

**CITY OF SANTA BARBARA  
CITY COUNCIL**

**Helene Schneider**  
*Mayor*  
**Randy Rowse**  
*Mayor Pro Tempore*  
**Grant House**  
*Ordinance Committee Chair*  
**Dale Francisco**  
*Finance Committee Chair*  
**Frank Hotchkiss**  
**Cathy Murillo**  
**Bendy White**



**James L. Armstrong**  
*City Administrator*

**Stephen P. Wiley**  
*City Attorney*

**City Hall**  
*735 Anacapa Street*  
<http://www.SantaBarbaraCA.gov>

**SEPTEMBER 24, 2013  
AGENDA**

**ORDER OF BUSINESS:** Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council meeting begins at 2:00 p.m. in the Council Chamber at City Hall.

**REPORTS:** Copies of the reports relating to agenda items are available for review in the City Clerk's Office, at the Central Library, and <http://www.SantaBarbaraCA.gov>. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") for that item from either the Clerk's Office, the Reference Desk at the City's Main Library, or online at the City's website (<http://www.SantaBarbaraCA.gov>). Materials related to an item on this agenda submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office located at City Hall, 735 Anacapa Street, Santa Barbara, CA 93101, during normal business hours.

**PUBLIC COMMENT:** At the beginning of the 2:00 p.m. session of each regular City Council meeting, and at the beginning of each special City Council meeting, any member of the public may address the City Council concerning any item not on the Council's agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the City Council. Should City Council business continue into the evening session of a regular City Council meeting at 6:00 p.m., the City Council will allow any member of the public who did not address them during the 2:00 p.m. session to do so. The total amount of time for public comments will be 15 minutes, and no individual speaker may speak for more than 1 minute. The City Council, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

**REQUEST TO SPEAK:** A member of the public may address the Finance or Ordinance Committee or City Council regarding any scheduled agenda item. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that the item is taken up by the Finance or Ordinance Committee or City Council.

**CONSENT CALENDAR:** The Consent Calendar is comprised of items that will not usually require discussion by the City Council. A Consent Calendar item is open for discussion by the City Council upon request of a Councilmember, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak at the time the Council considers the Consent Calendar.

**AMERICANS WITH DISABILITIES ACT:** In compliance with the Americans with Disabilities Act, if you need special assistance to gain access to, comment at, or participate in this meeting, please contact the City Administrator's Office at 564-5305 or inquire at the City Clerk's Office on the day of the meeting. If possible, notification at least 48 hours prior to the meeting will enable the City to make reasonable arrangements in most cases.

**TELEVISION COVERAGE:** Each regular City Council meeting is broadcast live in English and Spanish on City TV Channel 18 and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 9:00 a.m., and in Spanish on Sundays at 4:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at [www.citytv18.com](http://www.citytv18.com) for rebroadcasts of Finance and Ordinance Committee meetings, and for any changes to the replay schedule.

## REGULAR CITY COUNCIL MEETING – 2:00 P.M.

### CALL TO ORDER

### PLEDGE OF ALLEGIANCE

### ROLL CALL

### CHANGES TO THE AGENDA

### PUBLIC COMMENT

### CONSENT CALENDAR

**1. Subject: Minutes**

Recommendation: That Council waive the reading and approve the minutes of the regular meeting of September 10, 2013.

**2. Subject: Adoption Of Ordinance For Lease Agreement With Jacob Stern & Sons, Inc. (330.04)**

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Approving and Authorizing the Airport Director to Execute a Twenty (20) Year Lease Agreement Between the City of Santa Barbara and Jacob Stern and Sons, Inc., a Pennsylvania Corporation, for a monthly rental of \$811, adjusted to market rate every five years, for 15,015 Square Feet of Land at 101 Cyril Hartley Place, at the Santa Barbara Airport, to Take Effect on the Effective Date of the Ordinance.

**3. Subject: Fiscal Year 2014 Interim Financial Statements For The One Month Ended July 31, 2013 (250.02)**

Recommendation: That Council accept the Fiscal Year 2014 Interim Financial Statements for the One Month Ended July 31, 2013.

## CONSENT CALENDAR (CONT'D)

**4. Subject: August 2013 Investment Report (260.02)**

Recommendation: That Council accept the August 2013 Investment Report.

**5. Subject: Approval Of Emergency Purchase Order For Jimeno Road Storm Drain Repair (530.04)**

Recommendation: That Council approve an Emergency Purchase Order to Lash Construction, Inc., in the amount of \$33,048.63 to repair a failed City storm drain and resulting sinkhole on Jimeno Road.

**6. Subject: Pledge Wastewater Fund Net Revenue To Payment Of Clean Water State Revolving Fund Financing Agreement (540.13)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Pledging the Wastewater Fund Net Revenue to Payment of a Clean Water State Revolving Fund Financing Agreement.

**7. Subject: Approval Of Benefit Plans Effective January 1, 2014 (430.06)**

Recommendation: That Council:

- A. Approve renewal of the Aetna and Kaiser Permanente medical plans; Delta Dental Plans; Vision Service Plan; Employee Assistance Program (EAP); Flexible Spending Accounts; and Hartford Life and Disability Insurance Plans; and
- B. Authorize the Assistant City Administrator to execute any necessary agreements for renewals with current carriers, and with Empyrean Benefit Solutions, for replacement benefits administration contract services.

**8. Subject: Contract For Final Design For Fleet Management Facility (320.01)**

Recommendation: That Council authorize the Public Works Director to execute a professional services contract with Kruger Benson Zeimer Architects in the amount of \$30,350 for final design (drawings and specifications) for the Fleet Management Restroom and Service Reception Remodel, and approve expenditures up to \$3,035 for extra services by Kruger Benson Zeimer Architects that may result from necessary changes in the scope of work.

## **CONSENT CALENDAR (CONT'D)**

**9. Subject: Set A Date For Public Hearing Regarding Appeal Of Parks And Recreation Commission Denial For 2946 De La Vina Street (570.08)**

Recommendation: That Council:

- A. Set the date of October 15, 2013, at 2:00 p.m. for hearing the appeal filed by Daniel Waldman, Foothill Pacific, LLC, of the Parks and Recreation Commission denial of an application for the removal of one (1) *Pinus canariensis*, Canary Island Pine, located at 2946 De La Vina Street; and
- B. Set the date of October 14, 2013, at 1:30 p.m. for a site visit to the property located at 2946 De La Vina Street.

**10. Subject: Set A Date For Public Hearing Regarding Appeal Of Planning Commission Certification Of The Final Environmental Impact Report (EIR) For The City's Proposed Single-Use Carryout Bag Ordinance (640.07)**

Recommendation: That Council set the date of October 1, 2013, at 2:00 p.m. for hearing the appeal filed by Stephen Joseph, representing the Save the Plastic Bag Coalition, of the Planning Commission's certification of the Final Environmental Impact Report (EIR) for the City's proposed Single-Use Carryout Bag Ordinance.

## **NOTICES**

- 11. The City Clerk has on Thursday, September 19, 2013, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
- 12. Receipt of communication advising of vacancy created on the Santa Barbara Youth Council with the resignation of Erin Linehan; the vacancy will be part of the current City Advisory Group recruitment.

**This concludes the Consent Calendar.**

## **CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS**

**13. Subject: Status Report On Resource Recovery Project (630.01)**

Recommendation: That Council receive a report from the Environmental Services Manager regarding the status of the Resource Recovery Project.

## COUNCIL AND STAFF COMMUNICATIONS

### COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

#### CLOSED SESSIONS

**14. Subject: Conference with Real Property Negotiators (330.03)**

Recommendation: That Council hold a closed session pursuant to Government Code Section 54956.8 to consider the possible lease of real property.

Real Property: A portion of the City-owned property located at 631 Garden Street in the City of Santa Barbara (APN 031-152-033).

City Negotiators: Paul Casey, Assistant City Administrator/Community Development Director; Nina Johnson, Assistant to the City Administrator; Stephen P. Wiley, City Attorney; Sarah Knecht, Assistant City Attorney.

Negotiating Parties: Santa Barbara Arts Collaborative.

Under Negotiation: Instructions to negotiators regarding the price and terms of payment of a possible ground lease.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

**15. Subject: Conference With Labor Negotiator (440.05)**

Recommendation: That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the General Bargaining Unit, Treatment and Patrol Bargaining Units, Hourly Bargaining Unit, Police Management Association, and regarding salaries and fringe benefits for certain unrepresented management and confidential employees.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

## **CLOSED SESSIONS (CONT'D)**

### **16. Subject: Conference with Real Property Negotiators (330.03)**

Recommendation: That Council hold a closed session pursuant to Government Code Section 54956.8 to consider the possible purchase of an option in real property.

Real Property: 420 East De La Guerra Street, Santa Barbara, California  
(APN 031-092-022).

City Negotiators: Paul Casey, Assistant City Administrator/Community Development Director; Stephen P. Wiley, City Attorney; Sarah Knecht, Assistant City Attorney.

Negotiating Parties: Housing Authority of the City of Santa Barbara.

Under Negotiation: Price and terms of payment for the purchase of an option in real property.

Scheduling: Duration, 20 minutes; anytime

Report: None anticipated

## **ADJOURNMENT**



# CITY OF SANTA BARBARA CITY COUNCIL MINUTES

## REGULAR MEETING September 10, 2013 COUNCIL CHAMBER, 735 ANACAPA STREET

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### CALL TO ORDER

Mayor Helene Schneider called the meeting to order at 2:00 p.m. (The Finance Committee and Ordinance Committee, which ordinarily meet at 12:30 p.m., did not meet on this date.)

### PLEDGE OF ALLEGIANCE

Mayor Schneider.

### ROLL CALL

Councilmembers present: Dale Francisco, Frank Hotchkiss, Grant House, Cathy Murillo, Randy Rowse, Bendy White, Mayor Schneider.

Councilmembers absent: None.

Staff present: City Administrator James L. Armstrong, City Attorney Stephen P. Wiley, City Clerk Services Manager Gwen Peirce.

### CEREMONIAL ITEMS

#### 1. **Subject: Employee Recognition - Service Award Pins (410.01)**

Recommendation: That Council authorize the City Administrator to express the City's appreciation to employees who are eligible to receive service award pins for their years of service through September 30, 2013.

#### Documents:

September 10, 2013, report from the Assistant City Administrator.

#### Speakers:

Staff: City Administrator James Armstrong, Award Recipient Louis Chiourn.

(Cont'd)

1. (Cont'd)

By consensus, the Council approved the recommendation, and the following employees were recognized:

5 YEARS

Tiffany Keller, Police Officer, Police Department  
Guillermo Cipres, Police Officer, Police Department  
Ashleigh Shue, Project Engineer II, Public Works Department  
Hugo Miguel Perez, Custodian, Airport Department

10 YEARS

Lynne Sparks, Senior Accountant, Finance Department  
Eric Just, GIS Coordinator, Administrative Services Department  
Rodolfo Saldana, Grounds Maintenance Worker, Parks & Recreation Department  
Patricia Herrera, Office Specialist II, Parks & Recreation Department

20 YEARS

Alan Cooper, Senior Building Inspector, Community Development Department

25 YEARS

James Ella, Police Sergeant, Police Department

30 YEARS

Louis Chiourn, Laboratory Supervisor, Public Works Department

2. **Subject: Proclamation Dedicating The John T. Rickard Airport Terminal (120.04)**

Action: The Proclamation was presented to Dennis Rickard and other members of the Rickard family.

**PUBLIC COMMENT**

Speakers: Robert Burke; Steve Reynolds; Matthew Kramer; Anais Borg-Marks, Anti-Defamation League.

**CONSENT CALENDAR (Item Nos. 3 – 15)**

The titles of resolutions related to Consent Calendar items were read.

Motion:

Councilmembers Rowse/Francisco to approve the Consent Calendar as recommended.

Vote:

Unanimous roll call vote.

**3. Subject: Minutes**

Recommendation: That Council waive the reading and approve the minutes of the regular meetings of August 6, and August 13, 2013, and the regular meetings of August 20, August 27, and September 3, 2013 (cancelled).

Action: Approved the recommendation.

**4. Subject: Contract For Construction For Runway 15R-33L Pavement Rehabilitation (560.04)**

Recommendation: That Council:

- A. Accept, and authorize the Airport Director to execute on behalf of the City, a Federal Aviation Administration Grant offer, No. 306-0235-46, in an amount not to exceed \$2,690,106 in Airport Improvement Program funds for rehabilitation of Taxiways C, H, and J, Phase II, and rehabilitation of Runway 15R, Phase I;
- B. Approve a transfer of \$277,141 from the Airport Operating Fund from available reserves representing the City's 9.34 percent grant match;
- C. Award a contract with Granite Construction in their low bid amount of \$1,743,655 for construction of the Runway 15R-33L Pavement Rehabilitation project, Bid No. 3703, and Authorize the Public Works Director to execute the contract and approve expenditures up to \$85,823 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment;
- D. Approve a transfer of \$85,823 from the Airport Operating Fund from available reserves representing the budget for contract change orders for construction of the Runway 15R-33L Pavement Rehabilitation project;
- E. Authorize the Public Works Director to execute a contract with Kimley-Horn and Associates, Inc., in the amount of \$198,357 for construction support services, and approve expenditures of up to \$13,300 for extra services of Kimley-Horn and Associates, Inc., that may result from necessary changes in the scope of work; and
- F. Increase appropriations and estimated revenue by \$3,053,070 in the Airport's Grants Fund to be funded from the Federal Aviation Administration Airport Improvement Program grant, No. 306-0235-46, totaling \$2,690,106, and the City's \$277,141 match from reserves, and the \$85,823 transfer from the Airport Operating Fund for the construction change order authorization.

Action: Approved the recommendations; Contract Nos. 24,605 – 24,607 (September 10, 2013, report from the Public Works Director).

**5. Subject: Award Of Professional Services Agreement To Rincon Consultants, Inc. (630.01)**

Recommendation: That Council authorize the issuance of a Professional Services Agreement with Rincon Consultants, Inc., subject to review and approval of the form of agreement by the City Attorney and the Finance Director, in an amount not to exceed \$300,000 for the monitoring and reporting program for Las Positas Closed Landfill for a term of up to three years with an optional two-year extension as additional one-year increments.

Action: Approved the recommendation; Agreement No. 24,608 (September 10, 2013, report from the Finance Director).

