectively as the "Records";

WHEREAS, the Records do not include any records affecting title to real property or liens upon real property, court records, records required to be kept by statute, records less than two years old, video or audio recordings that are evidence in any claim or pending litigation, or the minutes, ordinances or resolutions of the City Council or any City board or commission;

WHEREAS, the City Clerk Services Manager agrees that the proposed destruction conforms to the City's retention and disposition schedules;

WHEREAS, the City Attorney consents to the destruction of the Records; and

WHEREAS, the City Council of the City of Santa Barbara finds and determines that the Records are no longer required and may be destroyed.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA that the Waterfront Director, or his designated representative, is authorized and directed to destroy the Records without retaining a copy.

WATERFRONT DEPARTMENT  
ADMINISTRATION OFFICE

<u>Records Series</u>	<u>Date(s)</u>
1. Terminated Slip Files (WF Section 14)	2007
2. Harbor Patrol Watch Logs (WF Section 37)	2006
3. Visitor Registration Cards (WF Section 36)	2007
4. Slip Checks (WF Section 35)	2009
5. Liveaboard Checklist (WF Section 14)	2006
6. Harbor Patrol Case Files (WF Section 28)	2001
7. Harbor Patrol Miscellaneous Files (WF Section 31c)	2009
8. Harbor Patrol Complaints, Issues, Operations (WF Section 27)	2001
9. Inactive Business Activity Reports (WF Section 2)	2009
10. Waterfront / Parking Special Events (WF Section 16)	2009
11. Waterfront Administration Files (WF Section 1a)	2006
12. Parking Kiosk Revenue Reports (WF Section 5)	2009
13. Miscellaneous SW Administrative Files (WF Section 23a, c, d & e)	2006
14. Telephone Message Books (CR Section 29)	2009
15. Buoy Permits (WF Section 31b)	2006
16. WF Weekly Staff Meeting Agendas (WF Section 1a)	2006
17. Requests for Information (CR Section 9b)	2009
18. Requests for Information (CR Section 9c)	2010
19. Stearns Wharf Incident Files (WF Section 25b)	2006
20. Travel Authorizations & Information (CR Section 32)	2004
21. Cash Register Tapes (WF Section 3)	2009
22. Litigation Files (WF Section 11)	2001
23. Cruise and Race Files (WF Section 30)	2009
24. Impound/Found Property Reports (WF Section 32)	2010
25. Film Permits (WF Section 8)	2007
26. Recruitments – Unsuccessful Applicants (CR Section 20b1)	2006-2008



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** Accounting Division, Finance Department

**SUBJECT:** Fiscal Year 2012 Interim Financial Statements For The Five Months Ended November 30, 2011

**RECOMMENDATION:**

That Council Accept the Fiscal Year 2012 Interim Financial Statements for the Five Months Ended November 30, 2011.

**DISCUSSION:**

The interim financial statements for the five months ended November 30, 2011 (41.7% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

**ATTACHMENT:** Interim Financial Statements for the Five Months Ended November 30, 2011

**PREPARED BY:** Ruby Carrillo, Accounting Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenditures**  
**Summary by Fund**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>GENERAL FUND</b>					
Revenue	103,109,069	35,309,667	-	67,799,402	34.2%
Expenditures	103,435,734	43,169,618	1,768,103	58,498,013	43.4%
<i>Addition to / (use of) reserves</i>	<u>(326,665)</u>	<u>(7,859,951)</u>	<u>(1,768,103)</u>		
<b>WATER OPERATING FUND</b>					
Revenue	35,671,185	16,013,107	-	19,658,078	44.9%
Expenditures	43,447,024	16,020,808	2,855,357	24,570,859	43.4%
<i>Addition to / (use of) reserves</i>	<u>(7,775,839)</u>	<u>(7,701)</u>	<u>(2,855,357)</u>		
<b>WASTEWATER OPERATING FUND</b>					
Revenue	16,395,810	6,894,464	-	9,501,346	42.1%
Expenditures	17,517,788	6,490,985	1,837,345	9,189,458	47.5%
<i>Addition to / (use of) reserves</i>	<u>(1,121,978)</u>	<u>403,480</u>	<u>(1,837,345)</u>		
<b>DOWNTOWN PARKING</b>					
Revenue	7,036,049	3,186,571	-	3,849,478	45.3%
Expenditures	7,582,431	2,875,782	607,797	4,098,853	45.9%
<i>Addition to / (use of) reserves</i>	<u>(546,382)</u>	<u>310,789</u>	<u>(607,797)</u>		
<b>AIRPORT OPERATING FUND</b>					
Revenue	15,030,488	6,058,819	-	8,971,669	40.3%
Expenditures	15,553,623	5,644,723	595,143	9,313,756	40.1%
<i>Addition to / (use of) reserves</i>	<u>(523,135)</u>	<u>414,095</u>	<u>(595,143)</u>		
<b>GOLF COURSE FUND</b>					
Revenue	2,060,146	797,713	-	1,262,433	38.7%
Expenditures	2,065,870	889,612	113,701	1,062,556	48.6%
<i>Addition to / (use of) reserves</i>	<u>(5,724)</u>	<u>(91,899)</u>	<u>(113,701)</u>		
<b>INTRA-CITY SERVICE FUND</b>					
Revenue	6,119,971	2,565,732	-	3,554,239	41.9%
Expenditures	6,122,735	2,482,571	993,518	2,646,647	56.8%
<i>Addition to / (use of) reserves</i>	<u>(2,764)</u>	<u>83,161</u>	<u>(993,518)</u>		

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenditures**  
**Summary by Fund**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
<b>FLEET REPLACEMENT FUND</b>					
Revenue	2,230,083	945,982	-	1,284,101	42.4%
Expenditures	1,502,646	324,090	9,056	1,169,499	22.2%
<i>Addition to / (use of) reserves</i>	<u>727,437</u>	<u>621,892</u>	<u>(9,056)</u>		
<b>FLEET MAINTENANCE FUND</b>					
Revenue	2,530,723	1,043,024	-	1,487,699	41.2%
Expenditures	2,482,012	877,108	226,567	1,378,337	44.5%
<i>Addition to / (use of) reserves</i>	<u>48,711</u>	<u>165,916</u>	<u>(226,567)</u>		
<b>SELF INSURANCE TRUST FUND</b>					
Revenue	5,391,678	2,158,143	-	3,233,535	40.0%
Expenditures	9,055,327	3,639,064	241,379	5,174,883	42.9%
<i>Addition to / (use of) reserves</i>	<u>(3,663,649)</u>	<u>(1,480,921)</u>	<u>(241,379)</u>		
<b>INFORMATION SYSTEMS ICS FUND</b>					
Revenue	2,306,135	962,098	-	1,344,037	41.7%
Expenditures	2,347,350	1,057,236	82,727	1,207,387	48.6%
<i>Addition to / (use of) reserves</i>	<u>(41,215)</u>	<u>(95,139)</u>	<u>(82,727)</u>		
<b>WATERFRONT FUND</b>					
Revenue	12,203,518	5,697,769	-	6,505,749	46.7%
Expenditures	11,981,963	5,153,864	870,954	5,957,145	50.3%
<i>Addition to / (use of) reserves</i>	<u>221,555</u>	<u>543,904</u>	<u>(870,954)</u>		
<b>TOTAL FOR ALL FUNDS</b>					
Revenue	210,084,855	81,633,089	-	128,451,765	38.9%
Expenditures	223,094,506	88,625,462	10,201,649	124,267,395	44.3%
<i>Addition to / (use of) reserves</i>	<u>(13,009,651)</u>	<u>(6,992,373)</u>	<u>(10,201,649)</u>		

*\*\* It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Budgeted and Actual Revenues**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
<b>TAXES</b>					
Sales and Use	17,949,013	5,697,854	12,251,159	31.7%	5,367,017
Property Taxes	23,063,000	2,852,153	20,210,847	12.4%	2,508,489
Utility Users Tax	7,144,500	2,994,421	4,150,079	41.9%	2,982,433
Transient Occupancy Tax	12,865,000	7,107,575	5,757,425	55.2%	6,508,807
Franchise Fees	3,593,200	1,447,082	2,146,118	40.3%	1,454,391
Business License	2,229,800	654,086	1,575,714	29.3%	679,577
Real Property Transfer Tax	410,000	160,148	249,852	39.1%	169,323
<i>Total</i>	<u>67,254,513</u>	<u>20,913,319</u>	<u>46,341,194</u>	31.1%	<u>19,670,036</u>
<b>LICENSES &amp; PERMITS</b>					
Licenses & Permits	182,900	84,312	98,588	46.1%	67,036
<i>Total</i>	<u>182,900</u>	<u>84,312</u>	<u>98,588</u>	46.1%	<u>67,036</u>
<b>FINES &amp; FORFEITURES</b>					
Parking Violations	2,403,500	1,035,857	1,367,644	43.1%	1,044,225
Library Fines	133,516	46,850	86,666	35.1%	47,351
Municipal Court Fines	180,000	51,645	128,355	28.7%	80,035
Other Fines & Forfeitures	210,000	87,816	122,184	41.8%	93,102
<i>Total</i>	<u>2,927,016</u>	<u>1,222,168</u>	<u>1,704,848</u>	41.8%	<u>1,264,712</u>
<b>USE OF MONEY &amp; PROPERTY</b>					
Investment Income	740,827	319,525	421,302	43.1%	378,525
Rents & Concessions	397,952	110,286	287,666	27.7%	189,612
<i>Total</i>	<u>1,138,779</u>	<u>429,811</u>	<u>708,968</u>	37.7%	<u>568,138</u>
<b>INTERGOVERNMENTAL</b>					
Grants	488,610	14,497	474,113	3.0%	252,631
Vehicle License Fees	200,000	198,535	1,465	99.3%	146,295
Reimbursements	14,040	1,323	12,717	9.4%	6,422
<i>Total</i>	<u>702,650</u>	<u>214,355</u>	<u>488,295</u>	30.5%	<u>405,348</u>
<b>FEES &amp; SERVICE CHARGES</b>					
Finance	860,000	349,965	510,035	40.7%	354,577
Community Development	4,525,570	1,890,963	2,634,607	41.8%	1,707,913
Recreation	2,274,257	1,003,032	1,271,225	44.1%	930,419
Public Safety	499,673	234,347	265,326	46.9%	171,557
Public Works	5,286,083	2,148,014	3,138,069	40.6%	2,159,624
Library	675,575	329,286	346,289	48.7%	365,507
Reimbursements	6,227,567	2,432,071	3,795,496	39.1%	2,246,139
<i>Total</i>	<u>20,348,725</u>	<u>8,387,678</u>	<u>11,961,047</u>	41.2%	<u>7,935,736</u>
<b>OTHER MISCELLANEOUS REVENUES</b>					
Miscellaneous	1,392,091	754,056	638,035	54.2%	804,059
Indirect Allocations	6,111,818	2,546,591	3,565,227	41.7%	2,716,879
Operating Transfers-In	3,050,577	757,376	2,293,201	24.8%	455,220
<i>Total</i>	<u>10,554,486</u>	<u>4,058,023</u>	<u>6,496,463</u>	38.4%	<u>3,976,158</u>
<b>TOTAL REVENUES</b>	<u>103,109,069</u>	<u>35,309,667</u>	<u>67,799,402</u>	34.2%	<u>33,887,163</u>