**6. Subject: Agreements For Afterschool Recreation Programs (570.06)**

Recommendation: That Council:

- A. Authorize the Parks and Recreation Director to enter into two agreements with the Santa Barbara Unified School Districts (SBUSD) for the Recreation Afterschool Program (RAP) and Afterschool Opportunities for Kids (A-OK);
- B. Decrease appropriations and estimated revenues in the Fiscal Year 2014 Parks and Recreation Department Miscellaneous Grants Fund in the amount of \$9,044; and
- C. Increase appropriations and estimated revenues in the Fiscal Year 2014 Parks and Recreation Department General Fund in the amount of \$9,044.

Action: Approved the recommendations; Agreement Nos. 24,609 – 24,610 (September 10, 2013, report from the Parks and Recreation Director).

**7. Subject: Revisions To City's Emergency Management Plan (520.02)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Adopting the January 2013 Revisions to the City of Santa Barbara Emergency Management Plan.

Action: Approved the recommendation; Resolution No. 13-072 (September 10, 2013, report from the Fire Chief; proposed resolution).

**8. Subject: Resolution Of Acceptance For Mission Creek Easement At 306 West Ortega Street (330.03)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara for Acceptance of a Permanent Easement Interest Located at 306 West Ortega Street, and Consenting to the Recordation of the Mission Creek Exclusive Easement Deed in the Official Records, County of Santa Barbara.

(Cont'd)

**8. (Cont'd)**

Action: Approved the recommendation; Resolution No. 13-073 (September 10, 2013, report from the Public Works Director; proposed resolution).

**9. Subject: Acquisition Of Real Property For The Mason Street Bridge Replacement Project (330.03)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara to Acquire and Accept the Real Property Interests Located at 135 Kimberly Avenue, 16 W. Mason Street, and 28 W. Cabrillo Boulevard, Relating to the Mason Street Bridge Replacement Project, and Authorizing the Public Works Director to Execute Such Agreements and Documents as Necessary for the Acquisition and Acceptance of Said Real Property Interests, Subject to Approval of the Form of the Agreement by the City Attorney, and Record Said Real Property Interests in the Official Records of the County of Santa Barbara.

Action: Approved the recommendation; Resolution No. 13-074; Agreement Nos. 24,611, 24,619 – 24,620 (September 10, 2013, report from the Public Works Director; proposed resolution).

**10. Subject: Contract For Construction For The Conejo Road Sewer Main Extension And Acceptance Of Public Utility Easement (540.13)**

Recommendation: That Council:

- A. Award a contract with Tierra Contracting in their low bid amount of \$84,829 for construction of the Conejo Road Sewer Main Extension, Bid No. 3693;
- B. Authorize the Public Works Director to execute the contract and approve expenditures up to \$10,179 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment; and
- C. Adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara for Acceptance of a Permanent Easement Interest Located at 450 Conejo Road, and Authorizing the Public Works Director to Execute an Easement Purchase Agreement in the Amount of \$5,600, and Consenting to the Recordation of the Public Utility Easement Deed in the Official Records, County of Santa Barbara.

Action: Approved the recommendations; Contract No. 24,612 and Agreement No. 24,621; Resolution No. 13-075 (September 10, 2013, report from the Public Works Director; proposed resolution).

**11. Subject: Certification Of Administrative Citation Program Unpaid Fines List (640.04)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Certifying the Amounts of Administrative Citations Greater than 60 Days Past Due for the Purpose of Either Referral to a Collection Agency or Filing a Lien Against the Property.

Speakers:

- Staff: Building Official George Estrella, City Attorney Stephen Wiley.
- Members of the Public: Bob McNall.

Action: Approved the recommendation; Resolution No. 13-076 (September 10, 2013, report from the Community Development Director; proposed resolution).

**12. Subject: Grants From California State Library, American Riviera Bank, And National Endowment For The Arts (570.04)**

Recommendation: That Council:

- A. Authorize the Library Director to accept a \$17,000 grant from the California State Library to develop and implement the program "Apps for All";
- B. Authorize the Library Director to accept a \$1,000 grant from American Riviera Bank in support of the "Apps for All" project;
- C. Authorize the Library Director to accept a \$15,850 grant from the National Endowment for the Arts to develop and implement the Big Read program;
- D. Authorize the Library Director to accept a \$4,500 grant from the Santa Barbara Foundation to develop and implement the Big Read program; and
- E. Approve an increase to Estimated Library Grant Revenues; and increase the appropriations for the Grant Program in the General Fund, by \$38,350.

Action: Approved the recommendations (September 10, 2013, report from the Library Director).

**NOTICES**

13. The City Clerk has on Thursday, September 5, 2013, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
14. The City Council will hold a public hearing on Tuesday, October 1, 2013, at 2:00 p.m. to consider the appeal filed by Stephen Joseph, representing the Save the Plastic Bag Coalition, of the Planning Commission's certification of the Final Environmental Impact Report for the City's proposed Single-Use Carryout Bag Ordinance.

15. The site visit scheduled for September 9, 2013, at 1:30 p.m., and the public hearing scheduled for September 10, 2013, at 2:00 p.m. to hear an appeal of the Parks and Recreation Commission's decision to deny the removal of two front setback trees located at 2610 Hacienda Court has been cancelled due to withdrawal of the appeal.

This concluded the Consent Calendar.

## **CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS**

### CITY ADMINISTRATOR

**16. Subject: Intent to Award Gas Franchise to SoCalGas (510.04)**

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Declaring its Intent to Grant a 30-Year Franchise, with an Option to Extend for 10 Additional Years, to Southern California Gas Company and to Set the Time and Place for a Public Hearing Pursuant to Article XIV of the City Charter.

Documents:

- September 10, 2013, report from the City Administrator.
- Proposed Resolution.
- PowerPoint presentation prepared and made by Staff.

Speakers:

- Staff: Employee Relations Manager Kristy Schmidt.
- Southern California Gas Company: Tim Mahoney.

Motion:

Councilmembers White/Rowse to approve the recommendation, adding language to Section 11C of Exhibit A or resolution; Resolution No. 13-077.

Vote:

Unanimous roll call vote.

### PARKS AND RECREATION DEPARTMENT

**17. Subject: Neighborhood Health Fair And Family Fun Day (570.06)**

Recommendation: That Council receive information on the Neighborhood Health Fair and Family Fun Day.

Documents:

- September 10, 2013, report from the Parks and Recreation Director.
- PowerPoint presentation prepared and made by Staff.

(Cont'd)

**17. (Cont'd)**

Speakers:

Staff: Senior Neighborhood and Outreach Services Supervisor Mark Alvarado.

By consensus, the City Council received the report.

**COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS**

Information:

- Councilmember Murillo reported on her attendance at the recent meetings of the Santa Barbara Youth Council and the Metropolitan Transit District Board. She also announced that the recruitment period is open for City advisory groups.
- Councilmember White reported on his attendance at a recent Water Commission meeting where water levels at Lake Cachuma were discussed. He mentioned that John Jostes won the Sharon M. Pickett Award from the Association of Conflict Resolution.

**RECESS**

The Mayor recessed the meeting at 3:11 p.m. in order for the Council to reconvene in closed session for Item Nos. 18, 19 and 20. She stated that no reportable action is anticipated.

**CLOSED SESSIONS**

**20. Subject: Conference With Real Property Negotiators (330.03)**

Recommendation: That Council hold a closed session pursuant to Government Code Section 54956.8 to consider the possible purchase of real property.

Real Property: 3742 Foothill Road, Santa Barbara, California, APN 055-020-034.

City Negotiators: Cameron Benson, Creeks Manager; Stephen P. Wiley, City Attorney; N. Scott Vincent, Assistant City Attorney.

Negotiating Parties: Brigette von dem Hagen for property owner Sandra De Forrest Trust.

Under Negotiation: Price and terms of purchase of real property.

Scheduling: Duration, 20 minutes; anytime

Report: None anticipated

Documents:

September 10, 2013, report from the Parks and Recreation Director.

Time:

3:15 p.m. – 3:30 p.m.

No report made.

**18. Subject: Conference With Real Property Negotiators (330.03)**

Recommendation: That Council hold a closed session pursuant to Government Code Section 54956.8 (Conference with Real Property Negotiators):

Real Property: A portion of the Airport property located at 6100 Hollister Avenue bounded by Hollister Avenue, Frederick Lopez Road, Francis Botello Road and David Love Place (Parcel 22 of the Airport Specific Plan Map, City Parcel Map No. 20,608) in the City of Santa Barbara.

City Negotiators: Karen Ramsdell, Airport Director; Paul Casey, Assistant City Administrator/Community Development Director; Stephen P. Wiley, City Attorney; Sarah Knecht, Assistant City Attorney.

Negotiating Parties: Direct Relief International: Thomas Tighe, President and CEO; Judy Partch, Director of Human Resources, Administration & Compliance.

Under Negotiation: Instructions to negotiators regarding the price and terms of payment of a possible ground lease or property purchase.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

Documents:

September 10, 2013, report from the Airport Director.

Time:

3:30 p.m. – 4:20 p.m.

No report made.

**19. Subject: Conference With Labor Negotiator (440.05)**

Recommendation: That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the Police Bargaining Unit and General Bargaining Unit.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

Documents:

September 10, 2013, report from the Assistant City Administrator.

Time:

4:20 p.m. – 4:50 p.m.

No report made.

**ADJOURNMENT**

Mayor Schneider adjourned the meeting at 4:50 p.m.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA  
CITY CLERK'S OFFICE

\_\_\_\_\_  
HELENE SCHNEIDER  
MAYOR

ATTEST:

\_\_\_\_\_  
GWEN PEIRCE, CMC  
CITY CLERK SERVICES MANAGER

ORDINANCE NO. \_\_\_\_\_

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA APPROVING AND AUTHORIZING THE AIRPORT DIRECTOR TO EXECUTE A TWENTY (20) YEAR LEASE AGREEMENT BETWEEN THE CITY OF SANTA BARBARA AND JACOB STERN & SONS, INC., A PENNSYLVANIA CORPORATION, FOR A MONTHLY RENTAL OF \$811, ADJUSTED TO MARKET RATE EVERY FIVE YEARS, FOR 15,015 SQUARE FEET OF LAND AT 101 CYRIL HARTLEY PLACE, AT THE SANTA BARBARA AIRPORT, TO TAKE EFFECT ON THE EFFECTIVE DATE OF THE ORDINANCE

THE COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. In accordance with the provisions of Section 521 of the Charter of the City of Santa Barbara, that certain lease between the City of Santa Barbara and Jacob Stern & Sons, Inc. which provides for the lease of 15,015 square feet of aviation ramp for operation of an on-demand charter service, at 101 Cyril Hartley Place, at the Santa Barbara Airport, for a period of twenty years, to take effect upon the effective date of the ordinance, is hereby approved.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** Accounting Division, Finance Department

**SUBJECT:** Fiscal Year 2014 Interim Financial Statements For The One Month Ended July 31, 2013

**RECOMMENDATION:**

That Council Accept the Fiscal Year 2014 Interim Financial Statements for the One Month Ended July 31, 2013.

**DISCUSSION:**

The interim financial statements for the one month ended July 31, 2013 (8.3% of the fiscal year) are attached. The interim financial statements include budgetary activity in comparison to actual activity for the General Fund, Enterprise Funds, Internal Service Funds, and select Special Revenue Funds.

**ATTACHMENT:** Interim Financial Statements for the One Month Ended July 31, 2013

**PREPARED BY:** Julie Nemes, Accounting Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenditures**  
**Summary by Fund**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>GENERAL FUND</b>					
Revenue	111,232,179	6,754,343	-	104,477,836	6.1%
Expenditures	111,232,179	8,888,293	1,221,279	101,122,608	9.1%
<i>Addition to / (use of) reserves</i>	-	(2,133,950)	(1,221,279)		
<b>SOLID WASTE FUND</b>					
Revenue	19,927,443	1,683,196	-	18,244,247	8.4%
Expenditures	19,987,120	1,497,364	43,886	18,445,870	7.7%
<i>Addition to / (use of) reserves</i>	(59,677)	185,832	(43,886)		
<b>WATER OPERATING FUND</b>					
Revenue	36,524,435	3,338,149	-	33,186,286	9.1%
Expenditures	45,374,860	2,321,238	1,817,304	41,236,319	9.1%
<i>Addition to / (use of) reserves</i>	(8,850,425)	1,016,911	(1,817,304)		
<b>WASTEWATER OPERATING FUND</b>					
Revenue	17,907,479	1,521,564	-	16,385,915	8.5%
Expenditures	19,079,393	999,273	1,732,738	16,347,383	14.3%
<i>Addition to / (use of) reserves</i>	(1,171,914)	522,292	(1,732,738)		
<b>DOWNTOWN PARKING</b>					
Revenue	7,420,709	601,343	-	6,819,367	8.1%
Expenditures	8,569,803	590,923	178,694	7,800,186	9.0%
<i>Addition to / (use of) reserves</i>	(1,149,094)	10,419	(178,694)		
<b>AIRPORT OPERATING FUND</b>					
Revenue	15,751,093	1,200,174	-	14,550,919	7.6%
Expenditures	15,892,268	817,262	478,538	14,596,469	8.2%
<i>Addition to / (use of) reserves</i>	(141,175)	382,913	(478,538)		
<b>GOLF COURSE FUND</b>					
Revenue	2,081,059	197,560	-	1,883,499	9.5%
Expenditures	2,049,523	102,708	-	1,946,815	5.0%
<i>Addition to / (use of) reserves</i>	31,536	94,852	-		
<b>INTRA-CITY SERVICE FUND</b>					
Revenue	5,140,413	413,842	-	4,726,571	8.1%
Expenditures	5,318,008	368,000	535,728	4,414,280	17.0%
<i>Addition to / (use of) reserves</i>	(177,595)	45,842	(535,728)		

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenditures**  
**Summary by Fund**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>
<b>FLEET REPLACEMENT FUND</b>					
Revenue	2,758,201	222,855	-	2,535,346	8.1%
Expenditures	4,288,636	13,656	20,000	4,254,980	0.8%
<i>Addition to / (use of) reserves</i>	<u>(1,530,435)</u>	<u>209,199</u>	<u>(20,000)</u>		
<b>FLEET MAINTENANCE FUND</b>					
Revenue	2,576,502	214,708	-	2,361,794	8.3%
Expenditures	2,625,440	137,351	302,193	2,185,895	16.7%
<i>Addition to / (use of) reserves</i>	<u>(48,938)</u>	<u>77,357</u>	<u>(302,193)</u>		
<b>SELF INSURANCE TRUST FUND</b>					
Revenue	5,960,947	499,685	-	5,461,262	8.4%
Expenditures	5,826,391	596,851	288,285	4,941,256	15.2%
<i>Addition to / (use of) reserves</i>	<u>134,556</u>	<u>(97,166)</u>	<u>(288,285)</u>		
<b>INFORMATION SYSTEMS ICS FUND</b>					
Revenue	2,514,997	208,583	-	2,306,414	8.3%
Expenditures	2,932,685	259,437	282,203	2,391,044	18.5%
<i>Addition to / (use of) reserves</i>	<u>(417,688)</u>	<u>(50,854)</u>	<u>(282,203)</u>		
<b>WATERFRONT FUND</b>					
Revenue	12,445,067	1,278,108	-	11,166,959	10.3%
Expenditures	13,402,766	1,108,440	679,594	11,614,732	13.3%
<i>Addition to / (use of) reserves</i>	<u>(957,699)</u>	<u>169,668</u>	<u>(679,594)</u>		
<b>TOTAL FOR ALL FUNDS</b>					
Revenue	242,240,524	18,134,111	-	224,106,413	7.5%
Expenditures	256,579,073	17,700,797	7,580,440	231,297,836	9.9%
<i>Addition to / (use of) reserves</i>	<u>(14,338,549)</u>	<u>433,315</u>	<u>(7,580,440)</u>		

*\*\* It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Budgeted and Actual Revenues**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD
<b>TAXES</b>					
Sales and Use	21,084,894	1,118,577	19,966,317	5.3%	1,054,702
Property Taxes	25,475,500	-	25,475,500	0.0%	301,863
Utility Users Tax	6,975,300	558,279	6,417,021	8.0%	563,334
Transient Occupancy Tax	16,202,000	1,568,987	14,633,013	9.7%	1,410,718
Business License	2,415,000	175,594	2,239,406	7.3%	157,161
Real Property Transfer Tax	537,900	52,937	484,963	9.8%	55,597
<i>Total</i>	<u>72,690,594</u>	<u>3,474,373</u>	<u>69,216,221</u>	4.8%	<u>3,543,375</u>
<b>LICENSES &amp; PERMITS</b>					
Licenses & Permits	219,180	12,736	206,445	5.8%	19,415
<i>Total</i>	<u>219,180</u>	<u>12,736</u>	<u>206,445</u>	5.8%	<u>19,415</u>
<b>FINES &amp; FORFEITURES</b>					
Parking Violations	2,628,967	267,756	2,361,211	10.2%	287,957
Library Fines	135,000	9,304	125,696	6.9%	8,943
Municipal Court Fines	120,000	16,944	103,056	14.1%	13,642
Other Fines & Forfeitures	250,000	31,859	218,141	12.7%	14,233
<i>Total</i>	<u>3,133,967</u>	<u>325,863</u>	<u>2,808,104</u>	10.4%	<u>324,775</u>
<b>USE OF MONEY &amp; PROPERTY</b>					
Investment Income	676,267	63,153	613,114	9.3%	72,556
Rents & Concessions	396,322	34,299	362,023	8.7%	27,213
<i>Total</i>	<u>1,072,589</u>	<u>97,452</u>	<u>975,137</u>	9.1%	<u>99,769</u>
<b>INTERGOVERNMENTAL</b>					
Grants	571,400	15,551	555,849	2.7%	947
Reimbursements	14,320	-	14,320	0.0%	159
<i>Total</i>	<u>585,720</u>	<u>15,551</u>	<u>570,169</u>	2.7%	<u>1,106</u>
<b>FEES &amp; SERVICE CHARGES</b>					
Finance	926,598	73,674	852,924	8.0%	71,190
Community Development	4,632,942	342,136	4,290,806	7.4%	348,747
Recreation	2,881,339	304,459	2,576,880	10.6%	298,471
Public Safety	617,033	32,461	584,572	5.3%	34,911
Public Works	5,584,761	487,374	5,097,388	8.7%	411,006
Library	660,061	2,113	657,948	0.3%	2,385
Reimbursements	4,293,383	354,169	3,939,214	8.2%	344,204
<i>Total</i>	<u>19,596,117</u>	<u>1,596,386</u>	<u>17,999,731</u>	8.1%	<u>1,510,914</u>
<b>OTHER REVENUES</b>					
Miscellaneous	1,615,251	439,009	1,176,242	27.2%	383,113
Franchise Fees	3,660,300	117,947	3,542,353	3.2%	123,639
Indirect Allocations	6,292,740	524,395	5,768,345	8.3%	486,821
Operating Transfers-In	2,365,721	150,631	2,215,090	6.4%	176,267
<i>Total</i>	<u>13,934,012</u>	<u>1,231,982</u>	<u>12,702,030</u>	8.8%	<u>1,169,841</u>
<b>TOTAL REVENUES</b>	<u>111,232,179</u>	<u>6,754,343</u>	<u>104,477,836</u>	6.1%	<u>6,669,195</u>

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>GENERAL GOVERNMENT</b>						
<u>Mayor &amp; City Council</u>						
MAYOR	740,831	56,105	-	684,726	7.6%	
<i>Total</i>	740,831	56,105	-	684,726	7.6%	57,002
<u>City Attorney</u>						
CITY ATTORNEY	2,095,929	168,591	-	1,927,338	8.0%	
<i>Total</i>	2,095,929	168,591	-	1,927,338	8.0%	184,256
<u>Administration</u>						
CITY ADMINISTRATOR	1,586,999	126,340	-	1,460,659	8.0%	
CITY TV	528,017	27,071	42,158	458,788	13.1%	
<i>Total</i>	2,115,016	153,411	42,158	1,919,447	9.2%	157,985
<u>Administrative Services</u>						
CITY CLERK	475,090	33,148	31,001	410,941	13.5%	
ADMIN SVCS-ELECTIONS	300,000	481	5,000	294,519	1.8%	
HUMAN RESOURCES	1,412,511	86,361	28,524	1,297,626	8.1%	
ADMIN SVCS-EMPLOYEE DEVELOPMENT	14,447	-	-	14,447	0.0%	
<i>Total</i>	2,202,048	119,990	64,525	2,017,533	8.4%	118,546
<u>Finance</u>						
ADMINISTRATION	218,465	15,564	4,885	198,016	9.4%	
TREASURY	518,121	31,377	-	486,744	6.1%	
CASHIERING & COLLECTION	458,460	34,748	-	423,712	7.6%	
LICENSES & PERMITS	469,695	43,139	23,258	403,298	14.1%	
BUDGET MANAGEMENT	430,198	32,268	-	397,930	7.5%	
ACCOUNTING	595,851	37,028	-	558,823	6.2%	
PAYROLL	293,974	23,548	-	270,426	8.0%	
ACCOUNTS PAYABLE	226,149	17,437	-	208,712	7.7%	
CITY BILLING & CUSTOMER SERVICE	659,788	17,914	186,557	455,318	31.0%	
PURCHASING	639,003	47,845	811	590,347	7.6%	
CENTRAL STORES	170,978	13,173	476	157,330	8.0%	
MAIL SERVICES	109,740	7,971	476	101,292	7.7%	
<i>Total</i>	4,790,422	322,011	216,463	4,251,948	11.2%	328,486
<b>TOTAL GENERAL GOVERNMENT</b>	<b>11,944,246</b>	<b>820,107</b>	<b>323,146</b>	<b>10,800,992</b>	<b>9.6%</b>	<b>846,274</b>
<b>PUBLIC SAFETY</b>						
<u>Police</u>						
CHIEF'S STAFF	1,035,400	77,821	668	956,911	7.6%	
SUPPORT SERVICES	690,977	47,866	490	642,622	7.0%	
RECORDS	1,293,046	89,734	28,708	1,174,604	9.2%	
COMMUNITY SVCS	1,035,807	80,512	12,900	942,395	9.0%	
PROPERTY ROOM	213,001	13,168	1,295	198,538	6.8%	
TRNG/RECRUITMENT	467,896	36,440	(6,239)	437,695	6.5%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>PUBLIC SAFETY</b>						
<u>Police</u>						
RANGE	1,267,543	91,856	50,000	1,125,687	11.2%	
BEAT COORDINATORS	858,553	68,786	-	789,767	8.0%	
INFORMATION TECHNOLOGY	1,189,509	51,729	37,176	1,100,604	7.5%	
INVESTIGATIVE DIVISION	4,943,915	438,585	-	4,505,330	8.9%	
CRIME LAB	155,092	11,087	3,973	140,032	9.7%	
PATROL DIVISION	15,821,865	1,166,169	224,762	14,430,934	8.8%	
TRAFFIC	1,415,405	98,804	1,100	1,315,501	7.1%	
SPECIAL EVENTS	831,095	115,381	20,856	694,858	16.4%	
TACTICAL PATROL FORCE	1,423,691	96,835	1,496	1,325,360	6.9%	
STREET SWEEPING ENFORCEMENT	340,916	25,728	-	315,188	7.5%	
NIGHT LIFE ENFORCEMENT	301,944	25,404	-	276,540	8.4%	
PARKING ENFORCEMENT	989,866	78,856	27,800	883,210	10.8%	
CCC	2,462,970	175,128	215	2,287,627	7.1%	
ANIMAL CONTROL	661,248	25,161	-	636,087	3.8%	
<i>Total</i>	<u>37,399,739</u>	<u>2,815,049</u>	<u>405,200</u>	<u>34,179,490</u>	8.6%	<u>2,836,672</u>
<u>Fire</u>						
ADMINISTRATION	816,274	58,312	6,500	751,462	7.9%	
EMERGENCY SERVICES AND PUBLIC ED	294,891	22,337	-	272,554	7.6%	
PREVENTION	1,118,386	91,928	-	1,026,458	8.2%	
WILDLAND FIRE MITIGATION PROGRAM	194,392	13,610	(4,490)	185,272	4.7%	
OPERATIONS	17,996,714	1,476,276	41,153	16,479,285	8.4%	
ARFF	1,860,354	136,976	-	1,723,378	7.4%	
<i>Total</i>	<u>22,281,011</u>	<u>1,799,439</u>	<u>43,163</u>	<u>20,438,409</u>	8.3%	<u>1,784,064</u>
<b>TOTAL PUBLIC SAFETY</b>	<u>59,680,750</u>	<u>4,614,488</u>	<u>448,363</u>	<u>54,617,899</u>	8.5%	<u>4,620,737</u>
<b>PUBLIC WORKS</b>						
<u>Public Works</u>						
ADMINISTRATION	1,047,571	70,676	8,000	968,895	7.5%	
ENGINEERING SVCS	4,869,612	352,546	3,605	4,513,460	7.3%	
PUBLIC RT OF WAY MGMT	1,042,862	79,841	1,188	961,833	7.8%	
ENVIRONMENTAL PROGRAMS	492,234	13,414	244,175	234,645	52.3%	
<i>Total</i>	<u>7,452,279</u>	<u>516,478</u>	<u>256,968</u>	<u>6,678,833</u>	10.4%	<u>521,565</u>
<b>TOTAL PUBLIC WORKS</b>	<u>7,452,279</u>	<u>516,478</u>	<u>256,968</u>	<u>6,678,833</u>	10.4%	<u>521,565</u>
<b>COMMUNITY SERVICES</b>						
<u>Parks &amp; Recreation</u>						
PRGM MGMT & BUS SVCS	696,831	62,145	3,709	630,977	9.5%	
FACILITIES	768,855	53,519	-	715,336	7.0%	
YOUTH ACTIVITIES	941,043	180,583	9,199	751,262	20.2%	
SR CITIZENS	696,667	65,096	3,411	628,159	9.8%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>COMMUNITY SERVICES</b>						
<u>Parks &amp; Recreation</u>						
AQUATICS	1,249,438	187,161	45,107	1,017,170	18.6%	
SPORTS	532,302	35,066	13,498	483,738	9.1%	
TENNIS	274,749	20,790	20,000	233,959	14.8%	
NEIGHBORHOOD & OUTREACH SERV	1,018,681	77,324	-	941,357	7.6%	
ADMINISTRATION	627,767	47,165	2,973	577,629	8.0%	
PROJECT MANAGEMENT TEAM	371,618	20,548	-	351,070	5.5%	
FACILITY & PROJECT MGT	968,835	204,380	3,000	761,455	21.4%	
GROUNDS MANAGEMENT	4,670,456	297,806	69,145	4,303,504	7.9%	
FORESTRY	1,212,463	74,012	1,941	1,136,511	6.3%	
BEACH MAINTENANCE	153,422	9,342	(3,910)	147,990	3.5%	
<i>Total</i>	14,183,127	1,338,824	161,990	12,682,313	10.6%	1,244,724
<u>Library</u>						
ADMINISTRATION	460,433	30,644	-	429,789	6.7%	
PUBLIC SERVICES	2,474,792	168,686	-	2,306,106	6.8%	
SUPPORT SERVICES	1,537,909	132,983	-	1,404,926	8.6%	
<i>Total</i>	4,473,134	332,313	-	4,140,821	7.4%	285,933
<b>TOTAL COMMUNITY SERVICES</b>	<b>18,656,261</b>	<b>1,671,137</b>	<b>161,990</b>	<b>16,823,134</b>	<b>9.8%</b>	<b>1,530,657</b>
<b>COMMUNITY DEVELOPMENT</b>						
<u>Community Development</u>						
ADMINISTRATION	551,107	69,535	1,309	480,263	12.9%	
ECON DEV	48,420	3,113	-	45,307	6.4%	
CITY ARTS ADVISORY PROGRAM	437,260	-	-	437,260	0.0%	
RENTAL HSG MEDIATION	184,566	13,118	-	171,448	7.1%	
HUMAN SVCS	821,025	3,074	-	817,951	0.4%	
LR PLANNING/STUDIES	875,269	64,888	729	809,652	7.5%	
DEV & DESIGN REVIEW	1,349,767	96,109	13,026	1,240,632	8.1%	
ZONING	1,264,867	88,165	2,255	1,174,447	7.1%	
DESIGN REV & HIST PRESERVATN	1,099,097	70,537	5,895	1,022,665	7.0%	
BLDG PERMITS	1,147,440	90,251	720	1,056,469	7.9%	
RECORDS & ARCHIVES	558,663	40,896	6,117	511,650	8.4%	
PLAN CK & COUNTER SRV	1,302,478	145,463	760	1,156,255	11.2%	
<i>Total</i>	9,639,959	685,151	30,811	8,923,996	7.4%	638,486
<b>TOTAL COMMUNITY DEVELOPMENT</b>	<b>9,639,959</b>	<b>685,151</b>	<b>30,811</b>	<b>8,923,996</b>	<b>7.4%</b>	<b>638,486</b>
<b>NON-DEPARTMENTAL</b>						
<u>Non-Departmental</u>						
DUES, MEMBERSHIPS, & LICENSES	22,272	-	-	22,272	0.0%	
TRANSFERS OUT	43,500	3,625	-	39,875	8.3%	
DEBT SERVICE TRANSFERS	350,746	-	-	350,746	0.0%	