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>GENERAL GOVERNMENT</b>						
<u>Mayor &amp; City Council</u>						
MAYOR	725,196	289,811	1,147	434,238	40.1%	
<i>Total</i>	725,196	289,811	1,147	434,238	40.1%	292,068
<u>City Attorney</u>						
CITY ATTORNEY	1,930,640	827,436	-	1,103,204	42.9%	
<i>Total</i>	1,930,640	827,436	-	1,103,204	42.9%	834,264
<u>Administration</u>						
CITY ADMINISTRATOR	1,468,399	611,937	1,638	854,824	41.8%	
CITY TV	455,110	177,253	40,158	237,700	47.8%	
<i>Total</i>	1,923,509	789,190	41,795	1,092,524	43.2%	755,485
<u>Administrative Services</u>						
CITY CLERK	435,245	174,743	15,422	245,079	43.7%	
ADMIN SVCS-ELECTIONS	300,000	83,110	177,460	39,430	86.9%	
HUMAN RESOURCES	1,197,982	463,708	23,133	711,140	40.6%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	14,447	-	-	14,447	0.0%	
<i>Total</i>	1,947,674	721,562	216,016	1,010,096	48.1%	606,424
<u>Finance</u>						
ADMINISTRATION	219,098	99,054	11,287	108,756	50.4%	
TREASURY	482,061	168,229	-	313,832	34.9%	
CASHIERING & COLLECTION	417,180	177,834	-	239,346	42.6%	
LICENSES & PERMITS	417,558	164,908	-	252,650	39.5%	
BUDGET MANAGEMENT	396,344	163,146	-	233,198	41.2%	
ACCOUNTING	478,913	205,800	32,764	240,349	49.8%	
PAYROLL	268,474	107,447	-	161,027	40.0%	
ACCOUNTS PAYABLE	207,832	87,528	-	120,304	42.1%	
CITY BILLING & CUSTOMER SERVICE	583,635	209,310	1,831	372,494	36.2%	
PURCHASING	659,344	277,311	2,879	379,154	42.5%	
CENTRAL STORES	160,010	66,795	500	92,715	42.1%	
MAIL SERVICES	102,301	42,388	500	59,413	41.9%	
<i>Total</i>	4,392,750	1,769,750	49,762	2,573,238	41.4%	1,664,505
<b>TOTAL GENERAL GOVERNMENT</b>	<b>10,919,769</b>	<b>4,397,749</b>	<b>308,720</b>	<b>6,213,300</b>	<b>43.1%</b>	<b>4,152,747</b>
<b>PUBLIC SAFETY</b>						
<u>Police</u>						
CHIEF'S STAFF	979,104	424,472	642	553,990	43.4%	
SUPPORT SERVICES	574,199	232,002	988	341,209	40.6%	
RECORDS	1,172,517	469,950	6,995	695,572	40.7%	
COMMUNITY SVCS	729,721	306,724	3,074	419,922	42.5%	
PROPERTY ROOM	165,159	53,707	820	110,632	33.0%	
TRNG/RECRUITMENT	405,269	225,953	17,929	161,386	60.2%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
<b>PUBLIC SAFETY</b>						
<u>Police</u>						
RANGE	1,184,348	479,661	47,217	657,471	44.5%	
BEAT COORDINATORS	784,859	282,174	-	502,685	36.0%	
INFORMATION TECHNOLOGY	1,275,768	558,520	16,430	700,818	45.1%	
INVESTIGATIVE DIVISION	4,582,903	1,848,997	4,213	2,729,693	40.4%	
CRIME LAB	130,163	57,325	-	72,838	44.0%	
PATROL DIVISION	14,663,551	6,201,798	147,756	8,313,997	43.3%	
TRAFFIC	1,288,412	546,798	1,100	740,514	42.5%	
SPECIAL EVENTS	772,599	715,909	-	56,690	92.7%	
TACTICAL PATROL FORCE	1,324,561	464,600	-	859,961	35.1%	
STREET SWEEPING ENFORCEMENT	294,783	124,766	-	170,017	42.3%	
NIGHT LIFE ENFORCEMENT	297,965	112,124	-	185,841	37.6%	
PARKING ENFORCEMENT	931,552	339,806	27,800	563,946	39.5%	
CCC	2,361,140	909,104	1,854	1,450,182	38.6%	
ANIMAL CONTROL	607,170	253,358	-	353,812	41.7%	
<i>Total</i>	<u>34,525,743</u>	<u>14,608,770</u>	<u>276,818</u>	<u>19,640,156</u>	43.1%	<u>14,092,489</u>
<u>Fire</u>						
ADMINISTRATION	740,779	321,181	4,540	415,058	44.0%	
EMERGENCY SERVICES AND PUBLIC ED	246,838	98,103	-	148,735	39.7%	
PREVENTION	1,109,296	465,329	876	643,091	42.0%	
WILDLAND FIRE MITIGATION PROGRAM	172,505	67,437	18,518	86,550	49.8%	
OPERATIONS	17,119,140	7,006,059	81,870	10,031,210	41.4%	
ARFF	1,698,433	741,095	-	957,338	43.6%	
<i>Total</i>	<u>21,086,991</u>	<u>8,699,204</u>	<u>105,805</u>	<u>12,281,982</u>	41.8%	<u>8,901,599</u>
<b>TOTAL PUBLIC SAFETY</b>	<u>55,612,734</u>	<u>23,307,974</u>	<u>382,623</u>	<u>31,922,137</u>	42.6%	<u>22,994,087</u>
<b>PUBLIC WORKS</b>						
<u>Public Works</u>						
ADMINISTRATION	868,519	336,797	13,252	518,470	40.3%	
ENGINEERING SVCS	4,357,704	1,727,021	19,405	2,611,279	40.1%	
PUBLIC RT OF WAY MGMT	1,176,628	472,040	6,900	697,688	40.7%	
ENVIRONMENTAL PROGRAMS	421,105	82,026	151,416	187,663	55.4%	
<i>Total</i>	<u>6,823,956</u>	<u>2,617,883</u>	<u>190,973</u>	<u>4,015,099</u>	41.2%	<u>2,604,387</u>
<b>TOTAL PUBLIC WORKS</b>	<u>6,823,956</u>	<u>2,617,883</u>	<u>190,973</u>	<u>4,015,099</u>	41.2%	<u>2,604,387</u>
<b>COMMUNITY SERVICES</b>						
<u>Parks &amp; Recreation</u>						
PRGM MGMT & BUS SVCS	370,912	186,934	53	183,925	50.4%	
FACILITIES	731,720	311,676	12,687	407,357	44.3%	
YOUTH ACTIVITIES	743,003	375,578	4,166	363,260	51.1%	
SR CITIZENS	717,260	287,540	47,121	382,600	46.7%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
<b>COMMUNITY SERVICES</b>						
<u>Parks &amp; Recreation</u>						
AQUATICS	1,040,683	586,155	44,531	409,998	60.6%	
SPORTS	423,214	185,326	14,371	223,518	47.2%	
TENNIS	224,714	92,000	-	132,714	40.9%	
NEIGHBORHOOD & OUTREACH SERV	980,833	427,841	5,135	547,857	44.1%	
ADMINISTRATION	522,889	221,512	-	301,377	42.4%	
PROJECT MANAGEMENT TEAM	222,476	100,240	-	122,236	45.1%	
BUSINESS SERVICES	299,201	96,276	2,955	199,970	33.2%	
FACILITY & PROJECT MGT	992,450	464,230	1,510	526,711	46.9%	
GROUNDS MANAGEMENT	4,119,025	1,644,484	100,386	2,374,155	42.4%	
FORESTRY	1,160,228	456,163	123,503	580,561	50.0%	
BEACH MAINTENANCE	146,160	43,658	15,754	86,748	40.6%	
<i>Total</i>	<u>12,694,768</u>	<u>5,479,612</u>	<u>372,170</u>	<u>6,842,986</u>	46.1%	<u>5,334,170</u>
<u>Library</u>						
ADMINISTRATION	420,294	169,163	-	251,131	40.2%	
PUBLIC SERVICES	1,822,641	815,379	700	1,006,562	44.8%	
SUPPORT SERVICES	1,782,752	583,628	108,766	1,090,358	38.8%	
<i>Total</i>	<u>4,025,687</u>	<u>1,568,171</u>	<u>109,466</u>	<u>2,348,050</u>	41.7%	<u>1,535,666</u>
<b>TOTAL COMMUNITY SERVICES</b>	<u>16,720,455</u>	<u>7,047,783</u>	<u>481,636</u>	<u>9,191,036</u>	45.0%	<u>6,869,836</u>
<b>COMMUNITY DEVELOPMENT</b>						
<u>Community Development</u>						
ADMINISTRATION	456,182	186,244	927	269,011	41.0%	
ECON DEV	52,667	18,063	-	34,604	34.3%	
CITY ARTS ADVISORY PROGRAM	427,260	361,237	-	66,024	84.5%	
HUMAN SVCS	855,862	415,152	354,910	85,800	90.0%	
RDA	715,653	259,530	-	456,123	36.3%	
RDA HSG DEV	611,074	233,143	-	377,931	38.2%	
LR PLANNING/STUDIES	826,558	297,722	524	528,312	36.1%	
DEV & DESIGN REVIEW	1,075,206	439,942	17,212	618,051	42.5%	
ZONING	1,245,146	462,937	4,008	778,202	37.5%	
DESIGN REV & HIST PRESERVATN	975,603	385,330	6,491	583,782	40.2%	
BLDG PERMITS	1,048,775	424,557	7,365	616,853	41.2%	
RECORDS & ARCHIVES	529,868	198,149	12,173	319,546	39.7%	
PLAN CK & COUNTER SRV	1,271,905	525,510	540	745,855	41.4%	
<i>Total</i>	<u>10,091,759</u>	<u>4,207,515</u>	<u>404,151</u>	<u>5,480,093</u>	45.7%	<u>4,220,075</u>
<b>TOTAL COMMUNITY DEVELOPMENT</b>	<u>10,091,759</u>	<u>4,207,515</u>	<u>404,151</u>	<u>5,480,093</u>	45.7%	<u>4,220,075</u>
<b>NON-DEPARTMENTAL</b>						
<u>Non-Departmental</u>						
DUES, MEMBERSHIPS, & LICENSES	22,272	1,693	-	20,579	7.6%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>** Remaining Balance</u>	<u>YTD Expended and Encumbered</u>	<u>Previous YTD</u>
<b>NON-DEPARTMENTAL</b>						
<u>Non-Departmental</u>						
COMMUNITY PROMOTIONS	1,536,799	893,360	-	643,439	58.1%	
SPECIAL PROJECTS	381,073	148,669	-	232,404	39.0%	
TRANSFERS OUT	43,500	18,125	-	25,375	41.7%	
DEBT SERVICE TRANSFERS	349,983	312,615	-	37,368	89.3%	
CAPITAL OUTLAY TRANSFER	519,005	216,252	-	302,753	41.7%	
APPROP. RESERVE	414,429	-	-	414,429	0.0%	
<i>Total</i>	<u>3,267,061</u>	<u>1,590,714</u>	<u>-</u>	<u>1,676,347</u>	<u>48.7%</u>	<u>1,549,778</u>
<b>TOTAL NON-DEPARTMENTAL</b>	<u>3,267,061</u>	<u>1,590,714</u>	<u>-</u>	<u>1,676,347</u>	<u>48.7%</u>	<u>1,549,778</u>
<b>TOTAL EXPENDITURES</b>	<u>103,435,734</u>	<u>43,169,618</u>	<u>1,768,103</u>	<u>58,498,013</u>	<u>43.4%</u>	<u>42,390,910</u>