**CITY OF SANTA BARBARA**  
**General Fund**  
**Interim Statement of Appropriations, Expenditures and Encumbrances**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	** Remaining Balance	YTD Expended and Encumbered	Previous YTD
<b>NON-DEPARTMENTAL</b>						
<u>Non-Departmental</u>						
CAPITAL OUTLAY TRANSFER	1,000,000	64,000	-	936,000	6.4%	
APPROP. RESERVE	414,158	-	-	414,158	0.0%	
NON-DEPT - COMM PROM	2,028,008	513,306	-	1,514,702	25.3%	
<i>Total</i>	<u>3,858,684</u>	<u>580,931</u>	<u>-</u>	<u>3,277,753</u>	15.1%	<u>504,890</u>
<b>TOTAL NON-DEPARTMENTAL</b>	<u>3,858,684</u>	<u>580,931</u>	<u>-</u>	<u>3,277,753</u>	15.1%	<u>504,890</u>
<b>TOTAL EXPENDITURES</b>	<u>111,232,179</u>	<u>8,888,293</u>	<u>1,221,279</u>	<u>101,122,608</u>	9.1%	<u>8,662,607</u>

*\*\* The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

*For Enterprise and Internal Service Funds, the legal level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.*

**CITY OF SANTA BARBARA**  
**Special Revenue Funds**  
**Interim Statement of Revenues and Expenditures**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget
<b>TRAFFIC SAFETY FUND</b>					
Revenue	506,204	95,100	-	411,104	18.8%
Expenditures	506,204	92,755	-	413,449	18.3%
<i>Revenue Less Expenditures</i>	-	2,345	-	(2,345)	
<b>CREEK RESTORATION/WATER QUALITY IMPRVMT</b>					
Revenue	3,367,572	322,707	-	3,044,865	9.6%
Expenditures	4,097,603	258,168	172,157	3,667,278	10.5%
<i>Revenue Less Expenditures</i>	(730,031)	64,539	(172,157)	(622,413)	
<b>COMM.DEVELOPMENT BLOCK GRANT</b>					
Revenue	1,187,989	51,937	-	1,136,052	4.4%
Expenditures	2,246,824	15,768	-	2,231,055	0.7%
<i>Revenue Less Expenditures</i>	(1,058,835)	36,169	-	(1,095,004)	
<b>COUNTY LIBRARY</b>					
Revenue	1,828,284	14,274	-	1,814,010	0.8%
Expenditures	2,013,648	170,665	-	1,842,983	8.5%
<i>Revenue Less Expenditures</i>	(185,364)	(156,391)	-	(28,973)	
<b>STREETS FUND</b>					
Revenue	10,449,852	595,188	-	9,854,664	5.7%
Expenditures	13,403,374	641,346	70,024	12,692,004	5.3%
<i>Revenue Less Expenditures</i>	(2,953,522)	(46,157)	(70,024)	(2,837,341)	
<b>MEASURE A</b>					
Revenue	3,411,416	231,972	-	3,179,444	6.8%
Expenditures	4,291,721	88,333	554,585	3,648,803	15.0%
<i>Revenue Less Expenditures</i>	(880,305)	143,639	(554,585)	(469,359)	

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**SOLID WASTE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	19,199,527	1,656,808	-	17,542,719	8.6%	1,516,588
Other Fees & Charges	474,816	-	-	474,816	0.0%	-
Grants	20,000	-	-	20,000	0.0%	-
Miscellaneous	233,100	26,389	-	206,711	11.3%	21,704
<b>TOTAL REVENUES</b>	<u>19,927,443</u>	<u>1,683,196</u>	<u>-</u>	<u>18,244,247</u>	8.4%	<u>1,538,292</u>
<b>EXPENSES</b>						
Salaries & Benefits	979,288	66,743	-	912,545	6.8%	63,395
Materials, Supplies & Services	18,153,421	1,424,992	43,886	16,684,544	8.1%	1,359,383
Special Projects	593,475	-	-	593,475	0.0%	-
Transfers-Out	50,000	4,167	-	45,833	8.3%	4,167
Capital Outlay Transfers	17,556	1,463	-	16,093	8.3%	973
Equipment	13,709	-	-	13,709	0.0%	-
Capitalized Fixed Assets	46,565	-	-	46,565	0.0%	-
Other	100,000	-	-	100,000	0.0%	-
Appropriated Reserve	33,106	-	-	33,106	0.0%	-
<b>TOTAL EXPENSES</b>	<u>19,987,120</u>	<u>1,497,364</u>	<u>43,886</u>	<u>18,445,870</u>	7.7%	<u>1,427,917</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**WATER OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Water Sales - Metered	32,300,000	3,260,165	-	29,039,835	10.1%	3,119,567
Service Charges	506,500	33,611	-	472,889	6.6%	35,952
Cater JPA Treatment Charges	2,467,510	-	-	2,467,510	0.0%	282,349
Investment Income	534,400	40,848	-	493,552	7.6%	50,673
Rents & Concessions	22,872	1,906	-	20,966	8.3%	-
Reimbursements	673,153	-	-	673,153	0.0%	10,867
Miscellaneous	20,000	1,620	-	18,380	8.1%	14,787
<b>TOTAL REVENUES</b>	<u>36,524,435</u>	<u>3,338,149</u>	<u>-</u>	<u>33,186,286</u>	<u>9.1%</u>	<u>3,514,195</u>
<b>EXPENSES</b>						
Salaries & Benefits	8,333,163	582,133	-	7,751,030	7.0%	579,836
Materials, Supplies & Services	10,055,611	404,312	1,770,241	7,881,058	21.6%	404,030
Special Projects	1,928,452	10,561	(2)	1,917,894	0.5%	1,350
Water Purchases	7,851,195	383,360	-	7,467,835	4.9%	785,822
Debt Service	5,566,589	235	-	5,566,354	0.0%	95,354
Capital Outlay Transfers	11,189,231	932,436	-	10,256,795	8.3%	285,554
Equipment	126,550	629	20,510	105,412	16.7%	1,937
Capitalized Fixed Assets	141,294	7,571	26,556	107,167	24.2%	7,541
Other	32,775	-	-	32,775	0.0%	-
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>45,374,860</u>	<u>2,321,238</u>	<u>1,817,304</u>	<u>41,236,319</u>	<u>9.1%</u>	<u>2,161,424</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**WASTEWATER OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service Charges	16,997,000	1,496,997	-	15,500,003	8.8%	1,430,747
Fees	662,579	4,118	-	658,461	0.6%	-
Investment Income	150,900	12,016	-	138,884	8.0%	14,729
Rents & Concessions	32,000	-	-	32,000	0.0%	-
Public Works	30,000	5,632	-	24,368	18.8%	683
Miscellaneous	35,000	2,802	-	32,198	8.0%	1,500
<b>TOTAL REVENUES</b>	<u>17,907,479</u>	<u>1,521,564</u>	<u>-</u>	<u>16,385,915</u>	<u>8.5%</u>	<u>1,447,659</u>
<b>EXPENSES</b>						
Salaries & Benefits	5,722,999	396,118	-	5,326,881	6.9%	404,333
Materials, Supplies & Services	6,565,122	251,970	1,686,036	4,627,115	29.5%	228,500
Special Projects	686,172	4,801	45,245	636,127	7.3%	-
Debt Service	1,668,096	157	-	1,667,939	0.0%	-
Capital Outlay Transfers	4,154,727	346,227	-	3,808,500	8.3%	250,010
Equipment	97,000	-	(44)	97,044	0.0%	1,223
Capitalized Fixed Assets	32,277	-	1,500	30,777	4.6%	-
Other	3,000	-	-	3,000	0.0%	-
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
<b>TOTAL EXPENSES</b>	<u>19,079,393</u>	<u>999,273</u>	<u>1,732,738</u>	<u>16,347,383</u>	<u>14.3%</u>	<u>884,067</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**  
**DOWNTOWN PARKING**

	<b>Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Improvement Tax	875,000	171,559	-	703,441	19.6%	158,844
Parking Fees	6,313,084	406,009	-	5,907,075	6.4%	561,849
Other Fees & Charges	1,000	-	-	1,000	0.0%	-
Investment Income	98,200	8,261	-	89,939	8.4%	9,213
Rents & Concessions	88,925	11,410	-	77,515	12.8%	3,410
Miscellaneous	1,000	477	-	523	47.7%	(353)
Operating Transfers-In	43,500	3,625	-	39,875	8.3%	3,625
<b>TOTAL REVENUES</b>	<b>7,420,709</b>	<b>601,343</b>	<b>-</b>	<b>6,819,367</b>	<b>8.1%</b>	<b>736,588</b>
<b>EXPENSES</b>						
Salaries & Benefits	4,049,433	310,939	-	3,738,494	7.7%	296,189
Materials, Supplies & Services	2,086,258	129,083	178,319	1,778,856	14.7%	110,842
Special Projects	548,295	-	(3,626)	551,921	-0.7%	-
Transfers-Out	303,064	25,255	-	277,809	8.3%	24,760
Capital Outlay Transfers	1,507,753	125,646	-	1,382,107	8.3%	92,662
Equipment	25,000	-	4,000	21,000	16.0%	280
Appropriated Reserve	50,000	-	-	50,000	0.0%	-
<b>TOTAL EXPENSES</b>	<b>8,569,803</b>	<b>590,923</b>	<b>178,694</b>	<b>7,800,186</b>	<b>9.0%</b>	<b>524,732</b>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**  
**AIRPORT OPERATING FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Leases - Commercial / Industrial	4,472,500	370,081	-	4,102,419	8.3%	360,915
Leases - Terminal	5,189,964	348,999	-	4,840,965	6.7%	363,444
Leases - Non-Commerical Aviation	1,895,929	137,174	-	1,758,755	7.2%	134,142
Leases - Commerical Aviation	3,939,000	322,392	-	3,616,608	8.2%	275,296
Investment Income	111,100	10,057	-	101,043	9.1%	9,766
Miscellaneous	142,600	11,472	-	131,128	8.0%	60,161
<b>TOTAL REVENUES</b>	<u>15,751,093</u>	<u>1,200,174</u>	<u>-</u>	<u>14,550,919</u>	<u>7.6%</u>	<u>1,203,724</u>
<b>EXPENSES</b>						
Salaries & Benefits	5,522,998	373,765	-	5,149,233	6.8%	379,731
Materials, Supplies & Services	7,247,586	426,413	473,426	6,347,747	12.4%	359,982
Special Projects	1,004,175	-	-	1,004,175	0.0%	1,737
Transfers-Out	19,728	1,644	-	18,084	8.3%	1,525
Debt Service	1,817,106	-	-	1,817,106	0.0%	-
Capital Outlay Transfers	124,336	10,361	-	113,975	8.3%	8,720
Equipment	52,500	5,078	5,112	42,310	19.4%	-
Appropriated Reserve	103,839	-	-	103,839	0.0%	-
<b>TOTAL EXPENSES</b>	<u>15,892,268</u>	<u>817,262</u>	<u>478,538</u>	<u>14,596,469</u>	<u>8.2%</u>	<u>751,695</u>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**GOLF COURSE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Fees & Card Sales	1,739,239	164,963	-	1,574,276	9.5%	148,075
Investment Income	8,800	1,044	-	7,756	11.9%	940
Rents & Concessions	332,520	31,553	-	300,967	9.5%	30,474
Miscellaneous	500	-	-	500	0.0%	(10)
<b>TOTAL REVENUES</b>	<u>2,081,059</u>	<u>197,560</u>	<u>-</u>	<u>1,883,499</u>	<u>9.5%</u>	<u>179,480</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,022,332	74,338	-	947,994	7.3%	81,898
Materials, Supplies & Services	562,907	20,494	-	542,413	3.6%	16,789
Debt Service	245,698	-	-	245,698	0.0%	-
Capital Outlay Transfers	214,686	7,875	-	206,811	3.7%	13,198
Equipment	3,000	-	-	3,000	0.0%	-
Other	900	-	-	900	0.0%	-
<b>TOTAL EXPENSES</b>	<u>2,049,523</u>	<u>102,708</u>	<u>-</u>	<u>1,946,815</u>	<u>5.0%</u>	<u>111,885</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**INTRA-CITY SERVICE FUND**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
<b>REVENUES</b>						
Service charges	242,253	20,188	-	222,065	8.3%	8,299
Work Orders - Bldg Maint.	2,592,140	177,945	-	2,414,195	6.9%	228,086
Service Charges	2,288,520	190,710	-	2,097,810	8.3%	171,428
Miscellaneous	17,500	25,000	-	(7,500)	142.9%	4,288
<b>TOTAL REVENUES</b>	<b>5,140,413</b>	<b>413,842</b>	<b>-</b>	<b>4,726,571</b>	<b>8.1%</b>	<b>412,100</b>
<b>EXPENSES</b>						
Salaries & Benefits	3,462,955	252,066	-	3,210,889	7.3%	255,159
Materials, Supplies & Services	1,399,994	86,504	256,343	1,057,147	24.5%	77,638
Special Projects	328,956	29,430	267,685	31,841	90.3%	17,206
Equipment	15,000	-	-	15,000	0.0%	-
Capitalized Fixed Assets	85,053	-	11,700	73,353	13.8%	146
Appropriated Reserve	26,050	-	-	26,050	0.0%	-
<b>TOTAL EXPENSES</b>	<b>5,318,008</b>	<b>368,000</b>	<b>535,728</b>	<b>4,414,280</b>	<b>17.0%</b>	<b>350,148</b>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**FLEET REPLACEMENT FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Vehicle Rental Charges	2,228,805	185,734	-	2,043,071	8.3%	178,851
Investment Income	122,300	10,029	-	112,271	8.2%	11,282
Rents & Concessions	233,978	19,498	-	214,480	8.3%	18,700
Miscellaneous	173,118	7,594	-	165,524	4.4%	-
<b>TOTAL REVENUES</b>	<u>2,758,201</u>	<u>222,855</u>	<u>-</u>	<u>2,535,346</u>	8.1%	<u>208,834</u>
<b>EXPENSES</b>						
Salaries & Benefits	193,629	13,390	-	180,239	6.9%	14,495
Materials, Supplies & Services	3,061	182	-	2,879	5.9%	142
Special Projects	1,132,833	-	-	1,132,833	0.0%	-
Capitalized Fixed Assets	2,959,112	84	20,000	2,939,028	0.7%	191
<b>TOTAL EXPENSES</b>	<u>4,288,636</u>	<u>13,656</u>	<u>20,000</u>	<u>4,254,980</u>	0.8%	<u>14,828</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**FLEET MAINTENANCE FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Vehicle Maintenance Charges	2,463,432	205,286	-	2,258,146	8.3%	197,660
Reimbursements	10,000	833	-	9,167	8.3%	833
Miscellaneous	103,070	8,589	-	94,481	8.3%	2,825
<b>TOTAL REVENUES</b>	<u>2,576,502</u>	<u>214,708</u>	<u>-</u>	<u>2,361,794</u>	<u>8.3%</u>	<u>201,318</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,280,067	85,455	-	1,194,612	6.7%	92,435
Materials, Supplies & Services	1,169,472	48,307	290,193	830,972	28.9%	56,921
Special Projects	108,938	-	12,000	96,938	11.0%	823
Debt Service	43,070	3,589	-	39,481	8.3%	-
Equipment	7,000	-	-	7,000	0.0%	190
Appropriated Reserve	16,893	-	-	16,893	0.0%	-
<b>TOTAL EXPENSES</b>	<u>2,625,440</u>	<u>137,351</u>	<u>302,193</u>	<u>2,185,895</u>	<u>16.7%</u>	<u>150,369</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**SELF INSURANCE TRUST FUND**