*\*\* The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

*For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.*

**CITY OF SANTA BARBARA**  
**Special Revenue Funds**  
**Interim Statement of Revenues and Expenditures**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>TRAFFIC SAFETY FUND</b>					
Revenue	515,000	197,607	-	317,393	38.4%
Expenditures	515,000	197,607	-	317,393	38.4%
<i>Revenue Less Expenditures</i>	-	-	-	-	
<b>CREEK RESTORATION/WATER QUALITY IMPRVMT</b>					
Revenue	2,800,800	1,495,165	-	1,305,635	53.4%
Expenditures	3,545,725	1,132,403	505,544	1,907,778	46.2%
<i>Revenue Less Expenditures</i>	(744,925)	362,762	(505,544)	(602,144)	
<b>SOLID WASTE PROGRAM</b>					
Revenue	18,331,232	7,365,111	-	10,966,121	40.2%
Expenditures	19,129,869	7,403,458	502,956	11,223,455	41.3%
<i>Revenue Less Expenditures</i>	(798,637)	(38,348)	(502,956)	(257,334)	
<b>COMM.DEVELOPMENT BLOCK GRANT</b>					
Revenue	2,730,423	234,397	-	2,496,026	8.6%
Expenditures	2,730,423	413,281	126,381	2,190,761	19.8%
<i>Revenue Less Expenditures</i>	-	(178,884)	(126,381)	305,265	
<b>COUNTY LIBRARY</b>					
Revenue	1,858,999	580,329	-	1,278,670	31.2%
Expenditures	1,972,766	746,857	91,089	1,134,820	42.5%
<i>Revenue Less Expenditures</i>	(113,767)	(166,528)	(91,089)	143,850	
<b>STREETS FUND</b>					
Revenue	10,393,577	4,635,954	-	5,757,623	44.6%
Expenditures	14,441,871	3,573,568	2,816,634	8,051,669	44.2%
<i>Revenue Less Expenditures</i>	(4,048,294)	1,062,385	(2,816,634)	(2,294,045)	
<b>MEASURE A</b>					
Revenue	2,774,034	950,727	-	1,823,307	34.3%
Expenditures	3,335,145	1,070,068	1,177,429	1,087,649	67.4%
<i>Revenue Less Expenditures</i>	(561,111)	(119,341)	(1,177,429)	735,659	

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**WATER OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Water Sales - Metered	30,700,000	13,755,462	-	16,944,538	44.8%	13,309,354
Service Charges	450,192	326,825	-	123,367	72.6%	441,762
Cater JPA Treatment Charges	2,619,000	1,157,140	-	1,461,860	44.2%	1,699,736
Investment Income	791,800	295,453	-	496,347	37.3%	455,337
Miscellaneous	604,691	267,601	-	337,090	44.3%	238,821
Operating Transfers-In	505,502	210,626	-	294,876	41.7%	-
<b>TOTAL REVENUES</b>	<u>35,671,185</u>	<u>16,013,107</u>	<u>-</u>	<u>19,658,078</u>	<u>44.9%</u>	<u>16,145,010</u>
<b>EXPENSES</b>						
Salaries & Benefits	7,649,148	3,008,136	-	4,641,012	39.3%	2,949,545
Materials, Supplies & Services	9,996,116	2,799,913	2,327,683	4,868,520	51.3%	3,130,475
Special Projects	1,438,061	173,531	168,214	1,096,316	23.8%	131,395
Water Purchases	7,723,468	3,313,258	336,393	4,073,817	47.3%	2,785,642
Debt Service	4,831,189	1,938,388	-	2,892,801	40.1%	1,867,642
Capital Outlay Transfers	11,284,416	4,701,840	-	6,582,576	41.7%	1,395,709
Equipment	195,427	51,612	338	143,476	26.6%	50,979
Capitalized Fixed Assets	124,200	8,190	22,729	93,281	24.9%	6,285
Other	55,000	25,940	-	29,060	47.2%	26,343
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>43,447,024</u>	<u>16,020,808</u>	<u>2,855,357</u>	<u>24,570,859</u>	<u>43.4%</u>	<u>12,344,016</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**WASTEWATER OPERATING FUND**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
<b>REVENUES</b>						
Service Charges	14,926,192	6,201,738	-	8,724,454	41.5%	5,994,371
Fees	493,222	283,844	-	209,378	57.5%	453,519
Investment Income	267,300	95,450	-	171,850	35.7%	128,604
Public Works	10,000	20,191	-	(10,191)	201.9%	16,902
Miscellaneous	25,000	12,368	-	12,632	49.5%	64,564
Operating Transfers-In	674,096	280,873	-	393,223	41.7%	-
<b>TOTAL REVENUES</b>	<b>16,395,810</b>	<b>6,894,464</b>	<b>-</b>	<b>9,501,346</b>	<b>42.1%</b>	<b>6,657,960</b>
<b>EXPENSES</b>						
Salaries & Benefits	5,148,257	2,007,667	-	3,140,590	39.0%	2,060,860
Materials, Supplies & Services	6,045,715	2,238,819	1,816,054	1,990,842	67.1%	1,903,510
Special Projects	100,000	4,104	-	95,896	4.1%	146,380
Debt Service	1,352,213	324,512	-	1,027,701	24.0%	334,388
Capital Outlay Transfers	4,592,559	1,913,566	-	2,678,993	41.7%	2,623,125
Equipment	98,044	448	18,354	79,241	19.2%	16,343
Capitalized Fixed Assets	26,000	868	2,936	22,195	14.6%	33,907
Other	5,000	1,000	-	4,000	20.0%	1,000
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
<b>TOTAL EXPENSES</b>	<b>17,517,788</b>	<b>6,490,985</b>	<b>1,837,345</b>	<b>9,189,458</b>	<b>47.5%</b>	<b>7,119,511</b>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**  
**DOWNTOWN PARKING**

	<b>Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Improvement Tax	840,000	445,799	-	394,201	53.1%	421,868
Parking Fees	5,662,166	2,543,222	-	3,118,944	44.9%	2,299,933
Investment Income	137,600	50,111	-	87,489	36.4%	66,842
Rents & Concessions	40,925	-	-	40,925	0.0%	-
Reimbursements	-	-	-	-	100.0%	4,598
Miscellaneous	1,500	(2)	-	1,502	-0.1%	11,655
Operating Transfers-In	353,858	147,441	-	206,417	41.7%	18,125
<b>TOTAL REVENUES</b>	<b>7,036,049</b>	<b>3,186,571</b>	<b>-</b>	<b>3,849,478</b>	<b>45.3%</b>	<b>2,823,022</b>
<b>EXPENSES</b>						
Salaries & Benefits	3,799,707	1,582,462	-	2,217,245	41.6%	1,528,841
Materials, Supplies & Services	1,842,052	645,262	255,383	941,407	48.9%	565,903
Special Projects	574,522	88,350	345,253	140,918	75.5%	84,140
Transfers-Out	297,121	123,800	-	173,321	41.7%	117,342
Capital Outlay Transfers	1,043,270	434,696	-	608,574	41.7%	275,000
Equipment	25,760	1,212	7,160	17,388	32.5%	4,260
<b>TOTAL EXPENSES</b>	<b>7,582,431</b>	<b>2,875,782</b>	<b>607,797</b>	<b>4,098,853</b>	<b>45.9%</b>	<b>2,575,486</b>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**  
**AIRPORT OPERATING FUND**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
<b>REVENUES</b>						
Leases - Commercial / Industrial	4,171,000	1,809,830	-	2,361,170	43.4%	1,785,110
Leases - Terminal	5,183,033	2,027,427	-	3,155,606	39.1%	2,150,106
Leases - Non-Commerical Aviation	1,361,600	634,866	-	726,734	46.6%	675,555
Leases - Commerical Aviation	3,465,000	1,175,923	-	2,289,077	33.9%	945,839
Investment Income	214,300	78,823	-	135,477	36.8%	106,010
Miscellaneous	185,052	206,882	-	(21,830)	111.8%	82,206
Operating Transfers-In	450,503	125,069	-	325,434	27.8%	-
<b>TOTAL REVENUES</b>	<b>15,030,488</b>	<b>6,058,819</b>	<b>-</b>	<b>8,971,669</b>	<b>40.3%</b>	<b>5,744,826</b>
<b>EXPENSES</b>						
Salaries & Benefits	5,001,631	2,084,630	-	2,917,001	41.7%	1,967,659
Materials, Supplies & Services	6,646,161	2,487,716	547,807	3,610,637	45.7%	2,349,104
Special Projects	941,298	314,584	28,695	598,019	36.5%	175,178
Transfers-Out	44,212	18,422	-	25,790	41.7%	12,937
Debt Service	1,113,099	-	-	1,113,099	0.0%	-
Capital Outlay Transfers	1,496,334	710,474	-	785,860	47.5%	229,167
Equipment	129,276	28,897	18,641	81,738	36.8%	10,210
Appropriated Reserve	181,613	-	-	181,613	0.0%	-
<b>TOTAL EXPENSES</b>	<b>15,553,623</b>	<b>5,644,723</b>	<b>595,143</b>	<b>9,313,756</b>	<b>40.1%</b>	<b>4,744,255</b>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**GOLF COURSE FUND**

	<b>Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Fees & Card Sales	1,640,801	631,365	-	1,009,436	38.5%	706,046
Investment Income	9,900	4,223	-	5,677	42.7%	6,241
Rents & Concessions	302,322	118,717	-	183,605	39.3%	130,192
Miscellaneous	3,500	233	-	3,267	6.6%	3,232
Operating Transfers-In	103,623	43,176	-	60,447	41.7%	-
<b>TOTAL REVENUES</b>	<b>2,060,146</b>	<b>797,713</b>	<b>-</b>	<b>1,262,433</b>	<b>38.7%</b>	<b>845,710</b>
<b>EXPENSES</b>						
Salaries & Benefits	1,111,449	460,921	-	650,528	41.5%	460,503
Materials, Supplies & Services	547,478	228,976	109,177	209,325	61.8%	250,185
Special Projects	10,724	-	4,524	6,200	42.2%	-
Debt Service	230,294	159,507	-	70,787	69.3%	157,025
Capital Outlay Transfers	92,036	38,348	-	53,688	41.7%	29,167
Equipment	27,500	1,013	-	26,487	3.7%	650
Other	1,014	847	-	167	83.5%	847
Appropriated Reserve	45,375	-	-	45,375	0.0%	-
<b>TOTAL EXPENSES</b>	<b>2,065,870</b>	<b>889,612</b>	<b>113,701</b>	<b>1,062,556</b>	<b>48.6%</b>	<b>898,376</b>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**INTRA-CITY SERVICE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	99,584	41,493	-	58,091	41.7%	-
Work Orders - Bldg Maint.	3,035,446	1,335,790	-	1,699,656	44.0%	1,307,817
Grants	617,472	199,354	-	418,118	32.3%	-
Service Charges	2,032,567	846,903	-	1,185,664	41.7%	721,902
Miscellaneous	-	2,648	-	(2,648)	100.0%	68
Operating Transfers-In	334,902	139,543	-	195,360	41.7%	-
<b>TOTAL REVENUES</b>	<u>6,119,971</u>	<u>2,565,732</u>	<u>-</u>	<u>3,554,239</u>	<u>41.9%</u>	<u>2,029,788</u>
<b>EXPENSES</b>						
Salaries & Benefits	3,107,626	1,258,980	-	1,848,646	40.5%	1,171,583
Materials, Supplies & Services	1,104,526	404,916	189,756	509,855	53.8%	359,047
Special Projects	1,320,989	433,156	658,231	229,602	82.6%	276,059
Equipment	15,000	-	1,449	13,551	9.7%	6,290
Capitalized Fixed Assets	574,595	385,519	144,082	44,994	92.2%	3,728
<b>TOTAL EXPENSES</b>	<u>6,122,735</u>	<u>2,482,571</u>	<u>993,518</u>	<u>2,646,647</u>	<u>56.8%</u>	<u>1,816,707</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**FLEET REPLACEMENT FUND**

	<b>Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Vehicle Rental Charges	1,805,982	750,498	-	1,055,485	41.6%	746,428
Investment Income	149,700	56,113	-	93,587	37.5%	74,577
Rents & Concessions	224,401	93,501	-	130,901	41.7%	96,809
Miscellaneous	50,000	45,871	-	4,129	91.7%	19,613
<b>TOTAL REVENUES</b>	<b>2,230,083</b>	<b>945,982</b>	<b>-</b>	<b>1,284,101</b>	<b>42.4%</b>	<b>937,426</b>
<b>EXPENSES</b>						
Salaries & Benefits	158,537	68,266	-	90,271	43.1%	63,260
Materials, Supplies & Services	2,452	459	-	1,993	18.7%	414
Special Projects	300,000	-	-	300,000	0.0%	-
Capitalized Fixed Assets	1,041,657	255,365	9,056	777,236	25.4%	447,077
<b>TOTAL EXPENSES</b>	<b>1,502,646</b>	<b>324,090</b>	<b>9,056</b>	<b>1,169,499</b>	<b>22.2%</b>	<b>510,751</b>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**FLEET MAINTENANCE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Vehicle Maintenance Charges	2,371,918	988,299	-	1,383,619	41.7%	987,257
Miscellaneous	60,000	13,556	-	46,444	22.6%	7,520
Operating Transfers-In	98,805	41,169	-	57,636	41.7%	-
<b>TOTAL REVENUES</b>	<u>2,530,723</u>	<u>1,043,024</u>	<u>-</u>	<u>1,487,699</u>	<u>41.2%</u>	<u>994,778</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,147,349	489,879	-	657,470	42.7%	475,256
Materials, Supplies & Services	1,269,663	377,897	219,599	672,167	47.1%	411,099
Special Projects	60,000	9,331	6,968	43,701	27.2%	10,319
Equipment	5,000	-	-	5,000	0.0%	1,653
<b>TOTAL EXPENSES</b>	<u>2,482,012</u>	<u>877,108</u>	<u>226,567</u>	<u>1,378,337</u>	<u>44.5%</u>	<u>898,327</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**SELF INSURANCE TRUST FUND**

	<b>** Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Insurance Premiums	2,547,084	1,061,285	-	1,485,799	41.7%	1,076,563
Workers' Compensation Premiums	2,500,000	1,041,667	-	1,458,333	41.7%	1,101,492
OSH Charges	182,894	-	-	182,894	0.0%	-
Investment Income	161,700	53,081	-	108,619	32.8%	77,330
Reimbursements	-	-	-	-	100.0%	316
Miscellaneous	-	2,110	-	(2,110)	100.0%	4,192
<b>TOTAL REVENUES</b>	<b>5,391,678</b>	<b>2,158,143</b>	<b>-</b>	<b>3,233,535</b>	<b>40.0%</b>	<b>2,259,894</b>
<b>EXPENSES</b>						
Salaries & Benefits	500,761	190,709	-	310,052	38.1%	165,988
Materials, Supplies & Services	4,860,238	1,909,210	241,379	2,709,649	44.2%	2,187,538
Transfers-Out	3,694,328	1,539,146	-	2,155,182	41.7%	717,988
<b>TOTAL EXPENSES</b>	<b>9,055,327</b>	<b>3,639,064</b>	<b>241,379</b>	<b>5,174,883</b>	<b>42.9%</b>	<b>3,071,514</b>

*\*\* The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**INFORMATION SYSTEMS ICS FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	2,286,395	952,664	-	1,333,731	41.7%	953,826
Parking Revenue	-	-	-	-	100.0%	1,000
Miscellaneous	-	1,209	-	(1,209)	100.0%	-
Operating Transfers-In	19,740	8,225	-	11,515	41.7%	-
<b>TOTAL REVENUES</b>	<u>2,306,135</u>	<u>962,098</u>	<u>-</u>	<u>1,344,037</u>	<u>41.7%</u>	<u>954,826</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,502,407	637,498	-	864,909	42.4%	621,367
Materials, Supplies & Services	553,174	357,093	35,549	160,533	71.0%	318,113
Special Projects	3,700	3,171	1,647	(1,118)	130.2%	3,423
Equipment	276,637	59,474	45,532	171,631	38.0%	75,985
Appropriated Reserve	11,432	-	-	11,432	0.0%	-
<b>TOTAL EXPENSES</b>	<u>2,347,350</u>	<u>1,057,236</u>	<u>82,727</u>	<u>1,207,387</u>	<u>48.6%</u>	<u>1,018,889</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

**WATERFRONT FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Leases - Commercial	1,332,869	701,852	-	631,017	52.7%	647,507
Leases - Food Service	2,352,254	1,190,729	-	1,161,525	50.6%	1,136,910
Slip Rental Fees	3,998,521	1,652,687	-	2,345,834	41.3%	1,602,463
Visitors Fees	463,000	201,798	-	261,202	43.6%	224,132
Slip Transfer Fees	425,000	274,250	-	150,750	64.5%	168,525
Parking Revenue	1,911,450	917,081	-	994,369	48.0%	822,628
Wharf Parking	244,000	112,525	-	131,475	46.1%	101,552
Other Fees & Charges	380,911	163,866	-	217,045	43.0%	169,493
Investment Income	185,859	73,710	-	112,149	39.7%	92,188
Rents & Concessions	301,173	149,966	-	151,207	49.8%	150,088
Grants	-	-	-	-	100.0%	4,256
Miscellaneous	155,000	70,356	-	84,644	45.4%	45,637
Operating Transfers-In	453,481	188,950	-	264,531	41.7%	-
<b>TOTAL REVENUES</b>	<u>12,203,518</u>	<u>5,697,769</u>	<u>-</u>	<u>6,505,749</u>	<u>46.7%</u>	<u>5,165,378</u>
<b>EXPENSES</b>						
Salaries & Benefits	5,461,051	2,358,764	-	3,102,287	43.2%	2,293,173
Materials, Supplies & Services	3,455,120	1,304,755	815,757	1,334,609	61.4%	1,251,871
Special Projects	137,020	32,186	45,000	59,834	56.3%	31,261
Debt Service	1,776,789	1,051,353	-	725,436	59.2%	1,042,388
Capital Outlay Transfers	934,483	389,368	-	545,115	41.7%	403,900
Equipment	117,500	14,899	10,197	92,404	21.4%	14,342
Other	-	2,540	-	(2,540)	100.0%	2,540
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>11,981,963</u>	<u>5,153,864</u>	<u>870,954</u>	<u>5,957,145</u>	<u>50.3%</u>	<u>5,039,475</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012  
**TO:** Mayor and Councilmembers  
**FROM:** Administrative Services, Police Department  
**SUBJECT:** Animal Control Donation

**RECOMMENDATION:** That Council:

- A. Authorize the acceptance of a \$6,400 donation from the Deborah K. Oldham Trust of 2001 to the Santa Barbara Police Department's Animal Control Program, of which \$3,500 would be used for assistance in maintenance of an Animal Control vehicle for one year and the balance of \$2,900 would be used to purchase various items including advanced dart rifles, animal crates, catch poles, and video monitor recorders; and
- B. Increase the estimated revenues in the Fiscal Year 2012 General Fund by \$6,400 and appropriate the funds to the Police Department's Animal Control Program.

**DISCUSSION:**

The Police Department's Animal Control Program currently operates with three Animal Control vehicles. The vehicles are 2004 Ford F350 XL Super Duty Extended Cabs with custom CTEC animal bodies. Due to budget constraints, the Animal Control Program utilizes part of a regular donation from Deborah K. Oldham Trust of 2001 (the Oldham Trust) to assist in the maintenance of one of the program vehicles.

Attorney Stephen T. Frank, Trustee of the Oldham Trust, has informed the City that the Oldham Trust would like to again generously donate \$3,500 to the Animal Control Program to assist in the maintenance cost for one Animal Control vehicle for one year, as well as an additional \$2,900 towards the purchase of various items used by the Animal Control Officers.

**PREPARED BY:** Marylinda Arroyo, Police Sergeant  
**SUBMITTED BY:** Camerino Sanchez, Chief of Police  
**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## REDEVELOPMENT AGENCY BOARD AGENDA REPORT

**AGENDA DATE:** January 10, 2012  
**TO:** Redevelopment Agency Board  
**FROM:** Accounting Division, Finance Department  
**SUBJECT:** Redevelopment Agency Fiscal Year 2012 Interim Financial Statements For The Five Months Ended November 30, 2011

### **RECOMMENDATION:**

That the Redevelopment Agency Board accept the Redevelopment Agency Fiscal Year 2012 Interim Financial Statements for the Five Months Ended November 30, 2011.

### **DISCUSSION:**

The interim financial statements for the five months ended November 30, 2011 (41.7% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the Redevelopment Agency's General, Housing, and Capital Projects Funds.