	<b>** Annual Budget</b>	<b>YTD Actual</b>	<b>Encum- brances</b>	<b>Remaining Balance</b>	<b>Percent of Budget</b>	<b>Previous YTD</b>
<b>REVENUES</b>						
Insurance Premiums	2,756,112	229,676	-	2,526,436	8.3%	216,502
Workers' Compensation Premiums	2,950,702	245,892	-	2,704,810	8.3%	216,667
OSH Charges	193,833	16,153	-	177,680	8.3%	-
Investment Income	60,300	4,501	-	55,799	7.5%	5,880
Miscellaneous	-	3,463	-	(3,463)	100.0%	-
<b>TOTAL REVENUES</b>	<b>5,960,947</b>	<b>499,685</b>	<b>-</b>	<b>5,461,262</b>	<b>8.4%</b>	<b>439,049</b>
<b>EXPENSES</b>						
Salaries & Benefits	538,662	35,365	-	503,297	6.6%	39,262
Materials, Supplies & Services	5,287,629	561,486	288,285	4,437,859	16.1%	386,795
Special Projects	100	-	-	100	0.0%	-
Transfers-Out	-	-	-	-	100.0%	29,667
<b>TOTAL EXPENSES</b>	<b>5,826,391</b>	<b>596,851</b>	<b>288,285</b>	<b>4,941,256</b>	<b>15.2%</b>	<b>455,724</b>

*\*\* The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.*

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**INFORMATION SYSTEMS ICS FUND**

	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>Encum- brances</u>	<u>Remaining Balance</u>	<u>Percent of Budget</u>	<u>Previous YTD</u>
<b>REVENUES</b>						
Service charges	2,514,997	208,583	-	2,306,414	8.3%	196,506
<b>TOTAL REVENUES</b>	<u>2,514,997</u>	<u>208,583</u>	<u>-</u>	<u>2,306,414</u>	<u>8.3%</u>	<u>196,506</u>
<b>EXPENSES</b>						
Salaries & Benefits	1,701,218	144,343	-	1,556,875	8.5%	119,210
Materials, Supplies & Services	846,419	114,266	147,951	584,202	31.0%	104,751
Special Projects	28,212	-	2,500	25,712	8.9%	-
Capital Outlay Transfers	212,000	-	-	212,000	0.0%	-
Equipment	8,250	828	10,632	(3,210)	138.9%	532
Capitalized Fixed Assets	124,000	-	121,120	2,880	97.7%	-
Appropriated Reserve	12,586	-	-	12,586	0.0%	-
<b>TOTAL EXPENSES</b>	<u>2,932,685</u>	<u>259,437</u>	<u>282,203</u>	<u>2,391,044</u>	<u>18.5%</u>	<u>224,493</u>

**CITY OF SANTA BARBARA**  
**Interim Statement of Revenues and Expenses**  
**For the One Month Ended July 31, 2013 (8.3% of Fiscal Year)**

**WATERFRONT FUND**

	Annual Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
<b>REVENUES</b>						
Leases - Commercial	1,373,772	134,540	-	1,239,232	9.8%	137,702
Leases - Food Service	2,641,800	256,534	-	2,385,266	9.7%	244,397
Slip Rental Fees	4,122,293	344,272	-	3,778,021	8.4%	335,504
Visitors Fees	450,000	38,936	-	411,065	8.7%	42,418
Slip Transfer Fees	525,000	70,000	-	455,000	13.3%	54,075
Parking Revenue	2,009,800	318,328	-	1,691,473	15.8%	226,092
Wharf Parking	250,000	28,216	-	221,784	11.3%	24,339
Other Fees & Charges	236,435	19,433	-	217,002	8.2%	17,874
Investment Income	137,700	5,223	-	132,477	3.8%	6,240
Rents & Concessions	306,267	24,591	-	281,676	8.0%	24,404
Miscellaneous	392,000	38,037	-	353,963	9.7%	53,884
<b>TOTAL REVENUES</b>	<b>12,445,067</b>	<b>1,278,108</b>	<b>-</b>	<b>11,166,959</b>	<b>10.3%</b>	<b>1,166,928</b>
<b>EXPENSES</b>						
Salaries & Benefits	5,866,308	435,220	-	5,431,089	7.4%	470,872
Materials, Supplies & Services	3,733,673	181,768	679,594	2,872,310	23.1%	147,736
Special Projects	167,250	7,287	-	159,963	4.4%	6,607
Debt Service	1,843,880	351,455	-	1,492,425	19.1%	-
Capital Outlay Transfers	1,544,155	128,680	-	1,415,475	8.3%	128,415
Equipment	107,500	4,031	-	103,469	3.7%	5,162
Capitalized Fixed Assets	40,000	-	-	40,000	0.0%	-
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
<b>TOTAL EXPENSES</b>	<b>13,402,766</b>	<b>1,108,440</b>	<b>679,594</b>	<b>11,614,732</b>	<b>13.3%</b>	<b>758,793</b>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013  
**TO:** Mayor and Councilmembers  
**FROM:** Treasury Division, Finance Department  
**SUBJECT:** August 2013 Investment Report

**RECOMMENDATION:**

That Council accept the August 2013 Investment Report.

**DISCUSSION:**

The attached investment report includes Investment Activity, Interest Revenue, a Summary of Cash and Investments, and Investment Portfolio detail as of August 31, 2013.

**ATTACHMENT:** August 2013 Investment Report  
**PREPARED BY:** Genie Wilson, Treasury Manager  
**SUBMITTED BY:** Robert Samario, Finance Director  
**APPROVED BY:** City Administrator's Office

**CITY OF SANTA BARBARA**  
**Activity and Interest Report**  
**August 31, 2013**

**INVESTMENT ACTIVITY**

---

**PURCHASES OR DEPOSITS**

8/15 LAIF Deposit - City	\$ 1,000,000
8/21 LAIF Deposit - City	<u>7,000,000</u>
<b>Total</b>	<b>\$ 8,000,000</b>

**SALES, MATURITIES, CALLS OR WITHDRAWALS**

8/8 LAIF Withdrawal - City	\$ (2,000,000)
8/28 Federal Home Loan Bank (FHLB) - Maturity	<u>(1,000,000)</u>
<b>Total</b>	<b>\$ (3,000,000)</b>

**ACTIVITY TOTAL**

**\$ 5,000,000**

**INVESTMENT INCOME**

---

**POOLED INVESTMENTS**

Interest Earned on Investments	\$ 175,700
Amortization	<u>(24,297)</u>
<b>Total</b>	<b>\$ 151,403</b>

**INCOME TOTAL**

**\$ 151,403**



**CITY OF SANTA BARBARA**  
**Investment Portfolio**  
**August 31, 2013**

DESCRIPTION	PURCHASE DATE	MATURITY DATE	QUALITY RATING		STATED RATE	YIELD AT 365	FACE VALUE	BOOK VALUE	MARKET VALUE	BOOK GAIN/(LOSS)	COMMENTS
	DATE	DATE	MOODY'S	S & P							
<b>LOCAL AGENCY INVESTMENT FUNDS</b>											
LOCAL AGENCY INVESTMENT FUND	-	-	-	-	0.271	0.271	33,000,000.00	33,000,000.00	33,000,000.00	0.00	
<b>Subtotal, LAIF</b>							33,000,000.00	33,000,000.00	33,000,000.00	0.00	
<b>CERTIFICATES OF DEPOSIT</b>											
MONTECITO BANK & TRUST	11/18/11	11/18/13	-	-	0.800	0.800	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
UNION BANK	08/31/12	08/31/15	-	-	1.230	1.247	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
UNION BANK	08/31/12	08/31/17	-	-	1.490	1.511	4,000,000.00	4,000,000.00	4,000,000.00	0.00	
<b>Subtotal, Certificates of deposit</b>							8,000,000.00	8,000,000.00	8,000,000.00	0.00	
<b>TREASURY SECURITIES - COUPON</b>											
U S TREASURY NOTE	10/25/12	03/15/15	Aaa	AA+	0.375	0.342	2,000,000.00	2,001,004.59	2,002,820.00	1,815.41	
U S TREASURY NOTE	10/25/12	10/31/15	Aaa	AA+	1.250	0.397	2,000,000.00	2,036,661.27	2,034,380.00	(2,281.27)	
U S TREASURY NOTE	02/22/13	05/15/16	Aaa	AA+	5.125	0.442	2,000,000.00	2,251,096.40	2,239,380.00	(11,716.40)	
U S TREASURY NOTE	02/22/13	08/31/16	Aaa	AA+	1.000	0.502	2,000,000.00	2,029,535.62	2,012,340.00	(17,195.62)	
U S TREASURY NOTE	02/22/13	02/28/17	Aaa	AA+	0.875	0.607	2,000,000.00	2,018,483.30	1,989,840.00	(28,643.30)	
<b>Subtotal, Treasury Securities</b>							10,000,000.00	10,336,781.18	10,278,760.00	(58,021.18)	
<b>FEDERAL AGENCY ISSUES - COUPON</b>											
FEDERAL FARM CREDIT BANK	02/10/11	02/10/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,011,220.00	11,220.00	
FEDERAL FARM CREDIT BANK	08/15/12	08/15/17	Aaa	AA+	0.980	0.980	2,000,000.00	2,000,000.00	1,975,580.00	(24,420.00)	Callable, Continuous
FEDERAL FARM CREDIT BANK	02/16/11	02/16/16	Aaa	AA+	2.570	2.570	2,000,000.00	2,000,000.00	2,094,940.00	94,940.00	
FEDERAL FARM CREDIT BANK	07/17/13	07/17/17	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,001,720.00	1,720.00	
FEDERAL HOME LOAN BANK	04/15/10	10/15/13	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,004,480.00	4,480.00	
FEDERAL HOME LOAN BANK	08/05/10	09/12/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,024,040.00	24,040.00	
FEDERAL HOME LOAN BANK	09/17/09	12/13/13	Aaa	AA+	3.125	2.440	2,000,000.00	2,003,663.33	2,016,900.00	13,236.67	
FEDERAL HOME LOAN BANK	04/05/10	11/29/13	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,009,160.00	9,160.00	
FEDERAL HOME LOAN BANK	01/16/13	01/16/18	Aaa	AA+	1.000	1.000	4,000,000.00	4,000,000.00	3,894,320.00	(105,680.00)	Callable 10/16/13, then qtrly
FEDERAL HOME LOAN BANK	07/17/13	07/17/18	Aaa	AA+	1.750	1.750	2,000,000.00	2,000,000.00	1,980,040.00	(19,960.00)	Callable 10/17/13, then qtrly
FEDERAL HOME LOAN BANK	06/27/13	06/27/18	Aaa	AA+	1.250	1.493	2,000,000.00	2,000,000.00	1,961,480.00	(38,520.00)	SU 1.125%-2.5% Call 09/27/13, then qtrly
FEDERAL HOME LOAN BANK	09/17/09	09/13/13	Aaa	AA+	4.375	2.272	2,000,000.00	2,001,333.04	2,002,380.00	1,046.96	
FEDERAL HOME LOAN BANK	02/22/10	12/13/13	Aaa	AA+	3.125	2.130	2,000,000.00	2,005,384.95	2,016,900.00	11,515.05	
FEDERAL HOME LOAN BANK	02/09/11	01/29/15	Aaa	AA+	1.750	1.750	2,000,000.00	2,000,000.00	2,040,220.00	40,220.00	
FEDERAL HOME LOAN BANK	04/15/11	05/27/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,055,320.00	55,320.00	
FEDERAL HOME LOAN BANK	09/26/11	10/30/13	Aaa	AA+	2.000	0.400	1,500,000.00	1,503,913.25	1,504,560.00	646.75	
FEDERAL HOME LOAN MTG CORP	01/06/11	02/25/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,012,200.00	12,200.00	
FEDERAL HOME LOAN MTG CORP	03/28/12	03/28/17	Aaa	AA+	1.350	1.350	2,000,000.00	2,000,000.00	2,006,700.00	6,700.00	Callable 03/28/14, once
FEDERAL HOME LOAN MTG CORP	06/26/13	06/26/18	Aaa	AA+	1.400	1.400	2,000,000.00	2,000,000.00	1,956,520.00	(43,480.00)	Callable 09/26/13, then qtrly
FEDERAL HOME LOAN MTG CORP	07/25/13	07/25/18	Aaa	AA+	1.800	1.800	2,000,000.00	2,000,000.00	1,999,920.00	(80.00)	Callable 07/25/14, once
FEDERAL HOME LOAN MTG CORP	02/21/12	02/21/17	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,005,020.00	5,020.00	Callable 02/21/14, once