**ATTACHMENT:** Redevelopment Agency Interim Financial Statements for the Five Months Ended November 30, 2011

**SUBMITTED BY:** Robert Samario, Fiscal Officer

**APPROVED BY:** City Administrator's Office

REDEVELOPMENT AGENCY  
OF THE  
CITY OF SANTA BARBARA

INTERIM FINANCIAL STATEMENTS  
FISCAL YEAR 2012  
FOR THE FIVE MONTHS  
ENDED NOVEMBER 30, 2011

**REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Revenues, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>Year-to-date Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>Revenues:</b>					
Incremental Property Taxes	\$ 16,203,700	\$ 2,422,141	\$ -	\$ 13,781,559	14.95%
Investment Income	200,000	128,512	-	71,488	64.26%
Overnight Accommodation Mitigation Fee	1,500	385	-	1,115	0.00%
Rents	<u>72,000</u>	<u>-</u>	<u>-</u>	<u>72,000</u>	0.00%
<b>Total Revenues</b>	<u>16,477,200</u>	<u>2,551,038</u>	<u>-</u>	<u>13,926,162</u>	15.48%
Use of Fund Balance	<u>1,339,020</u>	<u>557,952</u>	<u>-</u>	<u>-</u>	41.67%
<b>Total Sources</b>	<u>\$ 17,816,220</u>	<u>\$ 3,108,990</u>	<u>\$ -</u>	<u>\$ 13,926,162</u>	17.45%
<b>Expenditures:</b>					
Material, Supplies & Services:					
Office Supplies & Expense	\$ 2,000	\$ 976	\$ -	\$ 1,024	48.80%
Mapping, Drafting & Presentation	250	-	-	250	0.00%
Janitorial & Hshld Supplies	100	-	-	100	0.00%
Minor Tools	100	-	-	100	0.00%
Special Supplies & Expenses	4,000	1,343	-	2,657	33.58%
Building Materials	100	-	-	100	0.00%
Equipment Repair	1,000	1,109	-	(109)	110.90%
Professional Services - Contract	787,354	259,530	2,560	525,264	33.29%
Legal Services	162,250	69,055	-	93,195	42.56%
Engineering Services	20,000	2,973	-	17,027	14.87%
Non-Contractual Services	12,000	990	-	11,010	8.25%
Meeting & Travel	7,500	360	-	7,140	4.80%
Mileage Reimbursement	300	-	-	300	0.00%
Dues, Memberships, & Licenses	16,000	13,262	-	2,738	82.89%
Publications	1,000	94	-	906	9.40%
Training	7,500	693	-	6,807	9.24%
Advertising	1,000	-	-	1,000	0.00%
Printing and Binding	1,000	102	-	898	10.20%
Postage/Delivery	1,000	784	-	216	78.40%
Vehicle Fuel	<u>1,300</u>	<u>275</u>	<u>-</u>	<u>1,025</u>	21.15%
<b>Total Supplies &amp; Services</b>	<u>1,025,754</u>	<u>351,546</u>	<u>2,560</u>	<u>671,648</u>	34.52%
Allocated Costs:					
Desktop Maint Replacement	20,435	8,515	-	11,920	41.67%
GIS Allocations	4,754	1,981	-	2,773	41.67%
Building Maintenance	1,650	688	-	962	41.70%
Planned Maintenance Program	3,984	1,660	-	2,324	41.67%
Vehicle Replacement	721	300	-	421	41.61%
Vehicle Maintenance	241	100	-	141	41.49%
Telephone	2,061	859	-	1,202	41.68%
Custodial	3,443	1,435	-	2,008	41.68%
Communications	2,878	1,199	-	1,679	41.66%
Property Insurance	5,095	2,123	-	2,972	41.67%
Allocated Facilities Rent	6,313	2,630	-	3,683	41.66%
Overhead Allocation	<u>579,719</u>	<u>241,550</u>	<u>-</u>	<u>338,169</u>	41.67%
<b>Total Allocated Costs</b>	<u>631,294</u>	<u>263,040</u>	<u>-</u>	<u>368,254</u>	41.67%
Special Projects	1,342,744	355,903	12,810	974,031	27.46%
Transfers	13,691,942	3,723,748	-	9,968,194	27.20%
Grants	1,036,986	-	28,011	1,008,975	2.70%
Equipment	6,000	211	-	5,789	3.52%
Fiscal Agent Charges	11,500	3,284	-	8,216	28.56%
Appropriated Reserve	<u>70,000</u>	<u>-</u>	<u>-</u>	<u>70,000</u>	0.00%
<b>Total Expenditures</b>	<u>\$ 17,816,220</u>	<u>\$ 4,697,732</u>	<u>\$ 43,381</u>	<u>\$ 13,075,107</u>	26.61%

**REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA**  
**Housing Fund**  
**Interim Statement of Revenues, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>Year-to-date Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>Revenues:</b>					
Incremental Property Taxes	\$ 4,050,900	\$ 605,535	\$ -	\$ 3,445,365	14.95%
Investment Income	60,000	53,487	-	6,513	89.15%
Interest Loans	200,000	224,172	-	(24,172)	112.09%
Miscellaneous	2,000	-	-	2,000	0.00%
<b>Total Revenues</b>	<u>4,312,900</u>	<u>883,194</u>	<u>-</u>	<u>3,429,706</u>	<u>20.48%</u>
Use of Fund Balance	<u>6,691,050</u>	<u>2,787,938</u>	<u>-</u>	<u>-</u>	<u>41.67%</u>
<b>Total Sources</b>	<u>\$ 11,003,950</u>	<u>\$ 3,671,132</u>	<u>\$ -</u>	<u>\$ 3,429,706</u>	<u>33.36%</u>
<b>Expenditures:</b>					
Material, Supplies & Services:					
Office Supplies & Expense	\$ 1,800	\$ 457	\$ -	\$ 1,343	25.39%
Special Supplies & Expenses	1,000	162	-	838	16.20%
Equipment Repair	500	1,109	-	(609)	221.80%
Professional Services - Contract	713,018	260,577	-	452,441	36.55%
Non-Contractual Services	2,000	992	-	1,008	49.60%
Meeting & Travel	1,000	1,745	-	(745)	174.50%
Dues, Memberships, & Licenses	1,500	50	-	1,450	3.33%
Training	2,000	-	-	2,000	0.00%
Printing and Binding	-	102	-	(102)	100.00%
Postage/Delivery	600	717	-	(117)	119.50%
<b>Total Supplies &amp; Services</b>	<u>723,418</u>	<u>265,911</u>	<u>-</u>	<u>457,507</u>	<u>36.76%</u>
Allocated Costs:					
Desktop Maintenance Replacement	6,780	2,825	-	3,955	41.67%
GIS Allocations	3,170	1,321	-	1,849	41.67%
Building Maintenance	1,650	688	-	962	41.70%
Planned Maintenance Program	4,058	1,691	-	2,367	41.67%
Vehicle Replacement	482	201	-	281	41.70%
Vehicle Maintenance	96	40	-	56	41.67%
Telephone	1,030	429	-	601	41.65%
Custodial	3,507	1,461	-	2,046	41.66%
Communications	1,151	480	-	671	41.70%
Allocated Facilities Rent	6,432	2,680	-	3,752	41.67%
Overhead Allocation	111,359	46,400	-	64,959	41.67%
<b>Total Allocated Costs</b>	<u>139,715</u>	<u>58,216</u>	<u>-</u>	<u>81,499</u>	<u>41.67%</u>
Transfers	5,330	2,221	-	3,109	41.67%
Equipment	2,500	-	-	2,500	0.00%
Housing Activity	9,418,922	2,370,996	-	7,047,926	25.17%
Principal	490,000	490,000	-	-	100.00%
Interest	142,765	75,058	-	67,707	52.57%
Fiscal Agent Charges	1,300	1,265	-	35	97.31%
Appropriated Reserve	80,000	-	-	80,000	0.00%
<b>Total Expenditures</b>	<u>\$ 11,003,950</u>	<u>\$ 3,263,667</u>	<u>\$ -</u>	<u>\$ 7,740,283</u>	<u>29.66%</u>

**REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA**  
**Capital Projects Fund**  
**Interim Statement of Revenues, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>Year-to-date Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>Revenues:</b>					
Transfers-In	\$ 6,133,868	\$ 2,555,778	\$ -	\$ 3,578,090	41.67%
<b>Total Revenues</b>	<b>6,133,868</b>	<b>2,555,778</b>	<b>-</b>	<b>3,578,090</b>	<b>41.67%</b>
Use of Fund Balance	10,576,325	4,406,909	-	6,169,416	41.67%
<b>Total Sources</b>	<b>\$ 16,710,193</b>	<b>\$ 6,962,687</b>	<b>\$ -</b>	<b>\$ 9,747,506</b>	<b>41.67%</b>
<b>Expenditures:</b>					
<b>Capital Outlay:</b>					
<b>Finished</b>					
Phase II - E Cabrillo Sidewalks	\$ 54,688	\$ 25,780	\$ 47,444	\$ (18,536)	133.89%
Fire Station #1 EOC	1,721	-	-	1,721	0.00%
Fire Station #1 Remodel	7,179	7,147	-	32	99.55%
Soil Remediation - 125 State St	2,380	263	-	2,117	11.05%
<b>Construction Phase</b>					
Chase Palm Park Light/Electric	536,489	47,020	204,551	284,918	46.89%
Plaza Del Mar Restroom Renovation	204,046	3,393	150,000	50,653	75.18%
Pershing Park Restroom Renovation	115,041	79	102,229	12,733	88.93%
Chase Palm Park Playground Replcmt	200,000	-	-	200,000	0.00%
DP Structure (9,10) Const. Imprvmt	1,258,440	17,187	908,943	332,310	73.59%
Lower West Downtown Street Lighting	726,512	23,256	589,614	113,642	84.36%
<b>Design Phase</b>					
Parking Lot Capital Improvements	179,890	35,830	50,300	93,760	47.88%
Library Plaza Renovation	68,478	44,523	23,955	-	100.00%
<b>Planning Phase</b>					
Panhandling Edu. & Alt. Giving	16,429	9,469	6,960	-	100.00%
PD Locker Room Upgrade	6,989,173	149,852	546,357	6,292,964	9.96%
PD Annex Lease Cost	152,580	118,729	-	33,851	77.81%
925 De La Vina Rental Costs	81,432	81,432	-	-	100.00%
RDA Project Contingency Account	5,821,247	-	-	5,821,247	0.00%
Cabrillo Pav Arts Ctr Assessment St	248,898	2,450	249,930	(3,482)	101.40%
State St Pedestrian Amenities Pilot	45,570	-	2,060	43,510	4.52%
<b>Total Expenditures</b>	<b>\$ 16,710,193</b>	<b>\$ 566,410</b>	<b>\$ 2,882,343</b>	<b>\$ 13,261,440</b>	<b>20.64%</b>

**REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA**  
**RDA Bonds - Series 2001A**  
**Interim Statement of Revenues, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>Year-to-date Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>Revenues:</b>					
Investment Income	\$ -	\$ 99	\$ -	\$ (99)	100.00%
Transfers-In	-	703,093	-	(703,093)	100.00%
<b>Total Revenues</b>	-	703,192	-	(703,192)	100.00%
Use of Fund Balance	1,968,801	820,354	-	1,148,447	41.67%
<b>Total Sources</b>	<u>\$ 1,968,801</u>	<u>\$ 1,523,546</u>	<u>\$ -</u>	<u>\$ 445,255</u>	77.38%
<b>Expenditures:</b>					
Interest	\$ -	\$ 703,093	\$ -	(703,093)	100.00%
Principal	-	-	-	-	
<b>Total Non-Capital Expenditures</b>	-	703,093	-	(703,093)	100.00%
<b>Capital Outlay:</b>					
<b>Finished</b>					
East Cabrillo Blvd Sidewalks	\$ -	\$ 38	\$ -	\$ (38)	100.00%
Brinkerhoff Lighting	4,100	727	4,100	(727)	117.73%
<b>Design Phase</b>					
Mission Creek Flood Control @ Depot	1,964,701	-	-	1,964,701	0.00%
<b>Total Expenditures</b>	<u>\$ 1,968,801</u>	<u>\$ 703,858</u>	<u>\$ 4,100</u>	<u>\$ 1,260,843</u>	35.96%

**REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA**  
**RDA Bonds - Series 2003A**  
**Interim Statement of Revenues, Expenditures and Encumbrances**  
**For the Five Months Ended November 30, 2011 (41.7% of Fiscal Year)**

	<u>Annual Budget</u>	<u>Year-to-date Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>Revenues:</b>					
Investment Income	\$ -	\$ 475	\$ -	\$ (475)	100.00%
Transfers-In	-	447,040	-	(447,040)	100.00%
<b>Total Revenues</b>	-	447,515	-	(447,515)	100.00%
Use of Fund Balance	11,220,976	4,675,520	-	6,545,456	41.67%
<b>Total Sources</b>	<u>\$ 11,220,976</u>	<u>\$ 5,123,035</u>	<u>\$ -</u>	<u>\$ 6,097,941</u>	45.66%
<b>Expenditures:</b>					
Interest	\$ -	\$ 447,040	\$ -	\$ (447,040)	100.00%
Principal	-	-	-	-	
<b>Total Non-Capital Expenditures</b>	-	447,040	-	(447,040)	100.00%
<b>Capital Outlay:</b>					
<b>Finished</b>					
West Beach Pedestrian Improvements	\$ 221,331	\$ 7,463	\$ 128,653	\$ 85,215	61.50%
Westside Center Park Improvement	-	515	-	(515)	100.00%
West Downtown Improvement	288,259	-	-	288,259	0.00%
<b>Construction Phase</b>					
Helena Parking Lot Development	360,892	129,586	224,369	6,937	98.08%
Fire Department Administration	2,787,872	1,109,713	991,847	686,312	75.38%
DP Structure #2, 9, 10 Improvements	22,719	-	14,259	8,460	62.76%
Carrillo Rec Ctr Restoration	1,562,008	77,111	59,305	1,425,592	8.73%
<b>Design Phase</b>					
Plaza De La Guerra Infrastructure	2,158,039	32,113	57,297	2,068,629	4.14%
Chase Palm Park Restroom Renovation	185,687	-	-	185,687	0.00%
Library Plaza Renovation	97,244	14,446	71,963	10,835	88.86%
Artist Workspace	524,692	-	-	524,692	0.00%
<b>Planning Phase</b>					
Mission Creek Flood Control @ Depot	535,299	-	-	535,299	0.00%
Mission Creek Flood Control - Park Development	727,086	-	-	727,086	0.00%
Chase Palm Park Wisteria Arbor	835,000	-	-	835,000	0.00%
<b>On-Hold Status</b>					
Visitor Center Condo Purchase	500,000	-	-	500,000	0.00%
Lower State Street Sidewalks	335,000	-	-	335,000	0.00%
Downtown Sidewalks	79,848	120	-	79,728	0.15%
<b>Total Expenditures</b>	<u>\$ 11,220,976</u>	<u>\$ 1,818,107</u>	<u>\$ 1,547,693</u>	<u>\$ 7,855,176</u>	30.00%



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** Administration, Community Development Department

**SUBJECT:** Designation Of The City Of Santa Barbara As The Successor Entity To The Redevelopment Agency Of The City Of Santa Barbara And Election To Retain The Housing Assets And Functions

### **RECOMMENDATION:**

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Designating the City of Santa Barbara as the Successor Entity to the Redevelopment Agency of the City of Santa Barbara, and Electing to Have the City Retain the Housing Assets and Assume the Functions Previously Held and Performed by the Redevelopment Agency of the City of Santa Barbara.

### **DISCUSSION:**

On December 28, 2011 the California State Supreme Court ruled that AB 1 X 26 (the "Dissolution Act") is constitutional and will now require and control the dissolution and winding down of every redevelopment agency within California. The Supreme Court action also provided for revised dates for implementation of the Dissolution Act. This Council Agenda Report provides a recommendation for key immediate City steps needed, and outlines part of the process going forward for the dissolution of the City's RDA.

Under AB 1 X 26, the first key date is on February 1, 2012, when all RDAs will be dissolved and their successor agencies will begin to function. For the Central City Redevelopment Project Area in the City of Santa Barbara, the Sponsoring Community (the City) must act to appoint a Successor Agency for the RDA and separately for the housing functions. It has been recommended that such an action be taken by January 13, 2012 and delivered to the County Auditor-Controller in order to indicate a clear statement of intent.

The attached Resolution takes the action to appoint the City as the Successor Agency for the former RDA. All assets, properties, contracts, leases, records, buildings and equipment of the former RDA will be transferred to the control of the Successor Agency,

and subject to the dissolution processes of AB 1 X 26, except for the former Agency affordable housing “assets.”

For the affordable housing assets, the attached Resolution appoints the City and the City Council to assume the Agency’s housing functions and take over the housing assets of the former RDA along with all of the rights, powers, liabilities, duties and obligations related to those assets. Among other reasons, staff is recommending that the City Council declare the City to be the “successor housing agency” so that the City staff and the City Attorney’s office can continue to strictly enforce the Agency’s affordable housing covenants on a long term basis for the full term of those covenants.

A Successor Agency is also required to make payments and perform other obligations for the former RDA (for example, pay off bond indebtedness) while winding down all of the affairs of the former RDA and disposing of former RDA assets or properties.

At this point, it is unclear what other obligations or work the Successor Agency will be able to complete going forward under AB 1 X 26. Any expenditure after February 1, 2012 will have to be approved by a newly created local “Oversight Board.” The Oversight Board will be comprised of 7 local agency members appointed by the following:

- Santa Barbara County Board of Supervisors (two members);
- Mayor (one member) of the City;
- County Superintendent of Education (one member);
- Chancellor of California Community Colleges (one member);
- Largest special district taxing entity (one member); and
- A former RDA employee representative appointed by the Santa Barbara Mayor (one member).

The Oversight Board membership appointments must be completed by May 1, 2012.

AB 1 X 26 requires the Oversight Board to direct the Successor Agency to determine whether executory portions of those contracts, agreements or other arrangements between the former RDA and private parties should be terminated or renegotiated to reduce the Successor Agency’s liabilities and increase the net revenues to the taxing entities. The actions of the Oversight Board of the Successor Agency will be overseen by the Director of the State Department of Finance and may be subject to disapproval or modification by the State Department of Finance as well. The City, acting as the Successor Agency, will need to submit a plan to the Oversight Board for consideration about which obligations will be carried out moving forward.

Council Agenda Report  
Designation Of The City Of Santa Barbara As The Successor Entity To The  
Redevelopment Agency Of The City Of Santa Barbara And Election To Retain  
The Housing Assets And Functions  
January 10, 2012  
Page 3

This is just one example of the complicated and quick dissolution process required for the City's RDA, with more decisions to be submitted to the Council in the near future. This Council Agenda Report does not try to cover all the specifics of this process in the interest of time and the need to act on the Successor Agency requirements by January 13, 2012.

Staff will continue to research, evaluate, and implement the dissolution process outlined in AB 1 X 26, as well as monitor activities in Sacramento that may modify or improve this dissolution process. Staff will also be available to answer any questions the Council may have on January 10, 2012 and will follow up at future meetings with status reports and required actions as necessary.

**PREPARED BY:** Paul Casey, Assistant City Administrator

**SUBMITTED BY:** Paul Casey, Assistant City Administrator

**APPROVED BY:** City Administrator's Office

RESOLUTION NO. \_\_\_\_

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA DESIGNATING THE CITY OF SANTA BARBARA AS THE SUCCESSOR ENTITY TO THE REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA AND ELECTING TO HAVE THE CITY RETAIN THE HOUSING ASSETS AND ASSUME THE FUNCTIONS PREVIOUSLY HELD AND PERFORMED BY THE REDEVELOPMENT AGENCY OF THE CITY OF SANTA BARBARA.

WHEREAS, on November 14, 1972, the City Council adopted Ordinance No. 3566 adopting the Redevelopment Plan for the Central City Redevelopment Project ("CCRP");

WHEREAS, the Redevelopment Agency of the City of Santa Barbara, through the exercise of its powers under the California Community Redevelopment Law (Health & Safety Code Section 33000 *et seq.*) ("CRL") has made major contributions to the physical and economic development of the CCRP and City and has strengthened the City's ability to meet the needs of its citizens and contributed to the quality of life throughout the City;

WHEREAS, on June 29, 2011, the California Legislature adopted, and the Governor signed, Assembly Bill X1 26 that dissolves all California redevelopment agencies as of February 1, 2012;

WHEREAS, since the adoption of AB 1X 26, most of the activities and powers of redevelopment agencies have been suspended and redevelopment agencies are prohibited from taking a number of actions, including making loans and entering into or modifying contracts;

WHEREAS, on December 29, 2011, the California Supreme Court ruled that Assembly Bill AB 1X 26 was constitutional;

WHEREAS, in accordance with Health and Safety Code Section 34171, added by Assembly Bill 1X 26, the City of Santa Barbara, as the entity that authorized the creation of the Redevelopment Agency of the City of Santa Barbara, is designated as the successor agency to the Redevelopment Agency of the City of Santa Barbara;

WHEREAS, in accordance with Health and Safety Code Section 34176, added by Assembly Bill 1X 26, the City of Santa Barbara, as the entity that authorized the creation of the Redevelopment Agency of the City of Santa Barbara, may elect to retain the housing assets and assume the functions previously performed by the Redevelopment Agency; and

WHEREAS, in accordance with Assembly Bill 1X 26, the City of Santa Barbara, as the entity that authorized the creation of the Redevelopment Agency of the City of Santa Barbara, is hereby designated as the successor agency to the Redevelopment Agency of the City of Santa Barbara and hereby elects to retain the housing assets and assume the housing functions previously performed by the Redevelopment Agency of the City of Santa Barbara.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

SECTION 1. The above recitals are true and correct and incorporated herein by reference.

SECTION 2. The City of Santa Barbara, as the entity that created the Redevelopment Agency of the City of Santa Barbara, is hereby designated as the Successor Agency to the Redevelopment Agency of the City of Santa Barbara.

SECTION 3. The City of Santa Barbara, as the entity that created the Redevelopment Agency of the City of Santa Barbara, hereby elects to retain the housing assets and assume the housing functions previously owned and performed by the Redevelopment Agency of the City of Santa Barbara.

SECTION 4. The Mayor, City Administrator, City Attorney, Finance Director and City Clerk of the City are hereby authorized to take all action necessary to effectuate this Resolution.

SECTION 5. This Resolution is effective on the day of its adoption.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** Treasury Division, Finance Department

**SUBJECT:** UCSB-TV Educational Access Channel Launch

### **RECOMMENDATION:**

That Council hear a presentation from University of California Santa Barbara (UCSB) staff on the new educational access channel, UCSB-TV, debuting January 10, 2012, on Channel 72 of the regional cable system operated by Cox Communications.

### **DISCUSSION:**

#### **Background**

Cox Communications currently operates a regional cable system in the Santa Barbara South Coast ("South Coast"), providing cable television service to the cities of Santa Barbara, Goleta and Carpinteria and the nearby unincorporated regions of the County of Santa Barbara. Within the City of Santa Barbara, Cox provides cable services pursuant to a non-exclusive state video franchise authorized under Digital Infrastructure and Video Competition Act of 2006 ("DIVCA"). Under DIVCA and the Federal Telecommunications Act of 1996, local governments can require cable service providers to contribute public, educational and government access funding and channel space as a condition of operating in the public right-of-way.

Cox currently provides six public, education and government ("PEG") access channels in the South Coast region. The County of Santa Barbara and the cities of Santa Barbara, Carpinteria and Goleta each have their own government access channel. The City's government access channel is Channel 18 which is managed and programmed by City staff. The public and educational access channels are shared across the entire region on Channels 17 and 21, managed and programmed by the Santa Barbara Channels.

On January 10, 2012, the seventh regional PEG channel, UCSB-TV, makes its debut on Channel 72 of the Cox cable system. The new channel is the City's fourth and final PEG channel allowable under DIVCA and was authorized by Council in December 2010. At

that time, Council dedicated the channel for exclusive use by UCSB for higher education purposes and the general benefit of the Santa Barbara South Coast region.

Initially, UCSB-TV will air UCTV, which consists of non-commercial educational, informational, and cultural programming from the University of California's ten campuses, three national labs, and other affiliated institutions. Programs include documentaries, lectures, symposiums, artistic performances and other events, and cover a broad range of general interest topics, such as science, health, humanities, and public affairs. It also provides programming supporting educators in order to enrich the classroom experience for K-12 students. Currently, UCSB contributes approximately 30 percent of the programming to UCTV. It is expected that over the next several years, UCSB-TV will increase the amount of UCSB-originated programming and other local programming that would appeal to the greater Santa Barbara community.

**PREPARED BY:** Jill Taura, Treasury Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** Environmental Services Division, Finance Department

**SUBJECT:** Update On Conversion Technology Project

### **RECOMMENDATION:**

That Council receive a report from staff regarding the status of the conversion technology project.

### **EXECUTIVE SUMMARY:**

For the past several years, the County, in concert with the City of Santa Barbara and neighboring jurisdictions, has investigated various conversion technologies as alternatives to disposal. In 2009, the County released a request for proposals to solicit potential alternatives. Two companies, Plasco Energy Group and Mustang Renewable Power Ventures, were deemed as finalists. Plasco Energy Group proposed plasma gasification, a process that uses high temperatures and steam to process waste. Mustang Renewable Power Ventures submitted two proposals. The first, the "base proposal," would first sort the waste in a material recovery facility (MRF) to remove inert recyclables and then would digest remaining organic material in an anaerobic digester, with residual waste landfilled. Mustang included an alternate proposal that added gasification technology to the base proposal to further process the residual waste.

While Plasco and Mustang gasification would result in the highest diversion rates (85-95%), the technology is not currently used in the United States at a commercial scale for processing municipal solid waste and, therefore, information on air emissions is not known as it would be with other waste management technologies. As a result, both proposals could be difficult to permit and would likely receive less support from community stakeholders. For these reasons, staff from participating agencies has chosen the Mustang base proposal as a "first phase" solution while additional information on gasification can be gathered. It should be noted that anaerobic digestion of sewage sludge and livestock manure is common in California and the United States. However, anaerobic digestion of municipal solid waste, as proposed by Mustang, is not in use anywhere in the United States.

According to the vendor, the Mustang base proposal would increase diversion of the waste that is currently landfilled by 50-60%. Staff is working to verify the reasonableness of this proposed level of performance. If achieved, the increased diversion would add approximately eight to ten more years of permitted capacity to the Tajiguas Landfill. Similar to current practice, tipping fees for the proposed facility would be paid by affected ratepayers (residential and commercial) through rates for franchised trash and recycling services. If the City were to formally commit its tonnage to the project, it would enter an agreement with the vendor and with the other participating agencies that would incorporate negotiated business terms such as the project location, financing, contract term, diversion mandates, tipping fees, revenue sharing for recyclables, energy generation and tonnages above minimum delivery thresholds, tonnage commitments and financial penalties for failing to remain within the agreed upon range of tonnages to be delivered to the facility.

A separate agreement would also be developed between the County and the participating agencies to formalize the roles and responsibilities of each agency as a stakeholder in the regional project. Staff is developing the structure and content of this agreement, which will be discussed with Council at a future date.

During the next several months, staff will work with its regional counterparts on the following tasks: 1) finalize the tonnage commitments and attendant size of the facility; 2) initiate environmental review of the project; 3) develop the legal structure that would govern and define the roles and responsibilities of each participating agency; and, 4) complete the due diligence investigation of the vendor's proposal and subsequent representations. Staff will bring these items to Council for consideration and action several times over the next several months.

## **DISCUSSION:**

### **History of Conversion Technology Project**

The Tajiguas Landfill, owned and operated by the County of Santa Barbara, is the primary disposal facility for all waste generated by the cities of Santa Barbara, Goleta, Buellton and Solvang as well as portions of the unincorporated county located on the south coast. In May of 2003, the County received approval from the State of California to expand the permitted capacity of the Tajiguas Landfill in order to maintain at least 15 years of disposal capacity pursuant to State law.

Concurrent with the landfill expansion, the County's Multi-Jurisdictional Solid Waste Task Group (MJSWTG) began investigating long-term alternatives to landfill disposal. The MJSWTG is comprised of elected officials from the County and all cities within the County and is charged with conducting regional solid waste management planning. In February of 2003, the MJSWTG published a report titled "Alternatives to Disposal Final

Report<sup>1</sup>, which recommended consideration of development of a “conversion technology” facility as part of the long-term waste management strategy for the Tajiguas waste shed. In the report, conversion technology (CT) is defined as:

*“The processing, through non-combustion thermal means, chemical means, or biological means, of mixed municipal solid waste from which recyclable materials have been substantially diverted and/or removed to produce electricity, alternative fuels, chemicals, or other products that meet quality standards for use in the marketplace, with minimum amount of residuals remaining after processing.”*

### Previous Council Involvement

In July of 2005, Council approved a framework for inclusion of conversion technology in the City’s solid waste strategic plan. In 2007, the City began working in earnest with the County to evaluate the feasibility of conversion technology on the south coast. On February 27, 2007, Council authorized staff to solicit proposals for a CT project manager and to draft a memorandum of understanding (MOU) between the City and the County outlining the roles and responsibilities of each jurisdiction in a CT procurement process. On January 29, 2008, Council approved the criteria that would be used to evaluate CT vendors as well as the broader goals that would guide the future procurement process. These goals included the following:

- Increase diversion of post-recycled municipal solid waste (MSW) for affected jurisdictions
- Reduce environmental impacts of landfilling MSW
- Provide financial feasibility and sustainability
- Produce green energy and other marketable products
- Provide a humane work environment
- Result in a long-term waste disposal plan

In August of 2009, Council adopted a resolution to commit the City’s residual waste to a CT project provided that the facility and the vendor met the selection criteria and project goals described above.

### **Procurement Process**

In October 2009, the County released a request for proposals (RFP) to formally solicit various CT projects. The RFP included input from ARI, the project consultant, as well as from staff from each participating jurisdiction. Prior to release, the RFP was presented

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<sup>1</sup> Obtained from the world wide web at:  
<http://www.countyofsb.org/pwd/mjswtg/TAC/ObsoleteSubgroups/AltertoDispSubgroup/Alt%20to%20Disp%20Final%20Report%2009-22-03.doc>

to a working group of elected officials representing the participating agencies. In June of 2010, a summary of four companies and five different proposals, each deemed responsive to the RFP, were presented to the MJSWTG. Eventually, staff narrowed the field to two viable vendors – Mustang Renewable Power Ventures and Plasco Energy.

### **Description of Technologies**

**Plasco Energy Group (Plasco)** submitted one proposal that relies solely upon a technology called plasma gasification to process incoming MSW. Plasma Gasification is a process that uses very high heat, pressure, and steam to convert materials directly into a gas called “syngas” that can be used to generate electricity. Because of its affinity for any waste materials that contain energy value, plasma gasification only excludes materials lacking energy value such as metal, glass and rubble. As a result, diversion rates can reach 86-95% while generating approximately 15.3 megawatts of energy; enough to power approximately 15,000 homes.

**Mustang Renewable Power Ventures (Mustang)** submitted two separate proposals. The base proposal, included two separate components, a material recovery facility (MRF) and an anaerobic digester (AD). A MRF uses mechanical sorters, magnets and air blowers to separate recyclable materials, including paper, cardboard, glass, metals and plastics from the remaining trash. Recovered commodities are then baled and sent to market.

Any materials not captured by the MRF would be sent from the MRF to the AD facility where organic material (e.g. green waste, plant material, food and soiled paper) are broken down by bacteria anaerobically (i.e., in the absence of oxygen). This biological process is similar to that used at wastewater treatment plants to digest sewer sludge. The process generates methane that can be used to generate approximately one megawatt of electricity; enough to power approximately 1,000 homes. The vendor estimates that the base proposal would divert approximately 50-60% of the waste stream that is currently landfilled.

Sewage treatment plants in the United States have long used anaerobic digestion to digest sewage sludge. Moreover, other countries such as Japan and others in Europe have used anaerobic digesters to digest portions of their waste stream such as green material and foodscraps. The State of California has officially adopted a strategic initiative to increase organics processing capacity statewide and recently developed and circulated an EIR to assist jurisdictions to site new or expand existing composting facilities. However, it should be noted that according to a February 2011 report by the California Department of Resources Recycling and Recovery (CalRecycle), large-scale anaerobic digestion of municipal solid waste does not yet exist in the United States.<sup>2</sup>

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<sup>2</sup> Obtained from the world wide web at:

<http://www.calrecycle.ca.gov/SWFacilities/Compostables/AnaerobicDig/PropFnIPEIR.pdf>

Other jurisdictions such as the City of San Jose have recently signed contracts to construct a MRF and AD facility similar to the Mustang proposal. However, the facility will not be fully constructed for a few years. Therefore, performance data on this technology applied to California waste profiles does not currently exist.

Besides the base proposal, Mustang also submitted an alternative proposal, which added a gasification component to the base proposal. Similar to the Plasco proposal, Mustang gasification would use high temperatures and steam to extract energy from the residual materials to produce electricity. However, both power generation (10.5 megawatts) and diversion rates (85-90%) would be lower than the Plasco proposal.