**CITY OF SANTA BARBARA**  
**Investment Portfolio**  
**August 31, 2013**

DESCRIPTION	PURCHASE	MATURITY	QUALITY RATING		STATED	YIELD AT	FACE	BOOK	MARKET	BOOK	COMMENTS
	DATE	DATE	MOODY'S	S & P							
FEDERAL HOME LOAN MTG CORP	04/23/12	04/17/15	Aaa	AA+	0.500	0.534	2,000,000.00	1,998,897.84	2,004,820.00	5,922.16	
FEDERAL HOME LOAN MTG CORP	06/12/12	06/12/17	Aaa	AA+	1.250	1.250	2,000,000.00	2,000,000.00	1,984,860.00	(15,140.00)	Callable 06/12/14, then qtrly
FEDERAL HOME LOAN MTG CORP	07/24/12	07/24/17	Aaa	AA+	1.125	1.125	2,000,000.00	2,000,000.00	1,973,280.00	(26,720.00)	Callable 10/24/13, then qtrly
FEDERAL HOME LOAN MTG CORP	09/12/12	09/12/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,959,080.00	(40,920.00)	Callable 09/12/13, then qtrly
FEDERAL HOME LOAN MTG CORP	01/16/13	01/16/18	Aaa	AA+	1.050	1.050	4,000,000.00	4,000,000.00	3,900,800.00	(99,200.00)	Callable 10/16/13, then qtrly
FEDERAL HOME LOAN MTG CORP	02/11/11	04/02/14	Aaa	AA+	4.500	1.615	2,000,000.00	2,032,834.66	2,049,620.00	16,785.34	
FEDERAL HOME LOAN MTG CORP	06/26/13	06/26/18	Aaa	AA+	1.500	1.500	2,000,000.00	2,000,000.00	1,960,320.00	(39,680.00)	Callable 09/26/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	10/28/11	10/28/16	Aaa	AA+	1.500	1.521	2,000,000.00	1,999,841.67	2,003,680.00	3,838.33	Callable 10/28/13, once
FEDERAL NATL MORTGAGE ASSN	01/30/13	01/30/18	Aaa	AA+	1.030	1.030	3,000,000.00	3,000,000.00	2,921,190.00	(78,810.00)	Callable 01/30/14, then qtrly
FEDERAL NATL MORTGAGE ASSN	11/17/10	11/17/14	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,025,140.00	25,140.00	
FEDERAL NATL MORTGAGE ASSN	08/28/12	08/28/17	Aaa	AA+	1.150	1.150	2,000,000.00	2,000,000.00	1,973,480.00	(26,520.00)	Callable 11/28/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	12/12/12	12/12/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,949,460.00	(50,540.00)	Callable 09/12/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	06/19/13	12/19/16	Aaa	AA+	0.750	0.750	2,000,000.00	2,000,000.00	1,979,020.00	(20,980.00)	Callable 12/19/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	07/30/13	07/30/18	Aaa	AA+	1.000	1.896	1,000,000.00	1,000,000.00	1,000,130.00	130.00	SU 1%-2% Callable 01/30/14, once
FEDERAL NATL MORTGAGE ASSN	11/08/12	11/08/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,952,100.00	(47,900.00)	Callable 11/08/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	11/08/12	11/08/17	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,952,100.00	(47,900.00)	Callable 11/08/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	12/26/12	12/26/17	Aaa	AA+	1.000	1.000	4,000,000.00	4,000,000.00	3,892,840.00	(107,160.00)	Callable 12/26/13, then qtrly
FEDERAL NATL MORTGAGE ASSN	07/10/13	07/10/18	Aaa	AA+	1.700	1.700	2,000,000.00	2,000,000.00	1,982,960.00	(17,040.00)	Callable 07/10/14, then qtrly
FEDERAL NATL MORTGAGE ASSN	09/21/10	09/21/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,061,880.00	61,880.00	
FEDERAL NATL MORTGAGE ASSN	12/10/10	10/26/15	Aaa	AA+	1.625	2.067	2,000,000.00	1,981,975.51	2,047,320.00	65,344.49	
FEDERAL NATL MORTGAGE ASSN	02/05/13	02/05/18	Aaa	AA+	1.000	1.000	2,000,000.00	2,000,000.00	1,945,260.00	(54,740.00)	Callable 02/05/15, then qtrly
<b>Subtotal, Federal Agencies</b>							<b>89,500,000.00</b>	<b>89,527,844.25</b>	<b>89,092,960.00</b>	<b>(434,884.25)</b>	
<b>CORPORATE/MEDIUM TERM NOTES</b>											
BERKSHIRE HATHAWAY FIN	12/15/10	12/15/15	Aa2	AA	2.450	2.530	2,000,000.00	1,996,566.67	2,074,160.00	77,593.33	
GENERAL ELECTRIC CAPITAL CORP	11/10/10	11/09/15	A1	AA+	2.250	2.250	2,000,000.00	2,000,000.00	2,047,900.00	47,900.00	
GENERAL ELECTRIC CAPITAL CORP	01/07/11	01/07/14	A1	AA+	2.100	2.100	2,000,000.00	2,000,000.00	2,011,900.00	11,900.00	
PROCTOR & GAMBLE	09/20/11	11/15/15	Aa3	AA-	1.800	1.085	2,000,000.00	2,030,750.90	2,049,000.00	18,249.10	
TOYOTA MOTOR CREDIT	09/26/11	09/15/16	Aa3	AA-	2.000	1.800	2,000,000.00	2,011,569.86	2,045,840.00	34,270.14	
<b>Subtotal, Corporate Securities</b>							<b>10,000,000.00</b>	<b>10,038,887.43</b>	<b>10,228,800.00</b>	<b>189,912.57</b>	
<b>SB AIRPORT PROMISSORY NOTE (LT)</b>											
SANTA BARBARA AIRPORT	07/14/09	06/30/29	-	-	3.500	4.195	5,603,519.96	5,603,519.96	5,603,519.96	0.00	
<b>Subtotal, SBA Note</b>							<b>5,603,519.96</b>	<b>5,603,519.96</b>	<b>5,603,519.96</b>	<b>0.00</b>	
<b>TOTALS</b>							<b>156,103,519.96</b>	<b>156,507,032.82</b>	<b>156,204,039.96</b>	<b>(302,992.82)</b>	

Market values have been obtained from the City's safekeeping agent, Union Bank The Private Bank (UBTPB). UBTPB uses Interactive Data Pricing Service, Bloomberg and DTC.



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** Engineering Division, Public Works Department

**SUBJECT:** Approval Of Emergency Purchase Order For Jimeno Road Storm Drain Repair

### **RECOMMENDATION:**

That Council approve an Emergency Purchase Order to Lash Construction, Inc. in the amount of \$33,048.63 to repair a failed City storm drain and resulting sinkhole on Jimeno Road.

### **DISCUSSION:**

Public Works staff was alerted to the presence of a sinkhole on Jimeno Road on Saturday, August 3, 2013 (see Attachment). Streets Maintenance staff immediately assessed the condition and determined that a road closure was required. City Streets crews placed barricades for the remainder of the weekend to ensure public safety.

On Monday, August 5, 2013, Engineering Division staff further assessed the damage. The cause of the sinkhole was a failed 24-inch corrugated metal pipe that is located at a depth of over 10 feet below Jimeno Road. Staff determined that due to the severity of the sinkhole, the depth and size of the failed pipe, the presence of conflicting utilities (water and gas mains), and the restricted access on Jimeno Road, an Emergency Purchase Order was required to expedite completion of the repair.

On Tuesday morning, August 6, 2013, Lash Construction, Inc. (Lash) began construction under the authority of an Emergency Purchase Order. Upon removal of the old corrugated metal pipe, it was evident that the entire bottom had rusted out. Over time, stormwater had transported roadway sediment downstream creating a cavity beneath the roadway surface and ultimately resulting in the sinkhole.

The depth of the storm drain and the proximity to other utilities required that the repair be completed in two separate phases, both needing shoring. Lash was able to place steel plates over the excavation at the end of each day to provide access to local traffic only. The 24-inch new replacement high density polyethylene pipe was connected on the

upstream end to an existing stone box culvert and on the downstream end to a 24-inch round concrete pipe. The work was completed on August 15, 2013, needing eight working days for completion.

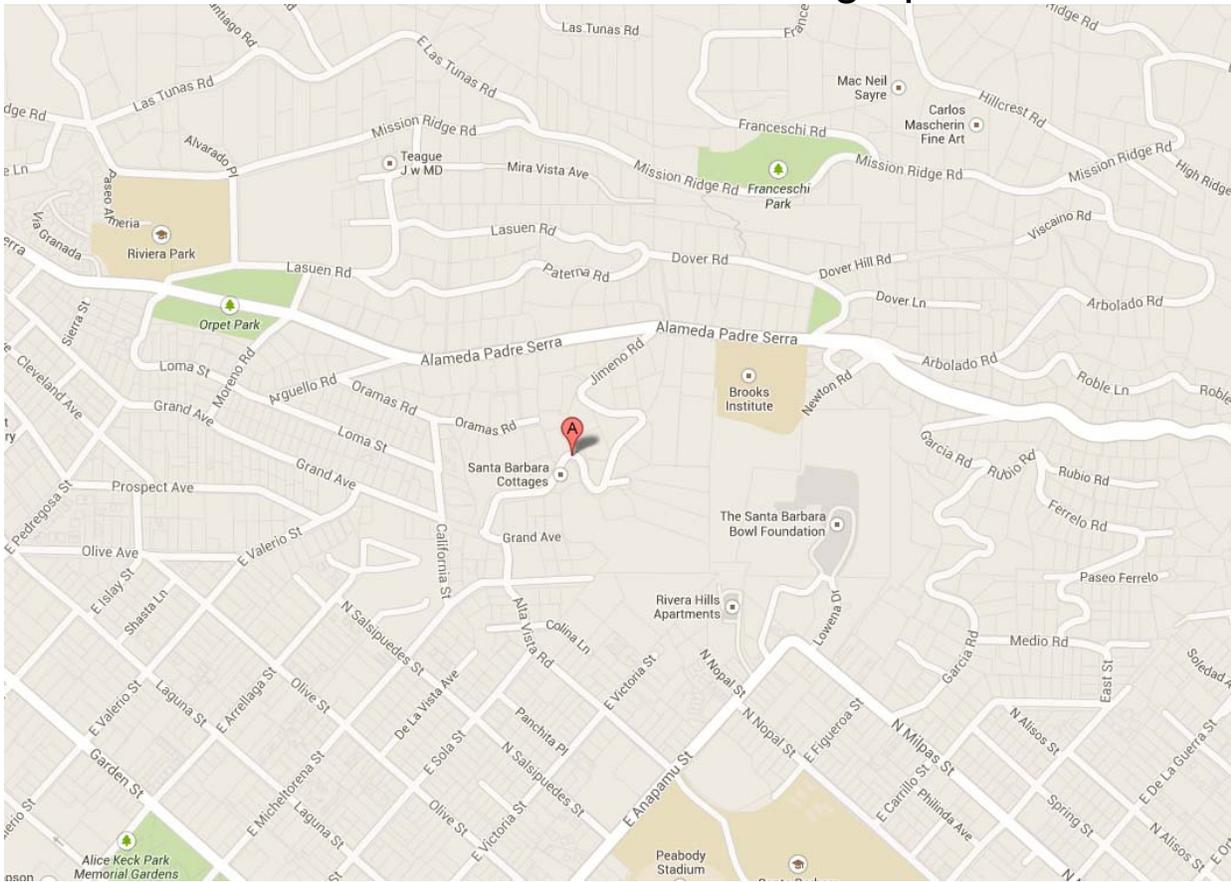
Lash's final repair cost of \$33,048.63 is based on the typical time and material billing method for Emergency Purchase Order construction contracts. This amount is below an initial Engineering Division staff estimate of up to \$50,000.

**BUDGET/FINANCIAL INFORMATION:**

There are sufficient funds in the Streets Fund to cover the cost of this Emergency Purchase Order.

**ATTACHMENT(S):** Site Location and Photographs  
**PREPARED BY:** John Ewasiuk, Principal Civil Engineer/BD/sk  
**SUBMITTED BY:** Christine F. Andersen, Public Works Director  
**APPROVED BY:** City Administrator's Office

# Site Location and Photographs



Site Location



Location Prior to Construction



Removed Section of Rusted Corrugated Metal



Excavation Prior to Storm Drain Replacement (Looking Upstream)



Section of New 24-inch Corrugated High Density Polyethylene



Site Location Following Construction



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** Water Resources Division, Public Works Department

**SUBJECT:** Pledge Wastewater Fund Net Revenue To Payment Of Clean Water State Revolving Fund Financing Agreement

### RECOMMENDATION:

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Pledging the Wastewater Fund Net Revenue to Payment of a Clean Water State Revolving Fund Financing Agreement.

### DISCUSSION:

The El Estero Wastewater Treatment Plant (El Estero) has been in service for over 32 years. Recent consultant engineering assessment and preliminary design work has demonstrated that significant process improvements must be made to upgrade the level of secondary treatment provided at El Estero in order to produce treated wastewater that can be effectively filtered for recycled water production and to reliably meet wastewater discharge permit limits. In prior years, El Estero has incurred stipulated penalties from the State Water Resources Control Board related to its effluent quality.

Staff currently is in the preliminary design phase for a large secondary treatment process improvement project at El Estero. The preliminary design phase work will be completed by October 2013. Final design phase work is anticipated to be completed by mid-2014, with construction work beginning later that year. Construction work is estimated to be completed by early 2016. A preliminary total cost estimate for these secondary treatment improvement projects approximates \$20 million.

The State Revolving Fund (SRF) loan program provides 20-year loans at an interest rate lower than the State General Obligation Bond rate. This low interest rate offers significant savings for wastewater rate payers.

An SRF financing application for this project was originally initiated with the State in 2012. The application process is complex, and the State revamped its application process and related requirements in early 2013. The City subsequently restarted its

SRF financing application to comport with the updated State application process requirements,

On March 5, 2013, Council adopted Resolution No. 13-008, authorizing the SRF loan application and the City Administrator's execution and delivery of the application to the State Water Resources Control Board and adopted Resolution No. 13-009, allowing City reimbursement for certain expenditures made prior to the final approval of the loan.

In order to continue with the application process, staff recommends that Council adopt an additional resolution which pledges the Wastewater Fund net system revenue to payment of a Clean Water SRF financing agreement for the El Estero Wastewater Treatment Plant Air Process Improvements Project, CWSRF No. 7857-110. The resolution also commits the City to collecting such revenues and maintaining such fund(s) throughout the term of the financing and until the City has satisfied its repayment obligation. The Resolution also creates a lien in favor of the State Water Resources Control Board on the Wastewater Fund.