The cost to ratepayers for these technologies will be discussed in greater detail later in this report. However, it should be noted that the tipping fee, or the fee charged for each ton of material processed, of the Mustang base proposal is the least expensive option. The tipping fees of Mustang alternative proposal and the Plasco proposal, which both include gasification, are approximately 31% and 70% higher respectively, than the Mustang base proposal, which proposes a material recovery facility and anaerobic digestion only. .

### **Selection of Preferred Vendor and Technologies**

Throughout the procurement process, County staff have met with and presented information regarding both the Plasco and Mustang proposals to a variety of stakeholders and community groups. These groups include the city managers of the participating jurisdictions, the Community Environmental Council, the Environmental Defense Center, the League of Women Voters, Sierra Club, the Gaviota Coast Conservancy, the Chamber of Commerce, and others. While there was general and conceptual support for the project, there were concerns expressed over the environmental and health effects of gasification. In addition, given the lack of emissions data, gasification technology in any form could be difficult to permit and could potentially delay construction of the project.

For these reasons, staff from the County and participating agencies felt that a prudent course of action would be to recommend the Mustang base proposal while more information on gasification is developed over the next several years. While not considered for the immediate future, gasification would still be considered as an alternative in the environmental review document that would be prepared pursuant to the California Environmental Quality Act (CEQA).

### **Summary of Project and Contract Terms**

The Mustang base proposal offers some attractive benefits such as: 1) additional diversion; 2) a doubling of the remaining permitted site life at Tajiguas Landfill depending upon when the facility commenced operation; 3) a defined tipping fee with defined CPI adjustments for the 20 year contract period; 4) reduction of greenhouse gas emissions

when compared to current landfilling disposal; and, 5) generation of renewable energy. Should the City choose to formally commit its residual waste to a CT facility, the City would first enter into an agreement with the vendor and with the other participating agencies. The contract would specify the following terms and conditions and would likely yield the following outcomes:

- Project Location: Tajiguas Landfill. Alternative sites would be evaluated in the CEQA document.
- Project Financing: The vendor would finance and own the facility. Participating jurisdictions would have no rights or obligations regarding facility financing and ownership. However, the participating jurisdictions would have the right to purchase the facility at the end of the contract term.
- Contract term: 20 years
- Anticipated Diversion Rate: According to Mustang, the base proposal would increase diversion of the waste that is currently landfilled by 50-60%. Staff is working to verify this level of performance. If achieved, the increased diversion would double permitted landfill capacity at project onset, based upon current disposal rates. For example, if the CT facility were operational by 2016, an additional ten years of permitted capacity would be gained at Tajiguas Landfill.
- Formal tonnage commitment: The City would commit to deliver a fixed range of waste tonnage, including a minimum and maximum, to the facility in exchange for a set tipping fee.
- “Put or Pay” provision: The City would be contractually obligated to pay the vendor for the minimum volume of waste committed as the vendor’s financing is dependent upon receiving revenue from this minimum volume. If the City delivered less material to the facility, then ratepayers would experience no rate relief.
- Tipping Fees: The exact tipping fees to be charged by the vendor would depend upon a number of factors; however, the primary driver of the tipping fee will be the ultimate size of the facility, which will be determined based upon tonnage commitments of the participating agencies as described below.

The RFP requested only one tipping fee that would apply to all materials entering the facility. Since receipt of proposals, staff has explored additional options with the vendor including: 1) processing of source-separated commingled recyclables that are currently sent to Gold Coast Recycling in Ventura; and, 2) separate processing of the City’s source separated foodscrap. If these deal points could be resolved with the vendor, then up to three separate tipping fees would be charged: one for trash (black bin); one for commingled recyclables (blue bin); and, one for foodscrap (yellow bin). One significant difference between the City and the other

participating jurisdictions is the City's foodscrap collection program for the business sector. Mustang has indicated that it would provide a discount to the base tipping fee for source-separated foodscrap. Staff is still evaluating whether this discount would be equal to or lower than the current \$54 per ton that the City currently pays to its compost vendor, Engel and Gray in Santa Maria.

It is interesting to note that according to Mustang representatives, delivery of source-separated foodscrap in the business sector and co-collection of foodscrap and greenwaste from the residential sector by all participating jurisdictions in the region would be highly desirable. Not only do source-separated organics increase methane production and thus improve electrical generation, the digestate is easily converted into a high quality agricultural grade compost.

In addition to the base tipping fee, it should be noted that the County intends to apply an additional charge of approximately \$24 per ton to some or all of the materials delivered to the facility. Funds generated by the "site lease fee" would be used to provide needed funding for: 1) environmental monitoring and reporting as required by regulatory agencies; 2) to service debt incurred as part of the 2003 landfill expansion; and, 3) to satisfy state-mandated funding requirements of closure and post-closure costs associated with the County's landfills, including Tajiguas. According to County staff, this funding is currently captured in the existing tipping fee charged at Tajiguas Landfill.

In addition to the contractual terms with the vendor, a separate agreement would also be needed to formalize the roles and responsibilities of each of the participating agencies in the context of the regional project. Between a memorandum of understanding, a joint powers agreement or a joint powers authority, staff is currently proposing the creation of a joint powers authority (Authority). An Authority is a recognized and commonly used legal entity with a separate board. The specific details of the how an authority will be structured will be discussed with Council in the coming months.

### **Next Steps:**

During the second half of the fiscal year, City staff will continue to work with County staff to complete the following remaining tasks:

1. Determine the size of facility (December 2011 – January 2012): Each of the participating agencies is evaluating historic disposal and diversion data in order to forecast anticipated disposal tonnages throughout the contract term. Variables that influence trash generation include economic forces, recyclable commodity prices, government regulation (e.g. packaging laws and "take-back" requirements) and existing and future diversion programs administered by the City.

With the elimination of gasification from practical consideration, achievable diversion rates fall from approximately 85-95% to approximately 50-60%. For this

reason, the Mustang base proposal re-establishes the importance of traditional diversion programs. Moreover, monetary and other incentives for City residents and businesses to recycle through the curbside collection program would play a significant role in the City's tonnage commitments and should therefore be carefully considered in light of MarBorg's current proposal to service Zone 1 and Zone 2 through 2023. Staff will discuss existing and future City diversion programs with the Sustainability Committee and Council between January and March 2012.

2. Enter into an exclusive right to negotiate and develop a "term sheet" that establishes key business terms between Mustang and the jurisdictions (January - April 2012).
3. Initiate CEQA Review: The Board of Supervisors will consider staff's recommendation to initiate review of the Mustang proposal, tentatively scheduled for January 17, 2012. This action would include authorization to procure the services of a consultant to assist with the CEQA process and preparation of the actual environmental document.
4. Development of Joint Powers Agreement among participating jurisdictions (2012)
5. Complete Due Diligence Investigation (Winter 2011/Spring 2012): A number of unresolved issues remain which staff is continuing to investigate and evaluate including: 1) verifying various operational and technological assumptions related to the Mustang proposal; 2) understanding the basis for and application of the site lease fee; and, 3) verifying anticipated diversion rates.

#### **BUDGET/FINANCIAL INFORMATION:**

As described above, under the Mustang base proposal, the City would pay the vendor a tipping fee for each qualifying ton delivered to the facility. Similar to tipping fees charged at Tajiguas Landfill, the CT tipping fee would be applied to residential and business sector trash and recycling rates to cover the cost of processing waste and disposing of the residual. Also similar to current practice, these costs would be "passed through" to the City's franchised waste hauler and ultimately paid by ratepayers. Exact tipping fees to be charged by the vendor and the impact to residential and business sector customers will be determined once the ultimate size of the facility is known, most likely in spring of 2012.

#### **SUSTAINABILITY IMPACT:**

Implementation of the Mustang base proposal could significantly increase the City's diversion rate of its franchised solid waste. Such an increase would double the remaining permitted capacity of the Tajiguas Landfill depending upon disposal rates and when the facility became operational. The project would also reduce greenhouse gas emissions when compared to current landfill disposal and would generate renewable energy.

**PREPARED BY:** Matt Fore, Environmental Services Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012

**TO:** Mayor and Councilmembers

**FROM:** City Administrator's Office

**SUBJECT:** Appointment Of Mayor Pro Tempore, Ordinance Committee, And Finance Committee

### RECOMMENDATION:

That Council consider the appointment of a Mayor Pro Tempore, and Chairs and Members of the Ordinance and Finance Committees.

### DISCUSSION:

Designation of Mayor Pro Tempore - Section 504 of the Charter says that "The City Council shall designate one (1) of its members as Mayor Pro Tempore, who shall serve in such capacity at the pleasure of the City Council." Council Member Bendy White presently holds the position.

Appointment of Ordinance Committee - Resolution No. 94-129, adopted on October 11, 1994, provides for the appointment of a three (3) member Ordinance Committee and the designation of the Chair of said Committee to serve for one year. Also, Resolution No. 99-034 providing for the appointment of the Mayor as an alternate member was adopted on May 4, 1999. The current membership of this committee is as follows:

- Grant House, Chair  
Frank Hotchkiss  
Randy Rowse  
Helene Schneider, Alternate

Appointment of Finance Committee - Resolution No. 99-035, also adopted on May 4, 1999, provides for the appointment of a three (3) member Finance Committee and the designation of the Chair of said Committee to serve for one year. This Resolution also provides for the appointment of the Mayor as an alternate member. The current membership of the Finance Committee is as follows:

- Dale Francisco, Chair  
Bendy White  
Michael Self  
Helene Schneider, Alternate

City Council members also serve on various regional bodies and serve as liaisons to various City Advisory Groups. We will be asking that Council make these appointments on January 24, 2012.

**PREPARED BY:** Jennifer Jennings, Administrator's Office Supervisor

**SUBMITTED BY:** Jim Armstrong, City Administrator

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012  
**TO:** Mayor and Councilmembers  
**FROM:** City Attorney's Office  
**SUBJECT:** Conference With Legal Counsel – Pending Litigation

### RECOMMENDATION:

That Council hold a closed session to consider pending litigation pursuant to subsection (a) of section 54956.9 of the Government Code and take appropriate action as needed.

The pending litigation is *Santa Barbara Channelkeeper v. City of Santa Barbara*, USDC Case No. CV-1103624 JHN (AGR<sub>x</sub>).

### SCHEDULING:

Duration: 15 minutes - Anytime

### REPORT:

None anticipated

**SUBMITTED BY:** Stephen P. Wiley, City Attorney

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** January 10, 2012  
**TO:** Mayor and Councilmembers  
**FROM:** City Attorney's Office  
**SUBJECT:** Conference With Legal Counsel - Anticipated Litigation

### RECOMMENDATION:

That Council hold a closed session to consider significant exposure to litigation (one potential case) pursuant to subsection (b)(1) of section 54956.9 of the Government Code and take appropriate action as needed.

### SCHEDULING:

Duration: 15 minutes - Anytime

### REPORT:

None anticipated

**SUBMITTED BY:** Stephen P. Wiley, City Attorney

**APPROVED BY:** City Administrator's Office