**PREPARED BY:** Chris Toth, Wastewater System Manager/CJT/mh

**SUBMITTED BY:** Christine F. Andersen, Public Works Director

**APPROVED BY:** City Administrator's Office

RESOLUTION NO. \_\_\_\_\_

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA PLEDGING THE WASTEWATER FUND NET REVENUE TO PAYMENT OF A CLEAN WATER STATE REVOLVING FUND FINANCING AGREEMENT

WHEREAS, an outside funding source has been identified by staff as an essential instrument to fund the El Estero Wastewater Treatment Plant Air Process Improvements Project;

WHEREAS, the City Administrator was authorized by the City Council on March 5, 2013 to apply for State Revolving Funds (SRF) to pay for a substantial portion of the costs of such infrastructure projects;

WHEREAS, the State Water Resources Control Board has notified the City Administrator that the loan applications require the City Council action dedicating a funding source for repayment of the Clean Water Resources State Revolving Fund (CWSRF) financing agreement;

WHEREAS, upon acceptance of this resolution, City Staff will finalize the request for proposal for the planning study, design work, and final project construction of said Project; and

WHEREAS, Council will take separate action to accept the financing agreement and award the contract for the planning study, design work, and final project construction of said Project.

NOW, THEREFORE BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SANTA BARBARA AS FOLLOWS:

SECTION 1. The City of Santa Barbara hereby dedicates and pledges the Wastewater Fund net system revenue to payment of any and all CWSRF and/or Water Recycling Funding Program financing for the El Estero Wastewater Treatment Plant Air Process Improvements Project CWSRF No. 7857-110.

SECTION 2. Subject to the limitations provided in Article XVI of the California Constitution, and as provided by the City Charter, the City of Santa Barbara intends to collect such revenue and maintain such funds throughout the term of such financing and until the City of Santa Barbara has satisfied its repayment obligation thereunder unless modification or change is approved in writing by the State Water Resources Control Board (SWRCB).

SECTION 3. So long as the financing agreement is outstanding, the City of Santa Barbara's pledge hereunder shall constitute a lien in favor of the SWRCB on the Wastewater Fund net system revenue without any further action necessary.

SECTION 4. So long as the financing agreement is outstanding, the City of Santa Barbara commits to maintaining the Wastewater Fund net system revenue at levels sufficient to meet its obligations under the financing agreement(s).



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** Human Resources, Administrative Services Department

**SUBJECT:** Approval Of Benefit Plans Effective January 1, 2014

**RECOMMENDATION:** That Council:

- A. Approve renewal of the Aetna and Kaiser Permanente medical plans; Delta Dental Plans; Vision Service Plan; Employee Assistance Program (EAP); Flexible Spending Accounts, and Hartford Life and Disability Insurance Plans; and
- B. Authorize the Assistant City Administrator to execute any necessary agreements for renewals with current carriers, and with Empyrean Benefit Solutions, for replacement benefits administration contract services.

### **DISCUSSION:**

Each year the City obtains renewal rates for the benefits plans covering its eligible active and retired employees. These benefit plans include medical, dental, vision, Employee Assistance Program, Health and Dependent Care Flexible Spending Accounts, life insurance, and disability insurance programs. Staff coordinated the renewal process with the City's benefits broker, Wells Fargo Insurance Services. The Employee Benefits Committee, which has a representative from each of the City's employee groups, reviewed the renewals. Staff and the Employee Benefits Committee (comprised of members from the various employee groups) recommend that the City renew its agreements with all current health, life and disability plan providers.

### Medical Plans

The City currently has contracts with Aetna and Kaiser Permanente (available to Ventura County residents only) to provide medical coverage to eligible active and retired employees. A Health Maintenance Organization (HMO) plan is offered by both carriers. In addition, Aetna offers three Preferred Provider Organization (PPO) plans: Aetna Open Access Managed Care (OAMC) Plan, Aetna Health Reimbursement Fund and Aetna Health Savings Account (an IRS qualifying high deductible PPO plan with a portable savings account funded through federal pre-tax employee payroll contributions).

In an effort to ensure the most cost effective plan management and premium rates, the City's benefits broker conducted a comprehensive medical marketing project on behalf of the City. Medical carriers were provided the Aetna HMO and PPO plan designs, demographic information, claims experience, and instructions to submit 2014 premium rate quotes based on the current plan designs. United Healthcare submitted a competitive quote as well as our current carrier, Aetna.

The Employee Benefits Committee met twice in August 2013 to review United Healthcare's 2014 proposal and rate quotes in comparison with Aetna's 2014 proposed renewal offer. The Employee Benefits Committee recommends approval of the renewal of the Aetna plans for 2014, for the reason that the final rate comparison between the two carriers was very similar, and in consideration that Aetna has proven to be a strong performer and partner for the City and its enrolled plan members.

Aetna HMO rates will increase by 14.9%; the rates for the Aetna OAMC PPO will increase 14.8%; the Aetna Health Savings Account (HSA) plan will increase by 14.8%; and the Aetna Health Reimbursement Account (HRA) plan will increase by 14.9%. There are no proposed medical plan design changes. All rates include a 3% to 4% increase due to new Affordable Care Act (ACA) compliance factors.

The Committee also recommends continuing with the Kaiser HMO in 2014 as a separate medical plan option available to employees residing in Ventura County. Kaiser's 2014 renewal includes a rate decrease of -0.2%.

Over-age-65 retirees currently have three medical plan options: Kaiser HMO Senior Advantage Plan, Aetna OAMC PPO Plan, and Aetna Medicare PPO Plan.

The Kaiser Senior HMO Advantage Plan and Aetna OAMC PPO Plan enrollees have a supplemental level of coverage after Medicare benefits are paid. Final 2014 proposed rates for the Kaiser Senior HMO Advantage Plan are proposed at a 6.6% increase from the current 2013 rates. Aetna OAMC PPO is proposed at a 3.5% rate increase for 2014.

While the Aetna Medicare PPO Plan has been a favored plan since its implementation in 2007, with 118 enrolled retirees, Aetna notified the City that this plan will terminate effective January 1, 2014. In response, the City's benefits broker presented staff with alternate plan offerings from both Aetna and Hartford for consideration for a replacement plan. It is proposed that the Aetna plan proposal be approved, which provides for dual plan offerings: Plan F at the higher level of coverage and Plan N for a lower level of coverage and lower premium cost.

The Aetna Plan F and Plan N are available to Medicare-eligible retirees and the plans provide coverage availability nationwide through providers that participate in Medicare. The plans provide a level coverage for all Medicare Parts A and B covered benefits with the Plan F high option plan covering all excess Part B charges in full. The plan premium rates are age-band rates and set by county area of residency. There are currently 118 over-age-65 retirees enrolled in the current Aetna Medicare PPO plan that will be

impacted by the plan change, of which 107 reside in Santa Barbara County. Additional rates, by state and county, will be provided if needed.

#### Dental Plans

The current dental plan offerings include the Delta Dental DPO plan (similar to a medical PPO model plan with a large provider network) and the Delta Dental DMO plans (similar to a medical HMO model plan with a small provider network). No rate increases are proposed for the two plans and Delta Dental has provided a two year rate guarantee on the current rates; the 2013 current rates will be effective through December 31, 2015.

#### Vision Plan

Vision Service Plan (VSP) has maintained premium rates for the last four consecutive years. No increases are proposed for the 2014 VSP rates. In addition, the eyeglass frames allowance has been increased from \$130 to \$140.

#### Employee Assistance Program (EAP) – Employer Paid Fees

OptumHealth Behavioral Solutions provides outpatient psychological services for the City's EAP. No increase is proposed for the 2014 EAP rate.

#### Flexible Spending Accounts (FSA) – Employer Paid Fees

The Health and Dependent Care Flexible Spending Accounts are administered by Conexus. No increase is proposed for the 2014 FSA rates.

#### Life/AD&D and Long Term Disability Insurance Plans – Employer Paid Premium

Hartford Insurance Company administers the Life/AD&D and Long Term Disability Insurance Plans. No increases are proposed for the 2014 Life and LTD rates.

#### Short Term Disability Insurance Plan – Employee Paid Premium

Hartford Insurance Company administers the voluntary employee-paid Short Term Disability Insurance Plan offered to Management, Police and Supervisors. No increases are proposed for the 2014 STD rates.

#### Benefits Administration Outsourcing

Effective January 1, 2006, the City began outsourcing benefits administration services with Towers Watson. Contract benefits administration includes on-going processing of benefits transactions for employees and retirees; weekly carrier eligibility file updates; monthly consolidated premium accounting; monthly carrier premium distribution and reporting; web application site for employee and retiree plan elections and data history, and COBRA (continuation health insurance coverage) administration.

Towers Watson provided notice to the City that their contract will not renew effective January 1, 2014. In response, the City reviewed alternate benefits administration vendors and found that Empyrean best meets the City's benefits administration requirements. Effective January 1, 2014, staff recommends executing a new service agreement with

Empyrean as the replacement vendor for benefits administration services. Empyrean's website will be used for the upcoming Open Enrollment period and staff will assist employees throughout the Open Enrollment period with the new system and on-line election process.

### Summary

Staff and the Employee Benefits Committee recommend that the Aetna and Kaiser medical plans, Delta Dental, VSP, EAP, FSA, Hartford Life/AD&D and Disability Insurance Plans be renewed for 2014 at the proposed premium rates and fees.

Proposed 2014 monthly rates for Aetna HMO, Aetna OAMC PPO Plan, Aetna Health Reimbursement PPO Plan, Aetna Health Savings Account PPO Plan and Kaiser HMO Plan for employees and retirees are listed in Attachment 1.

Proposed 2014 monthly rates for the Aetna Medicare Plan F and N for retirees over-age-65 are listed in Attachment 2 and are based on the age and county where retirees currently reside.

Proposed 2014 monthly rates for Delta Dental, VSP, EAP, FSA, Hartford Life/AD&D and Disability Insurance Plans are listed in Attachment 3.

Approval is proposed for the selection of Empyrean Benefits Solutions for the replacement contract benefits administrator.

### **BUDGET/FINANCIAL INFORMATION:**

The estimated overall benefit premium increase will be \$1,799,745 for plan (calendar) year 2014, or \$899,872 for January through June of Fiscal Year 2014.

Employees whose benefit elections are already at or above the negotiated City benefit contribution maximum for their bargaining unit will absorb their premium increases through increased pre-tax deductions. The City will absorb any premium increase below the negotiated City benefit contribution maximums for each bargaining unit. Actual City costs will depend on employee enrollment elections during the upcoming open enrollment process.

The Fiscal Year 2014 adopted budget included an estimated City-paid premium increase amount of \$160,000. Additional appropriations are not requested at this time, and costs will be absorbed in department budgets as much as possible. Adjustments may be recommended, if necessary, later in the fiscal year.

- ATTACHMENTS:**
1. 2014 Medical Plans Monthly Premium Rates
  2. 2014 Aetna Medicare PPO Plan Monthly Premium Rates
  3. 2014 Dental, Vision, Employee Assistance Program, Flexible Spending Accounts, Life and Disability Insurance Plan Monthly Premium Rates

**PREPARED BY:** Clare Turner, Human Resources Benefits Analyst

**SUBMITTED BY:** Marcelo López, Administrative Services Director

**APPROVED BY:** City Administrator's Office

## 2014 Medical Plans Monthly Premium Rates

MEDICAL PLAN	CURRENT 2013	PROPOSED 2014
<b>HMO – Aetna</b>		
<u>Active Employees and Retirees Under Age 65</u>		
Employee Only	\$ 644.35	\$ 738.30
Employee and One Dependent	\$ 1,276.33	\$ 1,467.61
Employee and Family	\$ 1,655.53	\$ 1,905.20
<b>HMO – Kaiser Permanente</b>		
<u>Active Employees and Retirees Under Age 65</u>		
Employee Only	\$ 532.58	\$ 529.57
Employee and One Dependent	\$ 1,052.75	\$ 1,050.11
Employee and Family	\$ 1,364.86	\$ 1,362.42
<u>Medicare Eligible Retirees</u>		
Retiree Only	\$ 186.57	\$ 193.87
Retiree and One Dependent	\$ 360.74	\$ 378.70
<b>PPO – Aetna Open Access Managed Care</b>		
<u>Active Employees and Retirees Under Age 65</u>		
Employee Only	\$ 690.39	\$ 791.89
Employee and One Dependent	\$ 1,394.15	\$ 1,604.03
Employee and Family	\$ 1,816.38	\$ 2,091.28
<u>Medicare Eligible Retirees</u>		
Retiree Only	\$ 606.86	\$ 627.71
Retiree and One Dependent	\$ 1,197.01	\$ 1,241.68
<b>PPO – Aetna Health Reimbursement Arrangement</b>		
<u>Active Employees and Retirees Under Age 65</u>		
Employee Only	\$ 489.07	\$ 559.11
Employee and One Dependent	\$ 965.73	\$ 1,109.17
Employee and Family	\$ 1,251.73	\$ 1,439.22
<b>PPO – Aetna Health Savings Account</b>		
<u>Active Employees and Retirees Under Age 65</u>		
Employee Only	\$ 386.32	\$ 440.53
Employee and One Dependent	\$ 760.26	\$ 872.06
Employee and Family	\$ 984.60	\$ 1,130.95

## 2014 Aetna Medicare Plan F and Plan N Monthly Premium Rates

Retiree Only rates:

<b>State</b>	<b>County</b>	<b>Age Band</b>	<b>PROPOSED 2014 Aetna Plan F High Option</b>	<b>PROPOSED 2014 Aetna Plan N Low Option</b>
California	Santa Barbara	65 – 69	\$ 364.29	\$ 339.61
		70 – 74	\$ 390.73	\$ 361.81
		75 – 79	\$ 423.17	\$ 389.91
		80 – 84	\$ 448.79	\$ 414.01
		85 +	\$ 469.29	\$ 437.44
	Ventura	70 – 74	\$ 433.64	\$ 396.61
	Sacramento	65 – 69	\$ 369.99	\$ 343.96
Colorado	Weld	65 – 69	\$ 364.29	\$ 339.61
Oregon	Deschutes	65 – 69	\$ 369.99	\$ 343.96
Texas	Harris	70 – 74	\$ 433.64	\$ 396.61
	Denton	70 – 74	\$ 440.03	\$ 401.60
Washington	Pierce	65 – 69	\$ 365.98	\$ 336.89

All Counties are included where City Retirees currently reside.

**2014 Dental, Vision, Employee Assistance Program, Flexible Spending Accounts,  
Disability and Life Insurance Plans Monthly Premium Rates**

<b>PLAN</b>	<b>CURRENT 2013</b>	<b>PROPOSED 2014</b>
<b>Delta Dental</b>		
Delta Dental DPO Plan		
Employee Only	\$ 56.70	\$ 56.70
Employee and One Dependent	\$ 100.36	\$ 100.36
Employee and Family	\$ 160.86	\$ 160.86
Delta Dental HMO Plan		
Employee Only	\$ 16.39	\$ 16.39
Employee and One Dependent	\$ 29.32	\$ 29.32
Employee and Family	\$ 43.38	\$ 43.38
<b>Vision Service Plan</b>		
Employee Only	\$ 6.93	\$ 6.93
Employee and One Dependent	\$ 13.76	\$ 13.76
Employee and Family	\$ 21.10	\$ 21.10
<b>Employee Assistance Program (City Paid)</b>		
Employee and Family	\$ 1.78	\$ 1.78
<b>Flexible Spending Accounts Administration Costs (City Paid)</b>		
Health Care Account/Participant/Month	\$ 4.61	\$ 4.61
Dependent Care Account/Participant/Month	\$ 4.61	\$ 4.61
Electronic Payment Card/Participant/Month	\$ 1.56	\$ 1.56
Grace Period Processing/Participant/Month	\$ 3.00	\$ 3.00
<b>Long Term Disability Insurance – Hartford (City Paid)</b>		
All Employees except Police and Fire	\$0.50/\$100	\$0.50/\$100
<b>Short Term Disability Insurance – Hartford (Voluntary Employee Paid)</b>		
Managers	\$ 22.02	\$ 22.02
Supervisors	\$ 19.82	\$ 19.82
Police	\$ 15.14	\$ 15.14
<b>Basic Life AD&amp;D Insurance – Hartford (City Paid)</b>		
All Employees	\$0.125/\$1,000	\$0.125/\$1,000
<b>Supplemental Employee, Spouse &amp; Child Life Insurance – Hartford (Voluntary Employee Paid)</b>		
<u>Voluntary Employee and Spouse Life Insurance</u>	Rates/\$10,000	Rates/\$10,000
Up to and including age 29	\$ 0.68	\$ 0.68
Age 30-34	\$ 0.86	\$ 0.86
Age 35-39	\$ 1.24	\$ 1.24
Age 40-44	\$ 1.90	\$ 1.90
Age 45-49	\$ 3.14	\$ 3.14
Age 50-54	\$ 5.24	\$ 5.24
Age 55-59	\$ 8.46	\$ 8.46
Age 60-64	\$ 11.12	\$ 11.12
Age 65-69	\$ 17.48	\$ 17.48
Age 70-74	\$ 30.88	\$ 30.88
Age 75 and older	\$ 51.50	\$ 51.50
<u>Voluntary Child Life Insurance</u>		
\$2,000	\$ 0.33	\$ 0.33
\$5,000	\$ 0.55	\$ 0.55
\$10,000	\$ 0.89	\$ 0.89



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** Fleet Management Division, Public Works Department

**SUBJECT:** Contract For Final Design For Fleet Management Facility

### RECOMMENDATION:

That Council authorize the Public Works Director to execute a professional services contract with Kruger Benson Zeimer Architects in the amount of \$30,350 for final design (drawings and specifications) for the Fleet Management Restroom and Service Reception Remodel, and approve expenditures up to \$3,035 for extra services by Kruger Benson Zeimer Architects that may result from necessary changes in the scope of work.

### DISCUSSION:

In early 2013, the Fleet Management Division requested that Kruger Benson Zeimer Architects (KBZ) submit a proposal for the completion of construction design documents for the conversion of three existing vehicle bays in the Public Works corporate yard into a new Fleet Management Facility.

The Fleet Division needs a new and updated facility in order to better and safely serve its internal customers, accommodate staff needs, and keep up with administrative demands. The Supervisor, Parts Specialist, and the Service Writer all share office space, with the Service Writer working at a desk in the Supervisor's work area. Currently, there are no women's restrooms on-site in Fleet Management and the existing men's restroom is not American with Disabilities Act (ADA) compliant. Additionally, customers must walk through a working service bay in order to reach the Service Writer's Office.

The project will include a customer reception area, parts storage, offices, and ADA compliant restrooms inside the existing structure. The existing bays are currently used for storage, and house fleet maintenance equipment, and are well suited for the conversion to office space.

KBZ was selected because of their experience with several other City projects of this type, and their familiarity with the City's planning process. Further, in December 2012, KBZ produced the conceptual drawings on which the scope of work for this project was based. The \$30,350 proposal cost is commensurate with the project scope, and the authorization of \$3,035, or 10%, for extra services by KBZ is typical for a project of this type.

Under this agreement, KBZ will take conceptual design drawings and produce final design documents (drawings and specifications) and process them through the City's Planning and Buildings & Safety Divisions for approval. The documents will be developed for competitive bidding to a single contractor, and a project schedule will be provided.

The Fleet Management Restroom and Service Reception Remodel project is included in the 2014-2019 Capital Improvement Program. The estimated total cost of the project is \$562,292.

**BUDGET/FINANCIAL INFORMATION:**

The total authorization for this design contract is \$33,385. There are sufficient funds in the Fiscal Year 2014 Fleet Management budget to cover the cost of this contract.

**PREPARED BY:** Gary Horwald, Fleet Manager/mh

**SUBMITTED BY:** Christine F. Andersen, Public Works Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** Environmental Services Division, Finance Department

**SUBJECT:** Status Report On Resource Recovery Project

### **RECOMMENDATION:**

That Council receive a report from the Environmental Services Manager regarding the status of the Resource Recovery Project.

### **DISCUSSION:**

For several years, staff from multiple jurisdictions, including the County of Santa Barbara and the cities of Santa Barbara, Goleta, Buellton and Solvang (hereinafter referred to as the "Public Participants") have worked together to explore the development of a Resource Recovery Project (RRP) at the Tajiguas Landfill. The project, as currently envisioned, includes the following components:

1. A materials recovery facility (MRF) to sort incoming materials into three streams: recyclables to be sold; organics; and residual to be buried in a landfill; and,
2. An anaerobic digestion (AD) facility to digest the organic materials separated by the MRF to produce electricity and compostable material of varying grades and uses.

Since the last presentation to Council on December 18, 2012, there have been further developments that staff believes are appropriate to bring to Council's attention. These developments include the addition of MarBorg Industries, Inc. (MarBorg) as a potential vendor for the MRF component of the project, the selection of MarBorg by Mustang Renewable Power Ventures, LLC (Mustang) as the operator of the MRF built on County-owned land, and the potential creation of a Joint Powers Authority for the administration of the final contract if and when a vendor (or vendors) is ultimately selected. Each of these developments is discussed below.

### MarBorg Proposal

After the closing of the original request for proposals (RFP) issued by the County in October of 2009, and in response to the Notice of Preparation of the forthcoming environmental impact report (EIR) for the Project, MarBorg requested that its properties

located within the City also be considered as potential locations for the MRF component of the RRP. In November of 2012, staff notified Council that these properties would be studied in the EIR to a detailed level of analysis such that the County Board of Supervisors, as lead agency, could use this additional information to select the “environmentally preferable” location(s) for the Project.

To determine the financial feasibility of siting the MRF on the MarBorg properties, the Public Participants, at the direction of the Multi-Jurisdictional Solid Waste Task Group (MJSWTG), invited MarBorg to submit a proposal, consistent with the relevant terms of the original RFP, to construct the MRF on its City-located properties. MarBorg submitted its proposal to the County on May 1, 2013 and shortly thereafter its proposal security in the amount of \$100,000.

Consistent with the original RFP process, the County requested that the consulting firm of Alternative Resources, Inc. (ARI) perform the following initial analyses of the proposal: 1) a review of MarBorg's compliance with the nine minimum evaluation criteria listed in the RFP; 2) a comparison of the MarBorg proposal to the Mustang proposal with regard to various operational and performance specifications (e.g. proposed technology, diversion performance, etc.); and, 3) a comparison of the MarBorg proposal to the Mustang proposal with regard to various cost and financial variables (e.g. proposed financing structure, capital and operating costs, Internal Rate of Return, etc.).

ARI has requested additional information from MarBorg. Staff anticipates that ARI will conclude its analysis by fall of 2013. At that point, staff from the Public Participants will conduct a more detailed technical and financial due diligence review of MarBorg's proposal, similar to that conducted on the Mustang proposal in summer of 2012.

#### Selection of MarBorg by Mustang as Operator of the MRF

In its original proposal submitted in 2009, Mustang did not name a project operator. However, in July of 2013, Mustang notified staff of the Public Participants that it had selected MarBorg as its operator for the MRF. Mustang has yet to name its operator for the AD facility, but indicated it might select MarBorg as the operator for this facility as well.

Despite Mustang's selection of MarBorg as its operator, it should be noted that MarBorg's stand-alone proposal for a MRF within City limits is still in play. According to MarBorg staff, the company reserves the right to withdraw its own proposal should Mustang and MarBorg consummate the owner-operator relationship described above.

Such a withdrawal could complicate the environmental review process. For example, if the EIR concluded that the City-located MarBorg property is deemed the environmentally preferable location for the MRF, without MarBorg's proposal the environmentally preferable option would suddenly be infeasible. To prevent this type of disruption, staff from the Public Participants hope to persuade MarBorg to choose its

final course of action prior to the release of the administrative draft of the environmental impact report, tentatively scheduled for the first quarter of Calendar Year 2014.

#### Potential Creation of Joint Powers Authority

Since the inception of the RRP, the Public Participants have investigated various cooperative structures that could be employed to resolve matters that may arise in the administration of the long-term contract with the vendor. In 2008, the MJSWTG expressed support for the execution of a Joint Powers Agreement to define the roles and responsibilities of each participating jurisdiction and to establish appropriate mechanisms for addressing issues related to the vendor contract. However, the County and Goleta have recently expressed their intent to establish a separate legal entity, called a Joint Powers *Authority*, for the same purpose. City staff believes that the creation of a Joint Powers Authority, which is a new legal governmental entity with the attendant administrative and legal requirements of any governmental entity, would be less efficient, more costly than a Joint Powers Agreement, and is not necessary to address any legal concerns.

However, staff recognizes the value of all jurisdictions working under a common arrangement. For this reason, if the County and other jurisdictions do move forward with the formation of a Joint Powers Authority, City staff would be willing to be part of the Authority provided that the City retained reasonable control over potential changes to the final 20-year contract with the vendor once executed.

To that end, staff would propose a voting structure that would require approval by the three largest waste generators (City, County and City of Goleta) for any amendment to the contract with the RRP vendor. However, other decisions of the Joint Powers Authority board could require a simple majority. Such a voting structure would provide adequate protection to the City and its ratepayers while not imposing undue restrictions on the day to day operations of the RRP and related decision-making.

#### **SUSTAINABILITY IMPACT:**

Construction of the Resource Recovery Project could significantly increase the City's waste diversion rate and would approximately double the number of years before Tajiguas Landfill reaches its permitted capacity, depending upon disposal rates and when the facility becomes operational. In addition, the project would generate renewable energy (equivalent to approximately 1,000 homes) and would reduce greenhouse gas emissions when compared to current landfill disposal (equivalent to removing 22,000 vehicles from the road).

**PREPARED BY:** Matt Fore, Environmental Services Manager

**SUBMITTED BY:** Robert Samario, Finance Director

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013  
**TO:** Mayor and Councilmembers  
**FROM:** City Administrator's Office  
**SUBJECT:** Conference with Real Property Negotiators

### RECOMMENDATION:

That Council hold a closed session pursuant to Government Code Section 54956.8 to consider the possible lease of real property.

**Real Property:** A portion of the City-owned property located at 631 Garden Street in the City of Santa Barbara (APN 031-152-033).

**City Negotiators:** Paul Casey, Assistant City Administrator/Community Development Director; Nina Johnson, Assistant to the City Administrator; Stephen P. Wiley, City Attorney; Sarah Knecht, Assistant City Attorney.

**Negotiating Parties:** Santa Barbara Arts Collaborative.

**Under Negotiation:** Instructions to negotiators regarding the price and terms of payment of a possible ground lease.

**SCHEDULING:** Duration, 30 minutes; anytime  
**REPORT:** None anticipated  
**PREPARED BY:** Nina Johnson, Assistant to the City Administrator  
**SUBMITTED BY:** Stephen P. Wiley, City Attorney  
**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013

**TO:** Mayor and Councilmembers

**FROM:** City Administrator's Office

**SUBJECT:** Conference With Labor Negotiator

**RECOMMENDATION:**

That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the General Bargaining Unit, Treatment and Patrol Bargaining Units, Hourly Bargaining Unit, Police Management Association, and regarding salaries and fringe benefits for certain unrepresented management and confidential employees.

**SCHEDULING:** Duration, 30 minutes; anytime

**REPORT:** None anticipated

**PREPARED BY:** Kristy Schmidt, Employee Relations Manager

**SUBMITTED BY:** Marcelo López, Assistant City Administrator

**APPROVED BY:** City Administrator's Office



# CITY OF SANTA BARBARA

## COUNCIL AGENDA REPORT

**AGENDA DATE:** September 24, 2013  
**TO:** Mayor and Councilmembers  
**FROM:** Community Development Department  
City Attorney's Office  
**SUBJECT:** Conference with Real Property Negotiators

**RECOMMENDATION:**

That Council hold a closed session pursuant to Government Code Section 54956.8 to consider the possible purchase of an option in real property.

**Real Property:** 420 East De La Guerra Street, Santa Barbara, California  
APN 031-092-022.

**City Negotiators:** Paul Casey, Community Development Director; Stephen P. Wiley, City Attorney; Sarah Knecht, Assistant City Attorney.

**Negotiating Parties:** Housing Authority of the City of Santa Barbara

**Under Negotiation:** Price and terms of payment for the purchase of an option in real property.

**SCHEDULING:** Duration, 20 minutes; anytime

**REPORT:** None anticipated

**PREPARED BY:** Sue Gray, Community Development Business Manager/dr/dr  
Sarah Knecht, Assistant City Attorney

**SUBMITTED BY:** Sue Gray, Community Development Business Manager/dr/dr  
Sarah Knecht, Assistant City Attorney

**APPROVED BY:** City Administrator's Office